



# Stability of homogeneous chiral phases against inhomogeneous perturbations in 2+1 dimensions

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In this work, inhomogeneous chiral phases are studied in a variety of Four-Fermion and Yukawa models in 2 + 1 dimensions at zero and non-zero temperature and chemical potentials. Employing the mean-field approximation, we do not find indications for an inhomogeneous phase in any of the studied models. We show that the homogeneous phases are stable against inhomogeneous perturbations. At zero temperature, full analytic results are presented.

The 39th International Symposium on Lattice Field Theory, 8th-13th August, 2022, Rheinische Friedrich-Wilhelms-Universität Bonn, Bonn, Germany

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arXiv:2211.04414v1 [hep-ph] 8 Nov 2022

# 1. Introduction

Inhomogeneous chiral condensates, where both chiral symmetry and translational invariance are spontaneously broken by non-vanishing, spatially dependent  $\langle \bar{\psi}\psi \rangle(\mathbf{x})$ , have been observed in several model studies, most-prominently the Gross-Neveu (GN) model [1] and related models in 1+1 dimensions (see Refs. [2–8]), but also in 3 + 1-dimensional, low-energy effective models for QCD (see Ref. [9] for a review and, e.g., Refs. [10–16]). Albeit these phases have been found in the mean-field approximation, i.e., neglecting bosonic quantum fluctuations, it is discussed in recent literature [17–22] whether such an inhomogeneous chiral phase might leave its imprint when studying the full quantum field theories. In 2 + 1 dimensions the situation seems to differ from even spacetime dimensions. Even though inhomogeneous condensates can be favored at finite regulator values in the 2 + 1-dimensional GN model (depending on the chosen regularization scheme), the inhomogeneous phase vanishes in the renormalized limit [23-27]. In this work, we analyze the stability of homogeneous condensates in a variety of Four-Fermion (FF) models and recover expressions similar to those derived in Ref. [24]. No indications for an inhomogeneous phase are observed in all of the discussed models. The homogeneous ground states are stable against inhomogeneous perturbations after renormalization. We argue that this statement, observed in these bosonized FF models, also remains valid when studying corresponding Yukawa models<sup>1</sup>. Numerical minimizations of the lattice actions allow us to determine the preferred ground states at finite lattice spacing. The results obtained by the two different methods are in agreement, as both approaches show no indications for the existence of an inhomogeneous phase in the continuum.

# 2. Detecting inhomogeneous phases via the momentum dependence of the bosonic two-point function

In Sec. 3, we study a variety of FF models, which feature different interaction channels and chemical potentials. In order to introduce our method, the stability analysis, we define a general FF model, which can be reduced to the later mentioned actions by choosing certain values for the model parameters. The action of the FF model in Euclidean spacetime is given by

$$S_{\rm FF}[\bar{\psi},\psi] = \int d^3x \left\{ \bar{\psi}(x) \left( \partial \!\!\!/ + \gamma_0 \mu + \gamma_0 \gamma_{45} \mu_{45} \right) \psi(x) - \left[ \sum_{j=1}^{16} \frac{\lambda_j}{2N} \left( \bar{\psi}(x) c_j \psi(x) \right)^2 \right] \right\}, \quad (1)$$

where  $\psi$  contains 2N four-component spinors (N identical spinors with isospin up/down respectively) without a bare mass term for the spinors. The Dirac matrices are chosen as reducible 4 × 4 representations of the 2+1-dimensional Euclidean Clifford algebra (for details see, e.g. Refs. [24, 27–29]). The matrices  $c_i$  are elements of

$$C = \{c_i\}_{i=1,\dots,16} = \{1, i\gamma_4, i\gamma_5, \gamma_{45}, \vec{\tau}, i\vec{\tau}\gamma_4, i\vec{\tau}\gamma_5, \vec{\tau}\gamma_{45}\},\tag{2}$$

where  $\vec{\tau}$  is the vector of Pauli-matrices acting on the isospin degrees of freedom. The integration over the temporal direction  $x_0$  is restricted to the compact interval  $[0, \beta)$  at temperature  $T = \frac{1}{\beta}$ . The

