# EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH





# Nuclear modification factor of light neutral-meson spectra up to high transverse momentum in p–Pb collisions at $\sqrt{s_{NN}} = 8.16$ TeV

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#### Abstract

Neutral pion and  $\eta$  meson production cross sections were measured up to unprecedentedly high transverse momenta ( $p_{\rm T}$ ) in p–Pb collisions at  $\sqrt{s_{\rm NN}} = 8.16$  TeV. The mesons were reconstructed via their two-photon decay channel in the rapidity interval -1.3 < y < 0.3 in the ranges of  $0.4 < p_{\rm T} < 200$  GeV/*c* and  $1.0 < p_{\rm T} < 50$  GeV/*c*, respectively. The respective nuclear modification factor ( $R_{\rm pPb}$ ) is presented for  $p_{\rm T}$  up to of 200 and 30 GeV/*c*, where the former was achieved extending the  $\pi^0$  measurement in pp collisions at  $\sqrt{s} = 8$  TeV. The values of  $R_{\rm pPb}$  are below unity for  $p_{\rm T} < 10$  GeV/*c*, while they are consistent with unity for  $p_{\rm T} > 10$  GeV/*c*. The new data provide constraints for nuclear parton distribution and fragmentation functions over a broad kinematic range and are compared to model predictions as well as previous results at  $\sqrt{s_{\rm NN}} = 5.02$  TeV.

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# 1 Introduction

Measurements of identified hadron spectra in high-energy proton-proton (pp) collisions are well suited to constrain perturbative predictions from Quantum Chromodynamics (QCD) [1]. At large momentum transfer ( $Q^2$ ) one relies in these perturbative QCD (pQCD) calculations on the factorization of computable short-range parton scattering from long-range properties of QCD that need experimental input. These non-perturbative properties are typically modeled by parton distribution functions (PDFs), which describe the fractional-momentum (x) distributions of quarks and gluons within the proton, and fragmentation functions (FFs), which describe the fractional-momentum (z) distribution of quarks or gluons for hadrons of certain species.

In high-energy proton–nucleus (p–A) collisions, nuclear effects are expected to significantly affect particle production, in particular at small x [2]. Previous measurements of neutral pions and charged hadrons in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV at the LHC [3–6] indeed revealed distinct deviations from binary-scaled pp collisions, confirming earlier results from deuteron–gold collisions at  $\sqrt{s_{NN}} = 0.2$  TeV at RHIC [7, 8]. The modification at low  $p_T$  (~1 GeV/c), which is attributed to nuclear shadowing, can be parameterized by nuclear parton distribution functions (nPDFs) [9, 10]. However, the high parton densities reached at low  $p_T$  (x as small as ~ 5  $\cdot$  10<sup>-4</sup>) makes the Color Glass Condensate (CGC) framework [11] applicable which predicts strong particle suppression due to saturation of the parton phase space in nuclei [12]. Recently, also parton energy loss in cold nuclear matter was shown [13] to lead to suppressed particle yields at low  $p_T$ , while at high  $p_T$  (~10 GeV/c) parton energy loss in hot nuclear matter may play a role [14].

In this letter, the nuclear modification of particle yields is quantified by

$$R_{\rm pPb} = \frac{1}{A_{\rm Pb}} \frac{d^2 \sigma_{\rm pPb}}{d p_{\rm T} d y} \left/ \frac{d^2 \sigma_{\rm pp}}{d p_{\rm T} d y} \right.$$
(1)

where  $A_{\rm Pb} = 208$  is the nuclear mass number of lead and  $d^2\sigma/(dp_{\rm T}dy)$  are the  $\pi^0$  or  $\eta$  meson cross sections measured in p–Pb collisions at  $\sqrt{s_{\rm NN}} = 8.16$  TeV and in the corresponding pp reference system at  $\sqrt{s} = 8$  TeV.

# 2 ALICE detector

The neutral mesons were reconstructed via their two-photon decay channels  $\pi^0(\eta) \to \gamma\gamma$  using different reconstruction techniques provided by the various subdetector systems of ALICE [15, 16]. Photons are either reconstructed using the Electromagnetic Calorimeter (EMCal), the Photon Spectrometer (PHOS) or via the Photon Conversion Method (PCM). The latter uses  $e^+e^-$  pairs from conversions, which are reconstructed from tracks measured in the Inner Tracking System (ITS) [17] and the Time Projection Chamber (TPC) [17] at  $|\eta| < 0.9$  inside a solenoidal magnetic field of B = 0.5 T. The EMCal [18, 19] is a lead-scintillator sampling electromagnetic calorimeter at a radial distance of 4.28 m from the interaction point (IP) covering  $\Delta \varphi = 100^{\circ}$  in azimuth for  $|\eta| < 0.7$  in pseudorapidity during the 2012 pp data taking period. During the p-Pb data taking in 2016, additional modules [19] were available that extended the coverage to  $\Delta \varphi = 107^{\circ}$  for  $|\eta| < 0.7$  and added  $\Delta \varphi = 60^{\circ}$  opposite in azimuth for  $0.22 < |\eta| < 0.7$ . The calorimeter provides an energy resolution of  $\sigma_E/E = 4.8\%/E \oplus 11.3\%/\sqrt{E} \oplus 1.7\%$ , with E in units of GeV. In its full configuration, it consists of a total of 18240 cells of transverse size  $6 \times 6$  cm<sup>2</sup> each. The PHOS [20] is a lead tungstate electromagnetic calorimeter with 12544 channels at a distance of 4.6 m from the IP, covering  $\Delta \varphi = 70^{\circ}$  and  $|\eta| < 0.12$ . Its high light yield combined with its cell size being only slightly larger than the Molière radius of 2 cm results in an energy resolution of  $\sigma_E/E =$  $1.8\%/E \oplus 3.3\%/\sqrt{E} \oplus 1.1\%.$ 

