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## Scattering studies with low-energy kaon-proton femtoscopy in proton–proton collisions at the LHC

ALICE Collaboration\*

### Abstract

The study of the strength and behaviour of the antikaon-nucleon ( $\bar{K}N$ ) interaction constitutes one of the key focuses of the strangeness sector in low-energy Quantum Chromodynamics (QCD). In this letter a unique high-precision measurement of the strong interaction between kaons and protons, close and above the kinematic threshold, is presented. The femtoscopic measurements of the correlation function at low pair-frame relative momentum of  $(K^+p \oplus K^-\bar{p})$  and  $(K^-p \oplus K^+\bar{p})$  pairs measured in pp collisions at  $\sqrt{s} = 5, 7$  and 13 TeV are reported. A structure observed around a relative momentum of 58 MeV/c in the measured correlation function of  $(K^-p \oplus K^+\bar{p})$  constitutes the first experimental evidence for the opening of the  $(\bar{K}^0n \oplus K^0\bar{n})$  isospin breaking channel due to the mass difference between charged and neutral kaons. The measured correlation functions have been compared to several models. The high-precision data at low relative momenta presented in this work prove femtoscopy to be a powerful complementary tool to scattering experiments and provide new constraints above the  $\bar{K}N$  threshold for low-energy QCD chiral models.

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\*See Appendix A for the list of collaboration members

Scattering data of low-energy charged kaons with proton or deuteron targets are scarce. Only measurements performed decades ago in bubble chamber experiments or with emulsions exist for kaon incident momentum  $p_{\text{lab}}$  below 350 MeV/c [1–5]. Such data are essential to assess the low-energy cross-section of the interaction of kaons with protons and neutrons.

The  $K^+$  and  $K^-$  behavior in the scattering process is very different and markedly depends on the meson strangeness content. The  $K^+N$  interaction is well established [6], and it is characterized by a moderate repulsive potential, due to the strong and the Coulomb interactions. The  $K^+N$  data can be employed to assess the strangeness content of the nucleon through the Sigma-term evaluation [7, 8] that can be extracted by the analytic continuation of the KN scattering amplitude by means of dispersion relations. The  $K^+p$  scattering data alone can be used to thoroughly study the isovector part ( $I = 1$ ) of the KN scattering amplitude, while the isoscalar part ( $I = 0$ ) can be extracted by using the  $K^+d$  data in combination.

Kaons with strangeness  $S = -1$  are strongly absorbed in the scattering process. The strength of the attractive  $\bar{K}N$  interaction leads to the appearance of baryonic resonances, both with isospin  $I = 0$  and  $I = 1$ , even below threshold, such as the  $\Lambda(1405)$ . This resonance in fact lies only 27 MeV/ $c^2$  below the  $\bar{K}N$  threshold and the general interpretation of its nature as a molecular state with two poles coupled to the  $\bar{K}N$  and  $\Sigma\pi$  channels [9–11] is widely accepted. However at present different theoretical approaches [12–21] predict a similar behavior above the  $\bar{K}N$  threshold but large discrepancies arise in the subthreshold region due to the lack of precise constraints from experimental data. Experimental constraints on the  $\bar{K}N$  interaction are fundamental for such models in order to reproduce the line shape of the resonance produced by different mechanisms and observed in different decay channels [22–26].

In the region above the threshold, experimental input was provided so far by available scattering data [1–5], which however are rather imprecise, and in particular absent in most of the inelastic channels for  $p_{\text{lab}}$  below 100 MeV/c, thus imposing weak constraints to models. Currently, the measurement of kaonic hydrogen [27] constitutes the most precise constraint at threshold and additional constraints come from studies of the formation of kaonic bound states [28–30]. Recently, the femtoscopy technique [31, 32], which measures the correlation of particle pairs at low relative momentum, has proven to be a powerful complementary tool to scattering experiments by providing high precision data on different baryon–baryon pairs [33–35], indicating a great sensitivity to the underlying strong potential in particular in the low relative momentum range.

For the  $\bar{K}N$  system, in this low momentum regime, the  $\bar{K}^0 n$  isospin breaking channel due to the mass difference between  $K^-$  and  $\bar{K}^0$  is predicted to open up at  $p_{\text{lab}} = 89$  MeV/c but it has not yet been experimentally observed due to the difficulties in reaching such low energies with kaon beams. Precise data at such low momenta on the  $\bar{K}N$  system are strongly needed to add additional constraints which, along with the results at threshold from kaonic atoms, can be used as an input for models to obtain precise extrapolations of the scattering amplitude in the sub-threshold region. The femtoscopic technique applied to Kp pairs delivers such data with precise measurements down to zero relative momentum.

In this letter, the momentum correlations of Kp pairs ( $(K^+p \oplus K^-\bar{p})$  and  $(K^-p \oplus K^+\bar{p})$ ) using the two-particle correlation function in pp collisions at different collision energies are presented. The same-charge pairs ( $K^+p \oplus K^-\bar{p}$ ), because of the well described interaction and the lack of coupled-channel effects, are used as a benchmark to test the sensitivity of the correlation function to the strong interaction. The analysis presented here is based on minimum bias triggered pp collisions collected by the ALICE experiment [36] at the LHC in 2010, 2015, 2016 and 2017 at three different collision energies ( $\sqrt{s} = 5$  TeV, 7 TeV, and 13 TeV). The correlation function  $C(k^*)$  is measured as a function of the momentum difference of the pair  $k^* = \frac{1}{2}(\vec{p}_1^* - \vec{p}_2^*)$ , where  $\vec{p}_1^*$  and  $\vec{p}_2^*$  are the momenta of the two particles in the pair rest frame. It is defined as  $C(k^*) = \mathcal{N} A(k^*)/B(k^*)$ , where  $A(k^*)$  is the measured distribution of pairs from the same event,  $B(k^*)$  is the reference distribution of pairs from mixed events and  $\mathcal{N}$  is a normalization parameter. The denominator,  $B(k^*)$ , is formed by mixing particles from one event with particles from a pool of other events with similar number of charged particles at mid-rapidity and  $V_z$  interval ( $\Delta V_z = 2$  cm), where  $V_z$  is the position of the primary vertex of the collision along the beam axis.

The normalization parameter  $\mathcal{N}$  is chosen such that the mean value of the correlation function equals unity for  $400 < k^* < 600$  MeV/c.

The main sub-detectors used in this analysis are: the V0 detectors [37], which are used as trigger detectors, the Inner Tracking System (ITS) [38], the Time Projection Chamber (TPC) [39] and the Time-of-Flight (TOF) detector [40]. The ITS, TPC and TOF are located inside a 0.5 T solenoidal magnetic field and are used to track and identify charged particles. In order to ensure a uniform acceptance at mid-rapidity, events were selected by requiring the  $V_z$  of the event to be within 10 cm from the center of the ALICE detector. The rejection of pile-up is performed by exploiting the innermost silicon detector (SPD, part of ITS) vertexing capabilities, following the same procedure described in [35, 41]. After the application of the event selection criteria, about 874 million, 374 million, and 1 billion minimum bias pp events were analyzed at  $\sqrt{s} = 5$  TeV, 7 TeV, and 13 TeV, respectively.