<sup>&</sup>lt;sup>1</sup>By corresponding Yukawa models we mean those Yukawa models that are built out of the bosonized FF action by adding kinetic terms and self-interactions for the auxiliary bosonic fields. Details are discussed in Sec. 2.1.

coupling of the FF terms is denoted by  $\lambda_i$ . The baryon chemical potential is denoted as  $\mu$ , while  $\mu_{45}$  describes the chiral chemical potential<sup>2</sup>. After bosonization with one auxiliary bosonic field  $\phi_j$  for each channel and integration over the fermion fields, one obtains an equivalent effective action given by

$$\frac{S_{\text{eff}}[\vec{\phi}]}{N} = \int d^3x \sum_i \frac{\phi_i^2(\mathbf{x})}{2\lambda_i} - \operatorname{Tr} \ln \mathbf{Q},$$
(3)

$$\mathbf{Q} = \mathbf{\partial} + \gamma_0 \mu + \gamma_0 \gamma_{45} \mu_{45} + \sum_j c_j \phi_j(\mathbf{x}), \tag{4}$$

where Tr is a functional trace over the spacetime coordinates as well as over the internal fermionic degrees of freedom. The 16 real bosonic fields  $\vec{\phi}$  are restricted to depend on the two spatial coordinates  $\mathbf{x} = (x_1, x_2)$ . They are related to fermion bilinears via the identities

$$\langle \phi_j \rangle = -\frac{\lambda_j}{N} \langle \bar{\psi} c_j \psi \rangle, \quad j = 1, \dots, 16.$$
 (5)

Suppressing the bosonic fluctuations in the path integral, i.e., taking  $N \to \infty$ , the computation of observables is equivalent to their evaluation on the global minima of the effective action with respect to the bosonic fields  $\vec{\phi}(\mathbf{x})$ . Finding the global minima  $\vec{\phi}(\mathbf{x}) = \vec{\Phi}(\mathbf{x})$  of the effective action (3) for all possible field configurations is an extremely challenging task, both analytically and numerically. Thus, we simplify the search for inhomogeneous condensates by analyzing the stability of the homogeneous ground state  $\vec{\phi}(\mathbf{x}) = \vec{\Phi}$  against inhomogeneous perturbations (see Ref. [30] for a detailed discussion and test of the method). Such an analysis was already applied in [12, 13, 24, 31]. The analysis requires a homogeneous expansion point, i.e.,

$$\vec{\phi}(\mathbf{x}) = \vec{\phi} + \delta \vec{\phi}(\mathbf{x}),\tag{6}$$

where  $\delta \vec{\phi}(\mathbf{x})$  describes the spatially dependent inhomogeneous perturbation around the homogeneous expansion point  $\vec{\phi}$ . Then, the effective action is expanded in terms of the perturbation  $\delta \vec{\phi}(\mathbf{x})$ . Here, the zeroth order correction corresponds to  $S_{\text{eff}}[\vec{\phi}]$ . The first order correction  $S_{\text{eff}}^{(1)}$  vanishes due to the gap equation when evaluated at the homogeneous minima, e.g. the homogeneous ground state  $\vec{\phi} = \vec{\Phi}$ . Thus, we will not explicitly present  $S_{\text{eff}}^{(1)}$  in the following. The second order correction evaluated in momentum space is given by

$$\frac{S_{\text{eff}}^{(2)}[\vec{\phi}]}{N} = \frac{\beta}{2} \int \frac{\mathrm{d}^2 q}{(2\pi)^2} \left[ \sum_{i,j} \delta \tilde{\phi}_i^*(\mathbf{q}) \,\delta \tilde{\phi}_j(\mathbf{q}) \,\left( \delta_{i,j} \,\lambda_i^{-1} + \Gamma_{\phi_i \phi_j}^F(\mathbf{q}^2) \right) \right],\tag{7}$$