# **3** Data samples and event selection

The p–Pb data at  $\sqrt{s_{\rm NN}} = 8.16$  TeV were recorded in 2016. Due to the equal magnetic rigidity for proton and Pb beams in the LHC, the measurements that were performed in the laboratory system at  $|y_{\rm lab}| < 0.8$ , correspond to approximately -1.3 < y < 0.3 in the centre-of-mass frame. The minimum bias (MB) event trigger required a coincidence at Level 0 (L0) of signals issued by the VOA and VOC detectors, which are two arrays of 32 scintillator tiles each covering full azimuth at  $2.8 < \eta < 5.1$  and  $-3.7 < \eta < -1.7$ , respectively [21]. Additional triggers at L0 required an energy deposit above 2 GeV for EMCal and 4 GeV for PHOS, in  $4 \times 4$  adjacent cells in coincidence with the MB trigger. Based on the L0 preselection, further hardware Level 1 triggers were issued, two for the EMCal with energy thresholds at 5.5 GeV and 8 GeV and one for the PHOS at 7 GeV. For the data presented, total integrated luminosities of 11 nb<sup>-1</sup> for p–Pb and 657 nb<sup>-1</sup> for pp collisions (recorded in 2012) were inspected.

# 4 Analysis

Reconstructed tracks were used to determine the primary vertex of the collision, which was required to be within 10 cm from the nominal IP position along the beam direction. Details on event selection, photon and meson reconstruction methods, which are analogous to those described in Refs. [6, 22], are provided in the supplemental material [23]. To achieve an optimal uncertainty cancellation on  $R_{pPb}$ , the meson analyses were performed simultaneously for the p–Pb and pp data sets using identical methods and selections, where possible.

Photon reconstruction in the EMCal (PHOS) is based on grouping adjacent cells, with energy deposits above  $E_{\text{cell}}^{\min} = 100 \ (20)$  MeV, into clusters starting with a seed cell of  $E_{\text{cell}}^{\text{seed}} > 500 \ (50)$  MeV. The thresholds for PHOS are lower due to its better energy resolution and finer granularity. Photon candidates in the EMCal were required to have  $|\eta_{\gamma}| < 0.67$ , a minimum of two cells in the cluster ( $N_{\text{cell}}^{\text{cls}} \ge 2$ ), and an elongation ( $\sigma_{\text{long}}^2$  [24]) between 0.1 and 0.5. In PHOS,  $|\eta_{\gamma}| < 0.12$  was required and the criteria  $\sigma_{\text{long}}^2 > 1$ 0.1 and  $N_{cell}^{cls} \ge 3$  were only applied to clusters with E > 2 GeV. Hadron and electron contamination of the photon clusters in the EMCal was removed if an associated track was found with  $E/p_{\text{track}} <$ 1.75. The E/p veto increased the photon efficiency by up to 50% at high  $p_{\rm T}$  with respect to previous measurements [6, 22]. Corrections for the non-linear energy response of the calorimeters were applied to the cluster energy. For the EMCal the correction was obtained from electron test beam data and from laboratory-based measurements of the low-gain shapers in the front-end electronics. The correction is sizeable only at low E (6% at 1 GeV) and at high E (14% at 200 GeV). It includes a residual relative energy-and-position correction, which is applied on simulated EMCal clusters to match the  $\pi^0$  peak position in data. An improved description of the EMCal cluster properties in simulations was achieved by introducing a cross talk emulation within the same EMCal readout card as described in Ref. [25]. The resulting agreement of the  $\pi^0$  mass peak position is better than 0.3% between data and simulation. For the PHOS, the energy non-linearity was corrected by fixing the reconstructed  $\pi^0$  mass to the nominal PDG value [26].

Photon conversions were reconstructed by combining oppositely charged tracks, originating from a common vertex up to a radius of 180 cm, through a secondary vertex finder. Only tracks with a TPC dE/dx within  $-3\sigma$  and  $+4\sigma$  of the expected values for electrons were accepted, where  $\sigma$  is the dE/dx resolution. Additionally, tracks with p > 0.4 GeV/c and dE/dx up to  $1\sigma$  above the expected value for pions were rejected. For tracks with p > 3.5 GeV/c, this was loosened to  $0.5\sigma$ . The photon conversion selection criteria were further optimized with respect to previous measurements [22, 27] to yield about 10% better efficiency at similar purity.

An invariant mass  $(m_{\gamma\gamma})$  technique was used for the reconstruction of neutral pions and  $\eta$  mesons. For this,  $m_{\gamma\gamma}$  was calculated for all possible combinations of photon candidates per event taking either both photons reconstructed by the same method (called PCM, EMC, and PHOS), or one photon reconstructed



**Fig. 1:** Neutral pion a) and  $\eta$  meson d) cross sections for pp collisions at  $\sqrt{s} = 8$  TeV and p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV together with TCM fits, NLO calculations [28–31] and PYTHIA 8 [32, 33] predictions using different nPDFs [9, 10]. Statistical uncertainties are shown as vertical bars; the systematic uncertainties as boxes. The ratios of the  $\pi^0$  spectra in p–Pb and pp collisions to the TCM fits are shown in panel b) and c), respectively, together with the ratios of the calculations to the fits; panel e) shows the same for  $\eta$  mesons in p–Pb collisions. In panel f) the  $\eta/\pi^0$  ratios in pp and p–Pb collisions are compared to theory predictions. The normalization uncertainty in the spectra ratio panels is indicated as a solid gray box around unity.

with PCM and one with EMC (called PCM-EMC). The invariant mass distributions were calculated in  $p_{\rm T}$  intervals of the meson candidates (examples are shown in Ref. [23]). For each interval, the combinatorial background, obtained from event mixing, and residual correlated background were subtracted (see Ref. [23]). The remaining distributions were then integrated in ~  $3\sigma$  around the fitted mass peak position to determine the raw yields.

