

# Irreducibility of a universal Prym-Brill-Noether locus

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## Abstract

For genus  $g = \frac{r(r+1)}{2} + 1$ , we prove that via the forgetful map, the universal Prym-Brill-Noether locus  $\mathcal{R}_g^r$  has a unique irreducible component dominating the moduli space  $\mathcal{R}_g$  of Prym curves.

## 1 Introduction

The moduli space  $\mathcal{R}_g$  of Prym curves was brought to the attention of algebraic geometers by Mumford in his influential paper [Mum74], as a way of understanding principally polarized Abelian varieties. For an element  $[C, \eta]$  of  $\mathcal{R}_g$  we let  $\pi: \tilde{C} \rightarrow C$  be the associated double cover and let  $\text{Nm}_\pi: \text{Pic}^{2g-2}(\tilde{C}) \rightarrow \text{Pic}^{2g-2}(C)$  be the norm map of this morphism of curves. In this situation, the preimage of  $\omega_C$  consists of two disjoint Abelian varieties, namely

$$P^+ = \left\{ L \in \text{Pic}^{2g-2}(\tilde{C}) \mid \text{Nm}(L) = \omega_C \text{ and } h^0(\tilde{C}, L) \equiv 0 \pmod{2} \right\}$$

and

$$P^- = \left\{ L \in \text{Pic}^{2g-2}(\tilde{C}) \mid \text{Nm}(L) = \omega_C \text{ and } h^0(\tilde{C}, L) \equiv 1 \pmod{2} \right\}.$$

The intersection of  $W_{2g-2}^0(\tilde{C})$  with  $P^+$  is twice a theta divisor, and this allows us to associate to  $[C, \eta]$  a principally polarized Abelian variety.

Following this development, Welters pointed out in [Wel85] that Prym-Brill-Noether theory can be employed in order to understand the geometry of subvarieties of Prym varieties. More precisely, he considered the loci

$$V^r(C, \eta) := \left\{ L \in \text{Pic}^{2g-2}(\tilde{C}) \mid \text{Nm}(L) \cong \omega_C, h^0(\tilde{C}, L) \geq r+1, \text{ and } h^0(\tilde{C}, L) \equiv r+1 \pmod{2} \right\}$$

in order to study the singularities of the theta divisor of the associated Prym variety. The relation between Prym-Brill-Noether theory and the study of singularities of theta divisors attracted other mathematicians to this topic. The two papers [Wel85] and [Ber87] showed that when  $g \geq \frac{r(r+1)}{2} + 1$ , the locus  $V^r(C, \eta)$  is non-empty of dimension at least  $g - 1 - \frac{r(r+1)}{2}$ . Moreover, for a generic  $[C, \eta] \in \mathcal{R}_g$ , the locus  $V^r(C, \eta)$  has exactly this dimension when  $g \geq \frac{r(r+1)}{2} + 1$  and is empty when  $g < \frac{r(r+1)}{2} + 1$ , see [Sch17]. Subsequently in [DCP95], De Concini and Pragacz viewed  $V^r(C, \eta)$  as a Lagrangian degeneracy locus (cf. [Mum71]) and computed the class of  $V^r(C, \eta)$  in the Prym variety when it has the expected dimension  $g - 1 - \frac{r(r+1)}{2}$ .

In recent years, two new perspective for the study of Prym-Brill-Noether theory emerged. On one hand, it has been studied from the point of view of tropical geometry, see [CLRW20] and [LU21], thus providing another proof for the dimension estimate of  $V^r(C, \eta)$  for a generic  $[C, \eta]$  and, on the other hand, from the perspective of moduli theory, in order to understand the birational geometry of  $\mathcal{R}_g$  for small values of  $g$ . It is natural to ask when  $g \geq \frac{r(r+1)}{2} + 1$  whether the universal Prym-Brill-Noether locus

$$\mathcal{V}_g^r := \{ [C, \eta, L] \mid [C, \eta] \in \mathcal{R}_g \text{ and } L \in V^r(C, \eta) \}$$

has a unique irreducible component dominating the moduli space  $\mathcal{R}_g$ . This is true for  $g > \frac{r(r+1)}{2} + 1$  because the fibre above a general  $[C, \eta] \in \mathcal{R}_g$  is irreducible, see [Deb00, Exemples 6.2]. However, as pointed out in [JP21], this was not known for  $g = \frac{r(r+1)}{2} + 1$ . The goal of this paper is to show that when  $g = \frac{r(r+1)}{2} + 1$ , the moduli space  $\mathcal{V}_g^r$  has a unique irreducible component dominating  $\mathcal{R}_g$ . To prove this result, we will consider the

compactification  $\overline{\mathcal{R}}_g$  of the moduli space of Prym curves  $\mathcal{R}_g$ , see [BCF04] and [FL10]. Finally, we degenerate to the boundary locus of  $\overline{\mathcal{R}}_g$  and employ the theory of limit linear series, adapted to our situation.