$$\Gamma^{F}_{\phi_{i}\phi_{j}} = \int \frac{\mathrm{d}^{3}p}{(2\pi)^{3}} \operatorname{tr}\left(c_{i}\,\bar{Q}^{-1}(p+q)\,c_{j}\,\bar{Q}^{-1}(p)\right),\tag{8}$$

where **q** is the momentum of the inhomogeneous perturbation and  $q = (0, \mathbf{q})$ . Eq. (8) is the contribution of the fermion 1-loop diagram with two amputated bosonic legs and, correspondingly, contains two fermion propagators with the homogeneous fields  $\vec{\phi}$ , i.e. the inverse of

$$\bar{Q}(p) = \not p + \gamma_0 \mu + \gamma_0 \gamma_{45} \mu_{45} + \sum_j c_j \, \bar{\phi}_j.$$
<sup>(9)</sup>

<sup>&</sup>lt;sup>2</sup>In general, our formalism allows us to include several chemical potentials. For simplicity, we will only discuss  $\mu$  and  $\mu_{45}$  throughout this work.

To compute the curvature in each field variable, one has to diagonalize the quantity in parentheses in Eq. (7) with respect to  $\delta \vec{\phi}(\mathbf{q})$  by finding a suitable basis of bosonic fields  $\delta \vec{\phi}(\mathbf{q})$ . If it is possible to transform to such variables, one obtains a two-point function  $\Gamma_{\varphi_j}^{(2)}$  for each corresponding field  $\varphi_j$ , j = 1, ..., 16. The detection of an inhomogeneous condensate, which yields a lower effective action than  $S_{\text{eff}}[\vec{\Phi}]$  for a given  $\mu$  and T, corresponds to obtaining a two-point function  $\Gamma_{\varphi_j}(\mathbf{q}) < 0$ with  $\mathbf{q} \neq 0$  when the two-point function is evaluated at  $\vec{\phi} = \vec{\Phi}$ .

# 2.1 Generalization to Yukawa models

In general, our conclusions drawn from the bosonic two-point functions of the bosonized FF models can be generalized to corresponding Yukawa models, where the auxiliary bosonic fields are promoted to dynamical fields  $\chi$  with 2*n*-point self-interactions and kinetic terms, i.e.,

$$S_{\text{eff},Y}[\vec{\chi}] = \frac{S_{\text{eff}}[h\vec{\chi}]}{N} + \int d^3x \left[ \frac{1}{2N} \left( \partial_\nu \vec{\chi}(\mathbf{x}) \right) \left( \partial_\nu \vec{\chi}(\mathbf{x}) \right) + \sum_n \frac{\kappa_n}{N} \left( \sum_j \chi_j^2(\mathbf{x}) \right)^n \right], \quad (10)$$

with the Yukawa-coupling *h* and the couplings  $\kappa_n$  of the 2*n*-self interactions. The kinetic term yields a monotonically increasing contribution ~  $\mathbf{q}^2$  to the integrand in Eq. (7), while the bosonic self-interaction gives a **q**-independent offset. These additional terms cannot cause a FF model without an instability to develop an instability. Details will be discussed in an upcoming publication.

#### 3. Results

Before repeating the analysis for other FF models we shortly summarize the GN model results obtained in Ref. [24]. The two-point function of the GN model or analogous expressions are then identified for a variety of FF models. The FF models can all be derived from (1) by setting certain couplings  $\lambda_i$  to zero, while the non-zero couplings are set to  $\lambda$ . The result regarding the stability of the homogeneous condensates against inhomogeneous perturbations implicitly also holds for the corresponding Yukawa models as discussed in Sec. 2.1. We set the chiral chemical potential  $\mu_{45} = 0$  if not explicitly stated otherwise.

