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Establishing virtual bioequivalence and clinically relevant specifications using *in vitro* biorelevant dissolution testing and physiologically-based population pharmacokinetic modeling. Case example: Naproxen

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22 **Abstract:**

23 **Background:** Physiologically-based population pharmacokinetic modeling (popPBPK) coupled with *in*
24 *vitro* biopharmaceutics tools such as biorelevant dissolution testing can serve as a powerful tool to
25 establish virtual bioequivalence and set clinically relevant specifications. One of several applications of
26 popPBPK modeling is in the emerging field of virtual bioequivalence (VBE), where it can be used to
27 streamline drug development by implementing model-informed formulation design and to inform
28 regulatory decision-making e.g., with respect to evaluating the possibility of extending BCS-based
29 biowaivers beyond BCS Class I and III compounds in certain cases.

30 **Methods:** In this study, Naproxen, a BCS class II weak acid was chosen as the model compound. *In vitro*
31 biorelevant solubility and dissolution experiments were performed and the resulting data were used
32 as an input to the PBPK model, following a stepwise workflow for the confirmation of the
33 biopharmaceutical parameters. The naproxen PBPK model was developed by implementing a middle-
34 out approach and verified against clinical data obtained from the literature. Once confidence in the
35 performance of the model was achieved, several *in vivo* dissolution scenarios, based on model-based
36 analysis of the *in vitro* data, were used to simulate clinical trials in healthy adults. Inter-occasion
37 variability (IOV) was also added to critical *physiological* parameters and mechanistically propagated
38 through the simulations. The various trials were simulated on a “worst/best case” dissolution scenario
39 and average bioequivalence was assessed according to C_{max} , AUC and t_{max} .

40 **Results:** VBE results demonstrated that naproxen products with *in vitro* dissolution reaching 85%
41 dissolved within 90 minutes would lie comfortably within the bioequivalence limits for C_{max} and AUC.
42 Based on the establishment of VBE, a dissolution “safe space” was designed and a clinically relevant
43 specification for naproxen products was proposed. The interplay between formulation-related and
44 drug-specific PK parameters (e.g., $t_{1/2}$) to predict the *in vivo* performance was also investigated.

45 **Conclusion:** Over a wide range of values, the *in vitro* dissolution rate is not critical for the clinical
46 performance of naproxen products and therefore naproxen could be eligible for BCS-based biowaivers

47 based on *in vitro* dissolution under intestinal conditions. This approach may also be applicable to other
48 poorly soluble acidic compounds with long half-lives, providing an opportunity to streamline drug
49 development and regulatory decision-making without putting the patient at a risk.

50

51 **Key words:** PBPK, modeling & simulation; virtual bioequivalence; IVIVE, clinically relevant
52 specifications; dissolution safe-space; biorelevant dissolution

53

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92 **1 Introduction**

93

94 Physiologically-based population pharmacokinetic (popPBPK) modelling has been implemented
95 successfully to support and inform drug product development and regulatory decision-
96 making.(Babiskin and Zhang, 2015; Doki et al., 2017; Heimbach et al., n.d.; Mitra, 2019; Olivares-
97 Morales et al., 2016; Parrott et al., 2014; Pepin et al., 2016; Stillhart et al., 2017; Suarez-Sharp et al.,
98 2018; Zhang et al., 2017) Patient-centric, model-informed drug product development necessitates an
99 *in vitro-in vivo-in silico* link to establish clinically relevant specifications and thus guarantee the quality
100 of the drug product with respect to safety and efficacy. By encompassing model-informed formulation
101 selection and prediction of clinical performance, modeling and simulation (M & S) provides a way
102 forward to the design of “safe spaces”, and thus offer regulatory relief. Some examples include guiding
103 development of biorelevant and/or biopredictive dissolution methods to support biowaiver extensions
104 and enabling extrapolation to special populations (e.g., paediatrics). Although the current PBPK
105 regulatory guidelines still mainly focus on the prediction of drug-drug interactions (DDIs),(European
106 Medicines Agency (EMA), 2018a; U.S.FDA Center for Drug Evaluation and Research (CDER), 2018a) the
107 integration of translational biopharmaceutical modeling and dissolution testing has been attracting
108 increased attention from leading pharmaceutical industries as well as regulatory bodies and over the
109 last few years, the regulatory impact of mechanistic absorption modeling has significantly
110 increased.(Babiskin and Zhang, 2015; Heimbach et al., 2019; Pepin et al., 2016; Zhang et al., 2017)

111 Establishing bioequivalence (BE) has been a critical component of and remains a challenge during
112 development of both new drug and generic products. In the context of quality by design (QbD) and the
113 biopharmaceutics risk assessment roadmap (BioRAM),(Selen et al., 2014)(Dickinson et al., 2008) the
114 importance of linking *in vitro* with *in vivo* data bi-directionally has received greater emphasis.
115 Accordingly, virtual bioequivalence (VBE) can serve as a powerful tool to set clinically relevant

116 specifications and predict anticipated clinical outcomes in healthy, patient and special-patient (e.g.,
117 paediatrics and/ or co-administration of PPIs) populations. To accurately predict the *in vivo*
118 performance of a drug product through clinical trial simulation, a certain set of conditions needs to be
119 met. This includes integration of biorelevant *in vitro* data into the simulation model as well as
120 mechanistic absorption modelling, disposition/elimination components and consideration of
121 physiological and physicochemical interactions with the formulation. After developing the mechanistic
122 absorption PBPK model, it must be verified via learn/ confirm cycles which rely on evaluation against
123 observed clinical data. Such models can then be used to predict the population pharmacokinetic
124 variability of the test drug/ formulation and therefore enable assessment of bioequivalence risks via
125 virtual trials simulations.(Pathak et al., 1997)

126 The ability of PBPK to account for between-subject (BS), within-subject (WS) and inter-occasion
127 variability (IOV) is crucial to the accuracy and the applicability of VBE results. Although the current
128 techniques can address the between-subject variability reasonably well, progress still needs to be
129 made in the area of estimating inter-occasion variability. Two independent modeling strategies to
130 incorporate IOV in VBE studies have been implemented in the literature: a) *a priori* estimated random
131 error terms in replicate clinical study are added to the PK parameters, or, more mechanistically, b) the
132 IOV is integrated into the system parameters and propagated in simulations.(Wedagedera et al., 2017)

133 In this study, an *in vitro-in vivo-in silico* workflow to establish VBE and clinically relevant dissolution
134 specifications is proposed. Naproxen and its sodium salt was chosen as the case example. Naproxen is
135 a weakly acidic ($pK_a \approx 4.4$) non-steroid anti-inflammatory (NSAID) agent. It is a biopharmaceutical
136 classification system (BCS) class II weak acid with poor solubility in the fasted stomach but freely
137 soluble in the intestinal environment and has a high permeability, similar to ibuprofen and
138 diclofenac.(Cristofolletti et al., 2013; Cristofolletti and Dressman, 2016; Kambayashi et al., 2013) Since
139 the absorption of such compounds is usually complete, they have been identified as offering
140 opportunities for a potential BCS-based biowaiver extension.(Cristofolletti and Dressman, 2016; Tubic-
141 Grozdanis et al., 2008; Yazdanian et al., 2004) The free acid (Naprosyn®) and the sodium salt

142 (Anaprox[®]) forms are administered orally as immediate release (IR) tablets. The purpose of this article
143 is to characterize the *in vitro* dissolution behavior of naproxen pure API and formulations, integrate
144 mechanistic absorption modeling with population-based PBPK, design a safe space and, last but not
145 least, set clinically relevant dissolution specifications through VBE trials. The possibility/ risk of granting
146 BCS-biowaiver for naproxen products is also investigated.

147

148 **2 Material and Methods**

149

150 **2.1 Chemicals and reagents**

151

152 Naproxen (lot #SLBV2253) and naproxen sodium (lot #MKCD6021) pure active pharmaceutical
153 ingredient (API) were purchased commercially from Sigma-Aldrich Co., LLC. (St. Louis, MO). Naproxen
154 tablets (500 mg Naprosyn[®], lot 70662; Minerva Pharmaceutical Inc., Athens, Greece) and naproxen
155 sodium tablets (550 mg Anaprox[®], lot 70466; Minerva Pharmaceutical Inc., Athens, Greece) were
156 commercially purchased from the Greek market. Fasted state simulated gastric fluid (FaSSGF)/fasted
157 state simulated intestinal fluid (FaSSIF V1)/fed state simulated intestinal fluid (FeSSIF V1) powder (lot
158 01-1512-05NP), FeSSIF V2 powder (lot 03-1610-02) and FaSSIF V3 powder (lot PHA S 1306023) were
159 kindly donated from Biorelevant.com Ltd., (Surrey, UK). Acetonitrile (lot 18A101551) and water (lot
160 17B174006) of HPLC-grade were from VWR Chemicals (Leuven, Belgium). Sodium hydroxide pellets
161 (lot 14A100027), sodium chloride (lot 17I074122), sodium acetate (lot 14B240013), hydrochloric acid
162 37% (lot 10L060526), orthophosphoric acid 85% (lot 12K210017) and glacial acetic acid 100% (lot
163 12B220508) were commercially obtained from VWR Chemicals (Leuven, Belgium). Sodium dihydrogen
164 phosphate dehydrate (lot K93701642712), maleic acid (lot 57118880544) and citric acid (lot

165 K91221207425) were commercially purchased from Merck KGaA (Darmstadt, Germany). Pepsin from
166 porcine gastric mucosa 19.6% and Lipofundin® MCT/LCT 20% were from Sigma-Aldrich Co., LLC. (St.
167 Louis, MO) and B. Braun Melsungen AG (Melsungen, Germany), respectively.

168

169 2.2 *In vitro* solubility experiments

170

171 The solubility of naproxen and its sodium salt was investigated in various selected aqueous and
172 biorelevant dissolution media using the Uniprep™ system (Whatman®, Piscataway, NJ, USA). All
173 aqueous buffers were prepared according to the European Pharmacopoeia, while the biorelevant
174 media were prepared according to Markopoulos et al. and Fuchs et al. (Fuchs et al., 2015; Markopoulos
175 et al., 2015) **The composition and physicochemical characteristics of the fasted and fed state**
176 **biorelevant media used in this study are summarized in Table 1.** An excess amount of API was added
177 to 3 mL of dissolution medium and the samples were incubated for 24 h at 37°C on an orbital mixer.
178 The samples were then filtered through the 0.45 µm PTFE filter integrated in the Uniprep™ system.
179 The filtrate was immediately diluted with mobile phase and analyzed by high-performance liquid
180 chromatography (HPLC) (see section 2.5). All measurements were performed at least in triplicate (n≥3).

181

182 **Table 1: Composition and physicochemical characteristics of biorelevant media in the fasted and fed states.**

183

184 2.3 *In vitro* dissolution tests

185

186 All dissolution tests were performed using calibrated USP II (paddle) apparatus (Erweka DT 80,
187 Heusenstamm, Germany) at 37±0.4°C. Each vessel contained 500 mL of fresh, pre-warmed medium

188 and the rotational speed was set at 75 rpm. Samples were withdrawn at 2.5, 5, 10, 15, 20, 30, 45, 60,
189 90 and 120 minutes via a 5 mL glass syringe connected to a stainless-steel cannula containing a 10 µm
190 polyethylene cannula filter. Immediately thereafter, the sample was filtered through a 0.45 µm PTFE
191 filter (ReZist™ 30, GE Healthcare UK Ltd., Buckinghamshire, UK), discarding the first 2 mL. The filtrate
192 was immediately diluted with mobile phase and analyzed by HPLC-UV (see section 2.5). The removal
193 of 5 mL at each sampling time was taken into account in the calculation of the percentage dissolved.
194 All experiments were performed at least in triplicate ($n \geq 3$) and the final pH in the vessel was recorded.

195

196 2.4 Two-stage dissolution tests

197

198 Since the conventional one-stage USP II dissolution test does not include a gastric compartment to
199 account for disintegration of the dosage form in the stomach, differences in the disintegration time
200 between non-coated (i.e. 500 mg Naprosyn®) and simple coated formulation (i.e. 550 mg Anaprox®)
201 might bias the interpretation of the biorelevant *in vitro* dissolution behavior with respect to the *in vivo*
202 performance. Therefore, to investigate the disintegration effect on the *in vitro* performance of
203 naproxen/ naproxen sodium formulations, a two-stage dissolution test for FaSSIF V3 was developed
204 based on the publication by Mann et al. (Mann et al., 2017)

205 The dosage form was initially exposed to 250 mL of FaSSGF Level III and samples were removed at 5,
206 10, 15, 20, 30 minutes and treated as described in section 2.3. After the withdrawal of the last sample,
207 6.8 mL of sodium hydroxide 1M and immediately thereafter 250 mL of FaSSIF V3 concentrate pH=6.7
208 (double concentration of all the constituents, apart from sodium hydroxide) were added to the vessel.
209 Instead of increasing the pH of the intestinal medium concentrate to counterbalance the acidic pH of
210 the stomach medium as described in the original study,(Mann et al., 2017) sodium hydroxide was
211 added first, but almost simultaneously, with the FaSSIF V3 concentrate. This was done to avoid using
212 a very high pH in the FaSSIF V3 concentrate. After addition of sodium hydroxide and concentrated

213 FaSSIF V3, further samples were removed at 32.5, 35, 40, 45, 50, 60 and 90 minutes. The two-stage
214 experiments were performed using calibrated USP II (paddle) apparatus (Erweka DT 80, Heusenstamm,
215 Germany) at $37 \pm 0.4^\circ\text{C}$ and the samples were analyzed by HPLC-UV (see section 2.5). All experiments
216 were performed at least in triplicate ($n \geq 3$) and the final pH in the vessel was recorded.

