Observation of Three Charmonium-like States with $J^{PC} = 1^{--}$ in $e^{+}e^{-} \to D^{*0}D^{*-}\pi^{+} + c.c.$ Process

Observation of Three Charmonium-like States with J^{PG} = 1 − 1 in e⁺e⁻ → D⁺OD⁺ −π⁺ + c.c. Process

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The Born cross sections of the process $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ at center-of-mass energies from 4.189 to 4.951 GeV are measured for the first time. The data samples used correspond to an integrated luminosity of 17.9 fb⁻¹ and were collected by the BESIII detector operating at the BEPCII storage ring. Three enhancements around 4.20, 4.47 and 4.67 GeV are visible. The resonances have masses of $4209.6 \pm 4.7 \pm 5.9 \, \mathrm{MeV}/c^2$, $4469.1 \pm 26.2 \pm 3.6 \, \mathrm{MeV}/c^2$ and $4675.3 \pm 29.5 \pm 3.5 \, \mathrm{MeV}/c^2$ and widths of $81.6 \pm 17.8 \pm 9.0 \, \mathrm{MeV}$, $246.3 \pm 36.7 \pm 9.4 \, \mathrm{MeV}$ and $218.3 \pm 72.9 \pm 9.3 \, \mathrm{MeV}$, respectively, where the first uncertainties are statistical and the second systematic. The first and third resonances are consistent with the Y(4230) and Y(4660) states, respectively, while the second one is compatible with the Y(4500) observed in the $e^+e^- \to K^+K^-J/\psi$ process. These three Y states are observed in $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ process for the first time.

Over the past years, a series of charmonium-like vector meson states with $J^{PC}=1^{--}$, referred to as Y

states, have been observed via electron-positron annihilation in numerous experiments [1]. Dedicated stud-

ies of Y states were initially triggered by the discovery of the Y(4230), previously called the Y(4260), in the $e^+e^- \rightarrow \pi^+\pi^-J/\psi$ process at BaBar [2], which was confirmed at CLEO [3], Belle [4] and BESIII [5–7]. Later, the Y(4360) and Y(4660) states were established in $e^+e^- \to \pi^+\pi^-\psi(2S)$ at BaBar [8, 9], Belle [10, 11] and BESIII [12–14]. Some similar resonance enhancements around 4.23 GeV, 4.36 GeV or 4.66 GeV are also reported in $\pi^+\pi^-h_c$ [15], $\omega\chi_{c0}$ [16, 17], $\eta J/\psi$ [18], $\eta' J/\psi$ [19], $D^0D^{*-}\pi^+$ [20], $\pi\pi\psi_2(3823)$ [21], $\pi^+\pi^-D^+D^-$ [22, 23] and K^+K^-J/ψ [24] final states at BESIII. In addition, a new Y state, the Y(4500), is observed in $e^+e^- \rightarrow$ K^+K^-J/ψ , recently [24]. Due to no corresponding charmonia predicted in the quark model [25], these Y states are widely recognized as candidates for exotic multiquark hadrons. Possible interpretations include tetra-quarks, hybrid mesons, hadron molecules, hadrocharmonium and threshold effects [26, 27].

One striking feature of these Y states is their large coupling to charmonium final states [28]. In contrast, there is a dip around the known Y(4230) mass in the cross section of $e^+e^- \to \text{inclusive hadrons}$ [29] and in the exclusive two-body production of $e^+e^- \to D^{(*)}\bar{D}^{(*)}$ [30, 31]. This is opposite to the behavior of conventional $c\bar{c}$ charmonium states lying above the $D\bar{D}$ mass threshold, which dominantly decays to open-charm final states [1]. Therefore, it is essential to investigate the coupling of the Y states to different open-charm channels to help identify their nature.

In the process $e^+e^- \to D^0D^{*-}\pi^+$ [20], BESIII first determined a sizable coupling of the Y(4230) with the open-charm $D^0D^{*-}\pi^+$ decay, which is consistent with the hypothesis of a $D_1(2420)\bar{D}$ molecular state [32–38]. In lattice QCD, the leptonic partial width of the Y(4230), $\Gamma^{ee}_{Y(4230)}$, using a hybrid scenario, is predicted to be less than $40\,\mathrm{eV}$ [39]. To date, $\Gamma^{ee}_{Y(4230)}$ is evaluated to be $36.4\pm4.7\,\mathrm{eV}$, based on the combined analysis of the known Y(4230) decay channels [40]. Study of the Y(4230) in the open-charm process $e^+e^-\to D^*\bar{D}^*\pi$ provides new input to $\Gamma^{ee}_{Y(4230)}$ and to the relative size of different decay modes, which can be used to test the theoretical explanation of the hybrid and $D\bar{D}_1$ molecular state models.

The Y(4500) is reported in the $e^+e^- \to K^+K^-J/\psi$ process [24]. Its spin and mass agree with the predicted baryonium state around 4560 MeV [41]. Furthermore, other theoretical models explain it as a $D_s\bar{D}_{s1}$ molecule, which is a hidden-strangeness partner of the Y(4230) state under the $D\bar{D}_1$ molecule assumption [42], and a charmonium 5S-4D mixing state [43]. Thus, an independent confirmation in another channel would be important to explore its nature. In addition, since the Born cross section of $e^+e^- \to KZ_{cs}(3985)$ peaks around the Y(4660) mass [44, 45], the Y(4660) is considered to be a hidden-strangeness state. Given that the heavier Y(4500) and Y(4660) states have not been observed in open-charm decay, it is also highly desirable to explore these states in the process $e^+e^- \to D^*\bar{D}^*\pi$ to help de-

termine their quark constituents.

In this Letter, the Born cross sections of the $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ processes are measured at 86 center-of-mass energies from 4.189 to 4.951 GeV for the first time. The data sets used are accumulated with the BE-SIII detector at the BEPCII collider and correspond to an integrated luminosity of 17.9 fb⁻¹ [46–48]. Details about BEPCII and BESIII can be found in Refs. [49–51]. The data sets include 49 energy points with integrated luminosities less than $10\,\mathrm{pb}^{-1}$ ("Scan data") and another 37 energy points with larger integrated luminosities ("XYZ data"). Details of the data sets can be found in Tables I and II of the supplemental material [52]. By fitting the line-shape of dressed cross sections with various hypotheses, we report the observation of three vector charmonium-like Y states in $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$.

Simulated data samples are produced with GEANT4based [55] Monte Carlo (MC) software, which includes the geometric description [56] of the BESIII detector and the detector response, as detailed in Ref. [51]. The simulation models the beam energy spread and initial state radiation (ISR) in the e^+e^- annihilation with the generator KKMC [57]. The signal MC samples of the $e^+e^- \to \pi^+ D^{*0} D^{*-1}$ process are generated according to the partial-wave-analysis results at each energy point. Possible background contributions are estimated by inclusive MC simulation samples, which include the production of open charm processes, the ISR production of vector charmonium(-like) states, and the continuum processes incorporated in KKMC. All particle decays are modeled with EVTGEN [58] using branching fractions (BFs) either taken from the Particle Data Group (PDG) [1], when available, or otherwise estimated with LUNDCHARM [59]. Final state radiation from charged final state particles is incorporated using PHO-TOS [60].