#### 3.1 GN model

The effective action of the GN model [1] is given by

$$\frac{S_{\text{eff}}[\sigma]}{N} = \frac{1}{2\lambda} \int d^3x \, \sigma^2(\mathbf{x}) - \text{Tr} \ln(\partial \!\!\!/ + \gamma_0 \mu + \sigma(\mathbf{x})), \tag{11}$$

where  $\sigma$  is the auxiliary bosonic field, whose expectation value is proportional to the fermion bilinear  $\langle \bar{\psi}\psi \rangle$  via Eq. (5). The expansion around the homogeneous ground state  $\bar{\sigma} = \bar{\Sigma}$ , as discussed for arbitrary  $\bar{\sigma}$  in Ref. [24], results in a vanishing first order correction  $S_{\text{eff}}^{(1)}$ . The second order correction evaluated in momentum space is given by

$$\frac{S_{\text{eff}}^{(2)}}{N} = \frac{\beta}{4} \int \frac{\mathrm{d}^2 q}{(2\pi)^2} |\delta \tilde{\sigma}(\mathbf{q})|^2 \Gamma_{\sigma}^{(2)}(\mathbf{q}^2)$$
(12)

with

$$\Gamma_{\sigma}^{(2)}(\mathbf{q}^2) = \frac{1}{2\lambda} - \ell_1 + L_2(\mathbf{q}^2, \bar{\sigma}, \mu)$$
(13)

and

$$L_2(\mathbf{q}^2, \bar{\sigma}, \mu) = -\frac{1}{2}(\mathbf{q}^2 + 4\bar{\sigma}^2)\ell_2(\mathbf{q}^2, \bar{\sigma}, \mu),$$
(14)

where  $\ell_1$  is a linearly diverging integral (see Ref. [24] for the definitions of  $\ell_1$  and  $\ell_2$ ) and has to be regulated, e.g., with the Pauli-Villars regularization. The integral  $\ell_2$  is finite, but, in general, is often also regulated for consistency<sup>3</sup>. The coupling constant  $\lambda$  can be tuned using the condensate in the vacuum such that  $\frac{1}{2\lambda} - \ell_1 < \infty$  for all  $\mu$  and T. A main finding of Ref. [24] is that  $\Gamma_{\sigma}^{(2)}$  is a monotonically increasing function of  $q = |\mathbf{q}|$  for all values of  $\mu$  and T after renormalization. For T = 0, one can derive the monotonic behavior analytically, i.e., one finds

$$L_{2}|_{T=0} = \begin{cases} \frac{(q^{2}+4\bar{\sigma}^{2})}{2\pi q} \arctan\left(\frac{q}{2|\bar{\sigma}|}\right) & \text{if } \mu^{2} < \bar{\sigma}^{2} \\ \frac{(q^{2}+4\bar{\sigma}^{2})}{2\pi q} \arctan\left(\frac{\sqrt{q^{2}/4-\mu^{2}+\bar{\sigma}^{2}}}{|\mu|}\right) & \text{if } \mu^{2} > \bar{\sigma}^{2} \\ 0 & \text{if } \mu^{2} > \bar{\sigma}^{2} + \frac{q^{2}}{4} \end{cases}$$
(15)

As a result,  $\Gamma_{\sigma}^{(2)}(\mathbf{q}^2) \ge 0$  and no indications for an inhomogeneous phase can be found. One is left with the phase diagram derived in Ref. [32]. As discussed in Ref. [24], one obtains  $\Gamma_{\sigma}^{(2)}(\mathbf{q}^2) < 0$  at finite  $\mathbf{q} \ne 0$  when studying finite regulator values, e.g., lattice spacings *a*, for certain regularization schemes. We refer to Ref. [24] for numerical results in the GN model. In the following, we will identify analytical structures similar to  $L_2(\mathbf{q}^2, \bar{\sigma}, \mu)$  in the other FF models.