217

218

219 2.5 Quantitative Analysis of Samples

220

221 Samples obtained from solubility and dissolution experiments were first filtered through a $0.45 \mu\text{m}$
222 PTFE filter (ReZist™ 30 syringe filter or Uniprep™; Whatman®, Piscataway, NJ, USA) and subsequently,
223 after appropriate dilution with mobile phase, they were analyzed by HPLC-UV (Hitachi Chromaster;
224 Hitachi Ltd., Tokyo, Japan or Spectra System HPLC, ThermoQuest Inc., San Jose, USA). A BDS Hypersil
225 C18, $5 \mu\text{m}$, $150 \times 4.6 \text{ mm}$ (Thermo Scientific) analytical column combined with a pre-column (BDS
226 Hypersil C-18, $3 \mu\text{m}$, $10 \times 4 \text{ mm}$) was used. The mobile phase consisted of 20 mM NaH_2PO_4 buffer
227 adjusted to $\text{pH}=3.0$ and acetonitrile (60:40 % v/v). The detection wavelength was set at 273 nm, the
228 flow rate at 1.2 mL/min and the injection volume at 20 μL . Using this method, the retention time was
229 approximately 7.3 minutes. The limit of detection (LOD) and quantification (LOQ) were 0.03 and 0.1
230 $\mu\text{g/mL}$, respectively.

231 2.6 Model-based analysis of *in vitro* solubility data

232

233 An experimental estimate of the naproxen pK_a was obtained by fitting the Henderson-Hasselbalch
234 equation (Eq. 1) to the mean aqueous equilibrium solubility (S_i) values using the SIVA Toolkit® ($n=6$;
235 all aqueous buffers). As intrinsic solubility (S_0), the lowest reported value in buffers was used. The pK_a

236 was then compared with values available in the literature to confirm the validity of the aqueous
237 solubility parameter estimates.

$$S_i = S_0 \cdot (10^{pH-pK_a}) \quad (1)$$

238
239 The impact of bile salt concentration ($[BS]$) and subsequent formation of micelles on the solubility of
240 naproxen was investigated. This was done by mechanistically modelling the mean solubility values in
241 fasted state biorelevant media ($n=3$), accounting also for the relative proportions of naproxen
242 solubilized in the aqueous versus the micelle phases, using the total solubility ($S_{(BS)Tot}$) equation (Eq.
243 2) in SIVA Toolkit® version 3.0 (SIVA; Certara, Simcyp Division; Sheffield, UK). Estimates of the logarithm
244 of the micelle-water partition coefficient for the neutral ($K_{m:w,unionized}$) and ionized drug
245 ($K_{m:w,ionized}$) were obtained to quantify the micelle-mediated solubility.

$$S_{(BS)Tot} = \left([BS] \cdot \frac{S_0}{C_{H_2O}} \cdot K_{m:w,unionized} + S_0 \right) + \left([BS] \cdot \frac{S_i}{C_{H_2O}} \cdot K_{m:w,ionized} + S_i \right) \quad (2)$$

246 Where C_{H_2O} stands for the concentration of water.

247 Estimation of the relevant parameters was performed using the Nelder-Mead algorithm and weighting
248 by the reciprocal of the predicted values was chosen. After model verification, all obtained estimates
249 were used as input parameters for the development of the physiologically-based pharmacokinetic
250 model (PBPK) model (see section 2.9)

251 2.7 Model-based analysis of *in vitro* dissolution data

252
253 Once confidence in the estimation of solubility-related parameters was established, further model-
254 based analysis of the *in vitro* dissolution data obtained from both the one and two-stage tests was
255 performed within the serial dilution module of the SIVA Toolkit® (SIVA 3.0). The dissolution rate of
256 spherical particles under sink and non-sink conditions within SIVA is described by an extension of the

257 diffusion layer model (DLM) developed by Wang and Flanagan. (Eq. 3) (Wang and Flanagan, 2002,
258 1999)

$$DR(t) = -N \cdot S_{DLM} \cdot \frac{D_{eff}}{h_{eff}(t)} \cdot 4\pi \cdot \alpha(t) \cdot (\alpha(t) + h_{eff}(t)) \cdot (S_{surface}(t) - C_{bulk}(t)) \quad (3)$$

259

260 where $DR(t)$ is the dissolution rate at time t ; N is the number of particles in a given particle size bin;
261 S_{DLM} is a lumped, empirical, correction scalar without regard to the mechanistic origin of the required
262 correction to the DLM. The estimated S_{DLM} values obtained with SIVA can be applied to the Simcyp
263 PBPK simulator to reflect differences between media or formulations; D_{eff} is the effective diffusion
264 coefficient; $h_{eff}(t)$ and $\alpha(t)$ represent the thickness of the hydrodynamic boundary layer and the
265 particle radius at time t respectively; $S_{surface}(t)$ corresponds to the saturation solubility at the particle
266 surface (which may be different to the bulk fluid solubility as discussed below); and $C_{bulk}(t)$ is the
267 concentration of dissolved drug in bulk solution at time t .

268 The $h_{eff}(t)$ was calculated by the fluid dynamics sub-model, which enables the hydrodynamic
269 conditions to be described according to local conditions and stirring rate. Fluid dynamics-based $h_{eff}(t)$
270 is the recommended option for describing the hydrodynamics, as it permits a more rational translation
271 of estimated parameters such as the S_{DLM} to *in vivo* conditions, in which the hydrodynamics are
272 usually quite different to *in vitro* experiments.

273 The local pH at the particle surface of ionisable drugs can significantly affect the $S_{surface}$ and
274 consequently the dissolution rate.(K. G. Mooney et al., 1981; K.G. Mooney et al., 1981a, 1981b; Ozturk
275 et al., 1988; Serajuddin and Jarowski, 1985; Sheng et al., 2009) Since in the *in vitro* dissolution media
276 have a somewhat higher buffer capacity than the intestinal fluids, the self-buffering effect at the solid
277 surface can be underestimated. For this reason, the surface pH was calculated and directly input into
278 SIVA. The calculation of the surface pH was based on the model proposed by Mooney et al.(K.G.
279 Mooney et al., 1981a), which assumes that dissolution is the result of both chemical reaction between

280 the conjugate base of the buffer species and the hydrogen cations released from the dissolving drug
281 (in this case naproxen free acid (NPX-H)) the liquid-solid interface and the diffusion of the dissolved
282 particles to the bulk. This model is very similar to the quasi-equilibrium model published by Ozturk et
283 al.(Ozturk et al., 1988), a derivation of which is implemented in SIVA as the default option for surface
284 pH calculations.

285 By fitting the DLM model to the observed dissolution data, accurate S_{DLM} estimates for each
286 dissolution and two-stage test were obtained. In the case of two-stage testing, the gastric and
287 intestinal profiles were treated separately. Under fasted state intestinal conditions, naproxen is freely
288 soluble and therefore *in vitro* dissolution is not expected to be solubility limited. In that case,
289 disintegration of the solid dosage form in the intestinal dissolution medium might be the rate-limiting
290 step for the *in vitro* dissolution rate, especially in single dissolution experiments where the dosage
291 form is directly exposed to the intestinal medium without any pre-treatment with gastric medium to
292 account for disintegration in the stomach. In order to distinguish and model the relative impact of
293 disintegration on the overall dissolution, the first-order disintegration option was activated in SIVA and
294 used to obtain estimates of the first-order disintegration rate constant (k_d) for these experiments. In
295 the case of intestinal dissolution profiles generated after two-stage testing, the first-order
296 disintegration option was deactivated since disintegration in the stomach had been already accounted
297 for by the dissolution in the gastric medium. For dissolution experiments of the pure drug, the
298 disintegration time was assumed to be negligible.

299 Estimation of the relevant parameters was performed using the Nelder-Mead algorithm and equal
300 weighting was applied. The various estimated S_{DLM} and k_d values were implemented in the Simcyp®
301 Simulator (V18.1; Certara, Sheffield, UK) to simulate various *in vivo* dissolution scenarios for the
302 formulations under study and to generate *in vitro-in vivo* extrapolation relationships. These are
303 necessary to predict the formulation or pure drug *in vivo* performance using PBPK modelling.

304 2.8 *In vivo* studies

305

306 Seven clinical trials published in the open literature were used in support of the development and
307 verification of the PBPK model for naproxen. Six studies were performed after oral administration of
308 single-dose of naproxen or its sodium salt at different dose levels in the fasted state. Data after
309 intravenous administration were obtained from Runkel et al.(Runkel et al., 1973, 1972a, 1972b)

310 The results of bioavailability studies for the Naprosyn[®] formulation were published by Charles and
311 Mogg(Charles and Mogg, 1994) and by Zhou et al.(Zhou et al., 1998) In the study by Charles and Mogg,
312 sixteen Caucasian (12.5% females) healthy subjects with mean (SD) age of 22.1 (4.4) years old received
313 one 500 mg Naprosyn[®] tablet with 100 mL water at 8:00 a.m. after an overnight fast. All individuals
314 were within 20% of their ideal body weight for height and gender with a mean (SD) weight and height
315 of 67.6 (8.3) kg and 175.7 (9.0) cm, respectively. In the study by Zhou et al., ten Chinese healthy male
316 volunteers (with age and body weight ranging from 19-38 year and 51-74 kg respectively) received two
317 250 mg Naprosyn[®] tablets with 200 mL water at 8 a.m. after an overnight fast.

318 Regarding the Anaprox[®] formulation, a bioavailability study by Haberer et al.(Haberer et al., 2010) and
319 a bioequivalence (BE) study by Setiawati et al.(Setiawati et al., 2009) have been reported in the
320 literature. Using the same study design (two-treatments protocol), Haberer et al. tested the
321 bioavailability of a tablet of 550 mg Anaprox[®] as well as of 500 mg of naproxen sodium, with the
322 intention of incorporating this dose in a fixed dose combination tablet with sumatriptan. A tablet of
323 550 mg Anaprox[®] (treatment A) and of 500 mg of naproxen sodium (treatment B) were administered
324 after an overnight fast to 8 and 16 healthy non-smoker volunteers, respectively. The proportion of
325 females in the study was 63% and subjects had a mean (SD) age of 44.3 (8.5) years and a mean body
326 weight of 71.44 (12.3) kilograms. In the study by Setiawati et al., twenty-six healthy volunteers (15%
327 females), aged 19 to 46 years and with body mass index (BMI) 18-23, were administered a tablet

328 containing 550 mg naproxen sodium with 200 mL of water in a sitting position at 07:00 a.m. after an
329 overnight fast.

330 To investigate the bioavailability of naproxen free acid, Rao et al. administered 500 mg of pure drug
331 powder filled in hard capsules together with a glass of water to twelve Indian healthy male volunteers,
332 aged between 18 and 22 years, who had fasted overnight.(Rao et al., 1993) In all studies, no
333 concomitant administration of any other drugs was permitted for at least 1 week before the study and
334 food was withheld until 3 hours post-dose.

335 All available demographic data from the aforementioned clinical studies were used to simulate the
336 clinical trials and are summarized in Table 2. Since no pharmacokinetic differences due to race have
337 been identified to date, all individuals were treated the same in terms of ethnicity for modeling
338 purposes.

339

340: *Mean (SD) demographic data of in vivo studies used for the development and verification of the PBPK model. (HV= healthy volunteers)*

341

342

343 2.9 Development of the middle-out PBPK model and selection of *in silico* input 344 parameters

345

346 PBPK modeling and simulations were performed using the Simcyp® Simulator (V18.1; Certara,
347 Sheffield, UK). The naproxen PBPK model was developed by implementing a stepwise sequential
348 modeling strategy, in line with previously published literature and the regulatory guidelines.(European
349 Medicines Agency (EMA), 2018b; Ke et al., 2016; Kuepfer et al., 2016; Shebley et al., 2018; U.S.FDA
350 Center for Drug Evaluation and Research (CDER), 2018b; Zhao et al., 2012) Initially, an intravenous (IV)

351 model was set up and, after optimizing the distribution/elimination parameters, it was adapted to
352 mechanistically describe oral absorption. The compound file was also informed with physicochemical
353 parameters including molecular weight (MW), octanol:water partition coefficient ($\log P_{o:w}$), fraction
354 unbound in plasma (f_u) and blood to plasma ratio (B:P) obtained from the literature.(Bergström et al.,
355 2014; Brown et al., 2007; Davies and Anderson, 1997; Lin et al., 1987; Paixão et al., 2012; Pérez et al.,
356 2004; Zhao et al., 2001)

357

358 2.9.1 Intravenous (IV) model

359

360 Since the volume of distribution reported in the literature for naproxen usually lies between 0.05-0.2
361 L/kg (similar to the plasma water volume),(Awni et al., 1995; Franssen et al., 1986; Gøtzsche et al.,
362 1988; Niazi et al., 1996; Upton et al., 1984; Van den Ouweland et al., 1988; Vree et al., 1993) the
363 minimal PBPK (mPBPK) with a single adjusting compartment (SAC) was chosen as the distribution
364 model. The mPBPK is a “lumped” PBPK model in which the SAC represents all tissues excluding liver
365 and portal vein. Use of the SAC requires prior fitting to observed clinical data using the Simcyp®
366 parameter estimation (PE) module. Implementing a “middle-out” strategy, the post-absorptive
367 variables, i.e. the parameter values for volume of distribution at steady-state (V_{ss}), apparent SAC
368 volume (V_{sac}), inter-compartmental (Q_{sac}) and *in vivo* IV clearance (CL_{IV}) were estimated using the
369 PE module after simultaneous fitting of the mPBPK model to the observed intravenous data.(Runkel et
370 al., 1973, 1972a, 1972b) The estimation was weighted by the number of individuals in the reported
371 study and the resulting parameters were then compared with values reported in the literature.