To improve the signal selection efficiency, a partialreconstruction technique is employed to identify the $D^{*0}D^{*-}\pi^+$ final states, in which two tagging methods, the D^0 -tag and the D^- -tag, are performed. In the $D^0(D^-)$ -tag method, the bachelor charged π^+ from primary production, the $D^0(D^-)$ meson and at least one soft $\pi^0(\to \gamma\gamma)$ from $D^{*0}(D^{*-}) \to D^0(D^-)\pi^0$ decay are reconstructed. Here and elsewhere, chargeconjugate modes are always implied. To improve the signal purity, only the decays $D^0 \to K^-\pi^+$, $K^-\pi^+\pi^0$, and $K^-\pi^+\pi^+\pi^ (D^-\to K^+\pi^-\pi^-)$, which have relatively large BFs, are reconstructed. By reconstructing the $D^{*0}(D^{*-})$ and the bachelor π^+ , the flavor of the missing $D^{*-}(D^{*0})$ meson is fixed. All the charged tracks and π^0 candidates are selected following the criteria in Ref. [61]. To form candidates for $D^0 \to K^-\pi^+$, $D^0 \rightarrow K^-\pi^+\pi^0$, $D^0 \rightarrow K^-\pi^+\pi^+\pi^-$ and $D^- \rightarrow$ $K^+\pi^-\pi^-$ decays, the reconstructed final state invariant masses are required to be within (1.835, 1.887), $(1.827, 1.882), (1.855, 1.874) \text{ and } (1.856, 1.883) \text{ GeV}/c^2,$ respectively. Here, the different mass regions are due to the various momentum resolutions. The π^0 candidates from D^* decays can be either from the reconstructed or missing D^* candidates. For the π^0 from missing D^* candidates, its momentum in the reconstructed $D\pi^+$ recoil system, $P^*(\pi^0)$, peaks around $40\,\mathrm{MeV/c}$. To distinguish the source of π^0 with reconstructed D^* candidates, the reconstructed invariant masses are required to satisfy $M(D^0\pi^0) \in (2.004, 2.009)\,\mathrm{GeV/c^2}$ with $P^*(\pi^0) \notin (0.025, 0.050)\,\mathrm{GeV/c}$ in the D^0 -tag method, and $M(D^-\pi^0) \in (2.008, 2.013)\,\mathrm{GeV/c^2}$ with $P^*(\pi^0) \notin (0.030, 0.055)\,\mathrm{GeV/c}$ in the D^- -tag method, as shown in Fig. 1 for data at $\sqrt{s} = 4.600\,\mathrm{GeV}$. Moreover, the π^+D^0 invariant mass must be greater than $2.02\,\mathrm{GeV/c^2}$ in the D^0 -tag method to reject background for the bachelor π^+ from $D^{*+} \to \pi^+D^0$.

To improve the resolution and further suppress the background, a kinematic fit (3C) is performed to constrain the reconstructed π^0 , $D^0(D^-)$, and $D^{*0}(D^{*-})$ mesons to their individual known masses [1]. Candidate events are required to have $\chi^2_{\rm 3C} < 50$ and the fitted fourmomenta of all related particles are used for further analysis. If there is more than one $\pi^0 D^0(D^-)$ candidate in an event, only the one with the minimum $\chi^2_{\rm 3C}$ is retained. Furthermore, if one event survives in both tag methods, only the combination in the D^0 -tag method is kept to avoid double counting in the simultaneous fit.

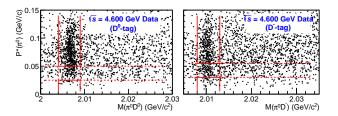


FIG. 1. Two-dimensional distributions of $P^*(\pi^0)$ versus $M(\pi^0 D)$ for the D^0 -tag (left) and D^- -tag (right) methods in data at $\sqrt{s} = 4.600 \, \text{GeV}$. The events between the vertical solid lines are kept and those between the horizontal dashed lines are vetoed based on the requirements for $M(\pi^0 D)$ and $P^*(\pi^0)$. The distributions for signal MC simulation samples are shown in Fig. 1 of the supplemental material [52].

Figure 2 shows the distributions of the recoil masses of reconstructed π^+ , π^0 and D mesons, $RM(\pi^+\pi^0D^0)$ and $RM(\pi^+\pi^0D^-)$. The background study based on inclusive MC simulation samples shows that the shape of the background at each energy point is smooth and can be well described by a second-order Chebyshev function. A peaking background is found in the signal MC simulation due to the mis-combination of particles from the missing and tagged sides. Its shape can be obtained by selecting the unmatched events from inclusive MC simulation samples, in which the missing D^* candidate decays inclusively while the tagged D^* candidate decays into the signal process final state. Its contribution will be fixed in the fit according to the ratio between matched and unmatched events in the MC simulation.

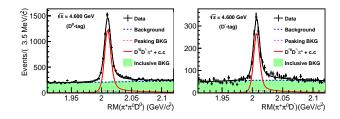


FIG. 2. The distributions of the recoil masses $RM(\pi^+\pi^0D^0)$ (left) and $RM(\pi^+\pi^0D^-)$ (right) for data at $\sqrt{s}=4.600\,\mathrm{GeV}$ with simultaneous fit results overlaid. The red solid-curve is the signal shape. The pink and blue dashed-curve are the peaking and smooth background, respectively. The light green shadowed histogram is the simulated inclusive background MC samples.

An unbinned extended maximum likelihood fit is performed on the distributions of $RM(\pi^+\pi^0D^0)$ and $RM(\pi^+\pi^0D^-)$ simultaneously to determine the Born cross section at each energy point. Figure 2 shows the fit results at $\sqrt{s}=4.600\,\mathrm{GeV}$, as an example. The signal shape is derived from MC simulation convolved with a Gaussian function with free parameters to account for the resolution difference between data and MC simulation. The background shape is parameterized as a sum of the shape from unmatched MC samples and a second-order Chebyshev function. The Born cross sections (σ^Born) at the individual energy points are calculated by

$$\begin{split} \sigma^{\text{Born}} = & \frac{\sigma^{\text{dressed}}}{\frac{1}{|1 - \Pi|^2}} \\ = & \frac{N_{D^{0(-)}\text{-tag}}^{\text{obs}}}{\mathcal{L}_{\text{int}} \cdot \epsilon_{D^{0(-)}\text{-tag}} \cdot \hat{\mathcal{B}}_{D^{0(-)}\text{-tag}} \cdot \left(1 + \delta^{\text{ISR}}\right) \cdot \frac{1}{|1 - \Pi|^2}} \end{split}$$

Here, $N_{D^0(-)\text{-tag}}^{\text{obs}}$ is calculated according to σ^{Born} which is taken as a common parameter in the simultaneous fit, $\epsilon_{D^0(-)\text{-tag}}$ is the detection efficiency, \mathcal{L}_{int} is the integral luminosity measured by Refs. [46–48], $\hat{\mathcal{B}}_{D^0(-)\text{-tag}}$ stands for an equivalent BF including all the related products of BF obtained from the PDG [1], $(1+\delta^{\text{ISR}})$ and $\frac{1}{|1-\Pi|^2}$ are the correction factors for ISR and vacuum polarization [62]. To estimate the ISR factors and consider the correlation effect on detection efficiencies, an iterative weighting method [63] is performed to correct the corresponding dressed cross section values. All the numerical results from the fits are summarized in Tables I and II of Ref. [52] for the XYZ and Scan data samples, respectively.

The systematic uncertainties in the Born cross section measurements, as detailed in Ref. [52], are divided into three parts. The first part relates to the determination of the detection efficiency, including the tracking, particle identification, π^0 reconstruction, signal region requirements, signal decay model and ISR correction factor. The second part relates to the estimation of signal yields from

the fit, consisting of the signal and background shapes as well as the fit range. The last part includes the uncertainties from the luminosities and the intermediate BFs. The items in the first and third parts are completely correlated between different energy points, except for the uncertainties due to signal region requirements and the signal decay model. For the second part at low-yield (< 300 events) energy points, the systematic uncertainties obtained at their nearest energy point in high-yield (> 300 events) XYZ data are used. All the systematic uncertainties are studied for each tag method and combined to obtain the total systematic uncertainties according to their signal yields. The total relative systematic uncertainties at different energy points are between 6.7% to 9.6%.