As recently proposed in [42], in order to reduce the contribution from the mini-jet background in pp collisions, the events were classified according to their transverse sphericity ( $S_T$ ), an observable which is known to be correlated with the number of hard parton-parton interactions in each event [43]. An event with only one hard parton-parton interaction will in general produce a jet-like distribution that yields low sphericity, while an event with several independent hard parton-parton interactions can yield higher sphericity as each (mini-)jet axis is oriented randomly. In order to reduce the strong mini-jet background at low momenta, only events with  $S_T$ , defined as in [42], larger than 0.7 were considered in this analysis. Charged particles were tracked using the ITS and TPC, then selected by requiring that each track is reconstructed from at least 70 out of the 159 possible space points in the TPC. Only tracks in the pseudorapidity region  $|\eta| < 0.8$ , where ALICE is able to perform full tracking and provide the particle identification information, were considered in this analysis. To reject the contribution from secondary particles from weak decays and from interactions with the material, and to select tracks originating from the collision vertex, the tracks were required to have a Distance of Closest Approach (DCA) to the primary vertex smaller than 1.0 cm, both in the transverse plane ( $DCA_{xy}$ ) and along the longitudinal ( $DCA_z$ ) direction. For the same reason, tracks with a kink topology in the TPC, indicating weak decays of charged pions and kaons, were discarded. The charged kaons and protons were identified in a wide transverse momentum ( $p_T$ ) interval ( $0.15 < p_T < 1.4$  GeV/c for kaons and  $0.4 < p_T < 3$  GeV/c for protons) using the information provided by the TPC and the TOF detectors. The deviation of the measured specific ionization energy loss ( $dE/dx$ ) in the TPC from the Bethe-Bloch parametrization was required to be within three standard deviations ( $\sigma_{\text{TPC}}$ ). For kaons with  $p_T > 0.4$  GeV/c and protons with  $p_T > 0.8$  GeV/c, a similar method was applied for the particle identification using the TOF, where, on top of TPC selection, a selection based on a maximum three standard deviation difference ( $\sigma_{\text{TOF}}$ ) from the expected signal at a given momentum was applied. Tracks identified ambiguously as belonging to both a proton and a kaon, were discarded. In order to remove the large fraction of  $e^+e^-$  pairs that can affect the extraction of the correlation function of the opposite-charge pairs, a selection on the  $p_T$  of kaon and protons was applied: kaon candidates are excluded if  $0.3 < p_T < 0.4$  GeV/c, while proton candidates are excluded in the interval between  $0.6 < p_T < 0.8$  GeV/c. The effect of these selections on the correlation function was studied for the same-charge pairs and has been found to be negligible. The purity of the selected particle samples, determined by Monte Carlo simulations, is larger than 99% in the considered  $p_T$  intervals for all analyzed dataset. The track selections criteria are summarized in Table 1.

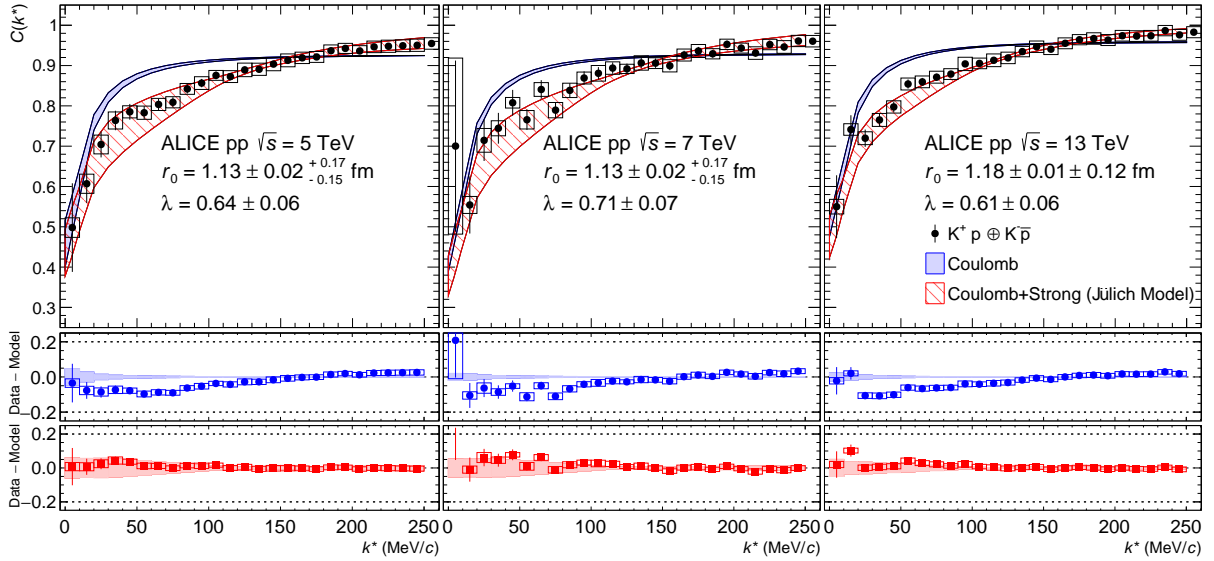
The systematic uncertainties of the measured  $C(k^*)$  were evaluated for each  $k^*$  interval by varying event and track selection criteria. The event sample is varied by changing the selection on the  $V_z$  position from  $\pm 10$  cm to  $\pm 7$  cm and by varying the sphericity of the accepted events from  $S_T > 0.7$  to  $S_T > 0.6$  and  $S_T > 0.8$ . Systematic uncertainties related to the track selection criteria were studied by varying the selection on the  $DCA_{xy}$  distributions within the experimental resolution. To study systematic effects related to particle identification, the number of standard deviations around the energy loss expected for kaons and protons in the TPC and, similarly, for the time of flight in the TOF was modified from  $3\sigma$  to  $2\sigma$ . For each source, the systematic uncertainty was estimated as the root-mean-square (RMS) of

**Table 1:** Summary of track selection criteria

Selection variable	Value
$ \eta $	$< 0.8$
Number of TPC clusters	$\geq 70$
DCA <sub>xy</sub> to primary vertex	$< 1$ cm
DCA <sub>z</sub> to primary vertex	$< 1$ cm
Tracks with kink topology	rejected
$K^+(K^-)$ transverse momentum $p_T$	$0.15 < p_T < 0.3$ GeV/c $0.4 < p_T < 1.4$ GeV/c
$p(\bar{p})$ transverse momentum $p_T$	$0.4 < p_T < 0.6$ GeV/c $0.8 < p_T < 3.0$ GeV/c
Particle identification	$n\text{-}\sigma_{\text{TPC}} < 3$ for K with $p_T > 0.4$ and p with $p_T > 0.8$ : $n\text{-}\sigma_{\text{TPC}} < 3 + n\text{-}\sigma_{\text{TOF}} < 3$