# **3.2** U(4N) invariant model

A model, which – in contrast to the GN model – features a continuous chiral U(4N) symmetry<sup>4</sup> has the effective action

$$\frac{S_{\text{eff}}[\sigma,\eta_4,\eta_5,\eta_{45}]}{N} = \int d^3x \,\frac{\rho^2}{2\lambda} - \text{Tr}\ln\left(\partial \!\!\!/ + \gamma_0\mu + \sigma + i\gamma_4\eta_4 + i\gamma_5\eta_5 + \gamma_{45}\eta_{45}\right),\tag{16}$$

where  $\sigma$ ,  $\eta_4$ ,  $\eta_5$ ,  $\eta_{45}$  are the auxiliary bosonic fields corresponding to fermionic bilinears, as discussed in Eq. (5), and  $\rho^2 = \sigma^2 + \eta_4^2 + \eta_5^2 + \eta_{45}^2$ . A non-vanishing expectation value of  $\eta_{45}$  indicates spontaneous parity breaking, in contrast to the other three fields, where a non-vanishing expectation value indicates spontaneous chiral symmetry breaking. Considering homogeneous fields  $(\sigma, \eta_4, \eta_5, \eta_{45}) = (\bar{\sigma}, \bar{\eta}_4, \bar{\eta}_5, \bar{\eta}_{45})$  one can rotate  $\bar{\eta}_4 = \bar{\eta}_5 = 0$  through the O(3) rotational symmetry of the model<sup>5</sup>. One finds additionally  $\bar{\eta}_{45} = 0$  for all  $\mu$  and T by explicitly minimizing  $S_{\text{eff}}[\bar{\sigma}, 0, 0, \bar{\eta}_{45}]$ . With  $(\sigma, \eta_4, \eta_5, \eta_{45}) = (\bar{\Sigma}, 0, 0, 0)$  as the expansion point one obtains a vanishing first order correction  $S_{\text{eff}}^{(1)}$ . The second order correction is then given by

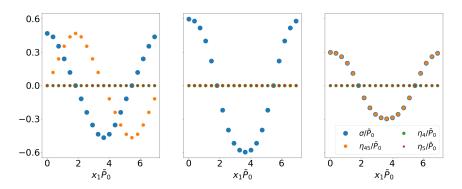
$$\frac{S_{\rm eff}^{(2)}}{N} = \frac{\beta}{4} \int \frac{d^2 q}{(2\pi)^2} \sum_{\phi \in \{\sigma, \eta_4, \eta_5, \eta_{45}\}} |\delta \tilde{\phi}(\mathbf{q})|^2 \Gamma_{\phi}^{(2)}(\mathbf{q}^2), \tag{17}$$

$$\Gamma_{\eta_{45}}^{(2)} = \Gamma_{\sigma}^{(2)} = \frac{1}{2\lambda} - \ell_1 + L_2(\mathbf{q}^2, \bar{\sigma}, \mu), \quad \Gamma_{\eta_4}^{(2)} = \Gamma_{\eta_5}^{(2)} = \frac{1}{2\lambda} - \ell_1 - \frac{1}{2}\mathbf{q}^2\ell_2(\mathbf{q}^2, \bar{\sigma}, \mu^2), \quad (18)$$

<sup>3</sup>This is an arbitrary choice, which is however reasonable as it leaves mathematical relations between the unregulated integrals intact. Such a prescription is, e.g., applied in 3+1 dimensions, where FF models are non-renormalizable. It is also suitable to compare with lattice regularizations where anyhow all quantities are regulated.

<sup>&</sup>lt;sup>4</sup>One free massless fermion field is invariant under U(2) chiral symmetry transformations. Studying N fermion fields with an isospin degree of freedom enlarge the symmetry group to U(4N) accounting to rotations in these spaces.