The dressed cross sections obtained at various energy points are shown in Fig. 3. Three possible enhancements around 4.20, 4.47, and 4.67 GeV are observed. To fit this line-shape, we use the coherent sum of a continuum amplitude for $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ and three resonance amplitudes described by relativistic Breit-Wigner (BW) functions

$$\sigma^{\text{dressed}}(\sqrt{s}) = C_0 \left| C_1 \sqrt{\Phi(\sqrt{s})} + \sum_{k=1}^3 BW_k(\sqrt{s}) e^{i\phi_k} \right|^2,$$

where $C_0 = 3.894 \times 10^5 \,\mathrm{nb} \cdot \mathrm{GeV}^2$ is a unit conversion factor, C_1 is the continuum free parameter, and ϕ_k is the phase angle among different components. The relativistic BW amplitude for a resonance $R_k \to D^{*0}D^{*-}\pi^+$ is written as

$$BW_k(\sqrt{s}) = \frac{m_k}{\sqrt{s}} \cdot \frac{\sqrt{12\pi \cdot \Gamma_k^{ee} \cdot \mathcal{B}_k \cdot \Gamma_k^{tot}}}{s - m_k^2 + i m_k \Gamma_k^{tot}} \cdot \sqrt{\frac{\Phi(\sqrt{s})}{\Phi(m_k)}},$$

where m_k and $\Gamma_k^{\rm tot}$ are the k-th resonance mass and total width, respectively, $\Gamma_k^{ee} \cdot \mathcal{B}_k$ is the leptonic width of the k-th resonance times the BF of $R_k \to D^{*0}D^{*-}\pi^+ + c.c.$, and $\Phi(\sqrt{s})$ is the three body phase space contribution defined as $\Phi(\sqrt{s}) = \iint \frac{1}{(2\pi)^3 32(\sqrt{s})^3} dm_{23}^2 dm_{12}^2$ [1].

The χ^2 of the fit to the dressed cross section line-shape is constructed according to the method in Ref. [64] by incorporating both the statistical and systematic uncertainty and considering both the correlated and uncorrelated terms. To avoid the bias from correlated systematic uncertainties in the χ^2 minimization [65], the correlated uncertainties are calculated according to the predicted cross section values times the corresponding relative uncertainties when constructing the covariance matrix.

The fit result is shown in Fig. 3. There are eight solutions with the same fit quality with identical continuum contributions as well as masses and widths for the resonances [66]. However, the resulting product $\Gamma_k^{ee}\mathcal{B}_k$ and phases ϕ_k are different, as plotted in Fig. 2 of Ref. [52]. The numerical results are listed in Table I. The dressed cross sections are also fitted under the assumption of only two resonances plus the continuum component. The relative changes in the χ^2 value ($\Delta \chi^2 = 130.5$) and the

number of degrees of freedom ($\Delta ndof = 4$) are used to estimate the significance of the three-resonance hypothesis over the two-resonance hypothesis as 10.8σ . The significance of the two-resonance hypothesis over the one-resonance hypothesis is 22.8σ according to the changes of $\Delta \chi^2 = 537.1$ and $\Delta ndof = 4$.

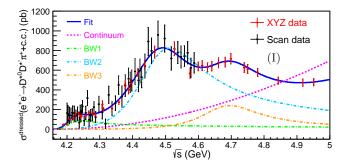


FIG. 3. The fit results (solution I) of the dressed cross section line-shape of $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$. The black points are data, with statistical and systematic uncertainties. The blue curve is the total fit. The green, azure and orange dashed curves describe three BW functions, and the pink dashed-curve is the three body phase space contribution.

The systematic uncertainties of the resonance parameters are mainly from the uncertainties of the center-ofmass energy calibration, beam energy spread and parameterization of the continuum contribution. Other uncertainties from the measured cross sections have been included in the line-shape fit. The uncertainty from the center-of-mass energy measurement is estimated by propagating the largest uncertainty of the measured energies $(0.8 \,\mathrm{MeV}/c^2)$ to the Y-state mass parameter. The uncertainty from beam energy spread is considered by smearing the energy with its spread value at each energy point. The differences of resonance parameters determined from fits using nominal and smeared line-shapes are taken as the systematic uncertainties. To estimate the uncertainty related to the fit model, the three body continuum contribution is replaced by a third-order polynomial parameterized function. The resulting differences in the masses and widths of resonances are taken as systematic uncertainties. Assuming the individual systematic uncertainties are uncorrelated, the total systematic uncertainty is obtained by summing the individual values in quadrature, as listed in Table II.

In summary, the Born cross sections of the process $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ at 86 center-of-mass energies from $\sqrt{s}=4.189$ to 4.951 GeV are measured for the first time with the data samples collected by the BE-SIII detector. Fitting the dressed cross sections with a three-resonance hypothesis, their masses and widths are determined to be $m_1=4209.6\pm4.7\pm5.9\,\mathrm{MeV}/c^2,$ and $\Gamma_1=81.6\pm17.8\pm9.0\,\mathrm{MeV}$ (denoted as Y(4210)), $m_2=4469.1\pm26.2\pm3.6\,\mathrm{MeV}/c^2,$ and $\Gamma_2=246.3\pm36.7\pm9.4\,\mathrm{MeV}$ (denoted as Y(4470)), $m_3=4675.3\pm29.5\pm3.5\,\mathrm{MeV}/c^2,$ and $\Gamma_3=218.3\pm72.9\pm9.3\,\mathrm{MeV}$

TABLE I. The fit results of the dressed cross section line-shape of $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ with eight different solutions. For the uncertainties of the masses and widths, those from the solutions with maximum uncertainties are adopted.

| | I | II | III | IV | V | VI | VII | VIII | | | |
|--|------------------|---------------------|------------------|-------------------|------------------|-------------------|------------------|---------------------|--|--|--|
| $C_1 (10^{-3})$ | 4.2 ± 1.5 | | | | | | | | | | |
| $m_1 (\mathrm{MeV}/c^2)$ | 4209.6 ± 4.7 | | | | | | | | | | |
| $\Gamma_1^{\mathrm{tot}} (\mathrm{MeV})$ | | | | 81.6 | ± 17.8 | | | | | | |
| $\Gamma_1^{ee} \mathcal{B}_1 (eV)$ | 5.4 ± 1.1 | 6.0 ± 1.3 | 4.8 ± 0.9 | 5.3 ± 1.1 | 17.9 ± 7.2 | 19.8 ± 6.6 | 20.2 ± 7.4 | 22.4 ± 9.0 | | | |
| $\phi_1 (\mathrm{rad})$ | 3.1 ± 0.5 | 3.8 ± 0.4 | 1.9 ± 0.7 | 2.6 ± 0.6 | 4.2 ± 0.3 | 4.8 ± 0.2 | 5.4 ± 0.3 | 6.0 ± 0.3 | | | |
| $m_2 ({\rm MeV}/c^2)$ | | | | 4469.1 | ± 26.2 | | | | | | |
| $\Gamma_2^{\mathrm{tot}} (\mathrm{MeV})$ | | | | 246.3 | ± 36.7 | | | | | | |
| $\Gamma_2^{ee} \mathcal{B}_2 (eV)$ | 243.3 ± 83.5 | 832.5 ± 716.5 | 107.4 ± 50.6 | 367.4 ± 370.8 | 225.5 ± 94.9 | 770.8 ± 383.8 | 510.1 ± 202.3 | 1744.3 ± 926.9 | | | |
| $\phi_2 (\mathrm{rad})$ | 4.4 ± 0.3 | -0.9 ± 0.3 | 2.6 ± 0.6 | 3.7 ± 0.8 | 1.9 ± 0.8 | 3.0 ± 0.4 | 3.7 ± 0.3 | -1.5 ± 0.3 | | | |
| $m_3 ({\rm MeV}/c^2)$ | | | | 4675.3 | 3 ± 29.5 | | | | | | |
| $\Gamma_3^{\rm tot} ({ m MeV})$ | | 218.3 ± 72.9 | | | | | | | | | |
| $\Gamma_3^{ee} \mathcal{B}_3 (\mathrm{eV})$ | 75.8 ± 148.8 | 1601.9 ± 1152.6 | 19.4 ± 27.1 | 411.6 ± 230.5 | 24.4 ± 34.5 | 515.6 ± 244.6 | 95.1 ± 173.1 | 2005.3 ± 1166.1 | | | |
| ϕ_3 (rad) | 4.9 ± 1.4 | -2.9 ± 0.4 | 2.1 ± 0.4 | 0.6 ± 1.1 | 1.7 ± 0.5 | 6.5 ± 0.5 | 4.5 ± 1.3 | -3.3 ± 0.3 | | | |