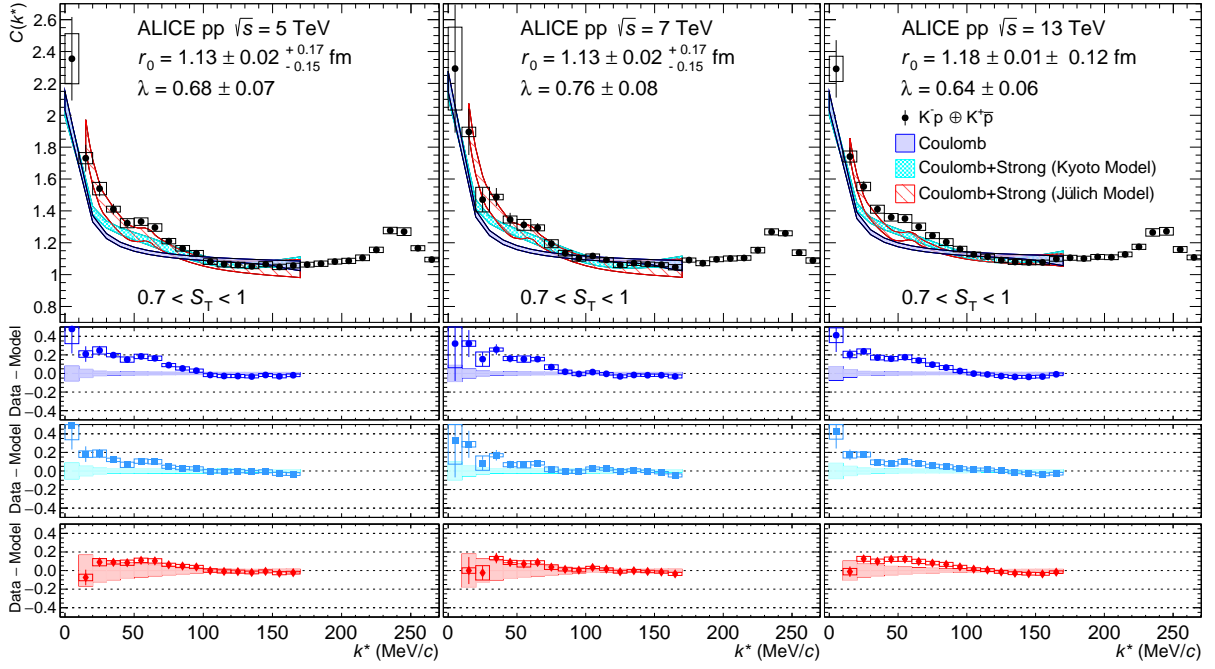
the deviations. The total systematic uncertainty was calculated as the quadratic sum of each source's contribution and amounts to about 3% in the considered  $k^*$  intervals.

The measured correlation functions for  $(K^+p \oplus K^-\bar{p})$  and  $(K^-p \oplus K^+\bar{p})$  are shown in the upper panels of Fig. 1 and Fig. 2.



**Fig. 1:** (Color online)  $(K^+p \oplus K^-\bar{p})$  correlation functions obtained from Kp collisions at  $\sqrt{s} = 5$  TeV (left), 7 TeV (middle) and 13 TeV (right) fitted with Eq. 1 including only a Coulomb interaction (blue) or in addition the strong interaction implemented in the Jülich model (red). The measurement is shown by the black markers, the vertical lines and the boxes represent the statistical and systematic uncertainties respectively. In the bottom panels of the figure, the difference between the data and models are shown. The bands represent the systematic uncertainty related to the determination of the  $\lambda$  parameter and to the source radius.

In both figures, each panel corresponds to a different collision energy, as indicated in the legend. The structure that can be seen in the  $(K^-p \oplus K^+\bar{p})$  correlation function at  $k^*$  around 240 MeV/c in Fig. 2 is consistent with the  $\Lambda(1520)$  which decays into  $K^-p$ , with a center-of-mass momentum for the particle pair of 243 MeV/c [51]. The correlation function of  $(K^-p \oplus K^+\bar{p})$  exhibits also a structure between 50 and 60 MeV/c for the three collision energies. The  $k^*$  position of the structure is consistent with the



**Fig. 2:** (Color online)  $(K^-p \oplus K^+\bar{p})$  correlation functions obtained from pp collisions at  $\sqrt{s} = 5$  TeV (left), 7 TeV (middle) and 13 TeV (right) fitted with Eq. 1. The measurement is presented by the black markers, the vertical lines and the boxes represent the statistical and systematic uncertainties respectively. Three different potentials were considered: Coulomb potential (blue band), Kyoto model [44–48] (light blue band), Jülich model [49] where the physics masses of  $K^-$  and  $\bar{K}^0$  are used [50] with the Coulomb interaction included (red band). In the bottom panels, differences between data and model are shown. The bands represent the systematic uncertainty related to the determination of the  $\lambda$  parameter and to the source radius.

threshold of the  $\bar{K}^0 n$  ( $K^0 \bar{n}$ ) channel at  $p_{\text{lab}} = 89$  MeV/c [52] which corresponds to  $k^* = 58$  MeV/c. In order to quantify the significance of the observed structure, and since the three measured distributions are mutually compatible, the  $C(k^*)$  measured at the three different energies were summed using the number of events for each data sample as a weight. The resulting  $C(k^*)$  was interpolated with a spline considering the statistical uncertainties and the derivative of the spline was then evaluated. A change in the slope of the derivative consistent with a cusp effect in the  $k^*$  region between 50 and 60 MeV/c at the level of  $4.4\sigma$  has been observed, to be compared with a significance of  $30\sigma$  for  $\Lambda(1520)$ . The measurement presented in this letter is therefore the first experimental evidence for the opening of the  $\bar{K}^0 n$  ( $K^0 \bar{n}$ ) isospin breaking channel, showing that the femtoscopy technique is a unique tool to study the Kp scattering, where the conventional scattering experiments at fixed target are difficult to perform.

The experimental correlation functions were also used to test different potentials to describe the interaction between  $K^+p$  ( $K^-\bar{p}$ ) and  $K^-p$  ( $K^+\bar{p}$ ). The measured correlation function  $C(k^*)$  is compared with a theoretical function using the following equation

$$C(k^*) = (a + b \cdot k^*) \cdot \left[ 1 + \lambda \cdot (C(k^*)^{\text{theoretical}} - 1) \right], \quad (1)$$

where the baseline  $(a + b \cdot k^*)$  is introduced to take into account the remaining non-femtoscopic background contributions which might be present also after the  $S_T$  selection. The slope,  $b$ , of the baseline is fixed from Monte Carlo simulations based on PYTHIA 6 [53] and PYTHIA 8 [54], while the normalization,  $a$ , is a free parameter of the fit. To assign a systematic uncertainty related to the slope of the

baseline, the  $b$  parameter has been varied by  $\pm 10\%$  and the fit repeated. The parameter  $\lambda$  represents the fraction of primary pairs in the analyzed sample multiplied by the purity of the same sample and is fixed by fitting Monte Carlo (MC) templates to the experimental distributions of  $DCA_{xy}$  of kaons and protons, similarly to what is described in [35]. The model correlation function,  $C(k^*)^{theoretical}$ , is evaluated using the CATS framework [55]. The  $\lambda$  parameters obtained for each analyzed data sample are shown in each panel of Fig. 1 and Fig. 2 for same-charge and opposite-charge Kp pairs, and vary from 0.61 to 0.76 for each considered set. A systematic uncertainty of  $\pm 10\%$  is associated with the  $\lambda$  parameters. This uncertainty was estimated by varying the Monte Carlo templates used in the feed-down estimation procedure based on PYTHIA 6 [53] for the analysis at  $\sqrt{s} = 7$  TeV and based on PYTHIA 8 [54] for the analyses performed at  $\sqrt{s} = 5$  TeV and 13 TeV, and varying the transport code used in the simulation from GEANT3 [56] to GEANT4 [57].