Neutral pions with  $p_T > 16 \text{ GeV}/c$  were measured with the merged-cluster (mEMC) method [27], which exploits single clusters in the EMCal that result from overlapping energy deposits of both decay photons in the same cluster due to the small opening angle for large pion momentum. The elongation ( $\sigma_{\text{long}}^2 > 0.27$ ) of clusters with  $p_T > 16 \text{ GeV}/c$  was used to discriminate between single-photon ( $\sigma_{\text{long}}^2 \approx 0.25$ ) and merged-photon clusters. The  $\sigma_{\text{long}}^2$  distribution was obtained in  $p_T$ -intervals of the clusters (examples are shown in [23]), and the integrated counts above 0.27 were used as raw  $\pi^0$  candidate yields. The resulting  $\pi^0$  purity is between 81–87% decreasing with  $p_T$  in p–Pb and 83–89% in pp collisions. It was determined via PYTHIA 8 [32] simulations with additional data-driven corrections, which increase the relative fractions of prompt photons by 1–3% and of  $\eta$  mesons by 2%. POWHEG-Box [34, 35] simulations were used to determine an additional purity correction for electrons from weak decays of up to 3%.

Correction factors for reconstruction efficiency and kinematic acceptance (see Ref. [23]) were obtained from simulations of the detector response with GEANT3 [36] using DPMJET [37] and PYTHIA 8 [32] as event generators. The correction factors for secondary  $\pi^0$  from long-lived strange hadron decays were

obtained from a particle-decay simulation based on measured spectra and are dominated by contributions from  $K_S^0$  and  $\Lambda$  decays [22, 38]. They amount to about 1–6% and decrease with  $p_T$ . For the PCM method, an additional correction for out-of-bunch pileup of 7 to 15% decreasing with  $p_T$  was applied.

The spectra were normalized by the integrated luminosities of each trigger sample and meson reconstruction method as explained in Refs. [23] and [22].

The systematic uncertainties on the  $\pi^0$  ( $\eta$ ) cross sections contain contributions from the yield extraction of 1–10% (2–20%) depending on the reconstruction method and  $p_T$ . Further contributions from the imperfect description of the selection variables in the simulation amount to 1–4% (1–6%), while the  $p_T$ -independent material-budget uncertainties are 4.5% per PCM photon, 2.8% per EMCal photon and 2% per PHOS photon. Uncertainties arising from the out-of-bunch pileup determination reach 3–5% and global uncertainties on the trigger rejection factors are 2–3% [23]. For the mEMC analysis, the largest systematic uncertainty arises from the shower overlaps in jets, which depend on the jet fragmentation and affect the  $\pi^0$  energy resolution in the EMCal. This uncertainty was estimated as 7–10%, obtained from varying the particle overlaps within clusters. The total uncertainties on the  $\pi^0(\eta)$  cross sections are between 5(8)% and 20(27)% and, due to uncertainty cancellations and correlations, between 7% and 24% on the  $\eta/\pi^0$  ratio. For the  $R_{pPb}$ , the  $p_T$ -independent uncertainties cancel as well as a fraction of the remaining uncertainties resulting in a total uncertainty between 4(11)% and 25(32)%.

# 5 Results

The invariant differential cross sections and  $R_{pPb}$  measured by each method are consistent within their uncertainties (see [23]). For the calculation of  $R_{\rm pPb}$  the spectra are shifted in the y-direction, while for the cross sections they are shifted along the  $p_{T}$ -axis to account for the finite bin width [39]. They were combined using the Best Linear Unbiased Estimate (BLUE) method [40, 41] accounting for the partially correlated uncertainties. The resulting  $\pi^0$  and  $\eta$  invariant differential cross sections for p–Pb collisions at  $\sqrt{s_{\rm NN}} = 8.16$  TeV are shown in Fig. 1 together with the  $\pi^0$  cross section in pp collisions at  $\sqrt{s} = 8$  TeV. This measurement reaches  $p_{\rm T} = 200 \text{ GeV}/c$ , superseding the previous pp measurement that reached 35 GeV/c [22]. The data is compared to a two-component model (TCM) fit [42], NLO calculations [28– 31], and PYTHIA 8 [32, 33] predictions using different nPDFs [9, 10]. NLO calculations using the CT10 [43] or CTEQ6M5 [31] PDFs and nCTEQ15 [9] nPDF together with DSS14 [29] or AESSS [30] fragmentation functions generally overestimate the  $\pi^0$  and  $\eta$  spectra, while predicting a steeper falling spectrum at high  $p_{\rm T}$ . In Fig. 1 they are shown with factorization and renormalization scales varied from  $\mu = p_{\rm T}$  to  $\mu = 0.5 p_{\rm T}$  and  $2 p_{\rm T}$  and indicated by bands. PYTHIA 8 [32] calculations using EPPS16 [10] and nCTEQ15 [9] nPDFs describe the data, however without fully capturing the shape of the  $\pi^0$  spectra, in particular at low and intermediate  $p_{\rm T}$ , and with a tendency to underestimate the  $\eta$  spectra. For the  $\eta/\pi^0$  ratio, presented in Fig. 1f, the differences in the shape and scale between data and calculations approximately cancel. The ratio is rather well described by the predictions and is consistent over the full  $p_{\rm T}$  range between both collision systems. For  $p_{\rm T} > 4$  GeV/c, the  $\eta/\pi^0$  ratio is  $C_{\rm pPb}^{\eta/\pi^0} = 0.479 \pm 0.009({\rm stat}) \pm 0.010({\rm syst})$ , consistent with the previous measurement at a lower centre-of-mass energy [6] and with  $C_{pp}^{\eta/\pi^0} = 0.473 \pm 0.006 \text{(stat)} \pm 0.011 \text{(syst)}$ , the reevaluated  $\eta/\pi^0$  ratio in pp collisions at 8 TeV.

