Direct translation of incoming retroviral genomes

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1 Abstract

2 Viruses that carry a positive-sense, single-stranded (+ssRNA) RNA translate their genomes 3 soon after entering the host cell to produce viral proteins, with the exception of retroviruses. A 4 distinguishing feature of retroviruses is reverse transcription, where the +ssRNA genome serves as a template to synthesize a double-stranded DNA copy that subsequently integrates into the host 5 6 genome. As retroviral RNAs are produced by the host cell transcriptional machinery and are largely 7 indistinguishable from cellular mRNAs, we investigated the potential of incoming retroviral genomes 8 to directly express proteins. Here we show through multiple, complementary methods that retroviral 9 genomes are translated after entry. Our findings challenge the notion that retroviruses require 10 reverse transcription to produce viral proteins. Synthesis of retroviral proteins in the absence of productive infection has significant implications for basic retrovirology, immune responses and gene 11 12 therapy applications.

13 Introduction

All viruses, regardless of their nucleic acid type, composition or orientation, need to reach the 14 15 mRNA stage for successful infection. Retroviruses carry two copies of a positive-sense, singlestranded RNA (+ssRNA) genome; however, they form a separate class from +ssRNA viruses in the 16 17 Baltimore classification because their replication strategy involves reverse transcription of the ssRNA 18 genome into a double-stranded (dsDNA) copy. Based on current knowledge most if not all +ssRNA 19 viruses directly translate their RNA to synthesize viral proteins upon entry into host cells, with the exception of retroviruses, which undergo reverse transcription and degrade the original genomic RNA 20 21 in the process. As retroviral genomes are produced by the host cell machinery, with a 5' cap and a 3' 22 poly-A tail, we asked: Are incoming retroviral genomic RNAs also directly translated?

23 One small but notable difference between the full-length retroviral RNA packaged into the 24 virions as the genome and the one that produces Gag and GagPol polyproteins is their transcription 25 start sites (TSS). Full-length retroviral RNAs contain heterogenous TSS, which alters the RNA structure 26 and impacts its dimerization and translation potential.^{1, 2, 3} While 1G transcripts are primarily 27 dimerized and selectively packaged into virions, 2G and 3G transcripts exist mainly as monomers and are enriched in polysomes.^{1, 2} In addition, incompletely-spliced retroviral transcripts carry 28 29 hypermethylated caps bound by NCBP3 instead of eIF4E, and translated in an mTOR-independent 30 manner, although such hypermethylated caps were not detected in virion-packaged RNA.⁴ As 31 genomic RNA isolated from virions can be translated in *in vitro* systems to produce retroviral proteins,^{5, 6, 7} the virion-packaged genomic RNA does not appear to be inherently untranslatable, 32 33 even if it differs from the newly-produced full-length RNA that is translated to produce viral 34 structural proteins.

35 Using multiple complementary approaches, including post-translational regulation of protein 36 stability coupled to sensitive reporter assays, immunoprecipitation, polysome fractionation and 37 SILAC-based mass spectrometry, we demonstrate here that incoming retroviral RNA genomes are 38 translated shortly after cellular entry. This is a general process that occurs under a variety of conditions; with different viral genome lengths, cellular entry pathways and cell types. Extensive 39 40 controls including nuclease treatments, checking for DNA or protein transfer, using fusion-defective 41 viruses, and omission of various viral components (e.g. env, gag, packaging signal) confirm that the 42 signal is truly due to direct *de novo* translation from incoming genomes. Capsid mutants that display 43 altered stability and uncoating kinetics impact the translation of incoming RNAs by regulating the access of the encapsidated RNA to the translational machinery. The synthesis of retroviral proteins in 44 45 the absence of reverse transcription has significant implications for basic retrovirology, immune 46 responses during infection, and the use of retroviral vectors as RNA delivery vehicles.

47 **Results**

48 Post-translational control of protein stability minimizes virion-packaging and cellular delivery of 49 reporter proteins

50 In the laboratory, retroviruses are typically produced by transfection of producer cells with 51 plasmids encoding viral components. In case of viral genomes that carry a reporter gene, the reporter 52 protein is also expressed in producer cells, which can get packaged into virions and delivered into recipient cells, vielding false positives.^{8, 9, 10, 11, 12, 13} To minimize such producer cell background, which 53 54 could mask the signal from *de novo* translated incoming retroviral RNAs, we employed a post-55 translational protein control system (ProteoTuner), where a destabilizing domain (DD) derived from a cellular gene with a very short half-life (FKBP12) is fused to the gene of interest, targeting it for rapid 56 proteasomal degradation.¹⁴ The unstable protein can be stabilized in a dose-dependent and 57 58 reversible manner by adding a cell-permeable small molecule ligand called Shield1 that binds to the 59 DD, allowing post-translational regulation of protein levels. We reasoned that producing viruses in 60 the absence of the ligand would minimize reporter protein packaging into virions, whereas 61 performing infections in the presence of the ligand would allow us to detect reporter expression 62 from the incoming retroviral RNA genomes by stabilizing the reporter. As only two genomic RNAs per 63 retrovirus particle are delivered into the cell upon entry, we selected the sensitive reporter nanoluciferase (Nluc) to assay the translation of incoming retroviral RNA genomes, which has superior 64 65 sensitivity compared to other luciferase proteins (reviewed in ¹⁵).

66 Nluc was cloned with or without the DD either under a CMV promoter in a minimal lentiviral 67 vector to produce pLVX-(DD)-Nluc, or with deletions in the CMV promoter, IRES element and Neo resistance gene to generate pLVX-(DD)-Nluc-∆CIN (Fig. 1A). Transfection of these constructs into 68 69 293T cells resulted in 30-45-fold less luciferase activity in case of DD-harboring constructs, while 70 Shield1 treatment rescued the expression (Fig. 1B). The stabilization of DD-Nluc constructs by Shield1 71 was dose-dependent, whereas Nluc constructs without the responsive domain remained unaffected 72 (Fig. 1C). To quantify the amount of reporter protein packaging into virions, we produced virions by 73 transfecting cells with the lentiviral transfer vectors shown in Fig. 1A along with a packaging 74 construct with or without an env plasmid (VSV-G) and assayed the virus particles themselves for 75 luciferase activity. Virions produced by DD-Nluc vectors consistently yielded 120-270-fold lower 76 signal compared to Nluc vectors for both constructs, while the presence or absence of an envelope 77 protein (VSV-G) did not make a difference (Fig. 1D).