TABLE II. The systematic uncertainties in the measurements of the Y-state parameters.

| Source | Energy | Beam spread | Fit model | Total |
|--------------------------------|--------|-------------|-----------|-------|
| $m_1(\mathrm{MeV}/c^2)$ | 0.8 | 5.5 | 2.0 | 5.9 |
| $\Gamma_1^{\rm tot}({ m MeV})$ | - | 1.7 | 8.8 | 9.0 |
| $m_2(\mathrm{MeV}/c^2)$ | 0.8 | 3.5 | 0.7 | 3.6 |
| $\Gamma_2^{\rm tot}({ m MeV})$ | - | 6.9 | 6.4 | 9.4 |
| $m_3(\mathrm{MeV}/c^2)$ | 0.8 | 1.5 | 3.1 | 3.5 |
| $\Gamma_3^{\rm tot}({ m MeV})$ | - | 7.4 | 5.7 | 9.3 |

(denoted as Y(4660)), where the first uncertainties are statistical and the second are systematic. The significance of the three-resonance hypothesis compared with the two-resonance one is greater than 10σ . The mass of Y(4210) is consistent with the mass of Y(4230) from the combined fit in Ref. [40]. If we assume they are the same resonance, $\Gamma_{Y(4230)}^{ee}$ becomes greater than 40 eV, which disfavors the hybrid interpretation under the lattice QCD calculation [39]. In addition, we find the couplings of Y(4230) to $D^{*0}D^{*-}\pi^+$ and $D^0D^{*-}\pi^+$ are at the same order of magnitude. This is the first observation of the state Y(4470) in an open-charm process, and its resonance parameters are compatible with those of the Y(4500) state observed in $e^+e^- \to K^+K^-J/\psi$ [24]. Assuming the Y(4470) and Y(4500) are the same state, the rate of its decay to $D^*\bar{D}^*\pi$ is two orders of magnitude greater than that to $K\bar{K}J/\psi$, which is inconsistent with the conjecture of hidden-strangeness enhancement of the Y(4500) [42]. We confirm for the first time the existence of the resonance Y(4660) in open-charm final states with resonance parameters consistent with the latest results derived in $e^+e^- \to \pi^+\pi^-\psi(2S)$ at BESIII [14]. However, the relative size of their couplings can not be constrained by current data, as different fit solutions result in large variations of the product $\Gamma_{Y(4660)}^{ee} \mathcal{B}_{Y(4660)}$. Further amplitude analyses of different open- and hidden-charm final states are desired to advance our knowledge of the nature of these Y states.

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Supplemental Material for "Observation of Three Charmonium-like States with $J^{PC} = 1^{--}$ in $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ Process"

Appendix A: Details on event selection

Two-dimensional distributions of $P^*(\pi^0)$ versus $M(\pi^0 D)$ for MC samples with D^0 -tag and D^- -tag methods at $\sqrt{s} = 4.600 \,\text{GeV}$ are shown in Fig. 1, respectively.

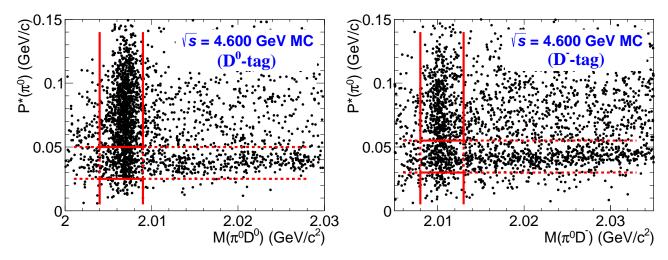


FIG. 1. Two-dimensions distributions of $P^*(\pi^0)$ versus $M(\pi^0D)$ for MC simulation with D^0 -tag and D^- -tag methods at $\sqrt{s} = 4.600 \,\text{GeV}$. The events are kept inside the vertical solid lines and vetoed inside the horizontal dashed lines based on the requirements for $M(\pi^0D)$ and $P^*(\pi^0)$.

Appendix B: Signal yields and Born cross section

A simultaneous fit of D^0 -tag and D^- -tag is performed at each energy point according to the calculation of Born cross section.

$$\sigma^{\mathrm{Born}} = \frac{\sigma^{\mathrm{dressed}}}{\frac{1}{|1-\Pi|^2}} = \frac{N_{D^{0(-)}\text{-tag}}^{\mathrm{obs}}}{\mathcal{L}_{\mathrm{int}} \cdot \epsilon_{D^{0(-)}\text{-tag}} \cdot \hat{\mathcal{B}}_{D^{0(-)}\text{-tag}} \cdot (1+\delta^{\mathrm{ISR}}) \cdot \frac{1}{|1-\Pi|^2}}.$$

The the integral luminosities \mathcal{L}_{int} are measured by Refs. [46–48]. The σ^{Born} is taken as a common parameter in the fitting while detection efficiencies, ISR and vacuum polarization factors are estimated based on MC simulation. With the fit results shown in Tables I and II, $N_{D^0\text{-tag}}^{\text{obs}}$ and $N_{D^-\text{-tag}}^{\text{obs}}$ can be calculated directly.

TABLE I. The Born cross section of $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ for XYZ data sets. The first uncertainties are statistical and the second ones systematic.