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## 2 Prym linear series

Let  $[C, \eta] \in \mathcal{R}_g$  be a generic Prym curve. Then, we know from [Wel85, Lemma 3.2] that a generic element  $L \in V^r(C, \eta)$  satisfies  $h^0(\tilde{C}, L) = r + 1$ . Moreover, when  $g = \frac{r(r+1)}{2} + 1$  we know from [Sch17, Theorem 1.1] that all  $L \in V^r(C, \eta)$  satisfy  $h^0(\tilde{C}, L) = r + 1$ . In particular, the line bundle  $L$  can be viewed as a  $g_{2g-2}^r$  on the curve  $\tilde{C}$ . Furthermore, up to restricting to an open subset, we can view all irreducible components of  $\mathcal{V}_g^r$  dominating  $\mathcal{R}_g$  as contained in the moduli space  $\mathcal{G}_{2g-2}^r(\mathcal{R}_g)$  parametrizing limit  $g_{2g-2}^r$  over double covers  $[\pi: \tilde{C} \rightarrow C]$  where  $\tilde{C}$  is of compact type. We ask what points can appear in the compactification of  $\mathcal{V}_g^r$  inside this space.

Let  $[\pi: \tilde{C} \rightarrow C] \in \overline{\mathcal{R}}_g$  such that  $C$  is of compact type and admits a unique irreducible component  $X$  satisfying  $\eta_X \not\cong \mathcal{O}_X$ . For this component  $X$ , we denote by  $p_1^X, \dots, p_{s_X}^X$  its nodes and by  $g_1^X, \dots, g_{s_X}^X$  the genera of the connected components of  $C \setminus X$  glued to  $X$  at these points. For an irreducible component  $Y$  of  $C$ , different from  $X$ , we denote by  $q^Y$  the node glueing  $Y$  to the connected component of  $C \setminus Y$  containing  $X$ , and by  $p_1^Y, \dots, p_{s_Y}^Y$  the other nodes of  $Y$ . We denote by  $g_0^Y, g_1^Y, \dots, g_{s_Y}^Y$  the genera of the connected components of  $C \setminus Y$  glued to  $Y$  at these points. Using the above notations, we can define the concept of a Prym limit  $g_{2g-2}^r$ :

**Definition 2.1.** A Prym limit  $g_{2g-2}^r$ , denoted  $L$ , is a crude limit  $g_{2g-2}^r$  on  $\tilde{C}$  satisfying the following two conditions:

1. For the unique component  $\tilde{X}$  of  $\tilde{C}$  above  $X$ , the  $\tilde{X}$ -aspect  $L_{\tilde{X}}$  of  $L$  satisfies

$$Nm_{\pi_{\tilde{X}}} L_{\tilde{X}} \cong \omega_X \left( \sum_{i=1}^s 2g_i^X p_i \right)$$

2. For a component  $Y$  of  $C$  different from  $X$ , we denote by  $Y_1$  and  $Y_2$  the two irreducible components of  $\tilde{C}$  above it. We identify these two components with  $Y$  via the map  $\pi$ . With this identification the  $Y_1$  and  $Y_2$  aspects of  $L$  satisfy:

$$L_{Y_1} \otimes L_{Y_2} \cong \omega_Y \left( (2g - 2 + 2g_0^Y) q^Y + \sum_{i=1}^s g_i^Y p_i^Y \right)$$

Because the points in the boundary need to respect the norm condition, we immediately obtain that:

**Lemma 2.2.** *Let  $[\pi: \tilde{C} \rightarrow C] \in \overline{\mathcal{R}}_g$  with  $\tilde{C}$  of compact type and let  $\overline{\mathcal{V}}_g^r$  the closure of  $\mathcal{V}_g^r$  inside  $\mathcal{G}_{2g-2}^r(\mathcal{R}_g)$ . Then the fibre of the map  $\overline{\mathcal{V}}_g^r \rightarrow \overline{\mathcal{R}}_g$  over the point  $[\pi: \tilde{C} \rightarrow C]$  is contained in the locus of Prym limit  $g_{2g-2}^r$  on  $[\pi: \tilde{C} \rightarrow C]$ .*

We are now ready to use a degeneration argument in order to prove our main result.

**Theorem 2.3.** *When  $g = \frac{r(r+1)}{2} + 1$ , the space  $\mathcal{V}_g^r$  has a unique irreducible component dominating  $\mathcal{R}_g$ .*

*Proof.* Let  $[Y_1 \cup_{x_1} \tilde{E} \cup_{x_2} Y_2 \rightarrow Y \cup_x E]$  be the double cover associated to a generic element of  $\Delta_1$ . We want to describe the locus of Prym limit  $g_{2g-2}^r$ 's on such a double cover.