78 For HIV-1, the timing of the early events during infection are well-documented and cell type-79 dependent. Reverse transcription can take anywhere from 6 - 48 hours, and integration follows about 5 hours after the completion of reverse transcription.^{16, 17} As the constructs in Fig. 1A cannot produce 80 the reporter protein from full-length (unspliced) viral RNA, they require reverse transcription, 81 82 integration, transcription, splicing and translation to express luciferase. Accordingly, within the first 83 12 hours of infection, no change in signal was observed, indicating that the luciferase signal 84 represents Nluc protein that is transferred to and/or that remains associated with cells (Fig. 1E). The presence or absence of an Env protein or the RT inhibitor nevirapine (NVP) had no effect on the 85 86 signal observed, although infection with the destabilized reporter viruses consistently yielded less 87 signal. Likewise, at 20 hours post-infection, there is still no expression from the provirus, but 88 expression did occur at 72 hours post-infection (hpi), which was reduced to background levels in the 89 absence of a functional Env or reverse transcription (Fig. 1F). These data show that post-translational 90 regulation of protein stability can markedly reduce passive protein packaging into virions and their 91 delivery into recipient cells, minimizing the background for assessment of incoming retroviral RNA 92 translation.

93 Incoming retroviral genomes are used as an mRNA for protein expression early after entry

94 To assess the direct translation potential of the full-length, incoming retroviral genomic RNA, 95 we cloned Nluc with or without the DD downstream of the packaging signal (Ψ ; psi) in place of where 96 gag would normally be in a minimal lentiviral vector. The start codon of gag was mutated and any 97 additional elements that could affect translation efficiency (e.g. IRES, WPRE) were removed (Fig. 2A). 98 In line with previous results, transfection of DD-constructs resulted in ~180-fold decreased signal 99 compared to Nluc, which was completely rescued by Shield1 (S1) addition (Fig. 2B). We produced 100 virions and infected cells with serial dilutions of LV-DD-Nluc virus in the presence of NVP and S1, with 101 or without the translation inhibitor cycloheximide (CHX) to distinguish virion-packaged protein 102 delivery from *de novo* translation. Using viral doses as low as 0.0061 ng p24 per 100K cells, we 103 detected a CHX-sensitive signal (Fig. 2C). The signal to noise ratio (i.e. new protein synthesis vs. 104 packaged protein delivery) was highest between 0.165-4.5 ng p24 per 100K cells. Based on these 105 results, we opted to use 1-4 ng p24 in subsequent experiments.

Infection of cells with LV-Nluc virus resulted in an increase in the luciferase signal over time
despite the background of virion-packaged protein, which was diminished to background levels by
CHX treatment (Fig. 2D). RT inhibition had no effect on the luciferase signal within the first 8 hours,
indicating that the translation occurs independently of reverse transcription. We then performed an
infection with LV-DD-Nluc virus in the presence of NVP. The signal increased within the first 2 h, and

111 under S1-stabilized conditions increased further up to 6 h, whereas in the absence of S1, it decreased 112 after the initial hike due to the instability of the protein (Fig. 2E; red vs. blue lines). Translation 113 inhibition by CHX drastically reduced the signal in both cases, although increased stabilization of the 114 protein delivered passively by virions was also evident (Fig. 2E; green vs violet lines). Importantly, 115 when DD-Nluc is stabilized, there is a clear increase in reporter expression over time, which is 116 markedly reduced upon translation inhibition (Fig. 2E; red vs. violet lines). This difference is the result 117 of newly synthesized reporter proteins from the incoming retroviral genomic RNA in the absence of 118 reverse transcription. Similar results were observed regardless of whether the initial inoculum was 119 kept on the cells or whether the virus was removed after one hour, although the lack in continuous 120 virus uptake in the latter case was evident (Fig. 2F-G).

121 Expression from incoming retroviral genomes is due to *de novo* translation and occurs under

122 different conditions

123 Viral supernatants typically contain plasmids carried over from transfected producer cells. 124 Pre-treatment of our virus stocks with nucleases that degrade either DNA, RNA or both did not alter 125 reporter expression from the incoming viral genome after transduction, despite the enzymes being functional, indicating that the observed signal is not due to nonspecific uptake of ambient DNA or 126 127 RNA (Fig. 3A-B). The presence of a functional viral envelope on particles was necessary, as viruses 128 pseudotyped with a VSV-G mutant defective in fusion activity (P127D) or those without an Env failed 129 to yield a signal above background (Fig. 3C). Similar results were observed with Gag-less "virus" 130 supernatants, when the packaging plasmid was omitted during virus production (Fig. 3D). To ensure 131 that our observations are not due to incomplete inhibition of RT, we confirmed these results with 132 viruses containing a catalytic mutant RT (Fig. 3E and Supp. Fig. 1A). To rule out endogenous reverse 133 transcripts already present in virions or the inadvertent packaging and delivery of plasmid DNA 134 fragments from producer cells as the source of the reporter signal, neither of which would be 135 susceptible to nuclease digestion, we transduced cells in the presence of transcription or translation 136 inhibitors (Actinomycin D [ActD] or CHX, respectively). Translation inhibition markedly reduced the 137 signal as shown previously (Fig. 2C-G), whereas transcription inhibition did not, indicating that the 138 observed signal is not due to DNA transfer, which would have required both of these processes (Fig. 139 3F). At the same concentrations both drugs inhibited expression from a transfected reporter plasmid, 140 validating their functionality (Fig. 3G). Although inhibition of reverse transcription is not required for 141 this process, we also confirmed these results during transduction in the presence of different RT 142 inhibitors, namely NVP, efavirenz (EFV) and tenofovir (TAF) used at 10 µM (Fig. 3H), which resulted in 143 similar expression levels as the untreated sample. When these RT inhibitors were used at higher 144 concentrations (100 μ M) during infection, toxicity to cells was evident particularly in case of EFV,

where cells also lost the ability to express a transfected plasmid (Supp. Fig. 1B-C). Notably, the
 translation of incoming retroviral genomes was observed with viral envelopes that use different entry

pathways (Fig. 3I) and in diverse cell lines and primary cells (Fig. 3J), indicating that this is a broad

148 process not restricted to a specific cell type or condition.