The theoretical correlation function  $C(k^*)^{theoretical}$  depends not only on the interaction between particles, but also on the profile and the size of the particle emitting source. Under the assumption that there is a common Gaussian source for all particle pairs produced in pp collisions at a fixed energy, the size of the source considered in the present analysis is fixed from the baryon–baryon analyses described in [35] and [41]. On top of this, the impact of the decay of resonances (mainly  $K^*$  decaying into K and  $\Delta$  decaying into p) on the determination of the radius was studied using different Monte Carlo simulations [53,54] and found to be 10%. This contribution was linearly added to the systematic uncertainty associated with the radius. The radii of the considered Gaussian sources are  $r_0 = 1.13 \pm 0.02^{+0.17}_{-0.15}$  fm [35] for collisions at  $\sqrt{s} = 5$  and 7 TeV, and  $r_0 = 1.18 \pm 0.01 \pm 0.12$  fm [41] for the  $\sqrt{s} = 13$  TeV collisions.

The comparison of the measured  $C(k^*)$  for same-charge Kp pairs with different models is shown in Fig. 1. Each panel presents the results at different collision energy and the comparison with two different scenarios: including only Coulomb repulsion and taking into account also the strong interaction. The blue band represents the correlation function evaluated as described in Eq. (1), assuming only the presence of the Coulomb potential to evaluate the  $C(k^*)^{theoretical}$  term. Similarly, the red band represents the correlation function assuming the strong potential implemented in the Jülich model [58] in addition to the Coulomb potential. The latter has been implemented by correcting the wave function with the factor  $e^{i\delta_c} \sqrt{A_c(\eta)}$ , where  $\delta_c = \arg\Gamma(1 + i\eta)$  is the Coulomb  $s$ -wave shift and  $A_c(\eta) = 2\pi\eta (e^{2\pi\eta} - 1)^{-1}$  is the Gamow factor [59]. The factor  $\eta$ , in natural units, is given by  $\alpha\mu z_1 z_2 / k^*$ , where  $\alpha$  is the fine structure constant,  $z_{1,2}$  the particles charge and  $\mu$  their reduced mass. In the bottom panels, the difference between data and model for the three considered collision energies are shown. The width of the bands represents the range of uncertainties associated with the determination of the  $\lambda$  parameter and with the radius of the source. This comparison reveals that the Coulomb interaction is not able to describe the data points, while the introduction of a strong potential allows to reproduce consistently the data. Hence, the measured correlation functions are sensitive to the strong interaction and can be used to test different strong potentials for the  $K^-p$  system.

The correlation functions obtained as a function of  $k^*$  for opposite-charge kaon–proton pairs are shown in Fig 2. As before, the measured  $C(k^*)$  is reported for the three different collision energies and the  $C(k^*)$  distributions were compared with different interaction models. Since all the models considered in this letter do not take the presence of  $\Lambda(1520)$  into account, only the region below 170 MeV/c is considered in the comparison. The blue bands show results obtained using CATS with a Coulomb potential only. The remaining curves include, on top of the Coulomb attraction, different descriptions of the  $\bar{K}N$  strong interaction. The light blue bands show the result obtained using the chiral Kyoto model calculations with approximate boundary conditions neglecting the transitions to  $\Sigma\pi$  and  $\Lambda\pi$  [44–48]. The red bands indicate the result obtained with the Jülich strong potential, which has recently been updated to reproduce the SIDDHARTA results [50] and includes the  $K^- - \bar{K}^0$  mass difference, as can be seen from the presence of the cusp around  $k^* = 58$  MeV/c. The width of each band takes into account the error related to the  $\lambda$  parameter, the source radius and the baseline.

The comparison between models and data shows that the inclusion of the strong interaction is not enough

to reproduce the measured correlation function, in particular in the region of  $k^*$  below 100 MeV/c. This is not surprising since the models were fitted to only reproduce scattering data above threshold (providing constraints for  $k^* \geq 70$  MeV/c) and the SIDDHARTA results at threshold [27]. Moreover the Kyoto calculation considered here is performed in the so-called isospin basis and hence does not take into account the mass difference among the isospin partners  $K^-$  and  $\bar{K}^0$ . Therefore, the effect related to isospin symmetry breaking is not present in the current version of this model. This difference in mass is present in the Jülich model and even though this introduces the expected structure at  $k^* = 58$  MeV/c, the shape of the correlation function cannot reproduce the data points.

To further test the stability of the results, the measured  $C(k^*)$  without any  $S_T$  cut was used. In this case the background from mini-jets and other kinetically correlated pairs has been subtracted by using a Monte Carlo simulation based on PYTHIA 8 [54], using a procedure similar to the one described in [60]. By using this method the comparison between data and models is consistent within statistical uncertainties with the one obtained using the sphericity selection.

To summarize, the momentum dependent correlations of same-charge and opposite-charge Kp pairs ( $(K^+p \oplus K^-\bar{p})$  and  $(K^-p \oplus K^+\bar{p})$ ) were measured using the two-particle correlation function in pp collisions at different collision energies. A structure around  $k^* = 58$  MeV/c in the measured correlation function of  $(K^-p \oplus K^+\bar{p})$  was observed. The significance of such a structure was evaluated by combining the results from the three analyzed datasets and by interpolating the total correlation function with a spline. By studying the variation in the slope of the derivative of such spline in the range  $50 \leq k^* \leq 60$  MeV/c, the kinematic cusp was assessed at a  $4.4\sigma$  level. The observed structure is consistent with the opening of the  $\bar{K}^0n$  channel ( $p_{\text{lab}} \sim 89$  MeV/c), hence this measurement represents the first experimental evidence for the  $\bar{K}^0n$  ( $K^0\bar{n}$ ) isospin breaking channel due to the mass difference between  $K^-$  and  $\bar{K}^0$ . This demonstrates how the femtoscopy technique is a unique tool to study the  $\bar{K}N$  scattering at low momenta where the traditional scattering experiments at fixed target are difficult to realize.

The measured  $C(k^*)$  were compared to different interaction scenarios. The  $(K^+p \oplus K^-\bar{p})$  correlation functions were proven to be sensitive to the strong interaction, since a Coulomb-only hypothesis is insufficient to describe the data. The inclusion of the strong interaction via the Jülich model results in a good description of the data within uncertainties. The  $(K^-p \oplus K^+\bar{p})$  correlation functions at low  $k^*$  cannot be reproduced by the considered potentials. The data presented in this letter provide new constraints for future low-energy phenomenological QCD calculations and can be used to shed light on the nature of the  $\bar{K}N$  interaction.