To provide the pp reference for the  $R_{pPb}$ , the pp spectra measured at  $\sqrt{s} = 8$  TeV were scaled to the p–Pb collision energy and corrected for the rapidity difference, using the ratio of  $\pi^0$  spectra generated with PYTHIA 8 Monash 2013 [33] for both kinematic regions, leading to a 1–2% increase over the whole  $p_T$  range. The resulting  $R_{pPb}$  at  $\sqrt{s_{NN}} = 8.16$  TeV is shown in Fig. 2a for both mesons together with theory predictions and in Fig. 2b compared to data taken at  $\sqrt{s_{NN}} = 5.02$  TeV. In the intermediate  $p_T$  region, the charged particle  $R_{pPb}$  exhibits an enhancement compared to the  $\pi^0$  data, which is historically attributed to the stronger Cronin effect for baryons [44, 45]. For  $p_T > 10$  GeV/*c*, no deviation from unity

is observed within uncertainties for both mesons, consistent with predictions and the ALICE  $\pi^0$  and h<sup>±</sup> measurements at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV [6, 46], in contrast to the moderate enhancement for charged hadrons seen by the CMS experiment [5]. Fitting with a constant function resulted in  $1.00 \pm 0.01$  (0.96 ± 0.04) with a  $\chi^2$ /NDF of 1.04 (0.45) for the  $\pi^0$  ( $\eta$ ) meson. The data do not rule out a possible few-percent contribution from final-state effects in the region between 10 and 20 GeV/*c* for both mesons [47].



**Fig. 2:** a)  $R_{pPb}$  for  $\pi^0$  and  $\eta$  mesons in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV together with NLO [9, 10], CGC [12] and FCEL [13] predictions. b)  $R_{pPb}$  for  $\pi^0$  at  $\sqrt{s_{NN}} = 8.16$  TeV compared with  $\pi^0$  [6] and charged hadron measurements [5, 46] at  $\sqrt{s_{NN}} = 5.02$  TeV. c) Ratio of the  $\pi^0 R_{pPb}$  at  $\sqrt{s_{NN}} = 8.16$  TeV to that at  $\sqrt{s_{NN}} = 5.02$  TeV together with corresponding CGC and FCEL model predictions. Statistical uncertainties are shown as vertical bars; the systematic uncertainties as boxes. The overall normalization uncertainties are indicated as solid boxes around unity and amount to 3.4% in a) and b), and to 6.2% in c).

For  $p_{\rm T} < 10 \text{ GeV}/c$ , a suppression of similar magnitude is observed for both mesons within uncertainties. The suppression is described by NLO calculations using EPPS16 [10] and nCETQ15 [9] nPDFs (the latter tends to underpredict the data below 5 GeV/c), as well as by models using gluon recombination as the CGC-based calculations [12] or parton energy loss in cold nuclear matter in the framework of fully coherent energy loss (FCEL) [13].

The comparison of the  $\pi^0 R_{pPb}$  to the previous measurement at  $\sqrt{s_{NN}} = 5.02$  TeV [6], as shown in Fig. 2c, is consistent with unity within uncertainties, but the data hints at a stronger suppression with increasing centre-of-mass energy. A stronger suppression could originate from larger shadowing in the nPDFs, which due to the smaller *x* probed at 8.16 TeV predict a ratio of about 0.98 in the low  $p_T$  region, or from the increasing relevance of gluon saturation, as indicated by the CGC calculation [12]. The FCEL calculation predicts a negligible difference between the two collision energies excluding coherent energy loss as the cause of a stronger suppression. A constant fit for  $p_T < 10$  GeV/*c* yields a ratio of  $0.93 \pm 0.02_{tot} \pm 0.06_{norm}$ , where the normalization uncertainty is dominated by the interpolation of the  $\pi^0$  reference spectrum at 5.02 TeV. Further insight may be gained with a measured pp reference at  $\sqrt{s} = 5.02$  TeV to reduce uncertainties.

# **6** Summary

In summary, cross sections for  $\pi^0$  and  $\eta$  mesons in p–Pb collisions at  $\sqrt{s_{\text{NN}}} = 8.16$  TeV were measured for  $0.4 < p_{\text{T}} < 200$  GeV/*c* and  $1.0 < p_{\text{T}} < 50$  GeV/*c*, respectively, providing constraints for nuclear parton distributions and fragmentation functions over an unprecedented kinematic range for light mesons. By extending the reference  $\pi^0$  measurement in pp collisions at  $\sqrt{s} = 8$  TeV to the same  $p_{\text{T}}$  range using the mEMC method, the  $R_{\text{pPb}}$  for  $\pi^0$  was measured up to 200 GeV/*c*. The  $R_{\text{pPb}}$  measurement is consistent with unity above 10 GeV/*c* and shows a suppression at low  $p_{\text{T}}$ , consistent with theory predictions that also include gluon shadowing or saturation effects.

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# **B** Appendix

#### B.1 Trigger rejection factors and data samples

The trigger rejection factors (*RF*) for the EMCal triggers are estimated through a fit to the ratio of the cluster energy spectra in their plateau regions above the respective trigger thresholds. Figure B.1 shows these ratios for the low and high threshold EMCal Level-1 triggers EG2 and EG1 with error function fits at high energy (*E*) according to  $RF(p_T) = R_0 + \tau \times \operatorname{erf}\left(\frac{p_T - p_{T,0}}{\sqrt{2 \times a}}\right)$ , with the global offset  $R_0$ , free parameters ( $\tau$ ,  $p_{T,0}$ , a) and the error function term  $\operatorname{erf}(x) = \frac{2}{\sqrt{\pi}} \int_x^{\infty} e^{-t^2} dt$ .



**Fig. B.1:** Ratio of cluster spectra in EMCal Level-1 triggered data and minimum bias data in p–Pb collisions at  $\sqrt{s_{\text{NN}}} = 8.16$  TeV. The dashed lines show the error function fits to the ratios, which are used to obtain the trigger rejection factors *RF*.

A similar procedure is performed for the PHOS triggers, where RF is determined on the ratio of the corrected  $\pi^0$  meson spectra instead. The trigger rejection factors from these fits are given in Table B.1 for all event triggers. For the high threshold triggers, RF is obtained from the product  $RF_{EG2/MB} \times RF_{EG1/EG2}$  or  $RF_{PHOS-L0/MB} \times RF_{PHOS-L1/L0}$ . Uncertainties on RF are given as combined statistical and systematic uncertainties where the latter part was determined via variations of the low E fit range.