<sup>&</sup>lt;sup>5</sup>This symmetry is linked to the U(2) chiral symmetry. The field  $\eta_{45}$  is not connected to the other fields via such a symmetry transformation, as the bilinear  $\bar{\psi}\gamma_{45}\psi$  remains invariant under U(2).



**Figure 1:** Inhomogeneous ground states using naive fermions at temperature  $T/\bar{P}_0 = 0.137$ , chemical potential  $\mu/\bar{P}_0 = 1.033$ , finite lattice spacing  $a\bar{P}_0 = 0.365$  and spatial volume  $V\bar{P}_0^2 = (7.3)^2$ . The three plotted minima are energetically degenerate up to the precision of our numerical minimization. The corresponding inhomogeneous phase is expected to vanish when taking  $a \to 0$ .

where the two-point functions  $\Gamma_{\eta_{45}}^{(2)}$ ,  $\Gamma_{\sigma}^{(2)}$  are equal to Eq. (13), while  $\Gamma_{\eta_4}^{(2)}$ ,  $\Gamma_{\eta_5}^{(2)}$  differ slightly in the prefactor of  $\ell_2$  but are also monotonically increasing functions of q. However, studying the model at finite lattice spacing a, as relevant for 3 + 1-dimensional, non-renormalizable FF models (compare [12, 14, 33]), one obtains negative values when evaluating the two-point functions (18) at finite  $\mathbf{q} \neq 0$  in a certain (a-dependent) range of chemical potentials  $\mu$  and temperatures T (compare Ref. [24]). Then, an inhomogeneous phase is observed in the phase diagram using numerical minimization (for details regarding the algorithm we refer to Sec. 4.3 of Ref. [27]). We present an exemplary plot of inhomogeneous condensates obtained at finite  $\mu/\bar{P}_0 = 1.033$ and  $T/\bar{P}_0 = 0.137$  using naive fermions at finite lattice spacing  $a\bar{P}_0 = 0.365$  in Fig. 1<sup>6</sup>. Here,  $\bar{P}_0 = \sqrt{\bar{\Sigma}^2 + \bar{H}_4^2 + \bar{H}_5^2 + \bar{H}_{45}^2} \Big|_{T=\mu=0}$  is used to set the scale, where  $\bar{\Sigma}, \bar{H}_i$  are field configurations of  $\sigma, \eta_i$  corresponding to the homogeneous, global minimum of the effective action. One obtains multiple degenerate inhomogeneous minima even when neglecting the ones which are related via global O(3) rotations of the vector  $(\sigma(\mathbf{x}), \eta_4(\mathbf{x}), \eta_5(\mathbf{x}))$ . Note that the bosonic fields are allowed to be functions of the two spatial coordinates  $\mathbf{x} = (x_1, x_2)$ , but one-dimensional functions are observed as the resulting ground state after minimization of the effective action similar to our results in the GN model [27]. We find degenerate minima, where both  $\Sigma(\mathbf{x})$  and  $H_{45}(\mathbf{x})$  oscillate. On the rightmost plot, they oscillate with the same phase, while on the leftmost plot they have a phase shift as observed for chiral density waves (see, e.g., Refs. [4, 9, 35]). In the middle plot, only  $\Sigma(\mathbf{x})$ oscillates while  $H_4(\mathbf{x}) = H_5(\mathbf{x}) = H_{45}(\mathbf{x}) = 0$ , but we note, again, that the oscillation can be shifted into  $H_4(\mathbf{x})$  and/or  $H_5(\mathbf{x})$  via the mentioned global O(3) rotations.

The external parameters  $\mu$  and T are in the order of the cutoff scale<sup>7</sup>  $\frac{\pi}{a}$  and it is, thus, expected that the found ground state will change when varying a. Since the found inhomogeneities coincide with the region of negative  $\Gamma_{\phi_i}^{(2)}(\mathbf{q} \neq 0)$  when evaluating the two-point functions (18) on the lattice (as in Refs. [24, 27]), the observed ground states are only cutoff effects and the inhomogeneous phase

<sup>&</sup>lt;sup>6</sup>Note that the naive discretization requires a weighting function with certain properties. This is, e.g., discussed in [17, 24, 34].