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## A The ALICE Collaboration

S. Acharya<sup>141</sup>, D. Adamová<sup>93</sup>, S.P. Adhya<sup>141</sup>, A. Adler<sup>74</sup>, J. Adolfsson<sup>80</sup>, M.M. Aggarwal<sup>98</sup>, G. Aglieri Rinella<sup>34</sup>, M. Agnello<sup>31</sup>, N. Agrawal<sup>10</sup>, Z. Ahammed<sup>141</sup>, S. Ahmad<sup>17</sup>, S.U. Ahn<sup>76</sup>, S. Aiola<sup>146</sup>, A. Akindinov<sup>64</sup>, M. Al-Turany<sup>105</sup>, S.N. Alam<sup>141</sup>, D.S.D. Albuquerque<sup>122</sup>, D. Aleksandrov<sup>87</sup>, B. Alessandro<sup>58</sup>, H.M. Alfanda<sup>6</sup>, R. Alfaro Molina<sup>72</sup>, B. Ali<sup>17</sup>, Y. Ali<sup>15</sup>, A. Alici<sup>10, 53, 27</sup>, A. Alkin<sup>2</sup>, J. Alme<sup>22</sup>, T. Alt<sup>69</sup>, L. Altenkamper<sup>22</sup>, I. Altsybeev<sup>112</sup>, M.N. Anaam<sup>6</sup>, C. Andrei<sup>47</sup>, D. Andreou<sup>34</sup>, H.A. Andrews<sup>109</sup>, A. Andronic<sup>144</sup>, M. Angeletti<sup>34</sup>, V. Anguelov<sup>102</sup>, C. Anson<sup>16</sup>, T. Antičić<sup>106</sup>, F. Antinori<sup>56</sup>, P. Antonioli<sup>53</sup>, R. Anwar<sup>126</sup>, N. Apadula<sup>79</sup>, L. Aphecetche<sup>114</sup>, H. Appelshäuser<sup>69</sup>, S. Arcelli<sup>27</sup>, R. Arnaldi<sup>58</sup>, M. Arratia<sup>79</sup>, I.C. Arsene<sup>21</sup>, M. Arslandok<sup>102</sup>, A. Augustinus<sup>34</sup>, R. Averbeck<sup>105</sup>, S. Aziz<sup>61</sup>, M.D. Azmi<sup>17</sup>, A. Badalà<sup>55</sup>, Y.W. Baek<sup>40</sup>, S. Bagnasco<sup>58</sup>, R. Bailhache<sup>69</sup>, R. Bala<sup>99</sup>, A. Baldisseri<sup>137</sup>, M. Ball<sup>42</sup>, R.C. Baral<sup>85</sup>, R. Barbera<sup>28</sup>, L. Barioglio<sup>26</sup>, G.G. Barnaföldi<sup>145</sup>, L.S. Barnby<sup>92</sup>, V. Barret<sup>134</sup>, P. Bartalini<sup>6</sup>, K. Barth<sup>34</sup>, E. Bartsch<sup>69</sup>, F. Baruffaldi<sup>29</sup>, N. Bastid<sup>134</sup>, S. Basu<sup>143</sup>, G. Batigne<sup>114</sup>, B. Batyunya<sup>75</sup>, P.C. Batzing<sup>21</sup>, D. Bauri<sup>48</sup>, J.L. Bazo Alba<sup>110</sup>, I.G. Bearden<sup>88</sup>, C. Bedda<sup>63</sup>, N.K. Behera<sup>60</sup>, I. Belikov<sup>136</sup>, F. Bellini<sup>34</sup>, R. Bellwied<sup>126</sup>, V. Belyaev<sup>91</sup>, G. Bencedi<sup>145</sup>, S. Beole<sup>26</sup>, A. Bercuci<sup>47</sup>, Y. Berdnikov<sup>96</sup>, D. Berenyi<sup>145</sup>, R.A. Bertens<sup>130</sup>, D. Berzano<sup>58</sup>, L. Betev<sup>34</sup>, A. Bhasin<sup>99</sup>, I.R. Bhat<sup>99</sup>, H. Bhatt<sup>48</sup>, B. Bhattacharjee<sup>41</sup>, A. Bianchi<sup>26</sup>, L. Bianchi<sup>126, 26</sup>, N. Bianchi<sup>51</sup>, J. Bielčik<sup>37</sup>, J. Bielčiková<sup>93</sup>, A. Bilandzic<sup>103, 117</sup>, G. Biro<sup>145</sup>, R. Biswas<sup>3</sup>, S. Biswas<sup>3</sup>, J.T. Blair<sup>119</sup>, D. Blau<sup>87</sup>, C. Blume<sup>69</sup>, G. Boca<sup>139</sup>, F. Bock<sup>34, 94</sup>, A. Bogdanov<sup>91</sup>, L. Boldizsár<sup>145</sup>, A. Bolozdynya<sup>91</sup>, M. Bombara<sup>38</sup>, G. Bonomi<sup>140</sup>, M. Bonora<sup>34</sup>, H. Borel<sup>137</sup>, A. Borissov<sup>144, 91</sup>, M. Borri<sup>128</sup>, H. Bossi<sup>146</sup>, E. Botta<sup>26</sup>, C. Bourjau<sup>88</sup>, L. Bratrud<sup>69</sup>, P. Braun-Munzinger<sup>105</sup>, M. Bregant<sup>121</sup>, T.A. Broker<sup>69</sup>, M. Broz<sup>37</sup>, E.J. Brucken<sup>43</sup>, E. Bruna<sup>58</sup>, G.E. Bruno<sup>33, 104</sup>, M.D. Buckland<sup>128</sup>, D. Budnikov<sup>107</sup>, H. Buesching<sup>69</sup>, S. Bufalino<sup>31</sup>, O. Bugnon<sup>114</sup>, P. Buhler<sup>113</sup>, P. Buncic<sup>34</sup>, O. Busch<sup>133, i</sup>, Z. Buthelezi<sup>73</sup>, J.B. Butt<sup>15</sup>, J.T. Buxton<sup>95</sup>, D. Caffarri<sup>89</sup>, A. Caliva<sup>105</sup>, E. Calvo Villar<sup>110</sup>, R.S. Camacho<sup>44</sup>, P. Camerini<sup>25</sup>, A.A. Capon<sup>113</sup>, F. Carnesecchi<sup>10</sup>, J. Castillo Castellanos<sup>137</sup>, A.J. Castro<sup>130</sup>, E.A.R. Casula<sup>54</sup>, F. Catalano<sup>31</sup>, C. Ceballos Sanchez<sup>52</sup>, P. Chakraborty<sup>48</sup>, S. Chandra<sup>141</sup>, B. Chang<sup>127</sup>, W. Chang<sup>6</sup>, S. Chapeland<sup>34</sup>, M. Chartier<sup>128</sup>, S. Chattopadhyay<sup>141</sup>, S. Chattopadhyay<sup>108</sup>, A. Chauvin<sup>24</sup>, C. Cheshkov<sup>135</sup>, B. Cheynis<sup>135</sup>, V. Chibante Barroso<sup>34</sup>, D.D. Chinellato<sup>122</sup>, S. Cho<sup>60</sup>, P. Chochula<sup>34</sup>, T. Chowdhury<sup>134</sup>, P. Christakoglou<sup>89</sup>, C.H. Christensen<sup>88</sup>, P. Christiansen<sup>80</sup>, T. Chujo<sup>133</sup>, C. Cicalo<sup>54</sup>, L. Cifarelli<sup>10, 27</sup>, F. Cindolo<sup>53</sup>, J. Cleymans<sup>125</sup>, F. Colamaria<sup>52</sup>, D. Colella<sup>52</sup>, A. Collu<sup>79</sup>, M. Colocci<sup>27</sup>, M. Concas<sup>58, ii</sup>, G. Conesa Balbastre<sup>78</sup>, Z. Conesa del Valle<sup>61</sup>, G. Contin<sup>128</sup>, J.G. Contreras<sup>37</sup>, T.M. Cormier<sup>94</sup>, Y. Corrales Morales<sup>26, 