149 Immunoprecipitation, SILAC/MS and polysome fractionation confirm direct expression from

150 incoming HIV-1 genomes

151 The RNA genome of HIV-1 has an intricate secondary structure and several cis-acting RNA 152 elements with diverse functions in the replication cycle, which are not all present in the context of a 153 minimal vector.¹⁸ To explore the contribution of such RNA elements to the process of incoming 154 retroviral genomic translation, we cloned DD-Nluc downstream of the packaging signal and a 155 mutated *qaq* start codon in a near-full length HIV-1 construct based on NL4.3 that encodes firefly 156 luciferase in place of nef (Fig. 4A). Infection with NL43-DD-Nluc, produced by co-transfecting cells 157 with a packaging plasmid and a VSV-G env, yielded similar results to infection with minimal lentiviral 158 vectors in the presence of NVP (Fig. 4B vs. Fig 2E & 2G). Under S1-stabilized conditions the signal 159 increased over time, peaking at 4-6 hpi, whereas translation inhibition reduced the reporter activity 160 to baseline levels, confirming de novo protein synthesis (Fig. 4B; red vs. violet lines). Based on the 161 relatively short incubation times, we did not remove the virus inoculum, which explains why the 162 stabilized CHX condition shows a slight increase over time due to continuous reentry (violet line).

163 To evaluate the number of RNA copies delivered into cells, we performed transductions with 164 the parental NL43-Firefly virus in the presence or absence of NVP and quantified post-entry events at 165 24 and 48 hours. As infection with 4 ng p24 per 100K cells did not yield a detectable signal for RT 166 products above background, we performed infections using 10 times more virus than normally used, 167 which corresponds to a multiplicity of infection (MOI) of 1 based on the transducing units validated 168 by p24 staining. Under these conditions, we detected early RT products between 4.1 - 8.2 copies, late 169 RT products between 2.4 - 4.1 copies, and 2-LTR circles between 0.02 - 0.03 copies per haploid 170 genome (Supp. Fig. 2A).

As an alternative to reporter assays, we checked Gag protein production in the absence of reverse transcription. Cells were challenged with VSV-G-pseudotyped NL43-Firefly virus (MOI = 1) in the presence or absence of NVP and CHX. Gag production was assayed by immunoprecipitation (IP) with an anti-Gag polyclonal antibody and western blot one day after infection to maximize the accumulation of newly-synthesized viral proteins. In the absence of RT inhibition, Pr55-Gag was produced at this time point, as expected. Although NVP treatment decreased this signal, viral protein

production was still evident and did not originate from incoming CA protein, as CHX treatmentabolished this signal (Fig. 4C).

179 To provide further evidence that authentic viral proteins are produced from the packaged 180 viral genome, we performed metabolic labeling via stable isotope labeling with amino acids in cell 181 culture followed by mass spectrometry (SILAC-MS). Briefly, cells grown in light medium were 182 transduced with VSV-G-pseudotyped NL43-Firefly virus also produced in light medium (MOI = 0.5). At 183 the time of transduction, cells were switched to heavy medium such that all newly produced proteins 184 would be heavy, whereas all pre-existing proteins light. After 18 hours, lysates were subjected to 185 immunoprecipitation with an anti-p24 antibody followed by mass spectrometry. Under conditions 186 where reverse transcription could take place, de novo viral Gag production was evident, as cells had 187 enough time to undergo the regular replication steps (Fig. 4D). Importantly, although the ratio of 188 heavy to light peptides that map to Gag were lower in case of RT inhibition, such peptides were still 189 detected, validating that incoming HIV-1 genomes are translated to produce viral proteins. Heavy 190 peptides corresponding to Gag were only detected under conditions where translation could take 191 place, confirming that these results truly are the result of *de novo* translation (Fig. 4D).

192 To validate the association of incoming HIV-1 RNA with polysomes - an indicator of active 193 translation - we also performed polysome fractionation on lysates from 293T cells after four hours of 194 infection with VSV-G-pseudotyped IIIB with a catalytic RT mutation (DD185/186AA; Supp. Fig. 1A). 195 Infected and uninfected cells yielded comparable polysome profiles with clearly separated peaks for 196 ribosomal subunits, whereas EDTA treatment completely disrupted polysomes (Fig. 4E). RT-qPCR on 197 fractions demonstrated the association of 10% and 72% of all HIV-1 RNA and the housekeeping gene 198 HPRT1 (hypoxanthine phosphoribosyltransferase-1 RNA) with polysomes, which was reduced to 1% 199 and 2% in EDTA-treated controls, respectively, indicating specific association of these RNAs with 200 polysomes (Fig. 4F). Taken together, these data provide multiple independent lines of evidence that 201 demonstrate the production viral proteins in the absence of reverse transcription.

202 Packaging signal and capsid stability mutations impact the course of incoming retroviral RNA

203 translation

The packaging signal (Ψ ; psi) found in retroviral genomes is critical for the selective packaging of the full-length viral RNA into budding virions (reviewed in ¹⁹). Deletion of 38 nucleotides (750-787) downstream of the Gag start codon in LV-DD-Nluc ($\Delta\Psi$) drastically reduced the amount of packaged genomic viral RNA compared to WT (Fig. 5A), even though the expression from the $\Delta\Psi$ construct by transfection was comparable to WT with a 2.5-fold increase (Fig. 5B). Infection of cells with the two viruses showed significantly reduced reporter signal in $\Delta\Psi$ compared to WT, indicating that the

packaging of the viral genome into particles is essential for incoming viral RNA translation, asexpected (Fig. 5C).

212 Since the viral RNA genome is protected by the capsid, we reasoned that mutations affecting 213 capsid stability may alter incoming translation kinetics. To this end, we used two well-characterized 214 capsid mutants; the hypostable P38A mutant that loses its integrity early after entry, and the 215 hyperstable E45A mutant which does not easily dissociate. It was previously described that different 216 titration methods show considerable variation in predicting the transducing units of lentiviruses.²⁰ 217 We therefore normalized the viruses to each other by different methods: p24-CA amount by ELISA, 218 viral genomic RNA by RT-qPCR, virion-packaged Nluc by luciferase assay, or simply by using equal 219 volumes from viruses produced at the same time. Despite slight variations based on the 220 normalization method used (Fig 5D-E and Supp. Fig. 3A-B), collectively, infection with the P38A 221 mutant increased translation from incoming genomes compared to WT, whereas E45A mutant 222 decreased it (Fig. 5F). These data are consistent with unstable capsids allowing increased access to 223 the translational machinery, in contrast to hyperstable capsids shielding the viral RNA, linking capsid 224 stability and uncoating kinetics to the translation potential of incoming retroviral genomes.