			$\mathscr{L}_{int} (nb^{-1})$			
System	Trigger	RF	EMC & mEMC	PCM-EMC	PCM	PHOS
p–Pb	MB	-	0.018(0.041)	0.018	0.022	0.036
	EMCal L1 (low)	$288\pm8$	$0.206\pm0.005$	$0.081 \pm 0.002$	-	-
	EMCal L1 (high)	$852\pm38$	$5.67\pm0.16$	$1.42\pm0.04$	-	-
	PHOS L0	$(1.66 \pm 0.01) \times 10^3$	-	-	-	$1.686 \pm 0.014$
	PHOS L1	$(1.58\pm 0.03)\times 10^4$	-	-	-	$6.42 \pm 0.12$
pp	MB	-	1.94	1.94	2.17	1.25
	EMCal/PHOS L0	$64.6\pm1.0$	$39.4\pm1.0$	$39.4 \pm 1.0$	-	$136\pm17$
	EMCal L1	$(14.7\pm0.6)\times10^{3}$	$606\pm16$	$606\pm16$	-	-

**Table B.1:** Trigger rejection factor *RF* and total integrated luminosities based on the individual samples for the different reconstruction methods and triggers in pp collisions at  $\sqrt{s} = 8$  TeV and p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. The uncertainties reflect the systematic uncertainty of the *RF* determination. The uncertainty associated with the determination of the MB cross section of 1.9% for p–Pb and 2.6% for pp is not included. The value in brackets corresponds to the high luminosity minimum bias data sample where TPC tracking is not available.

The integrated luminosities of each trigger sample and for each reconstruction method are calculated based on the MB cross section of  $\sigma_{MB} = (2.09(2.10) \pm 0.04)$  b for the p–Pb (Pb–p) collisions [48] as  $\mathscr{L}_{int} = RF \times N_{events}/\sigma_{MB}$  and are listed in Table B.1. For PCM-EMC lower integrated lumiosities are reported due to the lack of TPC readout in two thirds of the triggered data. The pp collision data set at a centre-of-mass energy of  $\sqrt{s} = 8$  TeV used in this analysis was recorded in 2012 and the respective integrated luminosities are listed in Table B.1.

#### **B.2** Invariant mass and shower shape distributions

The two-photon invariant mass distribution,  $M_{\gamma\gamma}$ , around the neutral pion and  $\eta$  meson rest mass [49] reconstructed with the PCM, EMC, PCM-EMC and PHOS methods are shown in Figure B.2. For the PHOS technique, the combinatorial background, obtained from event mixing, is subtracted after scaling with a second order polynomial determined on the ratio of signal and background in order to remove its dependence on the detector acceptance. For the remaining techniques, the combinatorial background is subtracted after scaling to the right-hand side of the signal peak. A residual correlated background arising from residual jet-like correlations is contained in the mixed-event subtracted distributions, which can be described by a linear function and subtracted. This linear function is included in the signal fit function, which is defined as

$$y = A \times \left\{ G(M_{\gamma\gamma}) + \exp\left(\frac{M_{\gamma\gamma} - M_{\pi^0,\eta}}{\lambda}\right) \left[1 - G(M_{\gamma\gamma})\right] \theta(M_{\pi^0,\eta} - M_{\gamma\gamma}) \right\} + B + C \times M_{\gamma\gamma}$$
(B.1)

with 
$$G(M_{\gamma\gamma}) = \exp\left[-0.5\left(\frac{M_{\gamma\gamma} - M_{\pi^0,\eta}}{\sigma_{M_{\gamma\gamma}}}\right)^2\right],$$
 (B.2)

where the signal is described by a Gaussian distribution  $G(M_{\gamma\gamma})$  around the mean value  $M_{\pi^0,\eta}$  with a width of  $\sigma_{M_{\gamma\gamma}}$ . A one-sided exponential tail for  $M_{\gamma\gamma} < M_{\pi^0,\eta}$  is enabled with a Heaviside function ( $\theta$ ) and describes the smearing of the distribution due to electron bremsstrahlung for PCM or late photon conversions for EMC and PCM-EMC. An additional exponential tail term for  $M_{\gamma\gamma} > M_{\pi^0,n}$  is introduced for the analysis of the EMCal-triggered data to account for cluster overlap and resolution effects smearing the reconstructed invariant mass to larger values. The residual correlated background is described with the linear function given by  $B + C \times M_{\gamma\gamma}$ . The combined combinatorial and residual background is indicated in Figure B.2 by open gray circles, while the signal is shown with solid red markers and the signal fit is given by the blue line. The integration ranges for the raw yield extraction are indicated by vertical dashed lines. Figure B.3 shows the invariant mass peak positions and peak widths (FWHM), obtained from the fits according to Equation B.2, for the different reconstruction methods PCM, PHOS, EMC, and PCM-EMC and for both neutral mesons. Example shower shape distributions ( $\sigma_{long}^2$ ) used for the mEMC analysis are shown in Figure B.4 for two  $p_{\rm T}$  intervals. The top panels visualize the composition of the overall distribution based on PYTHIA 8 Monte Carlo simulations with contributions from the signal ( $\pi^0$ ) and from background sources ( $\eta, \gamma, e^{\pm}$ , and hadrons). The bottom panels shows the performance of the simulation to describe the distribution in data.



**Fig. B.2:** Invariant mass distributions for example transverse momentum intervals around the  $\pi^0$  (left) and  $\eta$  (right) meson rest mass for PCM, PCM-EMC, EMC and PHOS from top to bottom. The raw invariant mass distribution is shown in black, the scaled event mixing background including an additional linear correction in gray and the signal is given by red points together with a fit function shown in blue. Integration ranges for the raw yield extraction are indicated by the vertical dashed lines.