58</sup>, P. Cortese<sup>32</sup>, M.R. Cosentino<sup>123</sup>, F. Costa<sup>34</sup>, S. Costanza<sup>139</sup>, J. Crkovská<sup>61</sup>, P. Crochet<sup>134</sup>, E. Cuautle<sup>70</sup>, L. Cunqueiro<sup>94</sup>, D. Dabrowski<sup>142</sup>, T. Dahms<sup>103, 117</sup>, A. Dainese<sup>56</sup>, F.P.A. Damas<sup>137, 114</sup>, S. Dani<sup>66</sup>, M.C. Danisch<sup>102</sup>, A. Danu<sup>68</sup>, D. Das<sup>108</sup>, I. Das<sup>108</sup>, S. Das<sup>3</sup>, A. Dash<sup>85</sup>, S. Dash<sup>48</sup>, A. Dashi<sup>103</sup>, S. De<sup>85, 49</sup>, A. De Caro<sup>30</sup>, G. de Cataldo<sup>52</sup>, C. de Conti<sup>121</sup>, J. de Cuveland<sup>39</sup>, A. De Falco<sup>24</sup>, D. De Gruttola<sup>10</sup>, N. De Marco<sup>58</sup>, S. De Pasquale<sup>30</sup>, R.D. De Souza<sup>122</sup>, S. Deb<sup>49</sup>, H.F. Degenhardt<sup>121</sup>, A. Deisting<sup>102, 105</sup>, K.R. Deja<sup>142</sup>, A. Deloff<sup>84</sup>, S. Delsanto<sup>131, 26</sup>, P. Dhankher<sup>48</sup>, D. Di Bari<sup>33</sup>, A. Di Mauro<sup>34</sup>, R.A. Diaz<sup>8</sup>, T. Dietel<sup>125</sup>, P. Dillenseger<sup>69</sup>, Y. Ding<sup>6</sup>, R. Diviá<sup>34</sup>, Ø. Djuvland<sup>22</sup>, U. Dmitrieva<sup>62</sup>, A. Dobrin<sup>34, 68</sup>, B. Dönigus<sup>69</sup>, O. Dordic<sup>21</sup>, A.K. Dubey<sup>141</sup>, A. Dubla<sup>105</sup>, S. Dudi<sup>98</sup>, A.K. Duggal<sup>98</sup>, M. Dukhishyam<sup>85</sup>, P. Dupieux<sup>134</sup>, R.J. Ehlers<sup>146</sup>, D. Elia<sup>52</sup>, H. Engel<sup>74</sup>, E. Epple<sup>146</sup>, B. Erasmus<sup>114</sup>, F. Erhardt<sup>97</sup>, A. Erokhin<sup>112</sup>, M.R. Ersdal<sup>22</sup>, B. Espagnon<sup>61</sup>, G. Eulisse<sup>34</sup>, J. Eum<sup>18</sup>, D. Evans<sup>109</sup>, S. Evdokimov<sup>90</sup>, L. Fabbietti<sup>117, 103</sup>, M. Faggin<sup>29</sup>, J. Faivre<sup>78</sup>, A. Fantoni<sup>51</sup>, M. Fasel<sup>94</sup>, P. Fedichio<sup>31</sup>, L. 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Tieulent<sup>135</sup>, A. Tikhonov<sup>62</sup>, A.R. Timmins<sup>126</sup>, A. Toia<sup>69</sup>, N. Topilskaya<sup>62</sup>, M. Toppi<sup>51</sup>, F. Torales-Acosta<sup>20</sup>, S.R. Torres<sup>120</sup>, S. Tripathy<sup>49</sup>, T. Tripathy<sup>48</sup>, S. Trogolo<sup>26,29</sup>, G. Trombetta<sup>33</sup>, L. Tropp<sup>38</sup>, V. Trubnikov<sup>2</sup>, W.H. Trzaska<sup>127</sup>, T.P. Trzcinski<sup>142</sup>, B.A. Trzeciak<sup>63</sup>, T. Tsuji<sup>132</sup>, A. Tumkin<sup>107</sup>, R. Turrisi<sup>56</sup>, T.S. Tveter<sup>21</sup>, K. Ullaland<sup>22</sup>, E.N. Umaka<sup>126</sup>, A. Uras<sup>135</sup>, G.L. Usai<sup>24</sup>, A. Utrobicic<sup>97</sup>, M. Vala<sup>116,38</sup>, N. Valle<sup>139</sup>, S. Vallero<sup>58</sup>, N. van der Kolk<sup>63</sup>, L.V.R. van Doremalen<sup>63</sup>, M. van Leeuwen<sup>63</sup>, P. Vande Vyvre<sup>34</sup>, D. Varga<sup>145</sup>, M. Varga-Kofarago<sup>145</sup>, A. Vargas<sup>44</sup>, M. Vargyas<sup>127</sup>, R. Varma<sup>48</sup>, M. Vasileiou<sup>83</sup>, A. Vasiliev<sup>87</sup>, O. Vázquez Doce<sup>117,103</sup>, V. Vechemin<sup>112</sup>, A.M. Veen<sup>63</sup>, E. Vercellin<sup>26</sup>, S. Vergara Limón<sup>44</sup>, L. Vermunt<sup>63</sup>, R. Vernet<sup>7</sup>, R. Vértesi<sup>145</sup>, L. Vickovic<sup>35</sup>, J. Viinikainen<sup>127</sup>, Z. Vilakazi<sup>131</sup>, O. Villalobos Baillie<sup>109</sup>, A. Villatoro Tello<sup>44</sup>, G. Vino<sup>52</sup>, A. Vinogradov<sup>87</sup>, T. Virgili<sup>30</sup>, V. Vislavicius<sup>88</sup>, A. Vodopyanov<sup>75</sup>, B. Volkel<sup>34</sup>, M.A. Völkl<sup>101</sup>, K. Voloshin<sup>64</sup>, S.A. Voloshin<sup>143</sup>, G. Volpe<sup>33</sup>, B. von Haller<sup>34</sup>, I. Vorobyev<sup>103,117</sup>, D. Voscek<sup>116</sup>, J. Vrláková<sup>38</sup>, B. Wagner<sup>22</sup>, Y. Watanabe<sup>133</sup>, M. Weber<sup>113</sup>, S.G. Weber<sup>105</sup>, A. Wegrzynek<sup>34</sup>, D.F. Weiser<sup>102</sup>, S.C. Wenzel<sup>34</sup>, J.P. Wessels<sup>144</sup>, U. Westerhoff<sup>144</sup>, A.M. Whitehead<sup>125</sup>, E. Widmann<sup>113</sup>, J. Wiechula<sup>69</sup>, J. Wikne<sup>21</sup>, G. Wilk<sup>84</sup>, J. Wilkinson<sup>53</sup>, G.A. Willems<sup>34</sup>, E. Willsher<sup>109</sup>, B. Windelband<sup>102</sup>, W.E. Witt<sup>130</sup>, Y. Wu<sup>129</sup>, R. Xu<sup>6</sup>, S. Yalcin<sup>77</sup>, K. Yamakawa<sup>45</sup>, S. Yang<sup>22</sup>, S. Yano<sup>137</sup>, Z. Yin<sup>6</sup>, H. Yokoyama<sup>63</sup>, I.-K. Yoo<sup>18</sup>, J.H. Yoon<sup>60</sup>, S. Yuan<sup>22</sup>, A. Yuncu<sup>102</sup>, V. Yurchenko<sup>2</sup>, V. Zaccolo<sup>58,25</sup>, A. Zaman<sup>15</sup>, C. Zampolli<sup>34</sup>, H.J.C. Zanoli<sup>121</sup>, N. Zardoshti<sup>34,109</sup>, A. Zarochentsev<sup>112</sup>, P. Závada<sup>67</sup>, N. Zaviyalov<sup>107</sup>, H. Zbroszczyk<sup>142</sup>, M. Zhalov<sup>96</sup>, X. Zhang<sup>6</sup>, Z. Zhang<sup>6,134</sup>, C. Zhao<sup>21</sup>, V. Zherebchevskii<sup>112</sup>, N. Zhigareva<sup>64</sup>, D. Zhou<sup>6</sup>, Y. Zhou<sup>88</sup>, Z. Zhou<sup>22</sup>, J. Zhu<sup>6</sup>, Y. Zhu<sup>6</sup>, A. Zichichi<sup>27,10</sup>, M.B. Zimmermann<sup>34</sup>, G. Zinovjev<sup>2</sup>, N. Zurlo<sup>140</sup>,