225 We show here that the RNA genome packaged into retroviral particles can serve as an mRNA 226 for viral protein production independently of reverse transcription. This process occurs in the context 227 of both minimal and near full-length genomes, in the presence of RT inhibitors and catalytic mutants, 228 with Env proteins that use different entry pathways and in different cell types with different virus 229 amounts, suggesting that this is a general process. Using thorough controls that account for nucleic 230 acid contamination, passive DNA or protein delivery, transcription and translation inhibitors, fusion-231 deficient envelopes and omission of various viral components, we confirm that the signal observed is 232 due to *de novo* translation. Using capsid mutant viruses, we further demonstrate that the translation 233 potential of the viral RNA is linked to capsid stability as the process of uncoating modulates the 234 access of the incoming RNA to ribosomes. In summary, we provide multiple lines of evidence that the 235 retroviral genome can serve as an mRNA early after cellular entry. Below we discuss potential 236 implications of our findings for basic retrovirology, host immune responses and RNA delivery 237 approaches.

238 **Discussion**

Early studies of avian and murine retroviruses reported the detection of full-length viral genomes in polysome-containing pellets within 4 hpi.^{21, 22, 23} A recent study also detected full-length HIV-1 transcripts associated with polysomes at 8 hpi; although it is unclear whether the expression at 242 this time point is mediated by reverse-transcribed and integrated viral DNA that is then newly-243 transcribed, or from the incoming RNA genome itself, as the HIV-1 RT was functional in this case.²⁴ In 244 support of our findings, a ribosome profiling study of HIV-1 infected cells detected ribosome-245 protected RNA fragments indicative of active translation in the *qaq* coding region already within one 246 hour of infection.²⁵ Based on the current knowledge regarding infection kinetics, as one hour is too 247 short of a time period to complete reverse transcription and integration, the implication is that such 248 expression is enabled by the direct translation of the RNA genome. Ribosome profiling studies in the 249 absence of a functional RT at early time points following infection will be informative in identifying 250 the specific regions of incoming retroviral genomes that are translated in different cell types.

251 In the field of gene therapy, recombinant retroviral vectors are very well-characterized and 252 commonly used as tools for nucleic acid or protein delivery (reviewed in ²⁶). In a previous study using 253 minimal gammaretroviral (MLV) vectors with primer binding site (PBS) mutations that cannot initiate reverse transcription, protein expression from a reporter gene was detected.²⁷ In other studies, 254 255 modified lentiviral vectors containing 5' IRES or 3' WPRE insertions or major structural 256 rearrangements of the genome (e.g. moving the U5-R regions further downstream towards the 3' end) were employed in order to enable direct translation from the packaged RNA.^{28, 29} In all of these 257 258 studies, however, protein or DNA transfer cannot be ruled out. In addition, to our knowledge, the 259 production of actual retroviral proteins from a (near) full-length genome in the absence of reverse 260 transcription has not been demonstrated.

261 A paradigm shift in retrovirology occurred with the finding that intact or near-intact HIV-1 capsids can be transported into the nucleus, where reverse transcription and uncoating is 262 completed.^{30, 31, 32, 33} How to reconcile the direct translation of incoming retroviral genomes 263 264 surrounded by capsid and inaccessible to the translational machinery with the detection of intact 265 core structures in the nucleus? In a given virus population not all particles are infectious or 266 replication-competent. In fact, for animal viruses the particle-to-PFU (or particle to infectious unit; 267 P/IU) ratio can vary greatly; from 1-2 for Semliki Forest Virus to as high as 10^7 for HIV-1, according to 268 some estimates (reviewed in ³⁴). Such a high ratio highlights the presence of a large number of 269 particles that may not proceed successfully to next steps of the replication cycle. We believe that 270 incoming retroviral RNA translation occurs in case of particles that are able to enter the host cell, 271 carry a packaged genomic RNA and start uncoating before reaching the nucleus; an idea that has 272 been employed as a basis for the EURT entry/uncoating assay.³⁵ As direct translation of the genome 273 is likely a dead-end for viral replication, it is reasonable to assume that virions that end up producing 274 infectious progeny are the few ones that successfully make it to the nucleus or the nuclear pore 275 intact, not those that are translated. In the stochastic environment of a viral population, some

genomes are translated, whereas some are reverse transcribed, just as some capsids fall apart before
reaching the nucleus while some of them make it to the nucleus almost intact. As many of the assays
used in this study are biochemical in nature, we cannot analyze the status of individual virus particles
but rather the status of the population as a whole. Single-molecule live-imaging approaches to
visualize incoming viral genomic RNAs and newly-synthesized proteins will be valuable in quantifying
the percentage of particles that are translated after cellular entry.

282 The production of viral proteins in the absence of reverse transcription could have major 283 consequences. Individuals who encounter HIV-1 but who do not get productively-infected, for 284 instance due to pre-exposure prophylaxis (PrEP) usage, may still be able to process and present viral 285 peptides to generate cell-mediated and/or humoral immune responses. An initial abortive infection 286 may result in the recruitment and activation of T-cells, increasing the eligible target cell population 287 locally for productive infection. During SIV infection of rhesus macaques, Gag- and Pol-specific CTL 288 responses were identified very early (within 2h) after infection, whereas Env- or Nef-specific 289 responses were not found until later, which was attributed to the ability of incoming viral proteins to be processed and presented.^{36,37} Data presented here suggest that such responses may also occur 290 291 due to *de novo* translation from the viral genome. In summary, our results challenge the notion that 292 retroviruses require reverse transcription to produce viral proteins, warrant careful studies of 293 immune responses during abortive infection and open up novel avenues for gene therapy and 294 targeted vaccine approaches.

295 Methods

296 Cloning, constructs and virus production. As a basis for minimal lentiviral vectors the pLVX-297 IRES-Neo vector (Clontech) was used, which contains identical, full-length LTRs. DD-Nluc was 298 synthesized as a gBlock (IDT) and cloned between XhoI and NotI sites of pLVX-IRES-Neo, either with 299 or without the destabilizing domain to create CMV-(DD)Nluc. Similarly, (DD)Nluc was cloned between 300 ClaI and MIuI sites of pLVX-IRES-Neo to eliminate the CMV promoter, multiple cloning site, IRES 301 element and Neo resistance gene to create (DD)Nluc-WPRE. LV-(DD)Nluc was created first by deleting 302 the sequences between Clal and Kpnl sites (including CMV promoter, multiple cloning site, IRES 303 element, Neo resistance gene and the WPRE sequence) in pLVX-IRES-Neo and religating the vector onto itself, then mutating the start codon of Gag, inserting the restriction sites BstBl and Pacl after 304 305 the packaging signal (Ψ) and finally cloning (DD)Nluc between these restriction sites. Packaging signal 306 deletion ($\Delta\Psi$) was introduced into LV-DD-Nluc by overlap PCR resulting in a deletion between 307 nucleotides 750-787 based on the pLVX-IRES-Neo vector sequence. NL43-Firefly is pNL4-3 e- r- FLuc 308 (kindly provided by Ned Landau; NIH ARP-3418). NL43-DD-Nluc was created by mutating the Gag

start codon of NL43-Firefly and inserting the DD-Nluc sequence between Ptel and Spel sites. The
 identity of all constructs was confirmed by restriction digest and sequencing.

311 Reporter viruses were produced by transfecting viral plasmids together with a plasmid 312 encoding VSV-G env (pCMV-VSV-G-myc, or the fusion-defective mutant P127D, kindly provided by 313 Wes Sundquist; Addgene #80054 and #80055), and in case of the minimal lentiviral vectors or NL43-314 DD-Nluc, also with a packaging plasmid encoding HIV-1 gag, pol, tat and rev (psPAX2, kindly provided 315 by Didier Trono; Addgene #12260) or with the packaging plasmid pCD/NL-BH*deltavpu/RT- that 316 lacks RT activity due to the D110E mutation in the catalytic site (kindly provided by Jakob Reiser; 317 Addgene #136985). CA stability mutants P38A and E45A were kindly provided by Stephen Goff. The 318 full-length IIIB ΔRT construct carrying the DD185/186AA mutations in the catalytic domain of RT was kindly provided by Michael Malim.³⁸ The HIV-1 env plasmid was kindly provided by Yiping Zhu 319 320 (University of Rochester). X-MLV env was a codon-optimized, V5-tagged, synthetic Xenotropic MLV-Related Virus (XMRV) env in a pTH backbone, kindly provided by Alaa Ramadan.³⁹ 321 322 Virus stocks were produced by transfection of 293T cells with polyethylenimine (PEI), 323 followed by media change after one day and supernatant collection after two days. Δ Env or Δ Gag 324 viruses were produced by omitting the respective plasmids during the transfection step. Virus-325 containing supernatants were filtered (0.45 μ), ultracentrifuged over a 20% sucrose cushion,

aliquoted and frozen at -80°C. Nuclease treatment of virus stocks was performed at room

327 temperature by DNase (Ambion), RNase (Qiagen) or benzonase (Millipore).

328 Cell culture, treatments, transductions. 293T and TZM-bl cells were maintained in DMEM 329 containing 9% FBS (Gibco) and 100 µg/ml Pen/Strep (Gibco). All suspension cell lines including THP-1, 330 U937, C8166, Jurkat, PM1 and A3.01 were maintained in RPMI with 9% FBS, 100 μg/ml Pen/Strep, 331 100 µg/ml Normocin (Invivogen). PBMCs of healthy donors were isolated from buffy coats from the 332 German Red Cross using standard Ficoll separation. Monocytes were selected by adhering PBMCs in RPMI with 5% pooled AB human serum (Sigma), 1 mM HEPES (Gibco) and 24 µg/ml gentamicin 333 334 (Sigma) for several hours, followed by extensive washing to remove unbound cells. Monocyte-335 derived macrophages were differentiated from primary monocytes by 50 ng/ml GM-CSF (R&D 336 Systems) treatment for 6-10 days. CD4+ T-cells were isolated from PBMCs by negative selection using 337 the MACS human CD4+ T-cell isolation kit (Miltenyi). Infections were performed by spinoculation at 338 1200 x g for 60-90 mins at 25°C. Unless indicated otherwise, the following concentrations were used 339 for treatments: NVP: 10-25 μM (Merck), CHX: 10-100 μg/ml (EMD/Millipore), ActD: 2 μg/ml (Sigma), 340 Shield1: 1.5-3 µM (Takara). With the exception of time-course experiments, Nluc activity was 341 measured after 4-6 hours post-infection, using the Nano-Glo luciferase assay system (Promega).

342 **Polysome fractionation.** Polysome fractionation was performed as previously with some modifications.⁴⁰ Cells were split the day before such that they would reach a maximum of 60-80% 343 344 confluency on the day of the assay. At four hours post-infection with RT-deficient viruses or with WT 345 viruses in the presence of NVP treatment, cells were treated with 50 μ g/ml CHX for 10 min at 37°C, 346 washed once with ice-cold PBS + CHX (50 µg/ml), collected by scraping in PBS+CHX, pelleted, and lysed in 1X polysome lysis buffer containing 20 mM Tris-HCl pH 7.5, 150 mM KCl, 5 mM MgCl₂, 0.5 % 347 348 NP40, 1 mM DTT, 50 µg/ml cycloheximide, and protease inhibitors (Roche) on ice for 10 mins. Lysates 349 were passed through a 21-gauge needle 12 times, incubated on ice for another 5 mins and cleared by 350 spinning at 4°C, 13.000 rpm for 10 mins. Cleared lysates were then loaded on 15-45% linear sucrose 351 gradients (in 20 mM Tris-HCl pH 7.5, 150 mM KCl, 5 mM MgCl₂, 50 µg/ml CHX) prepared using a 352 BioComp gradient master in ultra-clear centrifuge tubes (Beckman Coulter) and centrifuged for 2:30 353 hours at 36.000 rpm in an SW41 rotor. Fractions (0.5 ml) were collected by a piston gradient 354 fractionator (Biocomp) with continuous UV absorbance recording at 254 nm. RNA from each fraction 355 was isolated by phenol-chloroform extraction and quantified by RT-qPCR. 356 qPCR, RT-qPCR. Viral genomic RNA was isolated from concentrated virus stocks with viral

RNA isolation kit (Qiagen). RNA was treated with Turbo DNase and inactivation beads (Ambion),
cDNA was synthesized using Superscript III (Invitrogen) or RevertAid RT (Thermo) with random
hexamers (Roche). qPCR was performed with the SensiFAST No-ROX Probe Master Mix (Bioline) on a
CFX96 qPCR machine (Bio-Rad) along with standards. Primer and probe sequences used in RT-qPCR
are listed in Table 1.

Target	F/R/P	Sequence	Reference
RT products	F	TGTGTGCCCGTCTGTTGTGT	41
	R	GAGTCCTGCGTCGAGAGATC	
	Р	CAGTGGCGCCCGAACAGGGA	
2-LTR circles	F	AACTAGGGAACCCACTGCTTAAG	
	R	TCCACAGATCAAGGATATCTTGTC	
	Р	ACACTACTTGAAGCACTCAAGGCAAGCTTT	
HIV-1 genome	F	TCTCGACGCAGGACTCG	42
	R	TACTGACGCTCTCGCACC	
	Р	CTCTCTCCTTCTAGCCTC	
HPRT1 mRNA	F	TCTTTGCTGACCTGCTGGATT	
	R	TTATGTCCCCTGTTGACTGGT	
	Р	AGTGATAGATCCATTCCTATGACTGT	

362 **Table 1.** List of primers and probes used in the study. F: forward, R: reverse, P: probe.

Immunoprecipitation, western blots, SILAC labeling. Immunoprecipitations were performed
 as described with slight modifications.⁴³ Protein G Dynabeads (Invitrogen) were washed and coated
 with anti-p55+p24+p17 antibody (Abcam) by rotating for 15 mins at 4°C. After washing off unbound

366 antibody, cleared cell lysates were added to the coated beads and incubated at 4°C with rotation for 367 1 hour. Beads were then separated by a magnet, washed three times and bound proteins were 368 released from the beads by boiling in the presence of a denaturing loading buffer. Released proteins 369 were analyzed by SDS-PAGE and western blot as previously described.⁴⁴ Briefly, cells were washed 370 with PBS, scraped, transferred to a tube and washed again with PBS. The pellet was lysed in NP40 371 lysis buffer (100 mM Tris, 30 mM NaCl, 0.5% NP-40) containing benzonase for 15-30 min on ice. 372 Lysates were cleared by centrifugation at 10,000 rpm for 5 min, supplemented with denaturing 373 loading buffer (Invitrogen) and run on an SDS-PAGE. Proteins were transferred to a PVDF membrane 374 (Millipore), blocked by blocking buffer (Rockland) and incubated with primary and IRdye-labeled 375 secondary antibodies (Licor). Blots were visualized on an Odyssey scanner (Licor). Anti-p24 antibody used for HIV-1 capsid detection was AG3.0 (NIH ARP-4121)⁴⁵ and 183-H12-5C (NIH-ARP-3537). 376

377 Labeling and immunoprecipitation for mass spectrometry was performed using the SILAC 378 Protein Quantitation-Trypsin kit and MS-compatible Magnetic IP kit from Pierce (Thermo) according 379 to manufacturer's instructions. Cells were cultured in light medium with 10% dialyzed FBS and 380 transduced with viruses also produced in light medium. The virus used was VSV-G-pseudotyped, 381 NL4.3-Firefly reporter virus carrying 10 amino acids from the p6 region of SIVmac (pNL-luc3-SIVp6[17-382 26]).⁴⁶ Infection was performed in the presence or absence of NVP (25 μ M) or CHX (100 μ g/ml) at an 383 MOI of 0.5 by spinoculation. The conditions were: Mock (no virus), virus (no drug), virus + NVP, and 384 virus + NVP + CHX. At the time of transduction, cells were switched to heavy medium. After 385 incubation for 18 hours, cells were washed extensively with PBS or PBS+CHX (50 µg/ml) to remove all 386 heavy media and lysed in IP-MS cell lysis buffer with protease inhibitors on ice. Immunoprecipitation 387 was performed with an anti-p24 antibody (183-H12-5C; NIH-ARP-3537) using Pierce Protein A/G 388 magnetic beads. Eluted proteins were analyzed by LC-MS. Samples were prepared and analyzed in 389 biological triplicates.

390 Liquid chromatography and mass spectrometry. Peptides were analyzed on an Evosep One 391 liquid chromatography system coupled online via the CaptiveSpray source to a timsTOF HT mass 392 spectrometer (Bruker Daltonics). Peptides were manually loaded onto Evotips Pure (Evosep) and 393 separated using the 30 samples per day (SPD) method on the respective performance column (15 cm 394 x 75 µm, 1.9 µm, Evosep). Column temperature was kept at 40°C using a column toaster (Bruker 395 Daltonics) and peptides were ionized using electrospray with a CaptiveSpray emitter (10 μ m i.d., 396 Bruker Daltonics) at a capillary voltage of 1400 V. The timsTOF HT was operated in ddaPASEF mode in the m/z range of 100-1,700 and in the ion mobility (IM) range of 0.65 - 1.35 Vs cm⁻².⁴⁷ Singly-charged 397 398 precursors were filtered out based on their m/z-ion mobility position. Precursor signals above 2,500 399 arbitrary units were selected for fragmentation using a target value of 20,000 arbitrary units and an

14

isolation window width of 2 Th below 700 Da and 3 Th above 700 Da. Afterwards, fragmented
precursors were dynamically excluded for 0.4 min. The collision energy was decreased as a function
of the IM from 59 eV at 1/K0 = 1.6 Vs cm⁻¹ to 20 eV at 1/K0 = 0.6 Vs cm⁻¹. One cycle consisted of 10
PASEF ramps.

404 MS data analysis. The LC-IMS-MS/MS data were analyzed using FragPipe (version 20.0).⁴⁸ 405 Spectra were searched using MSFragger against the protein sequences of the human proteome (UP000005640, UniProtKB) and of HIV-1 (NL4-3 e- r- Fluc [ARP-3418] with a modified SIV p6 between 406 407 aa 17-26) with a precursor and fragment mass tolerance of 20 ppm, strict trypsin specificity (Lysine: 408 K, Arginine: R) and allowing up to two missed cleavage sites. Cysteine carbamidomethylation was set 409 as a fixed modification and methionine oxidation, N-terminal acetylation of proteins as well as heavy 410 labeling of lysine and arginine (K + 8.014199 Da, R + 10.008269 Da) as variable modifications. Search 411 results were validated using Percolator with MSBooster enabled rescoring and converged to false 412 discovery rates of 1% on all levels. Proteins were quantified using lonQuant based on peptides 413 consistently identified in all replicates and requiring at least 2 peptides per protein.

414 **p24 ELISA.** p24-CA concentrations of viral stocks were determined by a homemade ELISA, as 415 previously described.⁴⁵ Briefly, 96-well plates were coated overnight at 4°C with the AG3.0 anti-p24 antibody diluted 1:100 in carbonate/bicarbonate buffer (Sigma). The next day, plates were washed 416 417 three times with wash buffer (PBS+0.05% Tween), blocked with PBS+2% milk powder at 37°C for 1 h 418 and washed again three times. Meanwhile, the viral supernatants were inactivated by incubating 419 with a final concentration of 0.2% Tween for 10 min at room temperature. Serial dilutions of viral 420 supernatants in dilution buffer (PBS+2% milk powder+0.05% Tween) were pipetted into the wells and 421 incubated at 37°C for 1 h. After three washes, the primary antibody in the form of pooled HIV+ serum 422 diluted 1:10000 was added to the wells and incubated at 37°C for 1 h. Following another three 423 washes, the secondary antibody anti-human IgG-HRP (Sigma) was added at 1:1000 dilution. After 424 three more washes, the substrate solution (12.5 ml phosphate/citrate buffer + 1 OPD tablet (5 mg; 425 Sigma) + 12 μ l 30% H₂O₂ solution) was added and incubated at room temperature for 10 mins. 426 Reactions were stopped by adding 5% sulphuric acid (H₂SO₄). Absorbance was measured at 492 nm 427 and 620 nm.

428 Statistics

All statistics analyses were performed using GraphPad Prism 9. For each figure, the numbers
of biological replicates are as follows: Fig. 1B: n=7, Fig. 1C: n=6, Fig. 1D: n=3, Fig. 1E-F: n=2, Fig. 2B:
n=3, Fig. 2C: n=7, Fig. 2D-E: n=6, Fig. 2F-G: n=3, Fig. 3A: n=3-6, Fig. 3C-D: n=6, Fig. 3E: n=5, Fig. 3F:
n=6-11, Fig. 3G, n=3-6, Fig. 3H, n=6, Fig. 3I-J, n=3, Fig. 4B, n=5, Fig. 4D: n=3, Fig. 4F, n=4, Fig. 5A, n=5-

433 10, Fig. 5B: n=10, Fig. 5C, n=3, Fig. 5E, n=6, Fig. 5F, n=12. Supp. Fig. 1A: n=2-4, Supp. Fig. 1B-C: n=3,

434 Supp. Fig. 2A: n=2, Supp. Fig. 3A-B, n=3.

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443 Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request. Large datasets generated in this study have been deposited in the public database PRIDE (<u>https://www.ebi.ac.uk/pride/</u>) under the accession number [N].

447



Figure 1 449

450 Figure 1. Posttranslational regulation of protein stability reduces protein packaging into lentiviral particles 451 and their delivery into recipient cells. (A) Schematic representation of the constructs generated, not drawn to 452 scale. Nano-luciferase (Nluc) was inserted with or without a destabilizing domain (DD) downstream of a CMV 453 promoter in a minimal lentiviral vector to generate pLVX-(DD)-Nluc (constructs I & II). The CMV promoter, IRES 454 element and Neomycin resistance gene were deleted to generate pLVX-(DD)-ΔCIN (constructs III & IV). (B) 293T 455 cells were transfected with the indicated constructs in the presence or absence of Shield1. Nluc activity was 456 measured after 24 hours. (C) Transfection was performed as in B using different concentrations of Shield1. (D) 457 Virus supernatants produced using the constructs in A with a packaging plasmid, with or without an Env (VSV-458 G) were assayed for virion-packaged Nluc activity. (E) Infection was performed with the viruses in D with or 459 without NVP and assayed for Nluc activity at the indicated time points. (F) 293T cells were infected with pLVX-460 (DD)-Nluc carrying a VSV-G Env in the presence or absence of NVP, or with bald viruses without an Env. Nluc 461 activity was measured at 20 hpi and 72 hpi. IRES: internal ribosomal entry site, WPRE: woodchuck hepatitis 462 virus posttranscriptional regulatory element, PBS: primer binding site, psi (Ψ): packaging signal, RRE: rev-463 response element, PPT: polypurine tract, NVP: nevirapine. Data are presented as means +/- SD. Statistical 464 analyses were performed by multiple Mann-Whitney tests using the False Discovery Rate (FDR) correction of

Time (h)

Time (h)

466 Figure 2



467 Figure 2. Incoming minimal lentiviral RNA genomes are translated following entry into host cells.

468 (A) Schematic representation of the lentiviral constructs used; not drawn to scale. Nluc was cloned into a
 469 minimal lentiviral vector downstream of the packaging signal (Ψ), with or without the destabilizing domain

470 (DD), and all other heterologous elements (CMV promoter, IRES, Neo resistance gene, WPRE) were removed.

471 (B) Cells were transfected with the constructs in A in the presence or absence of Shield1 (S1). Nluc reporter

472 activity was measured one day later. (C) Cells were infected with serial dilutions of LV-DD-Nluc in the presence

473 of S1 and NVP, with or without cycloheximide (CHX), followed by Nluc measurement. (D,F) Cells were infected

474 with VSV-G pseudotyped LV-Nluc virus in the presence or absence of NVP and/or CHX, where the virus was

475 either kept on the cells (D) or washed away (F). Luciferase activity was measured at the indicated time points.

476 (E,G) Infection was performed as in D and F, but with LV-DD-Nluc and in the presence of NVP, with or without

477 S1 and/or CHX. Data are presented as means +/- SD. Statistical analyses were performed using two-tailed

478 unpaired t-test with Welch's correction. ns: not significant.

479 Figure 3



Figure 3. Direct expression from incoming lentiviral RNA genomes is truly due to *de novo* translation and independent of reverse transcription.

482 Unless indicated otherwise, all infections were performed on 293T cells with LV-DD-Nluc virus in the presence 483 of NVP and S1, with or without CHX, and assayed 4-6 hours later. (A) Equal amounts of virus stocks were 484 treated with nucleases or left untreated prior to transduction of cells. (B) Different amounts of plasmid DNA 485 (0.1, 1 or 10 µg) were treated with nucleases or left untreated and visualized on an agarose gel. (C) Cells were 486 transduced with viruses pseudotyped with WT VSV-G, a fusion-deficient mutant of VSV-G (P127D) or without 487 an Env. (D) Transduction with "viruses" produced in the presence or absence of a lentiviral packaging vector 488 (WT vs. ΔGag, respectively). (E) Transduction with reporter virus that carries a catalytic RT mutation (D110E) in 489 the presence of Shield1. (F) Transduction in the presence of S1 and NVP, with or without ActD or CHX. (G) 490 Transfection of cells with a plasmid encoding CMV-driven Nluc in the presence of ActD or CHX. (H) Transduction 491 in the presence of different RT inhibitors at 10 μ M. (I) TZM-bl cells were transduced with viruses pseudotyped 492 with HIV-1 Env, X-MLV Env or no Env. (J) The indicated cell types were transduced with reporter viruses.. Data 493 are presented as means +/- SD. Statistical analyses were performed by one-way ANOVA with Tukey's test (A, D, 494 F, G) or by unpaired t-test with Welch's correction (C, E, I). In case of multiple unpaired t-tests, the False 495 Discovery Rate (FDR) correction of Benjamini, Krieger and Yekutieli were used (C, I). ns: not significant. NVP:

496 Nevirapine, EFV: Efavirenz, TDF: Tenofovir Disoproxil Fumarate.

497 Figure 4

Α



498 Figure 4. Incoming near full-length HIV-1 genomes are translated to produce viral proteins in the absence of 499 reverse transcription. (A) Schematic representation of the near full-length HIV-1 constructs, where 'x' denotes 500 mutated codons. DD-Nluc was cloned into the NL43-Firefly construct downstream of the packaging signal to 501 generate NL43-DD-Nluc. (B) 293T cells were infected with NL43-DD-Nluc with nevirapine (NVP) in the presence 502 or absence of Shield1 (S1) and/or CHX. Nluc activity was measured at the indicated time points. (C) Cells were 503 infected with VSV-G-pseudotyped NL43-Firefly with or without NVP or CHX. Lysates were collected one day 504 after infection, immunoprecipitated with a polyclonal anti-HIV-1 Gag antibody and probed for p24. (D) Cells 505 were labeled with heavy amino acids (SILAC) at the time of infection (MOI = 0.5) in the presence of the 506 indicated drugs. 18 hours later, Gag was immunoprecipitated from cell lysates and bound proteins were 507 analyzed by mass spectrometry. Data are represented as the heavy to light ratio of peptides that map to Gag. 508 (E) Representative polysome fractionation profiles from 293T cells infected (or not) with VSV-G pseudotyped 509 IIIB ΔRT (with a catalytic RT mutation DD185/186AA) at 4 hpi and lysed in the presence or absence of EDTA. 510 Lysates were run on a sucrose density gradient, then fractions were collected from top to bottom while 511 simultaneously measuring UV absorbance. (F) RNA was isolated from each fraction and the levels of HIV-1 512 genomic RNA and HPRT1 were measured by RT-qPCR. The amount of each RNA is given as a percentage of total 513 RNA for that message. Data represent mean +/- SD. Statistical significance was determined by unpaired t-test 514 with Welch's correction, nd: not detected.

515 Figure 5



516 Figure 5. Packaging signal and capsid stability affect the translation of incoming RNA genomes.

517 (A) Viral RNA content of LV-DD-Nluc viruses produced with or without a deletion between nucleotides 750-787

518 in the packaging signal ($\Delta\Psi$; delta psi) measured by RT-qPCR. (B) 293T cells were transfected with the LV-DD-

519 Nluc WT or ΔΨ construct and subjected to luciferase assay one day later. (C) Cells were transduced with WT or

520 $\Delta \Psi$ viruses; expression from the incoming viral genome was analyzed by luciferase assay at 4-6 hpi. (D) Western

521 blot of p24-CA in concentrated WT or the indicated capsid mutant virus stocks. (E) RT-qPCR for quantification of 522 viral RNA. (F) Cells were transduced with reporter viruses carrying WT or mutated CA. All transductions were

viral RNA. (F) Cells were transduced with reporter viruses carrying WT or mutated CA. All transductions were
 performed in 293T cells using LV-DD-Nluc viruses in the presence of both NVP and Shield1, with or without

performed in 293T cells using LV-DD-Nluc viruses in the presence of both NVP and Shield1, with or without
 CHX. Data represent mean +/- SD. Statistical significance was determined by unpaired t-test with Welch's

525 correction (C) or one-way ANOVA with Tukey's correction (F). ns: not significant.

526 Supplementary Figures and Legends

527 Supp. Figure 1



528 Supplementary Figure 1. Loss of RT activity by catalytic mutations or the use of different RT inhibitors.

529 (A) PERT assay on RT catalytic mutant virus HIV-1 IIIB (DD185/186AA) used in polysome fractionation, or the LV-

530 DD-Nluc and NL43-DD-Nluc viruses produced with the RT catalytic mutant packaging vector (D110E) used in

531 reporter assays. (B) Luciferase assay on cells transduced with LV-DD-Nluc virus in the presence of 1-100 μM of

the RT inhibitors, with or without CHX. (C) Cells were transfected with a CMV-driven Nluc construct in the

presence of 100 μM RT inhibitors. Toxicity was measured by luciferase assay 6 hours after transfection. NVP:
 Nevirapine, EFV: Efavirenz, TDF: Tenofovir disoproxil fumarate. Data represent mean +/- SD.

535

536 Supp. Figure 2



538 Supplementary Figure 2. Quantification of post-entry events after virus challenge.

539 (A) 293T cells were infected with NL43-Firefly virus (40 ng/100K cells) and DNA was isolated at 24 and 48 hours

540 post-infection. Early and late RT products, as well as 2-LTR circles were quantified by qPCR.

541 Supp. Figure 3



542 Supplementary Figure 3. Capsid stability mutations alter the translation potential of incoming retroviral 543 genomes.

544 (A) Cells were infected with LV-DD-Nluc viruses containing WT, P38A or E45A capsids in the presence of NVP

and Shield1, with or without CHX. Virus stocks were normalized based on either p24, viral RNA, virion-packaged

546 Nluc or simple volume. (B) Fold difference between CHX-treated and untreated samples based on the data in

547 (A). Data represent mean +/- SD.

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