<sup>&</sup>lt;sup>7</sup>Additionally, studying the model with the same finite cutoff for both the vacuum and at external parameters  $\mu$  and *T* violates renormalization group consistency, as discussed in Ref. [36]. This hints towards significant cutoff artifacts on the observed ground states.

is expected to vanish in the continuum limit. We highlight that we do not observe inhomogeneous condensates on the lattice when  $\Gamma_{\phi_i}^{(2)} \ge 0$ ,  $\forall i$  at given  $\mu$  and T, similar to [24, 27].

#### **3.3** Nambu-Jona-Lasinio model in 2 + 1 dimensions

A FF model, which also features interactions in the isospin channel similar to the 3 + 1-dimensional Nambu-Jona-Lasinio model, is given by

$$\frac{S_{\text{eff}}[\sigma, \vec{\pi}_4, \vec{\pi}_5]}{N} = \int d^3x \frac{\sigma^2 + \vec{\pi}_4^2 + \vec{\pi}_5^2}{2\lambda} - \text{Tr} \ln\left(\partial \!\!\!/ + \gamma_0 \mu + \sigma + i\gamma_4 \vec{\tau} \, \vec{\pi}_4 + i\gamma_5 \vec{\tau} \, \vec{\pi}_5\right)$$
(19)

with auxiliary fields  $\sigma$ ,  $\vec{\pi}_4$ ,  $\vec{\pi}_5$ . Restricting to homogeneous condensates one can study only homogeneous minima with  $(\sigma, \vec{\pi}_4, \vec{\pi}_5) = (\bar{\Sigma}, 0, 0)$  using chiral symmetry transformations. The second order correction due to the inhomogeneous perturbations is given by

$$\frac{S_{\text{eff}}^{(2)}}{N} = \frac{\beta}{4} \int \frac{\mathrm{d}^2 q}{(2\pi)^2} \sum_{\phi \in \{\sigma, \vec{\pi}_4, \vec{\pi}_5\}} |\delta \tilde{\phi}(\mathbf{q})|^2 \Gamma_{\phi}^{(2)}(\mathbf{q}^2), \tag{20}$$

$$\Gamma_{\sigma}^{(2)} = \frac{1}{2\lambda} - \ell_1 + L_2(\mathbf{q}, \bar{\sigma}, \mu), \quad \Gamma_{\vec{\pi}_5}^{(2)} = \Gamma_{\vec{\pi}_4}^{(2)} = \frac{1}{2\lambda} - \ell_1 - \frac{1}{2}\mathbf{q}^2\ell_2(\mathbf{q}^2, \bar{\sigma}, \mu), \tag{21}$$

which again yields monotonically increasing two-point functions of q. The homogeneous expansion points  $(\sigma, \vec{\pi}_4, \vec{\pi}_5) = (\bar{\Sigma}, 0, 0)$  are stable against inhomogeneous perturbations.

# 3.4 The effect of chiral imbalance

A chiral imbalance, described by a finite value of  $\mu_{45}$ , in general complicates the analysis. We study the effect on the GN<sub>P</sub>-model, given by the effective action

$$\frac{S_{\rm eff}[\sigma,\eta_{45}]}{N} = \int d^3x \frac{\sigma^2 + \eta_{45}^2}{2\lambda} - \operatorname{Tr}\ln\left(\partial \!\!\!/ + \gamma_0 \mu + \gamma_0 \gamma_{45} \mu_{45} + \sigma + \gamma_{45} \eta_{45}\right).$$
(22)