Let  $L$  be a Prym limit  $g_{2g-2}^r$  on  $[Y_1 \cup_{x_1} \tilde{E} \cup_{x_2} Y_2 \rightarrow Y \cup_x E]$ . The additivity of the Brill-Noether numbers implies:

$$\rho(2g - 1, r, 2g - 2) = -r \geq \rho(L_{Y_1}, x_1) + \rho(\tilde{E}, x_1, x_2) + \rho(L_{Y_2}, x_2)$$

But we know from [EH87, Theorem 1.1] and [Far00, Proposition 1.4.1] that  $\rho(L_{Y_1}, x_1) \geq 0$ ,  $\rho(L_{Y_2}, x_2) \geq 0$  and  $\rho(\tilde{E}, x_1, x_2) \geq -r$ . It is clear that these are in fact equalities and  $L$  is a refined limit  $g_{2g-2}^r$ .

We denote by  $0 \leq a_0 < a_1 < \dots < a_r \leq 2g - 2$  and  $0 \leq b_0 < b_1 < \dots < b_r \leq 2g - 2$  the vanishing orders for the  $Y_1$  and  $Y_2$  aspects respectively. The equality  $\rho(\tilde{E}, x_1, x_2) = -r$  implies that  $a_i + b_{r-i} = 2g - 2$  for all  $0 \leq i \leq r$ .

The genericity of  $[Y_2, x_2] \in \mathcal{M}_{g-1,1}$  together with  $\rho(L_{Y_2}, x_2) = 0$  imply that  $h^0(Y_2, L_{Y_2}(-b_i x_2)) = r + 1 - i$  for all  $0 \leq i \leq r$ . Using that  $L_{Y_1} \otimes L_{Y_2} \cong \omega_Y(2g \cdot x)$  and the Riemann-Roch theorem we obtain

$$h^0(Y_1, L_{Y_1}(-(2 + a_{r-i})q)) = g + r - 1 - a_{r-i} - i$$

Choosing  $i = 0$  we get  $a_r = g + r - 1$ . Inverting the roles of the  $a_i$ 's and  $b_i$ 's we obtain that  $a_0 = g - r - 1$ . Because we have the divisorial equivalences

$$a_i x_1 + b_{r-i} x_2 \equiv a_j x_1 + b_{r-j} x_2$$

on the elliptic curve  $E$  for every  $0 \leq i, j \leq r$ , we obtain that  $a_i - a_{i-1} \geq 2$  for every  $1 \leq i \leq r$ . This implies that  $a_i = g - r + 2i - 1$  for every  $0 \leq i \leq r$ .

We now view the moduli space  $\mathcal{M}_{g-1,1}$  as embedded in  $\overline{\mathcal{R}}_g$  via the map  $\pi: \mathcal{M}_{g-1,1} \rightarrow \overline{\mathcal{R}}_g$  sending a pointed curve  $[Y, x] \in \mathcal{M}_{g-1,1}$  to  $[Y \cup_x E, \mathcal{O}_Y, \eta_E]$  where  $[E, x]$  is a generic elliptic curve and  $\eta_E$  is a 2-torsion line bundle on  $E$ . For the ramification sequence  $\alpha = (g - r - 1, g - r, \dots, g - 1)$  associated to the vanishing orders  $a = (a_0, \dots, a_r) = (g - r - 1, \dots, g + r - 1)$ , we consider the locus  $\mathcal{G}_{2g-2}^r(\alpha)$  parametrizing pairs  $[C, p, L]$  where  $[C, p] \in \mathcal{M}_{g-1,1}$  and  $L$  is a  $g_{2g-2}^r$  having vanishing orders greater or equal to  $a$  at the point  $p$ . Then the locus of Prym limit  $g_{2g-2}^r$  over  $\text{Im}(\pi)$  is birationally isomorphic to  $\mathcal{G}_{2g-2}^r(\alpha)$ .

We know from [EH89, Lemma 3.6] that  $\mathcal{G}_{2g-2}^r(\alpha)$  has a unique irreducible component dominating  $\mathcal{M}_{g-1,1}$ . Moreover

$$\deg(\mathcal{G}_{2g-2}^r(\alpha) \rightarrow \mathcal{M}_{g-1,1}) = 2^{\frac{r(r-1)}{2}} \cdot (g-1)! \cdot \prod_{i=1}^r \frac{(i-1)!}{(2i-1)!}$$

as stated on the second page of [FT16]. On the other hand we have from [DCP95, Theorem 9] that

$$\deg(\mathcal{V}_g^r \rightarrow \mathcal{R}_g) = 2^{\frac{r(r-1)}{2}} \cdot (g-1)! \cdot \prod_{i=1}^r \frac{(i-1)!}{(2i-1)!}$$

We conclude that all dominant irreducible components of  $\mathcal{V}_g^r$  contain  $\mathcal{G}_{2g-2}^r(\alpha)$  in their closure. From this we get that each such component map to  $\mathcal{R}_g$  with degree at least  $2^{\frac{r(r-1)}{2}} \cdot (g-1)! \cdot \prod_{i=1}^r \frac{(i-1)!}{(2i-1)!}$ , implying unicity.  $\square$

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