| $\sqrt{s} (\text{GeV})$ | $\mathcal{L}_{\mathrm{int}}\left(\mathrm{pb}^{-1}\right)$ | $1 + \delta^{\text{ISR}}$ | $\frac{1}{ 1-\Pi ^2}$ | $N_{D^0	ext{-}\mathrm{tag}}^{\mathrm{obs}}$ | $\epsilon_{D^0\text{-tag}}\left(\%\right)$ | $N_{D^{-}\text{-tag}}^{\text{obs}}$ | $\epsilon_{D^{-}\text{-}\mathrm{tag}}\left(\%\right)$ | $\sigma^{\mathrm{Born}}\left(\mathrm{pb}\right)$ |
|--------------------------|---|---------------------------|-----------------------|---|--|-------------------------------------|---|--|
| 4.189 | 570.0 | 0.667 | 1.056 | 32.0 ± 5.5 | 1.1 | 8.3 ± 1.4 | 1.6 | $44.9 \pm 7.8 \pm 3.5$ |
| 4.199 | 526.6 | 0.675 | 1.056 | 62.6 ± 8.6 | 2.0 | 13.4 ± 1.8 | 2.6 | $48.5 \pm 6.7 \pm 3.8$ |
| 4.209 | 572.1 | 0.696 | 1.057 | 193.3 ± 14.2 | 2.9 | 37.5 ± 2.7 | 3.3 | $94.4 \pm 6.9 \pm 7.5$ |
| 4.219 | 569.2 | 0.726 | 1.056 | 291.0 ± 17.1 | 3.6 | 55.0 ± 3.2 | 4.0 | $110.2 \pm 6.5 \pm 8.7$ |
| 4.226 | 1111.9 | 0.749 | 1.056 | 1173.5 ± 34.9 | 4.3 | 173.9 ± 5.2 | 4.8 | $145.6 \pm 4.3 \pm 11.5$ |
| 4.236 | 530.3 | 0.770 | 1.056 | 468.0 ± 24.1 | 4.2 | 88.5 ± 4.6 | 4.8 | $151.7 \pm 7.8 \pm 11.5$ |
| 4.242 | 55.9 | 0.780 | 1.055 | 51.6 ± 8.9 | 5.4 | 9.7 ± 1.7 | 6.1 | $122.3 \pm 21.2 \pm 8.5$ |
| 4.244 | 538.1 | 0.784 | 1.056 | 522.4 ± 26.6 | 5.3 | 98.5 ± 5.0 | 6.0 | $130.4 \pm 6.6 \pm 9.0$ |
| 4.258 | 828.4 | 0.795 | 1.054 | 1077.9 ± 37.9 | 5.5 | 162.8 ± 5.7 | 6.2 | $132.6 \pm 4.7 \pm 10.8$ |
| 4.267 | 531.1 | 0.797 | 1.053 | 571.3 ± 28.2 | 4.6 | 109.5 ± 5.4 | 5.3 | $163.6 \pm 8.1 \pm 11.6$ |
| 4.278 | 175.7 | 0.802 | 1.053 | 177.7 ± 16.1 | 4.6 | 34.1 ± 3.1 | 5.2 | $153.7 \pm 13.9 \pm 10.9$ |
| 4.287 | 494.2 | 0.802 | 1.053 | 578.5 ± 27.7 | 5.8 | 109.9 ± 5.3 | 6.5 | $141.8 \pm 6.8 \pm 10.4$ |
| 4.308 | 45.1 | 0.802 | 1.052 | 85.0 ± 11.1 | 5.5 | 16.3 ± 2.1 | 6.3 | $239.0 \pm 31.3 \pm 19.1$ |
| 4.311 | 494.3 | 0.802 | 1.052 | 745.5 ± 33.2 | 5.5 | 143.8 ± 6.4 | 6.3 | $193.7 \pm 8.6 \pm 15.5$ |
| 4.337 | 506.1 | 0.799 | 1.051 | 1078.9 ± 42.2 | 7.4 | 201.5 ± 7.9 | 8.2 | $203.7 \pm 8.0 \pm 19.6$ |
| 4.358 | 543.9 | 0.798 | 1.051 | 1604.8 ± 50.2 | 8.0 | 304.4 ± 9.5 | 9.0 | $262.3 \pm 8.2 \pm 20.1$ |
| 4.377 | 524.7 | 0.796 | 1.051 | 1909.7 ± 55.2 | 6.0 | 367.6 ± 10.6 | 6.8 | $431.5 \pm 12.5 \pm 35.9$ |
| 4.387 | 55.6 | 0.794 | 1.051 | 234.1 ± 19.2 | 7.8 | 45.2 ± 3.7 | 8.9 | $384.3 \pm 31.5 \pm 28.0$ |
| 4.395 | 508.2 | 0.792 | 1.051 | 2421.6 ± 60.0 | 7.6 | 466.1 ± 11.5 | 8.7 | $446.5 \pm 11.1 \pm 32.5$ |
| 4.416 | 1090.7 | 0.794 | 1.052 | 6225.0 ± 95.7 | 7.4 | 1185.2 ± 18.2 | | $547.7 \pm 8.4 \pm 38.5$ |
| 4.436 | 570.6 | 0.796 | 1.054 | 3798.9 ± 74.6 | 7.6 | 755.4 ± 14.8 | 9.0 | $619.3 \pm 12.2 \pm 42.2$ |
| 4.467 | 111.1 | 0.810 | 1.055 | 929.9 ± 35.9 | 7.8 | 176.2 ± 6.8 | 8.8 | $742.9 \pm 28.7 \pm 50.8$ |
| 4.527 | 112.1 | 0.863 | 1.054 | 1128.2 ± 39.2 | 8.4 | 217.5 ± 7.5 | 9.7 | $776.7 \pm 27.0 \pm 60.5$ |
| 4.575 | 48.9 | 0.900 | 1.054 | 430.3 ± 25.1 | 10.2 | 86.1 ± 5.0 | 12.1 | $537.1 \pm 31.4 \pm 36.4$ |
| 4.600 | 586.9 | 0.905 | 1.055 | 5964.6 ± 95.1 | 10.4 | 1206.9 ± 19.2 | | $606.4 \pm 9.7 \pm 41.2$ |
| 4.613 | 103.7 | 0.906 | 1.055 | 1019.2 ± 38.9 | 9.9 | 210.1 ± 8.0 | 12.1 | $613.4 \pm 23.4 \pm 41.2$ |
| 4.628 | 521.5 | 0.902 | 1.054 | 5115.1 ± 88.6 | 10.2 | 1026.4 ± 17.8 | | $599.2 \pm 10.4 \pm 40.5$ |
| 4.641 | 551.7 | 0.901 | 1.054 | 5404.6 ± 90.2 | 10.0 | 1123.5 ± 18.7 | | $608.4 \pm 10.1 \pm 44.4$ |
| 4.661 | 529.4 | 0.895 | 1.054 | 5434.9 ± 91.8 | 10.1 | 1111.6 ± 18.8 | 12.2 | $641.7 \pm 10.8 \pm 47.1$ |
| 4.682 | 1667.4 | 0.896 | 1.054 | 18143.7 ± 168.0 | 10.6 | 3743.9 ± 34.7 | 12.9 | $647.2 \pm 6.0 \pm 45.3$ |
| 4.699 | 535.5 | 0.900 | 1.055 | 6088.0 ± 97.2 | 10.4 | 1265.0 ± 20.2 | | $680.3 \pm 10.9 \pm 48.9$ |
| 4.740 | 163.9 | 0.925 | 1.055 | 1761.7 ± 54.3 | 11.0 | 374.8 ± 11.5 | 13.9 | $595.0 \pm 18.3 \pm 43.3$ |
| 4.750 | 366.6 | 0.934 | 1.055 | 3902.4 ± 80.9 | 11.0 | 824.4 ± 17.1 | 13.8 | $580.0 \pm 12.0 \pm 40.9$ |
| 4.781 | 511.5 | 0.957 | 1.055 | 5185.2 ± 95.2 | 10.9 | 1113.7 ± 20.4 | 13.9 | $546.8 \pm 10.0 \pm 36.6$ |
| 4.843 | 525.2 | 0.979 | 1.056 | 4539.6 ± 91.7 | 10.6 | 972.9 ± 19.7 | 13.4 | $468.4 \pm 9.5 \pm 33.1$ |
| 4.918 | 207.8 | 0.973 | 1.056 | 1674.8 ± 55.2 | 10.4 | 370.3 ± 12.2 | 13.6 | $448.7 \pm 14.8 \pm 31.8$ |
| 4.951 | 159.3 | 0.965 | 1.056 | 1273.9 ± 39.5 | 10.3 | 283.3 ± 8.8 | 13.5 | $452.9 \pm 14.1 \pm 31.1$ |

TABLE II. The Born cross section of $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ for Scan data sets. The first uncertainties are statistical and the second ones systematic.

| $\sqrt{s} (\text{GeV})$ | $\mathcal{L}_{\mathrm{int}} (\mathrm{pb}^{-1})$ | $1 + \delta^{\text{ISR}}$ | $\frac{1}{ 1-\Pi ^2}$ | $N_{D^0	ext{-}\mathrm{tag}}^{\mathrm{obs}}$ | $\epsilon_{D^0\text{-tag}}(\%)$ | $N_{D^{-}\text{-tag}}^{\text{obs}}$ | $\epsilon_{D^{-}\text{-}	ext{tag}}\left(\%\right)$ | $\sigma^{\mathrm{Born}}\left(\mathrm{pb}\right)$ |
|--------------------------|--|---------------------------|-----------------------|---|---------------------------------|-------------------------------------|--|--|
| 4.200 | 6.8 | 0.677 | 1.057 | 2.9 ± 1.5 | 2.3 | 0.6 ± 0.3 | 2.8 | $156.2 \pm 81.6 \pm 12.3$ |
| 4.203 | 7.6 | 0.681 | 1.057 | 3.4 ± 1.6 | 2.5 | 0.7 ± 0.3 | 3.0 | $146.3 \pm 71.0 \pm 11.5$ |
| 4.207 | 7.7 | 0.691 | 1.057 | 3.7 ± 1.9 | 2.9 | 0.7 ± 0.4 | 3.3 | $134.3 \pm 68.0 \pm 10.6$ |
| 4.212 | 7.8 | 0.705 | 1.057 | 4.2 ± 1.9 | 3.2 | 0.8 ± 0.4 | 3.8 | $134.6 \pm 61.2 \pm 10.6$ |
| 4.217 | 7.9 | 0.720 | 1.056 | 6.0 ± 2.2 | 3.8 | 1.1 ± 0.4 | 4.1 | $158.1 \pm 58.7 \pm 13.0$ |
| 4.222 | 8.2 | 0.738 | 1.056 | 6.5 ± 2.8 | 4.0 | 1.2 ± 0.5 | 4.4 | $150.2 \pm 64.5 \pm 11.8$ |
| 4.227 | 8.2 | 0.751 | 1.056 | 5.9 ± 2.3 | 4.2 | 1.1 ± 0.4 | 4.7 | $128.8 \pm 50.7 \pm 10.1$ |
| 4.232 | 8.3 | 0.762 | 1.056 | 7.0 ± 2.7 | 4.2 | 1.3 ± 0.5 | 4.7 | $148.8 \pm 58.0 \pm 11.7$ |
| 4.237 | 7.8 | 0.773 | 1.055 | 3.5 ± 2.6 | 5.0 | 0.7 ± 0.5 | 5.7 | $65.0 \pm 47.7 \pm 4.5$ |
| 4.240 | 8.6 | 0.778 | 1.055 | 10.0 ± 3.8 | 5.2 | 1.8 ± 0.7 | 5.6 | $163.5 \pm 61.9 \pm 11.3$ |
| 4.242 | 8.5 | 0.781 | 1.056 | 8.1 ± 3.0 | 5.5 | 1.5 ± 0.5 | 5.9 | $125.4 \pm 46.9 \pm 8.7$ |
| 4.245 | 8.6 | 0.784 | 1.056 | 11.7 ± 3.5 | 5.5 | 2.2 ± 0.6 | 6.0 | $179.1 \pm 52.8 \pm 12.4$ |
| 4.247 | 8.6 | 0.787 | 1.055 | 14.9 ± 3.5 | 5.6 | 2.7 ± 0.6 | 6.2 | $219.5 \pm 51.4 \pm 15.2$ |
| 4.252 | 8.7 | 0.792 | 1.054 | 5.3 ± 2.6 | 5.3 | 1.0 ± 0.5 | 5.9 | $81.9 \pm 40.7 \pm 6.6$ |
| 4.257 | 8.9 | 0.795 | 1.054 | 10.7 ± 3.9 | 5.4 | 2.1 ± 0.8 | 6.2 | $157.6 \pm 57.9 \pm 12.8$ |
| 4.262 | 8.6 | 0.796 | 1.053 | 16.9 ± 3.6 | 4.6 | 3.2 ± 0.7 | 5.1 | $300.1 \pm 64.4 \pm 21.2$ |
| 4.267 | 8.6 | 0.798 | 1.053 | 5.2 ± 3.1 | 4.7 | 1.0 ± 0.6 | 5.2 | $90.8 \pm 54.2 \pm 6.4$ |
| 4.272 | 8.6 | 0.800 | 1.053 | 7.7 ± 2.9 | 4.7 | 1.5 ± 0.6 | 5.4 | $133.5 \pm 51.1 \pm 9.4$ |
| 4.277 | 8.7 | 0.800 | 1.053 | 10.2 ± 3.9 | 5.9 | 1.9 ± 0.7 | 6.6 | $139.0 \pm 53.3 \pm 9.8$ |
| 4.282 | 8.6 | 0.803 | 1.053 | 16.0 ± 3.6 | 6.1 | 3.0 ± 0.7 | 6.7 | $214.0 \pm 48.9 \pm 20.8$ |
| 4.287 | 9.0 | 0.802 | 1.053 | 9.1 ± 3.7 | 6.1 | 1.7 ± 0.7 | 6.7 | $117.6 \pm 47.5 \pm 11.4$ |
| 4.297 | 8.5 | 0.801 | 1.052 | 15.9 ± 4.6 | 5.5 | 2.9 ± 0.8 | 5.9 | $242.0 \pm 70.1 \pm 19.3$ |
| 4.307 | 8.6 | 0.803 | 1.052 | 10.9 ± 3.9 | 5.4 | 2.1 ± 0.7 | 6.1 | $163.2 \pm 57.9 \pm 13.0$ |
| 4.317 | 9.3 | 0.801 | 1.052 | 3.7 ± 3.6 | 5.9 | 0.7 ± 0.7 | 6.5 | $53.4 \pm 46.1 \pm 3.7$ |
| 4.327 | 8.7 | 0.800 | 1.051 | 30.2 ± 6.6 | 7.4 | 5.7 ± 1.2 | 8.2 | $333.1 \pm 72.2 \pm 31.9$ |
| 4.337 | 8.7 | 0.798 | 1.051 | 18.2 ± 5.6 | 7.4 | 3.4 ± 1.1 | 8.3 | $199.9 \pm 61.8 \pm 19.2$ |
| 4.347 | 8.5 | 0.798 | 1.051 | 23.8 ± 6.1 | 7.8 | 4.3 ± 1.1 | 8.4 | $251.9 \pm 64.7 \pm 19.2$ |
| 4.357 | 8.1 | 0.797 | 1.051 | 36.9 ± 6.8 | 7.6 | 6.9 ± 1.3 | 8.4 | $428.1 \pm 79.2 \pm 32.7$ |
| 4.367 | 8.5 | 0.796 | 1.051 | 23.0 ± 6.9 | 6.1 | 4.2 ± 1.3 | 6.6 | $316.5 \pm 94.4 \pm 26.3$ |
| 4.377 | 8.2 | 0.796 | 1.051 | 29.9 ± 6.7 | 6.2 | 5.7 ± 1.3 | 7.0 | $421.9 \pm 94.8 \pm 35.0$ |
| 4.387 | 7.5 | 0.794 | 1.051 | 40.2 ± 7.8 | 7.9 | 7.3 ± 1.4 | 8.5 | $487.6 \pm 94.2 \pm 35.4$ |
| 4.392 | 7.4 | 0.794 | 1.051 | 37.5 ± 7.4 | 7.9 | 7.2 ± 1.4 | 8.9 | $454.6 \pm 89.3 \pm 33.0$ |
| 4.397 | 7.2 | 0.793 | 1.051 | 38.3 ± 7.5 | 8.0 | 7.2 ± 1.4 | 9.0 | $471.3 \pm 92.1 \pm 34.2$ |
| 4.407 | 6.4 | 0.793 | 1.052 | 36.3 ± 7.4 | 7.3 | 6.9 ± 1.4 | 8.2 | $558.4 \pm 113.5 \pm 39.0$ |
| 4.417 | 7.5 | 0.793 | 1.052 | 37.4 ± 7.8 | 7.5 | 7.1 ± 1.5 | 8.4 | $473.1 \pm 99.3 \pm 33.1$ |
| 4.422 | 7.4 | 0.793 | 1.052 | 43.9 ± 7.9 | 7.4 | 8.3 ± 1.5 | 8.3 | $564.9 \pm 101.8 \pm 39.5$ |
| 4.427 | 6.8 | 0.794 | 1.053 | 42.0 ± 7.7 | 8.7 | 8.1 ± 1.5 | 9.9 | $503.3 \pm 91.9 \pm 34.2$ |
| 4.437 | 7.6 | 0.796 | 1.054 | 52.5 ± 9.0 | 8.0 | 10.3 ± 1.8 | | $609.9 \pm 104.1 \pm 41.4$ |
| 4.447 | 7.7 | 0.800 | 1.054 | 67.7 ± 9.5 | 7.3 | 12.8 ± 1.8 | | $845.9 \pm 118.8 \pm 57.5$ |
| 4.457 | 8.7 | 0.803 | 1.055 | 69.9 ± 9.9 | 7.4 | 13.5 ± 1.9 | | $756.7 \pm 107.2 \pm 51.5$ |
| 4.477 | 8.2 | 0.816 | 1.055 | 85.4 ± 10.6 | 7.9 | 15.6 ± 1.9 | | $909.9 \pm 113.2 \pm 61.9$ |
| 4.497 | 8.0 | 0.833 | 1.055 | 81.6 ± 10.5 | 7.9 | 15.6 ± 2.0 | | $874.1 \pm 112.4 \pm 59.5$ |
| 4.517 | 8.7 | 0.855 | 1.055 | 73.6 ± 10.5 | 8.2 | 13.9 ± 2.0 | | $682.5 \pm 97.1 \pm 53.0$ |
| 4.537 | 9.3 | 0.875 | 1.054 | 101.3 ± 11.9 | | 20.1 ± 2.4 | | $739.8 \pm 87.2 \pm 57.5$ |
| 4.547 | 8.8 | 0.884 | 1.054 | 92.0 ± 11.5 | 9.6 | 18.2 ± 2.3 | | $697.0 \pm 87.3 \pm 54.2$ |
| 4.557 | 8.3 | 0.892 | 1.054 | 90.9 ± 11.0 | 9.8 | 17.9 ± 2.2 | | $704.7 \pm 85.2 \pm 47.7$ |
| 4.567 | 8.4 | 0.897 | 1.054 | 96.5 ± 12.0 | 9.8 | 19.0 ± 2.4 | | $737.6 \pm 91.5 \pm 49.9$ |
| 4.577 | 8.5 | 0.901 | 1.055 | 78.7 ± 10.9 | 9.7 | 15.8 ± 2.2 | | $591.7 \pm 82.3 \pm 40.0$ |
| 4.587 | 8.2 | 0.905 | 1.055 | 83.3 ± 11.3 | 9.9 | 16.6 ± 2.2 | 11.7 | $639.5 \pm 86.4 \pm 43.3$ |

Appendix C: Multiple solutions of line-shape fit

The dressed cross section is parameterized as the coherent sum of a continuum amplitude for $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$ and three resonance amplitudes described by relativistic Breit-Wigner functions:

$$\sigma^{\text{dressed}}(\sqrt{s}) = C_0 |C_1 \sqrt{\Phi(\sqrt{s})} + \sum_{k=1}^3 BW_k(\sqrt{s}) e^{i\phi_k}|^2,$$

with relativistic Breit-Wigner amplitude for a resonance $R_k \to D^{*0}D^{*-}\pi^+$,

$$BW_k(\sqrt{s}) = \frac{m_k}{\sqrt{s}} \cdot \frac{\sqrt{12\pi \cdot \Gamma_k^{ee} \mathcal{B}_k \cdot \Gamma_k^{tot}}}{s - m_k^2 + i m_k \Gamma_k^{tot}} \cdot \sqrt{\frac{\Phi(\sqrt{s})}{\Phi(m_k)}},$$

and 3-body phase space contribution $\Phi(\sqrt{s}) = \iint \frac{1}{(2\pi)^3 32(\sqrt{s})^3} dm_{23}^2 dm_{12}^2$. All the parameters in the fit are free except for $C_0 = 3.894 \times 10^5 \,\mathrm{nb} \cdot \mathrm{GeV}^2$ as a unit conversion factor. There are eight solutions with the same fit quality, as shown in Fig. 2.

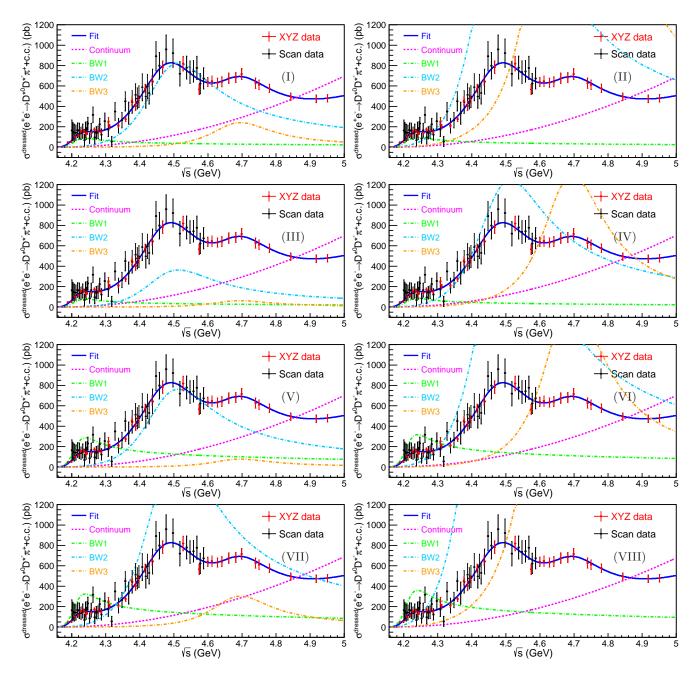


FIG. 2. The fit results of the dressed cross section line-shape of $e^+e^- \to D^{*0}D^{*-}\pi^+ + c.c.$. The blue curve is the total fit. The green, azure and orange dashed curves describe three BW functions, and the pink dashed-curve is the three body phase space contribution.

Appendix D: Systematic uncertainties

The systematic uncertainty studies are performed at energy points where the signal yield greater than 500 events. For other points suffering limited statistics, the uncertainty from the closest point is taken as its systematic uncertainty. All the systematic uncertainties are studied on each tag method separately and then combined together according to their yields. To consider all the related systematic uncertainties in the measurement of the Born cross sections, the sources of systematic uncertainty are divided into three categories.

The first category includes uncertainties associated with the detection efficiencies, such as tracking, particle identification, π^0 reconstruction, signal region requirements of the reconstructed unstable particles (i.e., the $P^*(\pi^0)$ momentum rejection region, $D^0(D^-)$ and $D^{*0}(D^{*-})$ mass window requirements), MC simulation model and ISR correction factors. The uncertainty of detection and PID efficiency is 1.0% for each charged track [53], and 2.0% for π^0 reconstruction [54]. The uncertainties associated with the $P^*(\pi^0)$, $M(D^0(D^-))$ and $M(D^{*0}(D^{*-}))$ windows are estimated by re-extracting the detection efficiencies with Gaussian-smeared MC samples where the Gaussian parameters are obtained from the discrepancies between data and MC simulation. The MC samples are corrected according to the partial-wave-analysis (PWA) results at each energy points. To estimate the uncertainties from PWA-corrected MC samples, the samples with different PWA results are re-corrected by changing the possible components used in the PWA. The differences of efficiencies extracted from nominal and re-corrected MC samples are taken as the systematic uncertainty of the signal model. The ISR correction factors can have an impact on the detection efficiencies by affecting the slope of the line-shape and can be treated together, as $(1 + \delta^{ISR}) \cdot \epsilon$, to estimate the uncertainty from ISR correction. Since the ISR correction factors are estimated by the fitting-iteration method, the uncertainty of this item comes from four different parts: the differences between the last two iterations are taken as the uncertainty of the iteration method itself; the uncertainty from the line-shape fitting model used in the iteration are estimated by replacing the PHSP model with a parameterized function; the uncertainty of $(1 + \delta^{ISR}) \cdot \epsilon$ is estimated by 500 groups of cross-section toys which are re-sampled according to the uncertainty of the parameters and the corresponding covariance matrix; the vacuum polarization factors are taken from QED calculation at each energy point and affect the slope of the line-shape, its uncertainty is estimated to be 0.5%.

The second category includes uncertainties associated with the signal shape, background shape and fit range, which affect the estimation of signal yields. The uncertainty of the signal shape is estimated by convolving it with a double-Gaussian function in the fit instead of a single Gaussian function in the nominal results. The description of background shape is changed from a $2^{\rm nd}$ order Chebyshev function to a linear function in the fit and the differences between the two cases are considered as the uncertainty. The uncertainty associated with the fit range is determined by altering the fit range from $(1.91, 2.12) \, \text{GeV}/c^2$ to $(1.911, 2.12) \, \text{GeV}/c^2$.

The last category includes the luminosity, quoted BFs where the uncertainty in luminosity is 1.0% at each energy point [46–48], and the uncertainty from quoted BFs of intermediate states in the subsequent decays, which are taken from the PDG [1].

Assuming no significant correlations between sources, the total systematic uncertainty is obtained as the sum in quadrature. Table III summarizes the systematic uncertainties of the cross section at various energy points.

TABLE III. The summary of all the systematic uncertainties in the Born cross section measurement. The items marked with '†' are treated as fully correlated uncertainties, the others are uncorrelated uncertainties. (unit: %)

| $\sqrt{s} (\text{GeV})$ | Track [†] | PID [†] | $\pi^{0\dagger}$ | Signal region | Decay Model | $(1+\delta^{\mathrm{ISR}})^{\dagger}$ | Signal shape | Bkg. shape | Fit range | $\mathcal{L}_{\mathrm{int}}^{\dagger}$ \mathcal{B}^{\dagger} | Total |
|--------------------------|--------------------|------------------|------------------|---------------|-------------|---------------------------------------|--------------|------------|-----------|--|-------|
| 4.226 | 3.7 | 3.7 | 2.8 | 0.5 | 0.9 | 1.4 | 2.5 | 0.9 | 3.1 | 1.0 2.7 | 7.9 |
| 4.236 | 3.7 | 3.7 | 2.7 | 0.4 | 0.3 | 1.0 | 2.3 | 0.5 | 2.6 | $1.0 \ \ 2.7$ | 7.6 |
| 4.244 | 3.6 | 3.6 | 2.8 | 0.6 | 0.6 | 0.8 | 1.0 | 1.2 | 1.3 | $1.0 \ \ 2.7$ | 6.9 |
| 4.258 | 3.6 | 3.6 | 2.8 | 0.6 | 0.0 | 0.7 | 2.6 | 2.5 | 3.2 | $1.0 \ \ 2.7$ | 8.1 |
| 4.267 | 3.6 | 3.6 | 2.8 | 0.7 | 0.1 | 0.7 | 1.8 | 0.5 | 1.8 | $1.0 \ \ 2.7$ | 7.1 |
| 4.288 | 3.7 | 3.7 | 2.8 | 0.2 | 0.1 | 1.9 | 1.6 | 1.6 | 1.6 | $1.0 \ \ 2.7$ | 7.3 |
| 4.312 | 3.6 | 3.6 | 2.7 | 0.3 | 0.6 | 2.9 | 1.6 | 3.0 | 1.5 | 1.0 2.6 | 8.0 |
| 4.337 | 3.6 | 3.6 | 2.7 | 0.4 | 0.5 | 2.3 | 1.9 | 6.0 | 2.1 | $1.0 \ \ 2.7$ | 9.6 |
| 4.358 | 3.7 | 3.7 | 2.8 | 0.5 | 0.1 | 2.3 | 1.3 | 2.5 | 1.7 | $1.0 \ \ 2.7$ | 7.7 |
| 4.377 | 3.7 | 3.7 | 2.7 | 0.4 | 0.4 | 1.2 | 0.9 | 4.6 | 1.7 | $1.0 \ \ 2.7$ | 8.3 |
| 4.397 | 3.7 | 3.7 | 2.8 | 0.3 | 0.6 | 0.6 | 0.9 | 2.7 | 0.8 | $1.0 \ \ 2.7$ | 7.3 |
| 4.416 | 3.7 | 3.7 | 2.7 | 0.6 | 0.4 | 0.8 | 0.2 | 2.3 | 0.5 | $1.0 \ \ 2.7$ | 7.0 |
| 4.436 | 3.7 | 3.7 | 2.8 | 0.3 | 0.2 | 0.9 | 1.2 | 1.3 | 0.0 | $1.0 \ \ 2.7$ | 6.8 |
| 4.467 | 3.7 | 3.7 | 2.7 | 0.5 | 0.6 | 1.0 | 0.3 | 1.5 | 0.4 | $1.0 \ \ 2.7$ | 6.8 |
| 4.527 | 3.7 | 3.7 | 2.7 | 0.4 | 0.4 | 0.9 | 3.1 | 1.0 | 2.5 | $1.0 \ \ 2.7$ | 7.8 |
| 4.575 | 3.7 | 3.7 | 2.8 | 0.5 | 0.3 | 0.6 | 1.1 | 0.6 | 0.4 | $1.0 \ \ 2.7$ | 6.8 |
| 4.600 | 3.7 | 3.7 | 2.7 | 0.5 | 1.2 | 0.9 | 0.5 | 0.3 | 0.7 | 1.0 2.6 | 6.8 |
| 4.612 | 3.7 | 3.7 | 2.8 | 0.2 | 0.4 | 1.1 | 0.5 | 0.7 | 0.5 | $1.0 \ \ 2.7$ | 6.7 |
| 4.628 | 3.7 | 3.7 | 2.7 | 0.4 | 0.1 | 1.3 | 0.7 | 0.9 | 0.4 | 1.0 2.6 | 6.8 |
| 4.641 | 3.7 | 3.7 | 2.7 | 0.3 | 1.0 | 2.7 | 0.7 | 1.1 | 0.8 | $1.0 \ \ 2.7$ | 7.3 |
| 4.661 | 3.7 | 3.7 | 2.8 | 0.3 | 2.0 | 2.6 | 0.6 | 0.3 | 0.1 | $1.0 \ \ 2.7$ | 7.3 |
| 4.681 | 3.7 | 3.7 | 2.7 | 0.3 | 0.5 | 2.4 | 0.2 | 0.5 | 0.1 | $1.0 \ \ 2.7$ | 7.0 |
| 4.698 | 3.7 | 3.7 | 2.7 | 0.2 | 1.0 | 2.5 | 0.1 | 1.1 | 0.4 | $1.0 \ \ 2.7$ | 7.2 |
| 4.740 | 3.7 | 3.7 | 2.7 | 0.5 | 2.5 | 1.5 | 0.5 | 0.8 | 0.8 | 1.0 2.6 | 7.3 |
| 4.750 | 3.7 | 3.7 | 2.7 | 0.3 | 2.1 | 1.0 | 0.1 | 0.6 | 0.5 | 1.0 2.6 | 7.0 |
| 4.781 | 3.7 | 3.7 | 2.7 | 0.4 | 0.4 | 0.8 | 0.2 | 0.4 | 0.9 | 1.0 2.6 | 6.7 |
| 4.843 | 3.7 | 3.7 | 2.7 | 0.2 | 2.1 | 1.2 | 0.4 | 0.9 | 0.6 | 1.0 2.6 | 7.1 |
| 4.918 | 3.7 | 3.7 | 2.7 | 0.4 | 1.8 | 1.2 | 0.4 | 1.4 | 0.5 | 1.0 2.6 | |
| 4.951 | 3.7 | 3.7 | 2.8 | 0.4 | 0.6 | 0.8 | 0.6 | 1.6 | 0.6 | 1.0 2.7 | 6.9 |