## Affiliation notes

<sup>i</sup> Deceased

<sup>ii</sup> Dipartimento DET del Politecnico di Torino, Turin, Italy

<sup>iii</sup> M.V. Lomonosov Moscow State University, D.V. Skobeltsyn Institute of Nuclear Physics, Moscow, Russia

<sup>iv</sup> Department of Applied Physics, Aligarh Muslim University, Aligarh, India

<sup>v</sup> Institute of Theoretical Physics, University of Wrocław, Poland

## Collaboration Institutes

<sup>1</sup> A.I. Alikhanyan National Science Laboratory (Yerevan Physics Institute) Foundation, Yerevan, Armenia

<sup>2</sup> Bogolyubov Institute for Theoretical Physics, National Academy of Sciences of Ukraine, Kiev, Ukraine

<sup>3</sup> Bose Institute, Department of Physics and Centre for Astroparticle Physics and Space Science (CAPSS), Kolkata, India

<sup>4</sup> Budker Institute for Nuclear Physics, Novosibirsk, Russia

<sup>5</sup> California Polytechnic State University, San Luis Obispo, California, United States

- 6 Central China Normal University, Wuhan, China
- 7 Centre de Calcul de l'IN2P3, Villeurbanne, Lyon, France
- 8 Centro de Aplicaciones Tecnológicas y Desarrollo Nuclear (CEADEN), Havana, Cuba
- 9 Centro de Investigación y de Estudios Avanzados (CINVESTAV), Mexico City and Mérida, Mexico
- 10 Centro Fermi - Museo Storico della Fisica e Centro Studi e Ricerche "Enrico Fermi", Rome, Italy
- 11 Chicago State University, Chicago, Illinois, United States
- 12 China Institute of Atomic Energy, Beijing, China
- 13 Chonbuk National University, Jeonju, Republic of Korea
- 14 Comenius University Bratislava, Faculty of Mathematics, Physics and Informatics, Bratislava, Slovakia
- 15 COMSATS University Islamabad, Islamabad, Pakistan
- 16 Creighton University, Omaha, Nebraska, United States
- 17 Department of Physics, Aligarh Muslim University, Aligarh, India
- 18 Department of Physics, Pusan National University, Pusan, Republic of Korea
- 19 Department of Physics, Sejong University, Seoul, Republic of Korea
- 20 Department of Physics, University of California, Berkeley, California, United States
- 21 Department of Physics, University of Oslo, Oslo, Norway
- 22 Department of Physics and Technology, University of Bergen, Bergen, Norway
- 23 Dipartimento di Fisica dell'Università 'La Sapienza' and Sezione INFN, Rome, Italy
- 24 Dipartimento di Fisica dell'Università and Sezione INFN, Cagliari, Italy
- 25 Dipartimento di Fisica dell'Università and Sezione INFN, Trieste, Italy
- 26 Dipartimento di Fisica dell'Università and Sezione INFN, Turin, Italy
- 27 Dipartimento di Fisica e Astronomia dell'Università and Sezione INFN, Bologna, Italy
- 28 Dipartimento di Fisica e Astronomia dell'Università and Sezione INFN, Catania, Italy
- 29 Dipartimento di Fisica e Astronomia dell'Università and Sezione INFN, Padova, Italy
- 30 Dipartimento di Fisica 'E.R. Caianiello' dell'Università and Gruppo Collegato INFN, Salerno, Italy
- 31 Dipartimento DISAT del Politecnico and Sezione INFN, Turin, Italy
- 32 Dipartimento di Scienze e Innovazione Tecnologica dell'Università del Piemonte Orientale and INFN Sezione di Torino, Alessandria, Italy
- 33 Dipartimento Interateneo di Fisica 'M. Merlin' and Sezione INFN, Bari, Italy
- 34 European Organization for Nuclear Research (CERN), Geneva, Switzerland
- 35 Faculty of Electrical Engineering, Mechanical Engineering and Naval Architecture, University of Split, Split, Croatia
- 36 Faculty of Engineering and Science, Western Norway University of Applied Sciences, Bergen, Norway
- 37 Faculty of Nuclear Sciences and Physical Engineering, Czech Technical University in Prague, Prague, Czech Republic
- 38 Faculty of Science, P.J. Šafárik University, Košice, Slovakia
- 39 Frankfurt Institute for Advanced Studies, Johann Wolfgang Goethe-Universität Frankfurt, Frankfurt, Germany
- 40 Gangneung-Wonju National University, Gangneung, Republic of Korea
- 41 Gauhati University, Department of Physics, Guwahati, India
- 42 Helmholtz-Institut für Strahlen- und Kernphysik, Rheinische Friedrich-Wilhelms-Universität Bonn, Bonn, Germany
- 43 Helsinki Institute of Physics (HIP), Helsinki, Finland
- 44 High Energy Physics Group, Universidad Autónoma de Puebla, Puebla, Mexico
- 45 Hiroshima University, Hiroshima, Japan
- 46 Hochschule Worms, Zentrum für Technologietransfer und Telekommunikation (ZTT), Worms, Germany
- 47 Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest, Romania
- 48 Indian Institute of Technology Bombay (IIT), Mumbai, India
- 49 Indian Institute of Technology Indore, Indore, India
- 50 Indonesian Institute of Sciences, Jakarta, Indonesia
- 51 INFN, Laboratori Nazionali di Frascati, Frascati, Italy
- 52 INFN, Sezione di Bari, Bari, Italy
- 53 INFN, Sezione di Bologna, Bologna, Italy
- 54 INFN, Sezione di Cagliari, Cagliari, Italy
- 55 INFN, Sezione di Catania, Catania, Italy
- 56 INFN, Sezione di Padova, Padova, Italy