**Fig. B.3:** Invariant mass peak width, shown in panels a) and c), and position, shown in panels b) and d), for  $\pi^0$  (left) and  $\eta$  (right), obtained from the fits on the invariant mass distributions, versus transverse momentum for PCM, PCM-EMC, EMC and PHOS in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. Data is presented with full markers while Monte Carlo simulation parameters are given by open markers. Vertical error bars represent statistical uncertainties. The gray dashed line in panels b) and d) indicates the respective meson rest mass.



**Fig. B.4:** Shower shape distribution for the elongation  $\sigma_{long}^2$  in PYTHIA 8 Monte Carlo simulations (top) showing the various contributions to the full cluster sample for two example  $p_T$  intervals. The bottom panels show a comparison of the  $\sigma_{long}^2$  distributions in data and simulation in the same  $p_T$  intervals.

# **B.3** Total correction factors

The  $p_{\rm T}$ -dependent total correction factors for the PCM, PHOS, EMC, PCM-EMC, and mEMC neutral meson reconstruction are shown in Figure B.5. They are composed of the reconstruction efficiency ( $\varepsilon_{\rm rec}$ ), the geometrical acceptance (*A*), the purity correction (*P*), and normalization factors for each reconstruction technique.



**Fig. B.5:** Total correction factor comprised of reconstruction efficiency  $\varepsilon_{rec}$ , kinematic acceptance *A*, purity *P* and normalization factors based on the analyzed rapidity window of each reconstruction method as a function of the meson transverse momentum.

## **B.4** Systematic uncertainties

An overview of the detailed systematic uncertainties for each reconstruction technique for example  $p_{\rm T}$ -intervals is provided in Table B.2 to Table B.6 for the  $\pi^0$  and  $\eta$  meson spectra, the  $\eta/\pi^0$  ratio, as well as the respective nuclear modification factors. The combined statistical and systematic uncertainties according to the BLUE method [40, 41] are listed, excluding the normalization uncertainty of the minimum bias trigger cross section ( $\sigma_{\rm MB}$ ).

**Table B.2:** Summary of relative systematic uncertainties in percent for selected  $p_T$  intervals for the reconstruction of  $\pi^0$  mesons in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. The statistical uncertainties are given in addition to the total systematic uncertainties for each bin. The combined statistical and systematic uncertainties are also listed, obtained by applying the BLUE method [40, 41] for all reconstruction methods available in the given  $p_T$  bin, considering the uncertainty correlations for the different methods. The uncertainty from  $\sigma_{MB}$  determination of 1.9%, see Ref. [48], is independent of the reported measurements and is separately indicated in the figures appearing in the main body of the letter.

$p_{\rm T}$ interval	1.4 – 1.8 GeV/c					5 - 6	GeV/c			16 - 1	100-130 GeV/c		
Method	РСМ	PCM- EMC	EMC	PHOS	PCM	PCM- EMC	EMC	PHOS	PCM- EMC	EMC	PHOS	mEMC	mEMC
Signal extraction	4.1	2.4	3.5	2.1	6.9	1.0	4.1	2.9	1.5	7.3	4.9	8.3	9.5
Inner material	9.0	4.5	-	-	9.0	4.5	-	-	4.5	-	-	-	-
Outer material	-	2.8	4.2	2.0	-	2.8	4.2	2.0	2.8	4.2	2.0	2.1	2.1
PCM track rec.	0.2	0.9	-	-	0.4	0.8	-	-	0.4	-	-	-	-
PCM electron PID	0.7	0.4	-	-	0.6	0.5	-	-	0.7	-	-	-	-
PCM photon PID	0.7	0.9	-	-	1.0	2.0	-	-	2.1	-	-	-	-
Cluster description	-	1.5	5.5	1.1	-	2.0	3.6	0.1	2.9	5.2	-	3.8	4.3
Cluster energy calib.	-	1.5	1.9	2.3	-	1.5	1.9	3.1	1.5	1.9	3.2	3.3	3.3
Track match to cluster	-	0.2	0.2	-	-	0.7	0.3	-	0.5	1.1	-	-	-
Efficiency	-	1.0	2.3	1.9	-	1.0	2.3	1.9	1.0	3.0	2.0	3.6	3.6
Trigg. norm.&pileup	4.7	-	-	2.0	3.3	-	-	2.0	2.8	2.8	2.8	1.9	1.9
Total syst. uncertainty	11.0	6.4	8.3	5.5	11.9	6.5	7.6	5.2	7.4	10.9	7.0	10.7	11.9
Statistical uncertainty	2.2	2.2	3.1	1.1	6.7	3.7	2.0	3.1	3.6	4.2	3.6	2.4	5.7
Combined stat. unc.	1.0				1.8			2.2				5.7	
Combined syst. unc.	3.6			3.9				4.9				11.9	

**Table B.3:** Summary of relative systematic uncertainties in percent for selected  $p_T$  intervals for the reconstruction of  $\eta$  mesons, see Tab. B.2 for further explanations that also apply here.

$p_{\rm T}$ interval	2.5 – 3.0 GeV/c					6-8	GeV/c	20 – 25 GeV/c			
Method	PCM	PCM- EMC	EMC	PHOS	PCM	PCM- EMC	EMC	PHOS	PCM- EMC	EMC	PHOS
Signal extraction	3.8	3.3	23.7	18.0	5.0	4.7	7.3	4.5	14.4	3.0	7.0
Inner material	9.0	4.5	-	-	9.0	4.5	-	-	4.5	-	-
Outer material	-	2.8	4.2	1.8	-	2.8	4.2	1.8	2.8	4.2	1.8
PCM track rec.	1.2	1.9	-	-	2.0	2.8	-	-	1.3	-	-
PCM electron PID	1.3	4.2	-	-	3.2	1.9	-	-	2.9	-	-
PCM photon PID	2.6	3.9	-	-	4.1	4.7	-	-	6.0	-	-
Cluster description	-	3.3	5.1	2.0	-	3.6	5.9	2.0	5.2	7.5	2.0
Cluster energy calib.	-	1.5	6.7	3.1	-	1.5	4.2	3.2	1.5	3.3	3.2
Track match to cluster	-	1.4	0.8	-	-	1.6	0.9	-	2.3	2.3	-
Efficiency	-	1.0	2.3	1.6	-	1.0	2.5	1.6	1.0	2.6	1.6
Trigg. norm.&pileup	4.9	-	-	-	4.1	1.7	1.7	1.9	2.8	2.8	1.9
Total syst. uncertainty	11.4	9.6	25.6	19.1	12.4	10.3	11.6	6.8	18.0	10.7	8.5
Statistical uncertainty	12.0	14.2	20.8	29.7	25.9	11.4	7.9	6.5	16.4	6.9	15.9
Combined stat. unc. 8.6		.6		4.5				8.2			
Combined syst. unc.		5	.6		5.3				6.1		