Minimizing the effective action for homogeneous condensates, i.e., finding  $(\sigma, \eta_{45}) = (\bar{\Sigma}, \bar{H}_{45})$ , yields a non-vanishing  $\bar{H}_{45}$  at finite  $\mu_{45}$ . This leads to off-diagonal terms in the second order contribution by the fermionic determinant, where  $\Gamma^F_{\sigma\eta_{45}} \neq 0$ . This is resolved by a change of basis in field variables and chemical potentials using

$$P_{L/R} = (\mathbb{1} \pm \gamma_{45}), \quad \mu_{L/R} = (\mu \pm \mu_{45}), \quad \phi_{L/R} = (\sigma \pm \eta),$$
 (23)

which yields

$$\frac{S_{\text{eff}}[\phi_L, \phi_R]}{N} = \int d^3x \frac{\phi_L^2 + \phi_R^2}{4\lambda} - \text{Tr}\ln\left(\partial \!\!\!/ + \gamma_0 \left(P_L \mu_L + P_R \mu_R\right) + P_L \phi_L + P_R \phi_R\right).$$
(24)

The corresponding second order correction due to the inhomogeneous perturbations is given by

$$\frac{S_{\text{eff}}^{(2)}}{N} = \frac{\beta}{4} \int \frac{d^2 q}{(2\pi)^2} \sum_{\phi \in \{\phi_L, \phi_R\}} |\delta \tilde{\phi}(\mathbf{q})|^2 \Gamma_{\phi}^{(2)}(\mathbf{q}^2),$$
(25)

$$\Gamma_{\phi_L}^{(2)} = \frac{1}{4\lambda} - \frac{1}{2}\ell_1 + \frac{1}{2}L_2(\mathbf{q}, \bar{\phi}_L, \mu_L), \quad \Gamma_{\phi_R}^{(2)} = \frac{1}{4\lambda} - \frac{1}{2}\ell_1 + \frac{1}{2}L_2(\mathbf{q}, \bar{\phi}_R, \mu_R), \tag{26}$$

where it becomes evident that this model decomposes into two independent GN models, each with one chemical potential  $\mu_L/\mu_R$  and scalar field  $\phi_L/\phi_R$  respectively. Consequently, the two-point functions do not signal the existence of an inhomogeneous phase in the GN<sub>P</sub>-model with chiral imbalance, as the homogeneous expansion points ( $\sigma, \eta_{45}$ ) = ( $\bar{\Sigma}, \bar{H}_{45}$ ) are stable.

#### 4. Summary

We analyzed the stability of homogeneous chiral condensates against inhomogeneous perturbations in a variety of FF models, which cover different interaction channels and underlying (chiral) symmetry groups. In each of the studied models no indications for an inhomogeneous phase are observed. We found substantial arguments, that also Yukawa models, that correspond to the bosonized FF models via addition of kinetic terms and 2*n*-self interactions for the auxiliary bosonic fields, do not develop an instability towards an inhomogeneous condensate.

### Acknowledgments

We thank M. Wagner for his encouragement to realize this project and furthermore for valuable comments, ideas and discussions. We further acknowledge useful discussions with M. Buballa, A. Koenigstein, L. Kurth, J. J. Lenz, M. Mandl, R. D. Pisarski, M. J. Steil and A. Wipf. We thank A. D'Ambrosio, A. Koenigstein, M. Mandl and M. Wagner for valuable comments on this manuscript. M. Winstel thanks the organizers of "The 39th International Symposium on Lattice Field Theory" for the opportunity to give this talk. This work was supported by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) – project number 315477589 – TRR 211. M. Winstel acknowledges support by the GSI Forschungs- und Entwicklungsvereinbarungen (GSI F&E). M. Winstel acknowledges support of the Giersch Foundation. Calculations on the GOETHE-HLR and on the on the FUCHS-CSC high-performance computers of the Frankfurt University were conducted for this research. We would like to thank HPC-Hessen, funded by the State Ministry of Higher Education, Research and the Arts, for programming advice.

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