372 2.9.2 p.o. (oral) model

373

374 For mechanistic absorption modeling the advanced dissolution absorption and metabolism (ADAM)
375 model,(Jamei et al., 2009; S. Darwich et al., 2010) in which the gastrointestinal tract (GIT) is divided
376 into 9 anatomically distinct segments starting from stomach through small intestine to the colon, was
377 used. It was assumed that no drug absorption in the stomach occurred. The effective permeability
378 ($P_{\text{eff,man}}$) value in humans was obtained from the literature,(Lennernas et al., 1995) whereas for S_0 ,
379 $\log K_{m:w,unionized}$, $\log K_{m:w,ionized}$ the estimates from model-based analysis of the *in vitro* solubility
380 data were implemented (see section 2.7). Default settings of the software for luminal blood flow, fluid
381 volume, bile salt content, segmental pH, metabolic activity and small intestinal residence time were
382 used. The mean gastric emptying time (GET) in the fasted state was set to 0.25 h (matching the built-
383 in ‘segregated transit time’ model value instead of the default value of 0.4 h used in the ‘global’ transit
384 time model), as suggested by human clinical data and several authors.(Cristofolletti et al., 2016; Hens
385 et al., 2014; Paixão et al., 2018; Psachoulis et al., 2011) All relevant input parameters for the
386 development of the PBPK models and simulations are summarized in Table 3.

387 *Table 3: Input parameters for naproxen PBPK model development and simulations*

388

389 2.10 Verification of PBPK model and Clinical Trial simulations

390

391 The performance of the developed PBPK model was verified by simulation of several clinical studies
392 after oral administration and by comparison with the mean observed pharmacokinetic profiles already
393 available in the literature.(Charles and Mogg, 1994; Haberer et al., 2010; Rao et al., 1993; Setiawati et
394 al., 2009; Zhou et al., 1998) Virtual populations were selected to closely match the enrolled individuals
395 in the respective *in vivo* clinical trials with respect to sample size, ethnicity, gender ratio, and age and
396 weight range. Reported volumes of concomitant liquid intake, dosage form type and sampling
397 schedule were also included in the study design.

398 Using an *in vitro-in vivo* extrapolation (IVIVE) approach, the various DLM scalar estimates, (see sections
399 2.7, 3.5) obtained by model-based analysis of the *in vitro* dissolution data with the diffusion layer
400 model were input to best capture different *in vivo* dissolution scenarios. Further, to investigate the
401 effect of *in vivo* dissolution of multiple formulations and under various conditions on the overall *in vivo*
402 performance, the same DLM scalar estimates from *in vitro* dissolution data for each case were
403 implemented to simulate the aforementioned clinical studies. Every *in vivo* dissolution scenario was
404 evaluated by simulating of 10 trials, each with 10 subjects each ($\Sigma=100$). All virtual clinical trials were
405 matched in terms of demographic data (e.g. gender ratio, age & weight range) as closely as possible to
406 the reported studies.

407 2.11 Parameter Sensitivity Analysis (PSA)

408

409 Once confidence in the PBPK model performance was established, parameter sensitivity analysis (PSA)
410 was conducted to identify the absorption rate limiting steps and their impact on *in vivo* performance
411 (e.g., C_{max} , t_{max} , AUC). Variation of one or two parameters at a time over a physiologically realistic range
412 of values was applied for gastric emptying time (GET) and the DLM scalar.

413

414 2.12 Virtual Bioequivalence (VBE) Trials

415

416 The virtual bioequivalence (VBE) trials were designed as fully replicated, two-sequence, two-
417 treatment, two-period, crossover studies. In virtual BE studies between the hypothetical test and
418 reference formulations, PK profiles for a total of 120 healthy adult volunteers (12 subjects in each of
419 10 trials) for each treatment were generated. The existing default coefficients of variation (%CV) - i.e.,
420 between subject (BS) variability of the physiological parameters stored in the Simcyp® simulator
421 database for the North European Caucasian healthy adult volunteers' population were applied for each

422 parameter. As an integral part of within-subject (WS) variability, inter-occasion variability (IOV)
423 significantly contributes to the overall population variability and therefore it should be accounted for
424 by the PBPK models. To model IOV, a CV of 30% was set, according to the literature and unpublished
425 data from C. Reppas. (Fruehauf et al., 2007; Grimm et al., 2018; Lartigue et al., 1994; Petring and Flachs,
426 1990) IOV was added through the VBE module (V1.0) of Simcyp® simulator to the mean GET, pH of
427 fasted stomach, pH and bile salts concentration of fasted duodenum, jejunum I and II segments and
428 mechanistically propagated in the simulations. The IOV was intentionally set to the somewhat
429 exaggerated value of 30% for all the relevant parameters to further challenge the establishment of
430 bioequivalence. In each trial, a pre-specified number of randomly simulated individuals (n=12) were
431 generated for each formulation (reference and test). The relevant PK metrics (C_{max} , t_{max} , AUC) for each
432 subject were calculated. The VBE trials were interpreted as crossover studies and average BE (ABE)
433 was assessed using Phoenix® WinNonlin (v8.1; Certara; Princeton, NJ, USA) for each relevant PK metric.
434 In a best-and worst-case scenario the hypothetical reference and test formulations were assumed to
435 have *in vivo* dissolution in the virtual individuals corresponding to the highest and lowest estimated
436 DLM scalar value, respectively, resulting from the model-based analysis of the *in vitro* dissolution data.

437 2.13 Data Analysis and Model Diagnostics

438

439 The solubility and dissolution data are presented as the arithmetic mean with standard deviations.
440 Model-based analysis of the *in vitro* data in SIVA® Toolkit was performed with either the Nelder Mead
441 or the hybrid algorithm (genetic algorithm coupled to Nelder Mead) with a 5th order Runge-Kutta or
442 Livermore solver. Different weighting schemes were tested and the goodness of fit was assessed by
443 the Akaike (AIC, AICc) and Bayesian (BIC) information criteria as well as the coefficient of determination
444 (R squared). All PK profiles obtained from the literature were digitalized with the WebPlotDigitizer
445 (version 4.1; PLOTCON; Oakland, USA). The estimation of the post-absorptive parameters within the
446 PE module of the Simcyp® Simulator was performed with the Maximum Likelihood estimation method.

447 The prediction accuracy of the simulated plasma profiles was evaluated with the average fold error
448 (AFE) and absolute average fold error (AAFE) (see Equations 4,5).

$$AFE = 10^{\frac{1}{n} \cdot \sum \log\left(\frac{pred_t}{obs_t}\right)} \quad (4)$$

449

$$AAFE = 10^{\frac{1}{n} \cdot \sum \left| \log\left(\frac{pred_t}{obs_t}\right) \right|} \quad (5)$$

450

451

452 where n is the number of time points at which the concentration was determined and $pred_t, obs_t$ are
453 the predicted and observed concentrations at a given time point t respectively. AFE deviation from
454 unity is an indication of over- ($AFE > 1$) or under-prediction ($AFE < 1$) of the observed data,
455 whereas $AAFE$ is a measure of the absolute error from the true value (or bias of the simulated profile).
456 An $AAFE \leq 2$ is considered to be a successful prediction. (Obach et al., 1997; Poulin and Theil, 2009)

457 Statistical analysis (including 95% CI) and VBE trials were performed with Simcyp® (V18.1; Certara,
458 Sheffield, UK) and Phoenix® WinNonlin (v8.1; Certara; Princeton, NJ, USA). Data post-processing and
459 plotting were performed with MATLAB® 2018a (Mathworks Inc.; Natick, MA, USA) and R® (version
460 3.5.1).

461

462

463 **3 Results**

464 **3.1 *In vitro* solubility**

465

466 **3.1.1 Aqueous Buffers**

467

468 Table 4 summarizes the equilibrium solubility values in various aqueous media of different pH. In the
469 case of the free acid, the final pH_{bulk} differed significantly from the initial pH values due to the self-
470 buffering effect. This behavior was not observed for the sodium salt, where the pH difference was
471 equal or less to 0.1 pH unit. The higher solubility of the sodium salt compared to the free acid,
472 especially in the intestinal pH media, is attributed to the difference in the final pH measured, keeping
473 in mind that in this pH range the solubility increases exponentially with pH increase. Since naproxen is
474 a weakly acidic compound, its pH-solubility profile is described by two regions: a) $\text{pH} < \text{pH}_{\text{max}}$, where
475 the excess solid phase in equilibrium with the saturated solution consists of the unionized form and b)
476 $\text{pH} > \text{pH}_{\text{max}}$, where the equilibrium species are exclusively in the ionized form.(Avdeef, 2007) Hence,
477 unless self-association of solute molecules occurs, identical pH-solubility profiles at equilibrium are
478 expected regardless of the starting material (free acid or salt), as shown in Figure 1. The experimental
479 values were plotted as a pH-solubility profile and compared to values reported in the literature,
480 showing excellent agreement (Figure 1).(Avdeef, 2007; Avdeef and Berger, 2000; Chowhan, 1978)

481

482

483

484

485 *Table 4: Mean (\pm SD) equilibrium solubility in aqueous media at 37°C for 24h (Uniprep® method).*

486

487 *Figure 1: Naproxen (squares) and naproxen sodium (triangles) experimental mean equilibrium solubility values (24 h at 37°C)*
488 *plotted against respective literature values (24 h at 25°C) in a pH-solubility profile. The in vitro solubility experiments were*
489 *performed with the Uniprep® method described in section 2.2. The experimental results are in agreement with the literature*
490 *values (24 h at 25°C). The literature values were obtained from Avdeef et al. (Ref. 75); Chowhan et al. (Ref. 77)*

491

492 3.1.2 Biorelevant media

493

494 The solubility was additionally investigated in selected Level II fasted and fed state biorelevant media
495 (see Table 5).(Markopoulos et al., 2015) Similar to the solubility of the free acid in phosphate buffers,
496 a considerable decrease in the final pH_{bulk} was observed in fasted state biorelevant media. In fact, the
497 reduction is even more pronounced in the fasted state biorelevant media due to their lower buffer
498 capacity (5.6 mmol/L/ Δ pH in FaSSIF V3 *versus* 18.5 mmol/L/ Δ pH in European Pharmacopoeia
499 phosphate buffers).(Fuchs et al., 2015) Comparison of solubilities in compendial with those in
500 biorelevant media shows that micelle-mediated solubilization has a substantial impact on the overall
501 solubility of naproxen. Particularly in FaSSIF V1 Level II, the solubility of both free acid and sodium salt
502 was increased by 25.8% and 51.8%, respectively, when compared to phosphate buffer (pH=6.5).
503 Likewise, in media simulating the fed state, such as FeSSIF V1 Level II, a 2.4-fold increase in the
504 solubility of the free acid and a 2.1-fold increase for the salt form were observed, in comparison to the
505 respective medium without surfactants.

506

507 *Table 5: Mean (\pm SD) equilibrium solubility in fasted and fed state biorelevant media at 37°C for 24h (Uniprep® method).*

508

509 3.2 Modeling of *in vitro* solubility

510

511 Table 6 summarizes the parameter estimates (95% CI) obtained by model-based analysis of the *in vitro*
512 solubility data in compendial and biorelevant media, as described in section 2.6. The pK_a was
513 determined to be 4.43, which agrees with values reported in the literature (4.15-4.5). (Avdeef, 2007;
514 Chowhan, 1978; Davies and Anderson, 1997; McNamara and Amidon, 1986; Sheng et al., 2009) By
515 estimating the micelle-water partition coefficients for both neutral and ionized species using the
516 biorelevant solubilities, we were able to quantify the effect of physiologically relevant surfactants on
517 the overall solubility of naproxen. These values were utilized within the Simcyp® Simulator to simulate
518 the luminal conditions and the *in vivo* dissolution behavior, accounting at the same time for any inter-
519 subject variability regarding bile salt-mediated solubilization in the virtual population. Therefore,
520 implementation of $\log K_{m:w}$ neutral and ion in the PBPK model allowed for mechanistic prediction of the
521 *in vivo* luminal dissolution, which would not be possible if only mean solubility values had been used.

522

523 *Table 6: Parameter estimates (95% CI) resulting from the model-based analysis of in vitro solubility data in aqueous as well as*
524 *biorelevant media. The pka was estimated from the aqueous solubility values, whereas for the micelle-water partition*
525 *coefficients ($\log K_{m:w}$ neutral, ion) estimation, biorelevant solubilities were used. The accuracy of the predictions was evaluated*
526 *with the R squared.*

527

528 3.3 *In vitro* dissolution tests

529

530 3.3.1 Active Pharmaceutical Ingredient (API) powder

531

532 Mean percentage dissolved (\pm SD) over time in compendial and fasted state biorelevant media for the
533 pure API of naproxen and its sodium salt are presented in Figure 2 and Figure 3, respectively. All
534 dissolution experiments were performed as described in section 2.3.

535 For the free acid, dissolution in FaSSIF V3 Level II and in Ph. Eur. phosphate buffer pH=6.8 was very
536 rapid (>85% within 5 minutes in FaSSIF V3) and rapid (>85% within 30 minutes in phosphate buffer).
537 On the other hand, the dissolution in FaSSIF V3 Level I (i.e. without bile components) was much slower
538 with 85% dissolved reached only after 60 minutes. The observed differences in *in vitro* dissolution
539 behavior is attributed to differences in buffer capacity (FaSSIF V3 Level I and II vs. phosphate buffer)
540 and solubilization capacity (FaSSIF V3 Level II vs. Level I) of the tested media, whereas the difference
541 of 0.1 pH units between the initial pH of Ph. Eur. phosphate buffer pH=6.8 and FaSSIF V3 is assumed
542 to have a negligible effect.

543 Especially since dissolution was under non-sink conditions in this series of experiments, the dissolution
544 rate in FaSSIF V3 Level I was significantly slower, due to its low buffer capacity (5.6 mmol/L/ Δ pH), than
545 in the compendial phosphate buffer (13.5 vs. 50 mM phosphate buffer). At higher total phosphate
546 buffer concentration, i.e. in the compendial medium, the bulk (pH_{bulk}) rather than the surface pH (pH₀)
547 drives solubility and dissolution. By contrast, in the low buffer capacity FaSSIF V3 Level I medium the
548 surface pH seems to control the dissolution rate and as a result the final pH is significantly altered (5.95
549 in FaSSIF V3 Level I vs. 6.62 in Ph. Eur. phosphate buffer). The effect of buffer capacity on the overall
550 dissolution behavior becomes much less prominent when bile salts are added to the medium, as shown
551 in Figure 2. Furthermore, it is evident that the addition of the bile salt components in FaSSIF V3 Level
552 II markedly enhances the dissolution rate. Although the main effect is likely through solubilization,
553 improvements in wetting may have also contributed to the higher dissolution rate in the Level II
554 medium.