**Table B.4:** Summary of relative systematic uncertainties in percent for selected  $p_T$  intervals for the determination of the  $\eta/\pi^0$  ratio. The  $p_T$  independent systematic uncertainties associated with the material budget and the trigger normalization, as given in Tab. B.2 and Tab. B.3, are canceled in the ratio. The statistical uncertainties are given in addition to the total systematic uncertainties for each bin. The combined statistical and systematic uncertainties are also listed, see explanation in caption of Tab. B.2.

$p_{\rm T}$ interval		2.5 - 3.	0 GeV/a	;		6-8	GeV/c	20 – 25 GeV/c			
Method	PCM	PCM- EMC	EMC	PHOS	PCM	PCM- EMC	EMC	PHOS	PCM- EMC	EMC	PHOS
Signal extraction	3.9	11.5	23.9	34.6	5.2	6.3	7.9	7.9	14.4	8.6	12.4
PCM track rec.	1.2	2.4	-	-	2.0	1.2	-	-	1.6	-	-
PCM electron PID	0.6	5.1	-	-	1.3	1.6	-	-	3.0	-	-
PCM photon PID	2.4	3.9	-	-	4.0	4.0	-	-	7.0	-	-
Cluster description	-	2.9	6.6	-	-	3.1	6.2	-	3.9	7.8	-
Cluster energy calib.	-	1.5	3.0	-	-	1.5	3.0	-	1.5	3.0	-
Track match to cluster	-	1.4	0.6	-	-	1.4	0.7	-	2.7	2.1	-
Efficiency & pileup	2.5	1.4	2.0	1.0	1.8	1.4	2.5	1.0	1.4	2.5	1.0
Total syst. uncertainty	5.6	13.9	25.1	34.7	7.2	8.7	10.8	8.0	17.2	12.4	12.5
Statistical uncertainty	12.9	14.1	20.9	17.5	25.9	14.0	8.1	5.8	16.9	12.5	12.9
Combined stat. unc.	Combined stat. unc. 8.6			4.5				8.2			
Combined syst. unc.		5	.6			5	.3			6.1	

**Table B.5:** Summary of relative systematic uncertainties in percent for selected  $p_{\rm T}$  intervals for the determination of the  $\pi^0$  meson nuclear modification factor  $R_{\rm pPb}$ . The  $p_{\rm T}$  independent systematic uncertainty associated with the material budget is canceled in the ratio. The statistical uncertainties are given in addition to the total systematic uncertainties for each bin. The combined statistical and systematic uncertainties are also listed, see explanation in caption of Tab. B.2. The uncertainties from the  $\sigma_{\rm MB}$  determination in both collision systems are independent of the reported measurements and their quadratic sum of 3.4% is separately indicated in the figures appearing in the main body of the letter.

$p_{\rm T}$ interval	1.4 – 1.8 GeV/c					5 - 6	GeV/c		16-18 GeV/c				100-130 GeV/c
Method	PCM	PCM- EMC	EMC	PHOS	PCM	PCM- EMC	EMC	PHOS	PCM- EMC	EMC	PHOS	mEMC	mEMC
Signal extraction	2.6	2.9	5.1	3.5	6.9	1.6	4.7	3.3	2.2	7.0	5.2	1.0	1.0
PCM track rec.	0.2	0.2	-	-	0.5	0.2	-	-	1.5	-	-	-	-
PCM electron PID	0.3	0.9	-	-	0.5	0.9	-	-	1.0	-	-	-	-
PCM photon PID	0.7	0.9	-	-	1.4	1.9	-	-	2.7	-	-	-	-
Cluster description	-	1.0	4.7	0.1	-	1.5	2.5	0.1	1.8	4.1	0.1	2.0	3.1
Cluster energy calib.	-	1.5	0.8	2.0	-	1.5	0.8	2.0	1.5	0.8	2.0	1.0	1.0
Track match to cluster	-	0.2	0.2	-	-	0.4	0.3	-	2.9	1.1	-	0.5	1.5
Efficiency	-	0.5	1.7	7.3	-	0.5	1.7	7.3	0.5	1.7	7.3	0.9	0.9
Trigg. norm.&pileup	5.2	-	-	1.2	6.3	3.2	-	1.2	4.9	4.2	12.6	3.7	3.7
Total syst. uncertainty	6.5	3.7	7.2	8.9	9.5	5.7	5.6	8.7	7.3	9.3	15.6	4.6	5.4
Statistical uncertainty	3.0	2.6	4.4	5.4	9.2	5.7	3.1	6.9	5.1	5.3	11.7	2.7	10.8
Combined stat. unc.	1.8			2.7			2.2				10.8		
Combined syst. unc.	2.7			2.9			2.9				5.4		

**Table B.6:** Summary of relative systematic uncertainties in percent for selected  $p_T$  intervals for the determination of the  $\eta$  meson nuclear modification factor  $R_{pPb}$ . The statistical uncertainties are given in addition to the total systematic uncertainties for each bin. The combined statistical and systematic uncertainties are also listed, see explanation in caption of Tab. B.2. The uncertainty from  $\sigma_{MB}$  determination in both collision systems is independent of the reported measurements and is added in quadrature and separately indicated in the figures appearing in the main body of the letter.