- 57 INFN, Sezione di Roma, Rome, Italy
- 58 INFN, Sezione di Torino, Turin, Italy
- 59 INFN, Sezione di Trieste, Trieste, Italy
- 60 Inha University, Incheon, Republic of Korea
- 61 Institut de Physique Nucléaire d'Orsay (IPNO), Institut National de Physique Nucléaire et de Physique des Particules (IN2P3/CNRS), Université de Paris-Sud, Université Paris-Saclay, Orsay, France
- 62 Institute for Nuclear Research, Academy of Sciences, Moscow, Russia
- 63 Institute for Subatomic Physics, Utrecht University/Nikhef, Utrecht, Netherlands
- 64 Institute for Theoretical and Experimental Physics, Moscow, Russia
- 65 Institute of Experimental Physics, Slovak Academy of Sciences, Košice, Slovakia
- 66 Institute of Physics, Homi Bhabha National Institute, Bhubaneswar, India
- 67 Institute of Physics of the Czech Academy of Sciences, Prague, Czech Republic
- 68 Institute of Space Science (ISS), Bucharest, Romania
- 69 Institut für Kernphysik, Johann Wolfgang Goethe-Universität Frankfurt, Frankfurt, Germany
- 70 Instituto de Ciencias Nucleares, Universidad Nacional Autónoma de México, Mexico City, Mexico
- 71 Instituto de Física, Universidade Federal do Rio Grande do Sul (UFRGS), Porto Alegre, Brazil
- 72 Instituto de Física, Universidad Nacional Autónoma de México, Mexico City, Mexico
- 73 iThemba LABS, National Research Foundation, Somerset West, South Africa
- 74 Johann-Wolfgang-Goethe Universität Frankfurt Institut für Informatik, Fachbereich Informatik und Mathematik, Frankfurt, Germany
- 75 Joint Institute for Nuclear Research (JINR), Dubna, Russia
- 76 Korea Institute of Science and Technology Information, Daejeon, Republic of Korea
- 77 KTO Karatay University, Konya, Turkey
- 78 Laboratoire de Physique Subatomique et de Cosmologie, Université Grenoble-Alpes, CNRS-IN2P3, Grenoble, France
- 79 Lawrence Berkeley National Laboratory, Berkeley, California, United States
- 80 Lund University Department of Physics, Division of Particle Physics, Lund, Sweden
- 81 Nagasaki Institute of Applied Science, Nagasaki, Japan
- 82 Nara Women's University (NWU), Nara, Japan
- 83 National and Kapodistrian University of Athens, School of Science, Department of Physics, Athens, Greece
- 84 National Centre for Nuclear Research, Warsaw, Poland
- 85 National Institute of Science Education and Research, Homi Bhabha National Institute, Jatni, India
- 86 National Nuclear Research Center, Baku, Azerbaijan
- 87 National Research Centre Kurchatov Institute, Moscow, Russia
- 88 Niels Bohr Institute, University of Copenhagen, Copenhagen, Denmark
- 89 Nikhef, National institute for subatomic physics, Amsterdam, Netherlands
- 90 NRC Kurchatov Institute IHEP, Protvino, Russia
- 91 NRNU Moscow Engineering Physics Institute, Moscow, Russia
- 92 Nuclear Physics Group, STFC Daresbury Laboratory, Daresbury, United Kingdom
- 93 Nuclear Physics Institute of the Czech Academy of Sciences, Řež u Prahy, Czech Republic
- 94 Oak Ridge National Laboratory, Oak Ridge, Tennessee, United States
- 95 Ohio State University, Columbus, Ohio, United States
- 96 Petersburg Nuclear Physics Institute, Gatchina, Russia
- 97 Physics department, Faculty of science, University of Zagreb, Zagreb, Croatia
- 98 Physics Department, Panjab University, Chandigarh, India
- 99 Physics Department, University of Jammu, Jammu, India
- 100 Physics Department, University of Rajasthan, Jaipur, India
- 101 Physikalisches Institut, Eberhard-Karls-Universität Tübingen, Tübingen, Germany
- 102 Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
- 103 Physik Department, Technische Universität München, Munich, Germany
- 104 Politecnico di Bari, Bari, Italy
- 105 Research Division and ExtreMe Matter Institute EMMI, GSI Helmholtzzentrum für Schwerionenforschung GmbH, Darmstadt, Germany
- 106 Rudjer Bošković Institute, Zagreb, Croatia
- 107 Russian Federal Nuclear Center (VNIIEF), Sarov, Russia



- 108 Saha Institute of Nuclear Physics, Homi Bhabha National Institute, Kolkata, India
- 109 School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom
- 110 Sección Física, Departamento de Ciencias, Pontificia Universidad Católica del Perú, Lima, Peru
- 111 Shanghai Institute of Applied Physics, Shanghai, China
- 112 St. Petersburg State University, St. Petersburg, Russia
- 113 Stefan Meyer Institut für Subatomare Physik (SMI), Vienna, Austria
- 114 SUBATECH, IMT Atlantique, Université de Nantes, CNRS-IN2P3, Nantes, France
- 115 Suranaree University of Technology, Nakhon Ratchasima, Thailand
- 116 Technical University of Košice, Košice, Slovakia
- 117 Technische Universität München, Excellence Cluster 'Universe', Munich, Germany
- 118 The Henryk Niewodniczanski Institute of Nuclear Physics, Polish Academy of Sciences, Cracow, Poland
- 119 The University of Texas at Austin, Austin, Texas, United States
- 120 Universidad Autónoma de Sinaloa, Culiacán, Mexico
- 121 Universidade de São Paulo (USP), São Paulo, Brazil
- 122 Universidade Estadual de Campinas (UNICAMP), Campinas, Brazil
- 123 Universidade Federal do ABC, Santo Andre, Brazil
- 124 University College of Southeast Norway, Tonsberg, Norway
- 125 University of Cape Town, Cape Town, South Africa
- 126 University of Houston, Houston, Texas, United States
- 127 University of Jyväskylä, Jyväskylä, Finland
- 128 University of Liverpool, Liverpool, United Kingdom
- 129 University of Science and Technology of China, Hefei, China
- 130 University of Tennessee, Knoxville, Tennessee, United States
- 131 University of the Witwatersrand, Johannesburg, South Africa
- 132 University of Tokyo, Tokyo, Japan
- 133 University of Tsukuba, Tsukuba, Japan
- 134 Université Clermont Auvergne, CNRS/IN2P3, LPC, Clermont-Ferrand, France
- 135 Université de Lyon, Université Lyon 1, CNRS/IN2P3, IPN-Lyon, Villeurbanne, Lyon, France
- 136 Université de Strasbourg, CNRS, IPHC UMR 7178, F-67000 Strasbourg, France, Strasbourg, France
- 137 Université Paris-Saclay Centre d'Etudes de Saclay (CEA), IRFU, Département de Physique Nucléaire (DPhN), Saclay, France
- 138 Università degli Studi di Foggia, Foggia, Italy
- 139 Università degli Studi di Pavia, Pavia, Italy
- 140 Università di Brescia, Brescia, Italy
- 141 Variable Energy Cyclotron Centre, Homi Bhabha National Institute, Kolkata, India
- 142 Warsaw University of Technology, Warsaw, Poland
- 143 Wayne State University, Detroit, Michigan, United States
- 144 Westfälische Wilhelms-Universität Münster, Institut für Kernphysik, Münster, Germany
- 145 Wigner Research Centre for Physics, Hungarian Academy of Sciences, Budapest, Hungary
- 146 Yale University, New Haven, Connecticut, United States
- 147 Yonsei University, Seoul, Republic of Korea