555 For the sodium salt, these trends were not observed and dissolution was almost instantaneous (85%
556 dissolved by the first sampling time at 2.5 min) in all tested media. This is attributed to the higher
557 solubility as well as higher surface pH generated by the sodium salt of naproxen.

558

Figure 2: In vitro dissolution (mean \pm SD) of 500 mg naproxen free acid API powder in Ph. Eur. phosphate buffer (pH=6.8), Level I and II FaSSIF V3. USP paddle apparatus at 75 rpm and 500 mL of dissolution medium at 37°C were used in all experiments. The experiments were performed in triplicate. Horizontal dashed red line represents 85% dissolved. Most standard deviation bars lie within the symbols.

559

560 *Figure 3: In vitro dissolution (mean \pm SD) of 550 mg naproxen sodium API powder in Ph. Eur. phosphate buffer (pH=6.8), FaSSIF*
561 *V3 Levels I and II. USP paddle apparatus at 75 rpm and 500 mL of dissolution medium at 37°C were used in all experiments.*
562 *The experiments were performed in triplicate. Horizontal dashed red line represents 85% dissolved. Most standard deviation*
563 *bars lie within the symbols.*

564

565 3.3.2 Formulations

566

567 The dissolution profiles in FaSSIF V3 Levels I and II along with the results for the “intestinal” part of the
568 two-stage testing are presented for Naprosyn[®] and Anaprox[®] in Figure 4 and Figure 5, respectively. In
569 all cases, and for both formulations, dissolution was very rapid under conditions simulating the upper
570 small intestine, with 85% dissolved in less than 15 min. Interestingly, a mismatch between the
571 dissolution results of the APIs and dosage forms was observed. For instance, dissolution of the free
572 acid form of the API was much faster from the dosage form (Naprosyn[®]) than from the pure API in
573 FaSSIF V3 Level I. However, the dissolution of naproxen free acid from Naprosyn[®] in FaSSIF V3 Level II
574 was slightly slower than from the pure API. Furthermore, although dissolution of sodium salt API was
575 virtually instantaneous in all media (85% dissolved within 2.5 min), 85% dissolution was reached only
576 after 15 minutes during release from Anaprox[®].

577 These findings suggested that the dissolution of the tablets under intestinal conditions was delayed
578 due to slow disintegration, especially in the case of the sodium salt formulation. In order to account
579 for disintegration in the stomach prior to exposure to the intestinal media, two-stage dissolution tests
580 were subsequently performed, as described in section 2.4. Since the amount dissolved under gastric
581 conditions was less than 2% in all cases (see Figure 6), only the “intestinal” profiles of the 2-stage tests
582 are plotted and directly compared with the conventional dissolution profiles (Figure 4 and Figure 5).
583 Pre-treatment in gastric media accelerated the dissolution rate (85% dissolved reached 5 min earlier)
584 of the API from both the Naprosyn® formulation of the free acid (Figure 4) and the Anaprox®
585 formulation of the sodium salt form (Figure 5). Although in all cases dissolution would be considered
586 very rapid, the disintegration effect was more prominent for Anaprox®, as shown also in Figure 6. A
587 model-based analysis of the anticipated in vitro dissolution differences is presented in section 3.4.

588

589 *Figure 4: In vitro dissolution (mean ± SD) of Naprosyn® 500 mg in FaSSIF V3 Levels I and II (solid lines, filled squares and circles*
590 *respectively). The intestinal profiles in FaSSIF V3 Levels I and II (after the pre-treatment with FaSSGF Levels I and III respectively)*
591 *during two-stage test are also depicted (dotted lines, empty squares and circles, respectively). USP paddle apparatus at 75*
592 *rpm and 500 mL of dissolution medium at 37°C were used in all experiments. The experiments were performed in triplicate.*
593 *Horizontal dashed red line represents the 85% dissolved. Most standard deviation bars lie within the symbols*

594

595 *Figure 5: In vitro dissolution (mean ± SD) of Anaprox® 550 mg in FaSSIF V3 Levels I and II (solid lines, filled squares and circles*
596 *respectively). The intestinal profiles in FaSSIF V3 Levels I and II (after the pre-treatment with FaSSGF Levels I and III respectively)*
597 *during two-stage test are also depicted (dotted lines, empty squares and circles, respectively). USP paddle apparatus at 75*
598 *rpm and 500 mL of dissolution medium at 37°C were used in all experiments. The experiments were performed in triplicate.*
599 *Horizontal dashed red line represents the 85% dissolved. Most standard deviation bars lie within the symbols*

600

601

602

603

604

Figure 6: In vitro dissolution (mean \pm SD) of Naprosyn® 500 mg (solid lines) and Anaprox® 550 mg (dashed lines) in FaSSGF Levels I and III (filled circles and squares, respectively). USP paddle apparatus at 75 rpm and 250 mL of dissolution medium at 37°C were used in all experiments. The experiments were performed in triplicate. Horizontal dashed red line represents the 85% dissolved. Most standard deviation bars lie within the symbols.

605

606 3.4 Modeling of *in vitro* dissolution

607

608 Table 7 and Table 8 summarize the estimated DLM scalar values (95% CI) obtained by model-based
609 analysis of the intestinal *in vitro* dissolution profiles using the SIVA Toolkit®. Each naproxen form (i.e.
610 pure API and formulations of each of the free acid and sodium salt) was evaluated separately. The
611 goodness of fit was visually inspected with residuals plots and assessed with the coefficient of
612 determination (R^2). As shown in Table 8, the first-order disintegration model without time-lag was
613 applied only to those experiments where the formulations were not pre-exposed to gastric medium.
614 Matching between two-stage and single dissolution, combined with the disintegration model, DLM
615 estimates were obtained. These results indicate that the effect of disintegration can be properly
616 accounted for using the methodology applied.

617 The slowest and fastest dissolution rate of the acid form of the API observed in FaSSIF V3 Levels I and
618 II, respectively, resulted in the lowest (0.0022) and highest (0.0810) estimated DLM values. Due to the
619 virtually instantaneous dissolution of the sodium salt API in all media, the default DLM value of 1,
620 without estimation, was utilized for the salt form (Table 7). The predicted dissolution profiles were in
621 excellent agreement with the experimental profiles ($R^2 > 0.96$).

622

623 *Table 7 : Estimated DLM scalar values (95% CI) obtained from model-based analysis of in vitro dissolution in various media of*
624 *naproxen free acid and sodium salt pure API powder. The goodness of fit between predicted and observed dissolution profiles*
625 *was evaluated with the R squared (R^2).*

626

627 *Table 8: Estimated DLM scalar and first-order disintegration rate constant (k_d) values (95% CI) obtained from model-based*
628 *analysis of in vitro dissolution in various media of naproxen free acid (Naprosyn®) and sodium salt (Anaprox®) formulation. In*
629 *case of dissolution without pre-treatment in a gastric medium, a first-order disintegration model was included. The goodness*
630 *of fit between predicted and observed dissolution profiles was evaluated with the R squared (R^2).*

631

632 3.5 PBPK model verification & clinical trial simulations

633

634 The PBPK model of naproxen was developed and verified as described in sections 2.9 and 2.10,
635 respectively. Post-absorptive parameters (CL , V_{ss} , V_{sac} , Q_{sac}) were estimated from intravenous data,
636 whereas for dissolution-absorption the Diffusion layer model-ADAM was used. Different *in vivo*
637 dissolution scenarios were simulated according to the DLM scalar values obtained by model-based
638 analysis of *in vitro* biorelevant dissolution profiles of the tested naproxen forms. The simulated profiles
639 were compared against observed data from human *in vivo* PK studies (see section 2.8). The generated
640 virtual population closely matched the individuals enrolled in the respective *in vivo* studies in terms of
641 ethnicity, gender ratio, and age and weight range. Volumes of concomitant liquid intake, dosage form
642 type and sampling schedule were also taken into account for the virtual study design wherever
643 available (see details in section 2.10).

644 Table 9 summarizes all the simulations (10 trials by 10 individuals) performed for each *in vivo*
645 dissolution scenario and the resulting mean *in silico* population pharmacokinetic (popPBPK)
646 parameters for the virtual healthy adult population. Regardless of the anticipated differences in *in vivo*
647 dissolution, as reflected by the various estimated DLM values, these results suggest that mean AUC
648 remains almost constant, while more pronounced variations in C_{max} and especially in t_{max} are observed.

649 Direct comparisons of the mean *in silico* and *in vivo* pharmacokinetic parameters show very good
650 agreement between simulated and observed data (Table 9 and Table 10). In all cases, the average (AFE)
651 and absolute average fold error (AAFE) lay between 0.90-1.16 and 1.07-1.04, reflecting successful PBPK
652 model performance and excellent predictions of the observed plasma profiles.

653 Figure 7 illustrates the mean simulated naproxen plasma-concentration time profiles and the 5th and
654 95th percentiles of the virtual population for the two extreme DLM estimated values; i.e.,
655 $DLM_{min}=0.0022$ and $DLM_{max} = 1$. Note that these DLM values were extracted from the dissolution of
656 the free acid and salt pure API forms, not the formulations, and were intentionally chosen as such in
657 order to evaluate *in vivo* performance differences (if any) that could be detected under these extreme
658 scenarios. As can be observed, the C_{max} of the simulated plasma profile corresponding to
659 administration of the very slowly dissolving hypothetical formulation was only slightly lower than the
660 one resulting from the very fast dissolving hypothetical formulation. On the other hand, t_{max} was
661 significantly prolonged. Interestingly, regardless of whether the worst or best case scenario was
662 applied, the dissolution profiles predicted the observed range of PK profiles reasonably well (see also
663 AFE and AAFE values).

664 In order to further explore the impact of key parameters on the simulated plasma profiles, one-at-a-
665 time parameter sensitivity analysis (PSA) on the DLM scalar and GET in the fasted state was performed.
666 GET and DLM were allowed to range from 0.1 to 2 hours and 0.001 to 0.1, respectively, while all other
667 parameters in the model were kept constant. Figure 8 and Figure 9 show the mean simulated plasma
668 profiles of a representative individual of the virtual population for various DLM and GET values,
669 respectively. Figure 8 shows that over a 100-fold range of DLM values only slight or almost no
670 differences in C_{max} (69.7-74.0 mg/L) or AUC (1175-1177 mg/L · h) are observed. T_{max} (1.40-2.65 h) seems
671 to be more sensitive to *in vivo* dissolution changes (as reflected in the S_{DLM} values) than the other PK
672 parameters. Figure 9 clearly demonstrates that variation in GET markedly affects C_{max} (52.2-75.5 mg/L)
673 and t_{max} (1.09-4.00 h), whereas AUC (1172-1180 mg/L · h) is not impacted.

674 As one would anticipate, PSA on dissolution rate in the stomach revealed no changes in the simulated
675 C_{max} , t_{max} and AUC (data not shown), since poorly soluble weakly acidic compounds like naproxen barely
676 dissolve in the fasted state gastric environment (see also Figure 6).

677

678 *Table 9: Mean in silico population pharmacokinetic (popPBPK) parameters of naproxen simulated plasma-concentration-*
679 *time profiles under all tested in vivo dissolution inputs (DLM scalar values) as obtained from model-based analysis of the in*
680 *vitro data (see formulation and dissolution medium).*

681

682 *Table 10: Mean (SD) pharmacokinetic parameters of naproxen in vivo studies (^a Median value).*

683

Figure 7: Population mean simulated naproxen plasma concentration-time profiles and the 5th and 95th percentiles for the two extremes of the estimated S_{DLM} values: (a) $S_{DLM}=1$ (green and grey solid lines, respectively) and (b) $DLM=0.0022$ (blue and light grey dashed lines, respectively). In a worst/ best case virtual bioequivalence scenario of simulated healthy adult populations (a) was treated as the reference, whereas (b) as the test formulation. Observed clinical data from Charles & Mogg (circles), Zhout et al. (squares), Haberer et al. (a) (diamonds), Setiawati et al. (triangles), Rao et al. (crosses) and Haberer et al. (b) (asterisks) are overlaid for verification of the PBPK model performance and comparisons. Simulations run for 72 h, but to enable better comparison only the first 24 hours are plotted.

684

685

Figure 8: Sensitivity analysis of naproxen simulated plasma concentration-time profiles of population representative individual on DLM scalar values ranging from 0.001 (blue solid line) to 0.1 (dashed line). The values of all other parameters were kept constant ($GET=0.25$ h). Observed clinical data from Charles & Mogg (circles), Zhout et al. (squares), Haberer et al. (a) (diamonds), Setiawati et al. (triangles), Rao et al. (crosses) and Haberer et al. (b) (asterisks) are overlaid for comparisons. Simulations run for 72 h, but to enable better comparison only the first 24 hours are plotted.

686

687

Figure 9: Sensitivity analysis of naproxen simulated plasma concentration-time profiles of population representative individual on GET values in fasted state ranging from 0.1 (blue solid line) to 2 hours (dash double dotted line). The values of all other parameters were kept constant (DLM= 1). Observed clinical data from Charles & Mogg (circles), Zhout et al. (squares), Haberer et al. (a) (diamonds), Setiawati et al. (triangles), Rao et al. (crosses) and Haberer et al. (b) (asterisks) are overlaid for comparisons. Simulations run for 72 h, but to enable better comparison only the first 24 hours are plotted.

688

689 3.6 Virtual Bioequivalence

690

691 Multiple non-replicated, two-sequence, two-treatment, two-period, cross-over virtual bioequivalence
692 trials (n=10) with 12 individuals per trial were conducted. In a worst/ best case scenario, two
693 hypothetical naproxen formulations with extremely different *in vivo* dissolution rates were tested with
694 the aim of designing a clinically relevant safe space. The reference (R) was assumed to have a DLM
695 scalar value of 1, corresponding to the instantaneous dissolution of naproxen sodium API powder,
696 while the test (T) formulation was assigned the value of 0.0022, corresponding to the very slow
697 dissolution of naproxen free acid API powder in FaSSIF V3 Level I (Table 11).

698 Figure 10 presents the results of virtual bioequivalence trials for C_{max} , AUC calculated up to the last
699 simulated time point ($AUC_{t_{last}}$) and extrapolated to infinity (AUC_{inf}). Bioequivalence with regard to t_{max}
700 was also investigated. In all trials, C_{max} , $AUC_{t_{last}}$, AUC_{inf} met the average bioequivalence criteria (80-
701 125%) with confidence intervals (CI) narrowly distributed around unity, especially for AUC. However,
702 in terms of t_{max} bioequivalence failed in all 10 trials and most CI were far beyond the bioequivalence
703 limits. These findings suggest that naproxen formulations which reach 85% dissolved in media
704 simulating the healthy human upper small intestine within 90 minutes or less are expected to be
705 bioequivalent. These borders correspond to the dissolution “safe space” and can be used to set
706 clinically relevant dissolution specifications to minimize the risk of bioequivalence failure.

707 *Table 11: Mean in silico population pharmacokinetic (popPBPK) parameters of naproxen virtual clinical trials for the*
708 *hypothetical reference and test formulations prior to bioequivalence assessment.*

709

710

Figure 10: Average virtual bioequivalence results (% Geometric mean T/R ratio) of 10 trials with 12 simulated individuals in each trial. Intra-subject variability of 30% was arbitrarily chosen and added through Simcyp® (V18.1; Certara, Sheffield, UK) VBE module (V1.0) to the mean GET, pH of fasted stomach, pH and bile salts concentration of fasted duodenum, jejunum I and II. The 80-125% bioequivalence limits (red dashed lines) and the area of acceptance (light green shaded area) are shown for each tested PK parameter: (A) C_{max} , (B) AUC_{tlast} (AUC calculated up to the last simulated time point), (C) AUC_{inf} (AUC extrapolated to infinity) and (D) t_{max} . Error bars represent the 90% confidence intervals, which in subplots (B) and (C) lie within the symbols.

Figure 11: Dissolution safe space for anticipated bioequivalence to naproxen products. The light green shaded area delimits the safe space area in which bioequivalence (with respect to C_{max} and AUC) was established between the very slow (red solid line & squares) and the fast (blue solid line & circles) dissolution profiles. Additional typical dissolution profiles are co-plotted (n=3). The horizontal red dashed line represents 85% dissolved.

713

714 **4 Discussion**

715

716 The present study proposes a workflow and highlights the key role of mechanistic absorption and
717 population-based PBPK modeling to establish virtual bioequivalence and set clinically relevant
718 dissolution specifications by combining *in vitro*, *in vivo* and *in silico* methods.

719 In the naproxen case example, starting from *in vitro* solubility and dissolution data, an approach of
720 stepwise sequential estimation/confirmation of biopharmaceutical parameters was followed,(Pathak
721 et al., 2019) before applying them to the PBPK model. *In vitro* dissolution profiles in conventional and
722 biorelevant media were translated to different *in vivo* dissolution scenarios by implementing an *in*
723 *vitro-in vivo*-extrapolation (IVIVE) strategy. The healthy adult PBPK model for naproxen was developed
724 by optimizing post-absorptive parameters from intravenous *in vivo* data which was then coupled with
725 the ADAM model for mechanistic oral absorption modelling. The verification of the PBPK model was
726 based on its ability to predict the observed plasma PK profiles after oral administration of naproxen in
727 several *in vivo* studies and its performance under multiple *in vivo* dissolution scenarios was assessed.

728 Simulations of the clinical studies in conjunction with sensitivity analysis on the DLM scalar and gastric
729 emptying time revealed that C_{max} and AUC are rather insensitive to dissolution changes, but that C_{max}
730 is considerably affected by variations in gastric emptying time. However, changes in either the S_{DLM} or
731 gastric emptying markedly altered t_{max} . These results indicate that the absorption and thus the *in vivo*
732 performance of naproxen formulations seem to be governed by gastric emptying, but is not
733 dissolution-limited. This is supported by the (refined) developability classification system (DCS/
734 rDCS),(Butler and Dressman, 2010; Rosenberger et al., 2019) according to which naproxen would more
735 appropriately be classified as rDCS/ DCS I, and is in excellent agreement with the study of Charles and
736 Mogg(Charles and Mogg, 1994), which concluded that two naproxen products (tablet and caplet) with

737 very dissimilar *in vitro* dissolution behavior were bioequivalent. Furthermore, a DLM scalar range from
738 0.0022 to 1 translated to an increase in C_{max} only by 1.06 and 1.75 times earlier t_{max} , assuming the
739 default in Simcyp particle radius of 10 μ m. The AUC remained unchanged. In this case, the insensitivity
740 of PK metrics to the dissolution rate was attributed both to the absence of saturable first pass
741 extraction and the relatively long half-life ($t_{1/2} \approx 20$ h) of the drug.

742 Once enough confidence with the performance of the PBPK model was achieved, several VBE trials
743 simulating a worst/best case scenario were performed. A safe space and a clinically relevant
744 dissolution specification for naproxen products was proposed based on the outcome of these virtual
745 trials. It was demonstrated that 85% dissolved reached within 90 minutes lies comfortably within a
746 region of dissolution performance where bioequivalence is anticipated and is not anywhere near the
747 edge of failure for either C_{max} or AUC. On the other hand, bioequivalence in t_{max} failed in all cases. In
748 this study, *in vitro* dissolution of unformulated free acid and sodium salt forms of naproxen were used
749 to simulate the worst/best case BE scenario. Although this constitutes an extreme limitation, it was
750 done intentionally to challenge the VBE result, since if the VBE were to be based solely on the
751 dissolution of the formulations, the safe space would be biased towards an already (partly) optimized
752 formulation range.

753 Virtual bioequivalence studies have been already published in the recent past (Babiskin and Zhang,
754 2015; Doki et al., 2017; Pathak et al., 1997; Pepin et al., 2016; Wedagedera et al., 2017; Zhang et al.,
755 2017) However, in most of those studies the intra-subject (IIV) and inter-occasion (IOV) variability is
756 either ignored or added directly to the PK metrics (i.e. C_{max} and AUC) as random error terms. By
757 contrast, in the current study the intra-subject variability was added via the Simcyp[®] v18.1 VBE module
758 1.0 in several key absorption parameters, such as gastric emptying time, pH of fasted stomach, pH and
759 bile salts concentration of fasted duodenum, jejunum I and II, and mechanistically propagated in
760 simulations. In the context of challenging the establishment of bioequivalence, IOV was set to a
761 somewhat exaggerated value of 30% for all parameters.

762

763

764 5 Conclusion

765

766 Mechanism-based absorption PBPK modeling can be considered as a promising and powerful
767 bioequivalence risk assessment tool. This work highlights the importance of linking translational
768 absorption modeling with population PBPK to examine VBE and set clinically relevant specifications.
769 For naproxen, it was demonstrated that bioequivalence failure due to dissolution is unlikely for
770 naproxen products because of the wide safe space. The example of naproxen illustrates that the impact
771 of formulation on the *in vivo* performance is not always correlated with the *in vitro* dissolution
772 behavior.

773 To the best of our knowledge, this is the first work which not only mechanistically incorporates inter-
774 occasion variability in VBE assessment, but also propagates IOV in the simulations. Implementation of
775 hierarchical levels of variability (BS, WS, IOV) in VBE trials is of critical importance in order to accurately
776 describe the population variability and avoid biased, overoptimistic bioequivalence results due to
777 underestimation of the overall variability. Even though mixed effect modelling is rare in this context,
778 this study highlights the importance of mechanistically assigning between-subject and inter-occasion
779 variability values which are physiologically plausible and meaningful. Using %CV values obtained from
780 single observation in each individual within a specific population is not representative of the
781 population BS or IOV since it comes solely from a single sample. In this case, the applied coefficient of
782 variation is often conveniently misinterpreted as mixture of BS and IO variability. Likewise,
783 implementation of arbitrary CV% values is inappropriate.

784 Moving a step further towards linking the lab to the patient, mechanistic extrapolation of *in vitro* data
785 (e.g. dissolution) to the *in vivo* situation, as explicitly demonstrated for naproxen, is critical for the

786 validity and interpretation of VBE results. In the context of bioequivalence trial simulation, which is of
787 great interest for both regulatory agencies and the pharmaceutical industry, a mechanistic IVIVE
788 approach will be essential to enable extrapolation to specific or disease populations, given that
789 differences in factors like GI physiology need to be taken into account. The acquisition of further clinical
790 data (e.g., intraluminal and plasma concentrations) as well as advancement of the current
791 biopharmaceutic tools are expected to significantly increase the reliability of virtual bioequivalence
792 results in a variety of diseases, dosing conditions such as PPI co-administration and specific populations
793 such as pediatric patients.

794 Consideration of drug-related pharmacokinetic characteristics (e.g., half-life, first pass effect, protein
795 binding) along with PBPK modeling will assist not only to select the most appropriate dosage form and
796 to set formulation targets, but more importantly to understand to what extent the formulation can be
797 expected to steer the *in vivo* performance of the drug product. Further validation of the proposed
798 approach with a range of drugs and formulations is needed to increase confidence and spread
799 awareness of the power of mechanistic absorption modeling and PBPK in formulation design and
800 regulation.

801 Bridging the gap between *in vitro*, *in vivo* and *in silico* by applying mechanistic absorption coupled with
802 population PBPK modeling can guide model-informed formulation selection, allow for robust clinical
803 outcome predictions, inform regulatory decision-making, permit regulatory flexibility (e.g. granting
804 biowaivers for some BCS class II weak acids like naproxen) and potentially reduce the cost/time of
805 product development by replacing unnecessary clinical trials.

806 Future work could investigate the impact of bioinequivalence in t_{max} on the onset of action and
807 therefore the therapeutic equivalence of naproxen products. As has already been
808 highlighted,(Cristofolletti et al., 2018; Loisios-Konstantinidis et al., 2019) a scenario is foreseen in which
809 by combining verified PBPK with pharmacodynamic (PD) models tailored to the target population(s),
810 release testing in the laboratory will be linked to the therapeutic outcome.

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| | Fasted state | | | | | Fed state | | | |
|--------------------------------------|-------------------|---------------------|--------------------|----------------------|-----------------------|--------------------------------------|-------------------|--------------------|-----------------------|
| | FaSSGF Level I | FaSSGF Level III | FaSSIF Level II | FaSSIF V3 Level I | FaSSIF V3 Level II | FeSSGF _{middle} Level II | FeSSIF Level I | FeSSIF Level II | FeSSIF V2 Level II |
| Sodium Taurocholate (mM) | — | 0.08 | 3.0 | — | 1,4 | — | — | 15 | 10 |
| Sodium Glycocholate (mM) | — | — | — | — | 1,4 | — | — | — | — |
| Glycerol monooleate (mM) | — | — | — | — | — | — | — | — | 5 |
| Sodium Oleate (mM) | — | — | — | — | 0,315 | — | — | — | 0.8 |
| Lecithin (mM) | — | 0.02 | 0.75 | — | 0,035 | — | — | 3.75 | 2 |
| Lysolecithin (mM) | — | — | — | — | 0,315 | — | — | — | — |
| Cholesterol (mM) | — | — | — | — | 0,2 | — | — | — | — |
| Pepsin (mg/mL) | — | 0.1 | — | — | — | — | — | — | — |
| Sodium dihydrogen phosphate (mM) | — | — | 28.7 | 13,51 | 13,51 | — | — | — | — |
| NaOH (mM) | — | — | 13.8 | 3,19 | 3,19 | — | 101 | 101 | 102.4 |
| Acetic acid (mM) | — | — | — | — | — | 18.31 | 144 | 144 | — |
| Maleic acid (mM) | — | — | — | — | — | — | — | — | 71.9 |
| Sodium acetate (mM) | — | — | — | — | — | 32.98 | — | — | — |
| Lipofundin®: buffer | — | — | — | — | — | 8.75: 91.25 | — | — | — |
| Hydrochloric acid | q.s. pH 1,6 | q.s. pH 1,6 | — | — | — | q.s. pH 5 | — | — | — |
| Sodium chloride (mM) | — | 34.2 | 106 | — | 91,62 | 181.7 | — | 204 | 125.5 |
| Osmolality (mOsm/kg) | — | 121 | 270 | — | 215 | 400 | — | 635 | 390 |
| Buffer capacity (HCl) ((mmol/L)/ΔpH) | n.a. | n.a. | 12 | 5,6 | 5,6 | 25 | 76 | 76 | 25 |
| pH | 1,6 | 1.6 | 6.5 | 6,7 | 6,7 | 5.0 | 5.0 | 5.0 | 5.8 |

1168 q.s.- quantum satis; n.a.- not applicable

1170 Table 2: Mean (SD) demographic data of in vivo studies used for the development and verification of the PBPK model. (HV= healthy volunteers)

| Reference | Formulation & Dose | N° of Subjects | Female Ratio | Ethnicity | Population | Age (y) | BW Range (kg) | BH Range (cm) |
|-------------------------------------|--|----------------|--------------|-----------|------------|------------|---------------|---------------|
| Intravenous | | | | | | | | |
| (Runkel et al., 1973, 1972a, 1972b) | 93 mg with 30µC tritium label in 100 mL phosphate buffer | 3 | 0.33 | Caucasian | HV | – | 49.9-86.3 | – |
| Oral | | | | | | | | |
| (Charles and Mogg, 1994) | Naprosyn® 500 mg | 16 | 0.125 | Caucasian | HV | 22.1 (4.4) | 67.6 (8.3) | 175.7 (9.0) |
| (Zhou et al., 1998) | Naprosyn® 2 x 250 mg | 10 | 0 | Chinese | HV | 19-38 | 51-74 | – |
| (a)(Haberer et al., 2010) | Anaprox® 550 mg | 8 | 0.63 | Caucasian | HV | 44.3 (8.5) | 71.44 (12.3) | – |
| (Setiawati et al., 2009) | Anaprox® 550 mg | 26 | 0.15 | Caucasian | HV | 19-46 | – | – |
| (Rao et al., 1993) | IR Naproxen 500 mg | 12 | 0 | Indian | HV | 18-22 | 46-62.5 | 160-182.5 |
| (b)(Haberer et al., 2010) | IR Naproxen-Na 500 mg | 16 | 0.63 | Caucasian | HV | 44.3 (8.5) | 71.44 (12.3) | – |

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| Parameters | Value | Reference/ Comments |
|--|-----------------------|---|
| Physicochemical & Blood Binding | | |
| MW (g/mol) | 230.3 | PubChem |
| logP _{o:w} | 3.2 | (Bergström et al., 2014; Pérez et al., 2004; Zhao et al., 2001) |
| pKa | 4.43 | estimated from <i>in vitro</i> data (see section 3.2) |
| Blood/ Plasma ratio | 0.55 | (Brown et al., 2007) |
| Fraction unbound in plasma | 0.01 | (Davies and Anderson, 1997; Paixão et al., 2012) |
| Absorption | | |
| Model | ADAM | |
| P _{eff, human} (x10 ⁻⁴ cm/s) | 8.5 | (Lennernas et al., 1995) |
| Formulation type | Immediate Release | |
| <i>In vivo</i> dissolution | see Table 7, Table 8 | estimated DLM scalars from <i>in vitro</i> data (see section 3.3.2) |
| S ₀ (mg/mL) | 0.0294 | <i>in vitro</i> data (see section 3.1) |
| Particle density (g/mL) | 1.20 | Default value within ADAM |
| Particle size distribution | Monodispersed | Assumed as data not available |
| Particle radius (µm) | 10 | Default value within ADAM |
| logK _{m:w} neutral | 5.37 | estimated from <i>in vitro</i> data (see section 3.2) |
| logK _{m:w} ion | 4.00 | estimated from <i>in vitro</i> data (see section 3.2) |
| Distribution | | |
| Model | Minimal PBPK with SAC | |
| V _{ss} (L/kg) | 0.15 | PE module |

| | | |
|---------------------------|-------|-----------------------|
| V_{sac} (L/kg) | 0.075 | PE module |
| Q_{sac} (L/h) | 1.00 | PE module |
| Elimination | | |
| CL_{iv} (L/h) | 0.40 | PE module |
| CL_{renal} (L/h) | 0.02 | (Paixão et al., 2012) |

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1180 *Table 4: Mean (\pm SD) equilibrium solubility in aqueous media at 37°C for 24h (Uniprep® method).*

| Aqueous medium | Naproxen | | Naproxen Sodium | |
|----------------------------|---------------------|---------------------------------|---------------------|---------------------------------|
| | pH _{final} | Solubility ($\mu\text{g/mL}$) | pH _{final} | Solubility ($\mu\text{g/mL}$) |
| Water | 4.5 | 70.4 (1.2) | 6.7 | 358.4 (18.1) |
| HCl acid (pH=1.2) | 1.3 | 29.4 (6.4) | 1.2 | 28.4 (0.72) |
| Acetate buffer (pH=4.5) | 4.5 | 84.8 (4.2) | 4.6 | 103.1 (3.6) |
| Level I FeSSIF V1 (pH=5.0) | 5.0 | 175.4 (0.0202) | 5.1 | 241.6 (5.2) |
| Phosphate buffer (pH=6.5) | 6.2 | 1627.6 (31.5) | 6.6 | 2363.4 (31.5) |
| Phosphate buffer (pH=6.8) | 6.5 | 3619.1 (112.6) | 6.9 | 4957 (119) |
| Phosphate buffer (pH=7.4) | 6.8 | 5981.6 (28.0) | 7.5 | 10128 (674) |

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1183 Table 5: Mean (\pm SD) equilibrium solubility in fasted and fed state biorelevant media at 37°C for 24h (Uniprep® method).

| Biorelevant medium | Naproxen | | Naproxen Sodium | |
|--|---------------------|-----------------------------|---------------------|-----------------------------|
| | pH _{final} | Solubility (μ g/mL) | pH _{final} | Solubility (μ g/mL) |
| <i>Fasted state</i> | | | | |
| Level III FaSSGF (pH=1.6) | 1.6 | 33.4 (1.1) | 1.6 | 31.8 (0.92) |
| Level II FaSSIF V1 (pH=6.5) | 5.9 | 2046 (150) | 6.5 | 3587 (179) |
| Level II FaSSIF V3 (pH=6.7) | 5.8 | 1624 (153) | 6.7 | 3469 (187) |
| <i>Fed state</i> | | | | |
| Level II FeSSGF _{middle} (pH=5.0) | 4.9 | 352.6 (21.4) | 5.1 | 575.2 (19.3) |
| Level II FeSSIF V1 (pH=5.0) | 5.0 | 424.7 (26.6) | 5.0 | 519.9 (18.9) |
| Level II FeSSIF V2 (pH=5.8) | 5.8 | 890.0 (56.7) | 5.8 | 799.5 (177) |

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1186 *Table 6: Parameter estimates (95% CI) resulting from the model-based analysis of in vitro solubility data in aqueous as well as*
 1187 *biorelevant media. The pKa was estimated from the aqueous solubility values, whereas for the micelle-water partition*
 1188 *coefficients (logK_{m:w} neutral, ion) estimation, biorelevant solubilities were used. The accuracy of the predictions was evaluated*
 1189 *with the R squared.*

| | pKa | logK _{m:w} neutral | logK _{m:w} ion |
|-------------------|------------------|-----------------------------|-------------------------|
| Estimate (95% CI) | 4.43 (4.42-4.44) | 5.37 (5.34-5.40) | 4.00 (3.98-4.02) |
| R ² | 0.9990 | | 0.9999 |

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1193 *Table 7: Estimated DLM scalar values (95% CI) obtained from model-based analysis of in vitro dissolution in various media of*
 1194 *naproxen free acid and sodium salt pure API powder. The goodness of fit between predicted and observed dissolution profiles*
 1195 *was evaluated with the R squared (R²).*

| Dissolution Medium | API Powder | |
|---|------------------------|--------|
| | NPX | NPX Na |
| Level I FaSSIF V3 | | |
| DLM (95% CI) | 0.0022 (0.0021-0.0023) | 1* |
| R ² | 0.997 | – |
| Eur. Phar. Phosphate Buffer (pH=6.8) | | |
| DLM (95% CI) | 0.0136 (0.0121-0.0151) | 1* |
| R ² | 0.992 | – |
| Level II FaSSIF V3 | | |
| DLM (95% CI) | 0.0810 (0.0651-0.0970) | 1* |
| R ² | 0.998 | – |

* default values of DLM scalar due to very fast dissolution (>85% dissolved in 2.5 min)

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1197

1198 *Table 8: Estimated DLM scalar and first-order disintegration rate constant (k_d) values (95% CI) obtained from model-based*
 1199 *analysis of in vitro dissolution in various media of naproxen free acid (Naprosyn®) and sodium salt (Anaprox®) formulation. In*
 1200 *case of dissolution without pre-treatment in a gastric medium, a first-order disintegration model was included. The goodness*
 1201 *of fit between predicted and observed dissolution profiles was evaluated with the R squared (R^2).*

| Dissolution Medium | Formulation | |
|---------------------------------------|------------------------|-------------------------|
| | Naprosyn | Anaprox |
| Level I FaSSIF V3 | | |
| DLM (95% CI) | 0.0296 (0.0149-0.0443) | 0.0212 (0.0131-0.0294) |
| k_d (95% CI) | 0.305 (0.123-0.487) | 0.288 (0.130-0.446) |
| R^2 | 0.999 | 0.998 |
| Level I FaSSIF V3 (two-stage) | | |
| DLM (95% CI) | 0.0305 (0.0191-0.0308) | 0.0221 (0.0174-0.0267) |
| k_d (95% CI) | – | – |
| R^2 | 0.967 | 0.981 |
| Level II FaSSIF V3 | | |
| DLM (95% CI) | 0.0213 (0.0170-0.0255) | 0.0168 (0.00996-0.0237) |
| k_d (95% CI) | 0.702 (0.354-1.05) | 0.228 (0.0975-0.358) |
| R^2 | 0.999 | 0.999 |
| Level II FaSSIF V3 (two-stage) | | |
| DLM (95% CI) | 0.0187 (0.0143-0.0230) | 0.0158 (0.0138-0.0179) |
| k_d (95% CI) | – | – |
| R^2 | 0.975 | 0.991 |

1202

1203

1204 Table 9: Mean *in silico* population pharmacokinetic (popPBPK) parameters of naproxen simulated plasma-concentration-
 1205 time profiles under all tested *in vivo* dissolution inputs (DLM scalar values) as obtained from model-based analysis of the *in*
 1206 *vitro* data (see formulation and dissolution medium).

| Formulation | Medium | S _{DLM} | Disintegration kd (h ⁻¹)/2-stage | <i>In silico</i> mean popPBPK parameters | | |
|--------------------|-----------------------|------------------|---|--|----------------------------|-----------------|
| | | | | t _{max} (h) | C _{max} (mg/L) | AUC (mg/L·h) |
| API | | | | | | |
| Naproxen | | | | | | |
| | Level I FaSSIF V3 | 0.0022 | – | 2.52 | 65.5 | 1302 |
| | Ph. Eur. Phosphate | 0.0136 | – | 1.80 | 69.0 | 1305 |
| | Level II FaSSIF V3 | 0.0810 | – | 1.44 | 69.4 | 1306 |
| Naproxen Na | | | | | | |
| | all media | 1 | – | 1.44 | 69.6 | 1306 |
| Formulation | | | | | | |
| Naprosyn | | | | | | |
| | Level I FaSSIF V3 | 0.0396 | 0.305 | 1.80 | 67.5 | 1277 |
| | | 0.0305 | 2-stage | 1.80 | 69.2 | 1306 |
| | Level II FaSSIF V3 | 0.0213 | 0.702 | 1.80 | 67.8 | 1277 |
| | | 0.0187 | 2-stage | 1.80 | 69.1 | 1306 |
| Anaprox | | | | | | |
| | Level I FaSSIF V3 | 0.0212 | 0.288 | 1.80 | 67.9 | 1277 |

| | | | | | |
|--------------------|--------|---------|------|------|------|
| | 0.0221 | 2-stage | 1.80 | 69.2 | 1306 |
| Level II FaSSIF V3 | 0.0168 | 0.228 | 1.80 | 67.7 | 1277 |
| | 0.0158 | 2-stage | 1.80 | 69.1 | 1305 |

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1209 *Table 10: Mean (SD) pharmacokinetic parameters of naproxen in vivo studies (^a Median value).*

| Reference | Formulation & Dose | <i>In vivo</i> mean PK parameters (SD) | | |
|---------------------------|-----------------------|--|-------------------------|-------------------|
| | | t _{max} (h) | C _{max} (mg/L) | AUC (mg/L·h) |
| (Charles and Mogg, 1994) | Naprosyn® 500 mg | 1.50 ^a | 71.4 ^a | 1211 ^a |
| (Zhou et al., 1998) | Naprosyn® 2 x 250 mg | 2.6 (1.5) | 87.3 (15.5) | 1428 (193) |
| (Haberer et al., 2010) | Anaprox® 550 mg | 1.48 | 75.2 | 1294 |
| (Setiawati et al., 2009) | Anaprox® 550 mg | 1.00 (0.5-2) | 72.0 (11.2) | 1013 (186) |
| (Rao et al., 1993) | IR Naproxen 500 mg | 1.36 (0.81) | 69.2 (20.9) | 1435 (312) |
| Haberer et al. | | | | |
| (b)(Haberer et al., 2010) | IR Naproxen-Na 500 mg | 1.53 | 74.9 | 1299 |

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1212 *Table 11: Mean in silico population pharmacokinetic (popPBPK) parameters of naproxen virtual clinical trials for the*
 1213 *hypothetical reference and test formulations prior to bioequivalence assessment.*

| Trial N° | <i>In silico</i> mean popPBPK parameters | | | | | |
|----------|--|---------------------|-----------------|---------------|---------------------|-----------------|
| | Reference | | | Test | | |
| | t_{max} (h) | C_{max} (mg/L) | AUC (mg/L·h) | t_{max} (h) | C_{max} (mg/L) | AUC (mg/L·h) |
| 1 | 1.66 | 62.01 | 1249 | 2.26 | 57.66 | 1248 |
| 2 | 1.51 | 65.79 | 1275 | 2.31 | 62.58 | 1273 |
| 3 | 1.96 | 61.30 | 1624 | 2.59 | 59.67 | 1623 |
| 4 | 1.58 | 74.97 | 1659 | 2.41 | 70.61 | 1657 |
| 5 | 1.75 | 60.35 | 1785 | 2.84 | 55.14 | 1783 |
| 6 | 1.55 | 72.27 | 1404 | 2.56 | 67.34 | 1403 |
| 7 | 1.45 | 64.14 | 1426 | 2.02 | 62.17 | 1425 |
| 8 | 1.39 | 71.03 | 1473 | 2.47 | 65.14 | 1472 |
| 9 | 1.58 | 61.87 | 1340 | 2.26 | 58.88 | 1339 |
| 10 | 1.64 | 62.32 | 1348 | 2.39 | 60.46 | 1347 |

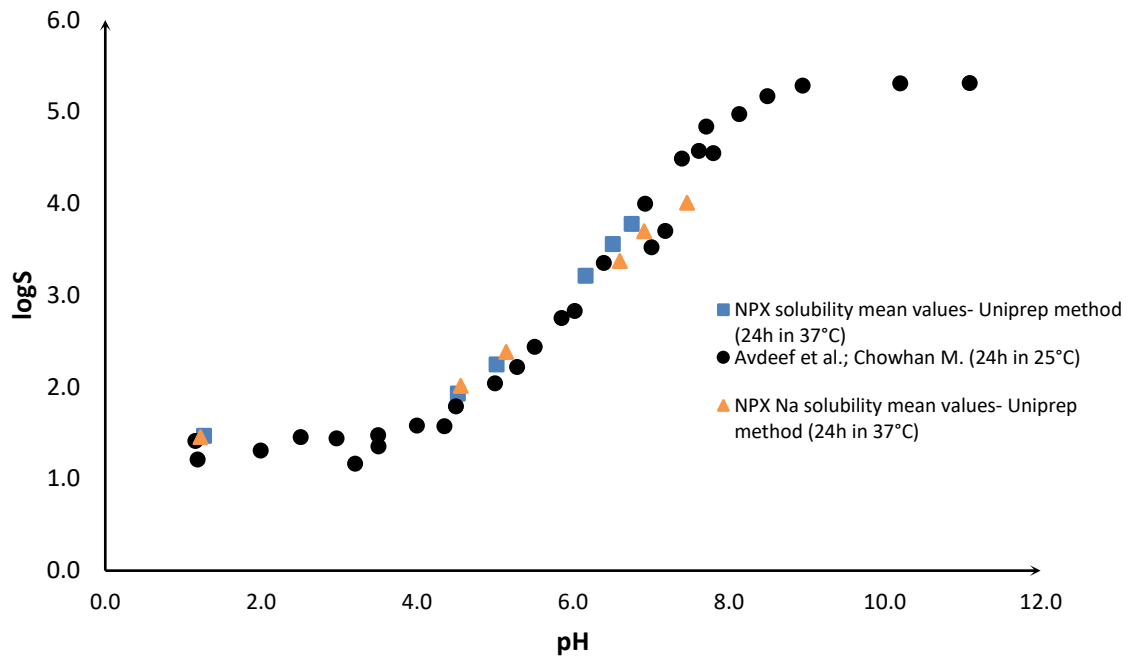
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1216 Figure 1:

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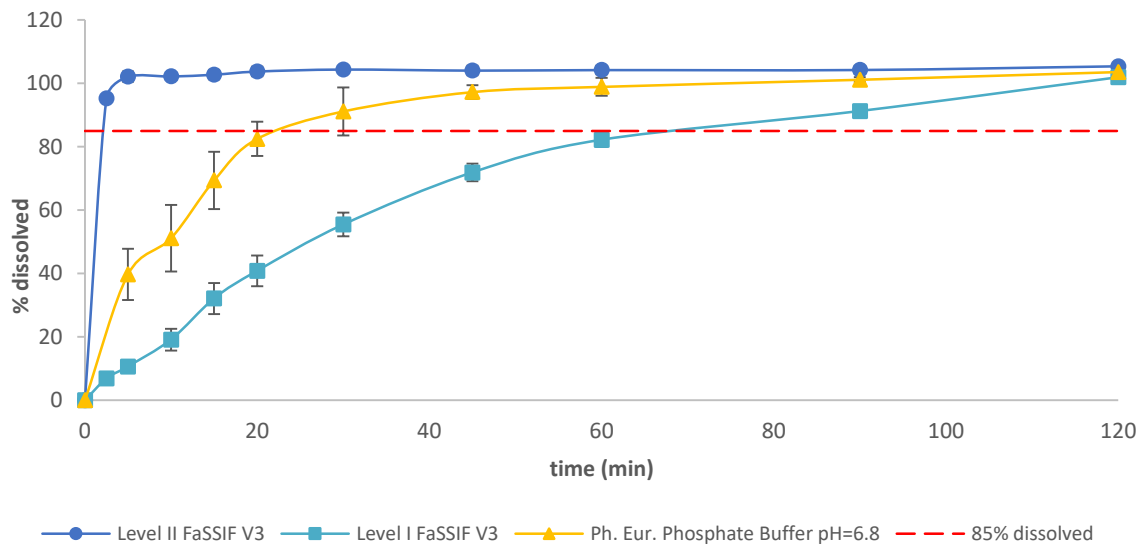
1220 Figure 2:

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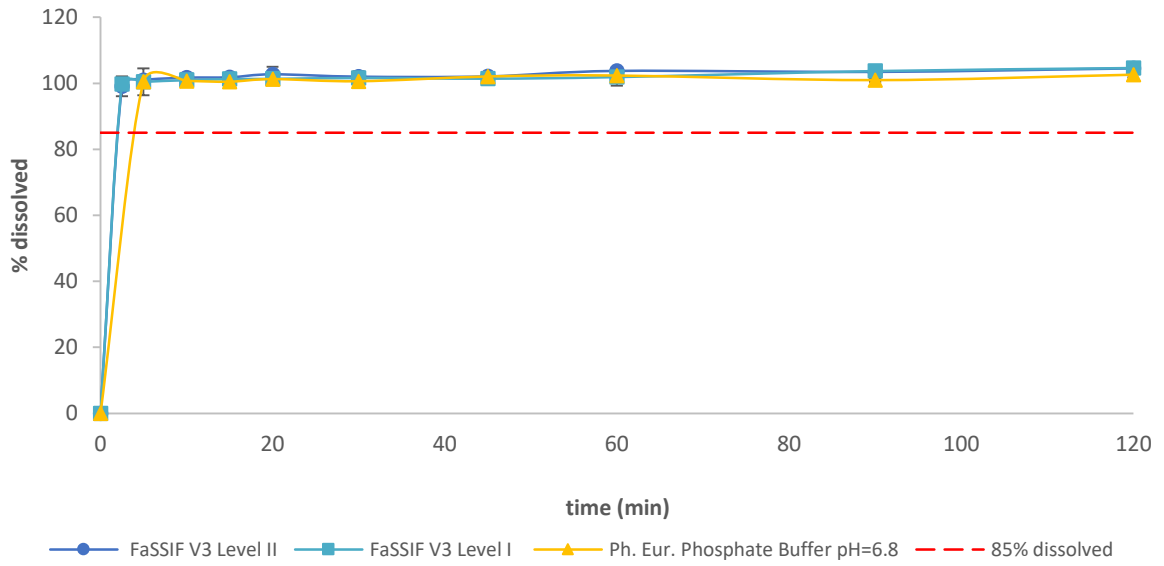
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1225 Figure 3:

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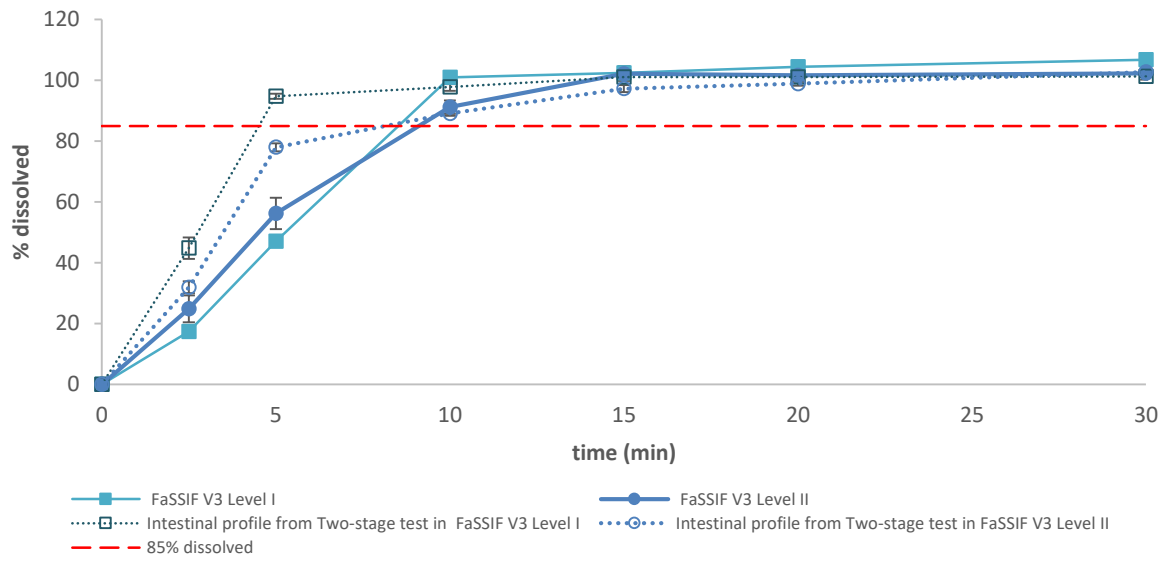
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1229 Figure 4:

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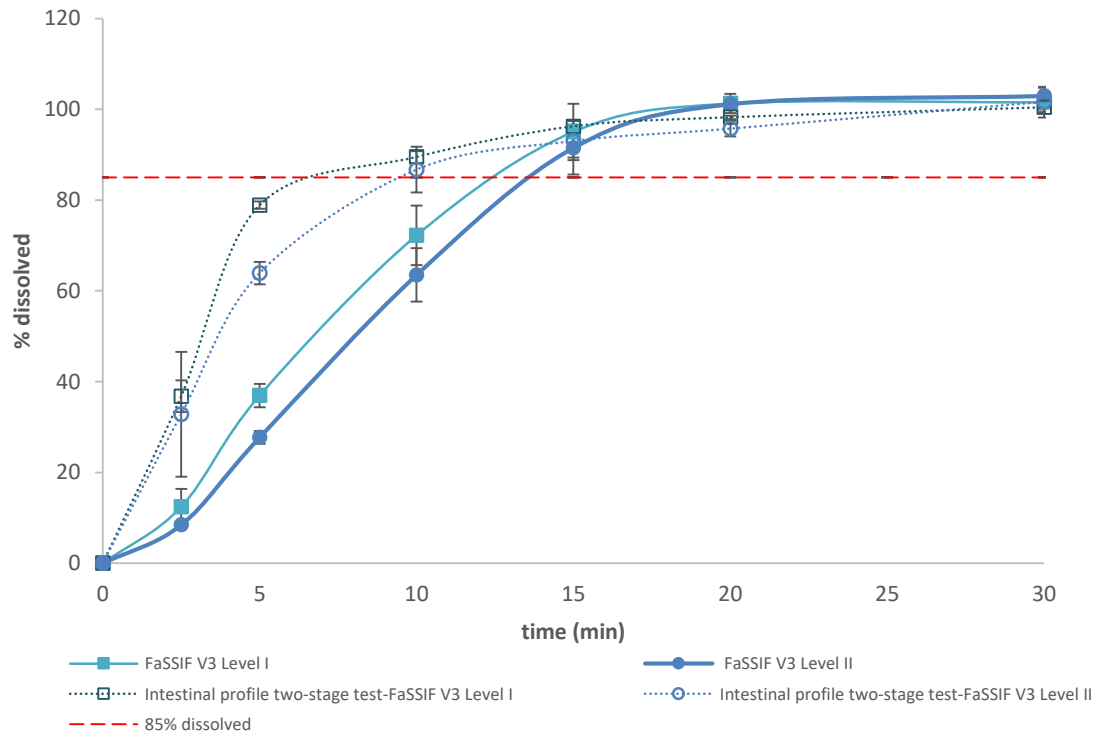
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1234 Figure 5:

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1239 Figure 6:

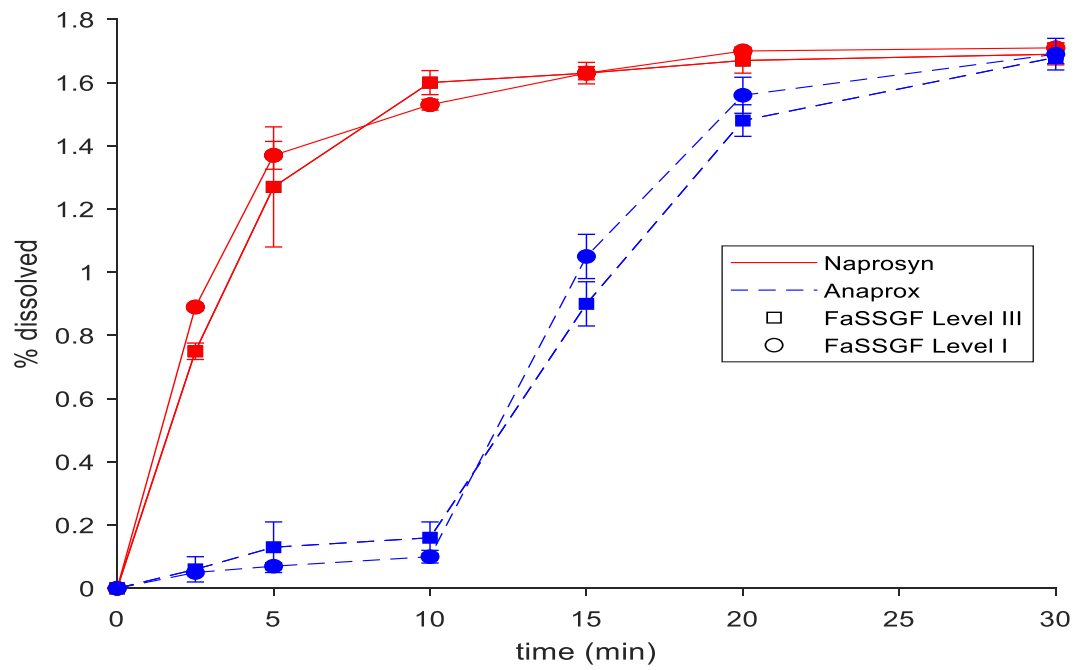
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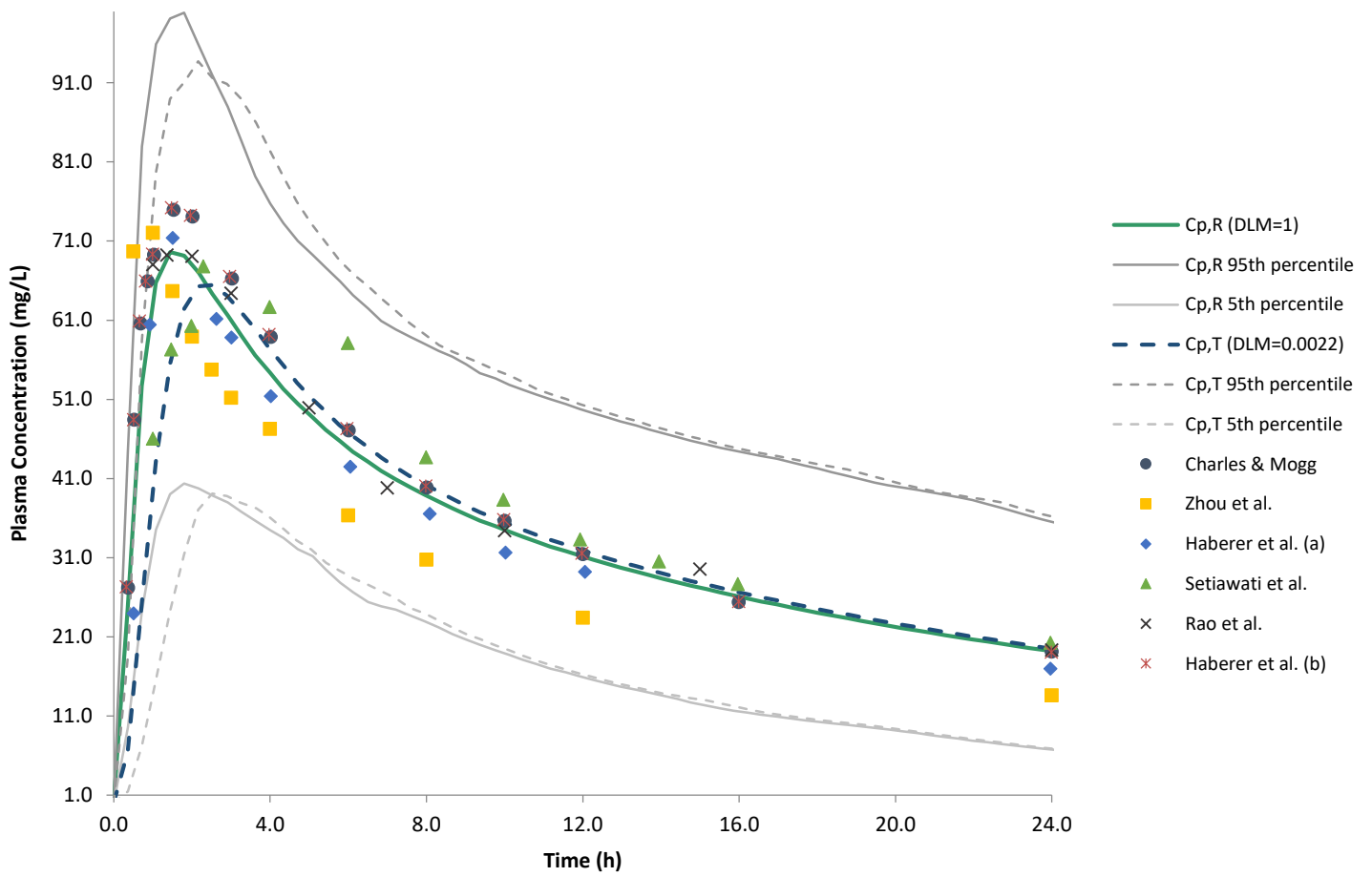
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1248 Figure 7:

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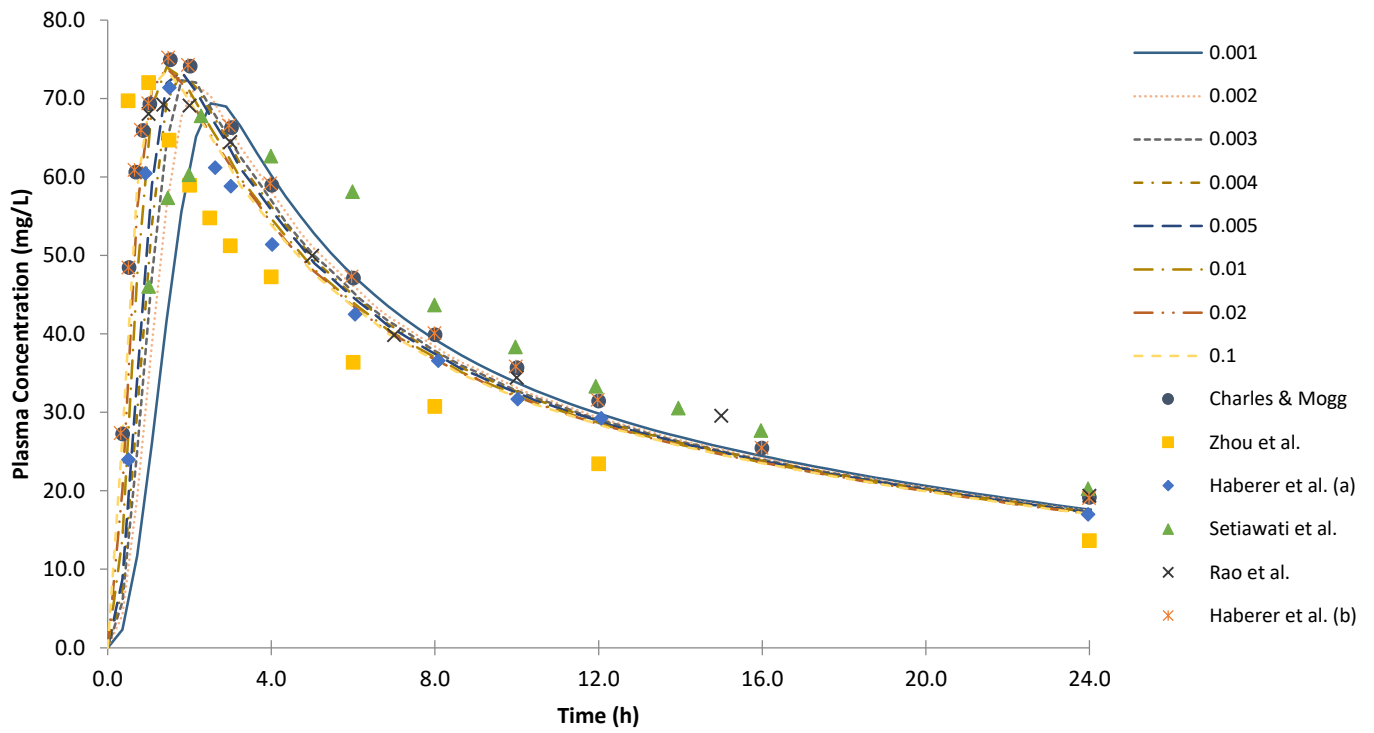
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1254 Figure 8:

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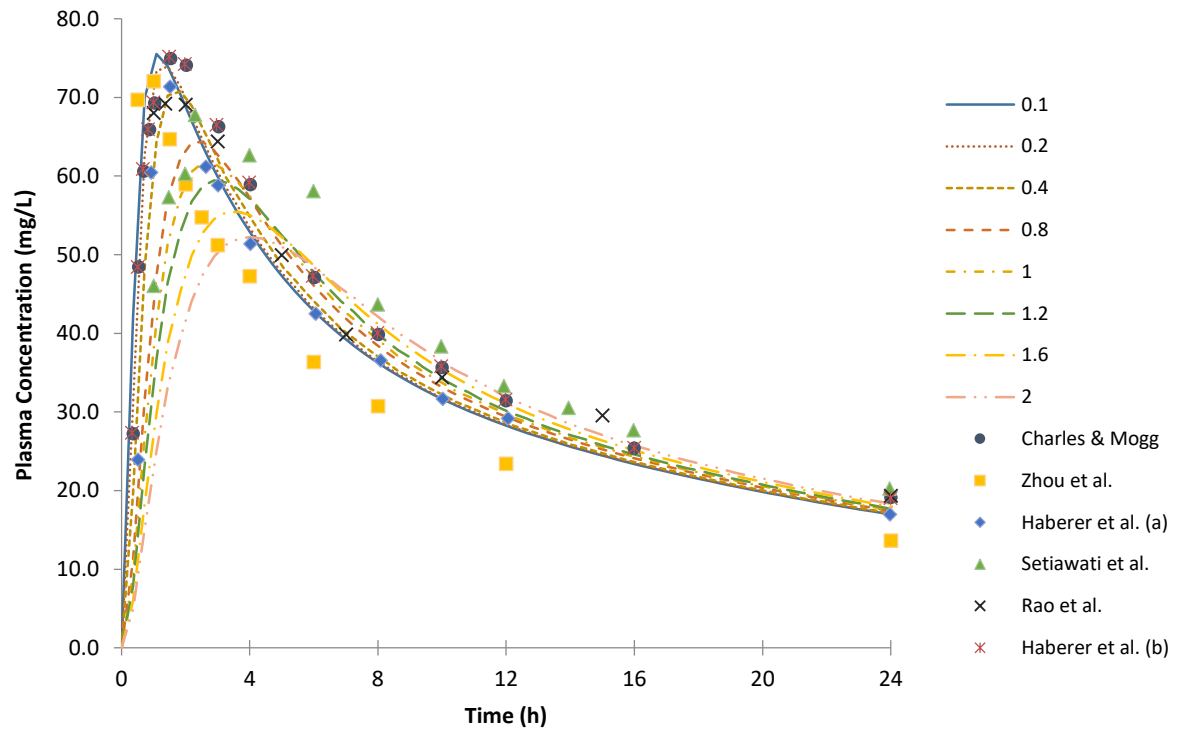
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1258 Figure 9:

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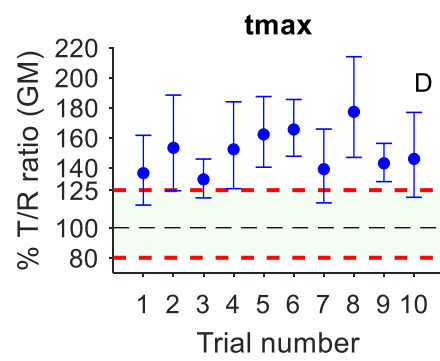
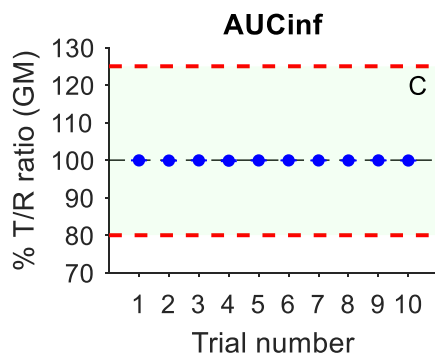
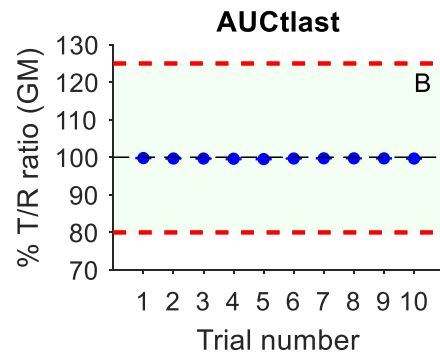
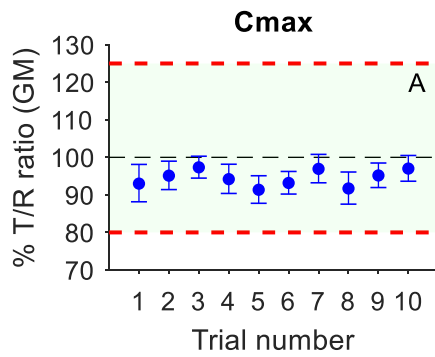
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1264 Figure 10:

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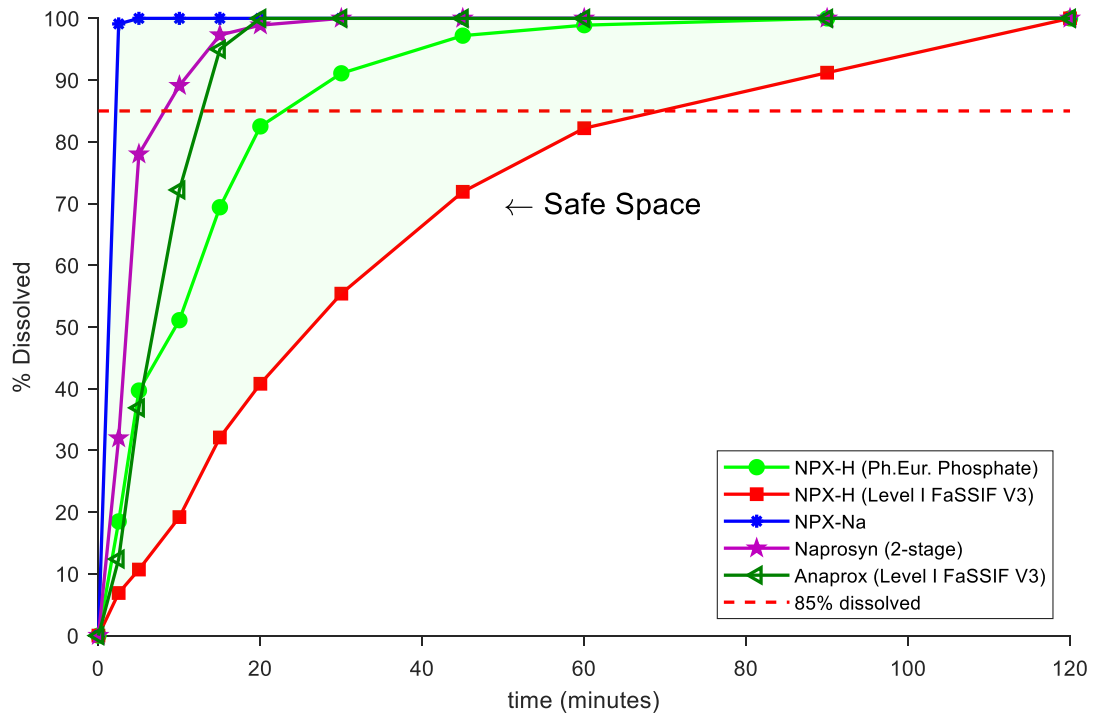
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1280 Figure 11:

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