$p_{\rm T}$ interval	2.5	- 3.0 Ge	eV/c	6	-8  GeV	l/c	20 - 25  GeV/	
Method	РСМ	PCM- EMC	EMC	PCM	PCM- EMC	EMC	PCM- EMC	EMC
Signal extraction	4.2	5.5	29.4	6.3	5.4	8.3	8.3	4.8
PCM track rec.	0.5	0.7	-	0.5	0.7	-	0.7	-
PCM electron PID	0.4	1.2	-	0.7	1.9	-	3.4	-
PCM photon PID	1.9	4.8	-	2.9	5.1	-	5.1	-
Cluster description	-	2.4	6.6	-	2.8	6.2	9.1	7.6
Cluster energy calib.	-	1.5	2.0	-	1.5	2.0	1.5	2.0
Track match to cluster	-	0.5	0.8	-	0.7	0.9	2.9	2.3
Efficiency	-	0.2	2.6	-	0.2	2.6	0.2	2.9
Trigg. norm.&pileup	6.1	-	-	6.1	3.2	-	4.9	4.2
Total syst. uncertainty	7.7	8.0	30.3	9.3	9.0	10.9	15.0	10.8
Statistical uncertainty	18.7	18.2	23.9	37.3	19.8	15.4	21.9	27.8
Combined stat. unc.		11.9			11.6		17	.2
Combined syst. unc.		5.9			6.8		11	.5



**B.5** Comparison of neutral meson spectra from different reconstruction techniques

**Fig. B.6:** Ratio of the neutral pion (left) and  $\eta$  meson (right) invariant differential cross sections to the twocomponent model (TCM) fit of the combined spectrum for the different reconstruction techniques PCM, PCM-EMC, EMC, PHOS and mEMC in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. Statistical uncertainties are given by the vertical error bars while systematic uncertainties are shown as boxes.

#### **B.6** Fit parameters

The combined spectra of both neutral mesons are fitted with a two-component model (TCM), proposed in Ref. [42], by using the total uncertainties for each  $p_T$  interval, which are obtained via a quadratic sum of the statistical and systematic uncertainties. The fit function is able to describe the spectra over the full  $p_T$  range and is defined as:

$$E\frac{d^{3}\sigma}{dp^{3}} = A_{e} \exp\left(-E_{T,kin}/T_{e}\right) + A\left(1 + \frac{p_{T}^{2}}{T^{2}n}\right)^{-n},$$
(B.3)

where  $E_{T,kin} = \sqrt{p_T^2 + m^2 - m}$  is the transverse kinematic energy with the meson rest mass *m*, and *A*<sub>e</sub>, *A*, *T*<sub>e</sub>, *T*, and *n* are the free parameters. The fit parameters extracted from the TCM fit are summarized in Tab. B.7 for p–Pb and pp collisions.

**Table B.7:** Parameters of the fits to the  $\pi^0$  and  $\eta$  invariant differential cross sections using the TCM fit [42] from Eq. B.3 for pp collisions at  $\sqrt{s} = 8$  TeV and p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV.

	TCM	$A_{\rm e}$ (pb GeV <sup>-2</sup> $c^3$ )	T <sub>e</sub> (GeV)	$A (\text{pb GeV}^{-2}c^3)$	T (GeV)	п	$\chi^2/\text{NDF}$
p–Pb	$\pi^0$	$(2.47\pm1.82)\times10^{11}$	0.094±0.015	$(4.38\pm0.38)\times10^{12}$	0.649±0.012	3.034±0.008	0.36
	η	$(2.01\pm2.82)\times10^{10}$	$0.685 {\pm} 0.183$	$(4.73 \pm 2.69) \times 10^{11}$	$0.781 {\pm} 0.095$	2.917±0.053	0.31
рр	$\pi^0$	$(4.98 \pm 2.09) \times 10^{11}$	$0.146 {\pm} 0.020$	$(3.73 \pm 0.67) \times 10^{10}$	$0.597 {\pm} 0.021$	$3.042{\pm}0.010$	0.25
	η	$(0.52\pm3.24)\times10^{9}$	0.217±0.211	$(2.95\pm1.10)\times10^9$	$0.801 {\pm} 0.069$	3.012±0.036	0.38

Furthermore, the region  $p_T > 3.5$  GeV/*c* of the spectra can be fitted with a pure power-law function given by  $f_{pow} = A/x^n$  in order to compare the spectral slope between different collision systems and collision energies. The fit parameters for the power-law functions on the  $\pi^0$  and  $\eta$  meson spectra are given in Tab. B.8. The power *n* of the fits in pp and p–Pb show compatible values within uncertainties.

In addition, the high- $p_{\rm T}$  plateau regions of the  $\eta/\pi^0$  ratios in pp and p–Pb collisions are fitted with constant values for  $p_{\rm T} > 4$  GeV/c. The values obtained from these fits are  $C_{\rm pPb}^{\eta/\pi^0} = 0.479 \pm 0.009(\text{stat}) \pm 0.010(\text{sys})$  for p–Pb collisions at  $\sqrt{s_{\rm NN}} = 8.16$  TeV and  $C_{\rm pp}^{\eta/\pi^0} = 0.473 \pm 0.006(\text{stat}) \pm 0.011(\text{sys})$  for pp collisions at  $\sqrt{s} = 8$  TeV.

 $A \text{ (pb GeV}^{-2}c^3)$  $\chi^2/\text{NDF}$ Power-law п  $(6.95\pm0.26)\times10^{12}$  $\pi^0$ p–Pb  $5.985 {\pm} 0.015$ 0.82  $(2.26{\pm}0.55){\times}10^{12}$  $5.800 {\pm} 0.091$ 0.34 η  $(3.74 \pm 0.12) \times 10^{10}$  $\pi^0$  $6.006 {\pm} 0.015$ 0.90 pp  $(1.38{\pm}0.15){\times}10^{10}$  $5.876 {\pm} 0.047$ 0.32 η

**Table B.8:** Parameters of the fits to the  $\pi^0$  and  $\eta$  invariant differential cross sections using a pure power-law fit for pp collisions at  $\sqrt{s} = 8$  TeV and p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV.