Encoding Information Structure in Yucatec Maya: On the Interplay of Prosody and Syntax^{*}

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The aim of this paper is to outline the means for encoding information structure in Yucatec Maya. Yucatec Maya is a tone language, displaying a three-fold opposition in the tonal realization of syllables. From the morpho-syntactic point of view, the grammar of Yucatec Maya contains morphological (topic affixes, morphological marking of out-of-focus predicates) and syntactic (designated positions) means to uniquely specify syntactic constructions for their information structure. After a descriptive overview of these phenomena, we present experimental evidence which reveals the impact of the nonavailability of prosodic alternatives on the choice of syntactic constructions in language production.

Key words: cleft constructions, lexical tone, topic affixes, verb-initial language

1 Preliminaries

Yucatec Maya is a Mayan language spoken by a population of 700,000 speakers (following the 1990 census) at the Yucatecan peninsula (Mexico). As in most other Mayan languages, the canonical order in Yucatec Maya is verb-initial (see England 1991; Norman & Campbell 1978).

With respect to information structure, Yucatec Maya shares with other Mayan languages that preverbal placement of constituents is unambiguously associated with the particular pragmatic functions 'topic' and 'focus'. The topic constituent is placed clause initially and is followed by a deictic suffix. Focus is

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encoded through cleft constructions that place the focused constituent in the immediately preverbal position.

As regards its prosodic characteristics, Yucatec Maya is exceptional in its language family in being the only Mayan language that has developed lexical tones.¹ However, lexical tone and intonation neither interact in the expression of topic nor of focus (Kügler & Skopeteas 2006).

The aim of this paper is to outline the means of encoding information structure in Yucatec Maya. Section 2 presents the morpho-syntactic devices that Yucatec Maya uses for the encoding of topic and focus. Section 3 gives an outline of the tonal characteristics of Yucatec Maya, presenting the prosodic realization of lexical tones and examining the availability of tonal reflexes of information structure. In Section 4, we present the results of a production experiment and we discuss the impact of the grammatical and prosodic properties of Yucatec Maya as outlined in sections 2 and 3 on the choice of grammatical constructions in language production. Section 5 summarizes the main results of this work.

The data were collected in December 2004 in the village of Yaxley (Quintana Roo, Mexico). The subjects that participated in all reported experiments were native speakers of Yucatec Maya and bilingual in Spanish, but exclusively use Maya in their everyday communication within the community.

2 Morpho-Syntactic Encoding of Information Structure

As mentioned in Section 1, verb initial sentences are considered to be canonical based on the criterion of structural markedness: constructions with preverbal arguments are morphologically marked, hence the verb initial order is the

¹ See Fisher (1976) for discussion of tonogenesis in the Yucatecan branch of Mayan languages.

canonical one (see Durbin & Ojeda 1978). Both VSO and VOS are possible orders in the language, but VOS, which is exemplified in (1), is considered to be pragmatically neutral.²

(1) T-u hàant-ah òon Pedro. PFV-A.3 eat:TRR-CMPL avocado Pedro 'Pedro ate avocado.'

Though sentences with two postverbal arguments such as (1) qualify as canonical sentences in Yucatec Maya based on the criterion of morphological markedness, this order only very rarely occurs in corpora (1% in a corpus of 200 clauses, see Skopeteas & Verhoeven 2005). This is a consequence of the fact that verb-initial sentences are thetic, and thetic sentences only rarely occur in discourse. The most frequent sentence type with two lexically realized arguments in a corpus is generally a categorical sentence with a topicalized agent (see (2)).

Topicalized and (narrowly) focused constituents are placed preverbally, while a postverbal constituent be part of a broad focused part of the clause (as, e.g., the patient ∂on in (2)). A topicalized constituent occurs clause initially and is obligatorily right-bounded by a deictic suffix (*a*' 'D1': deixis to the 1st person; *o*' 'D2': deixis to the 2nd person; *e*' 'D3': contextually given referent).³

(2)	Pedro-e'	t-u	hàant-ah	òon.
	Pedro-D3	PFV-A.3	eat:TRR-CMPL	avocado
	'As for Peo			

² See Skopeteas & Verhoeven (2005) on the impact of definiteness, animacy, and ambiguity on the choice of postverbal order in Yucatec Maya.

³ See also Bohnemeyer (1998) and Lehmann (1990) about topicalization in Yucatec Maya.

Narrow focus is assigned by the displacement of an argument in the preverbal position (cf. (3)). Focus on the agent of a transitive verb triggers a special 'out of focus' form of the verb (cf. (4)): the aspect auxiliary is dropped together with the cross-reference clitic for the agent. In the perfective aspect, the extrafocal verb bears the zero form subjunctive marker in non-clause-final position (Bricker 1979, Lehmann 1990). The constructions in (3) and (4) are cleft sentences. The main clause only contains a noun phrase which in Yucatec Maya as in many other Mesoamerican languages may constitute an independent nominal clause. Verb and postverbal argument form a relative clause (relative clauses in Yucatec Maya are not introduced through a relative pronoun). The analysis of these constructions as cleft sentences explains the occurrence of the verb form in (4): This verb form does not occur elsewhere in main clauses, and it is this verb form that is used in relative clauses that are headed by an agent NP.

- (3) òon t-u hàant-ah Pedro.
 avocado PFV-A.3 eat:TRR-CMPL Pedro
 'It was (an) avocado that Pedro ate.'
- (4) Pedro hàant òon. Pedro eat:TRR(SUBJ) avocado 'It was Pedro who ate (an) avocado.

3 Prosody: Tone and Intonation in Yucatec Maya

In this section, we attempt to show that information structural categories such as topic or focus are not expressed by means of post-lexical tones (intonation) in Yucatec Maya. To show this, we introduce the inventory of lexical tones of Yucatec Maya as well as their phonetic realization in section 3.3. Based on these observations, in section 3.4 we analyse target words bearing lexical tones in different syntactic positions that encode distinct information status. Comparing

the realization of lexical tones on target words occurring in broad and narrow focus as well as in topic position, we observe no further tonal effects that might arise due to intonation such as focal tone insertion as in Swedish (Bruce 1977) or Basque (Gussenhoven 2004). The following section (3.1) reviews the literature on the tone system of Yucatec Maya. Section 3.2 then introduces basic methodological issues of the production experiment as well as the data analysis.

3.1 Tone in Yucatec Maya

There is controversy in the literature regarding the tonal system of modern Yucatec Maya. All investigations agree that long vowels are obligatory tone bearing units and display an opposition between a high tone and a low tone. Short vowels are treated as contrasting two levels of pitch in Pike (1946), or as instantiating a third tone termed as "neutral" in Fisher (1976), or as having no tone in Blair & Vermont-Salas (1965). The tonal distinction as well as the distinction between long and short vowels is shown to be contrastive: *luk'ul* 'goes away' - *lúuk'ul* 'swallow' - *lùuk'* 'mud' (examples from Lehmann 1990; see also Blair & Vermont-Salas 1965 and Pike 1946).

As for the realization of tones, authors agree that the low tone is realized as a level tone (Blair & Vermont-Salas 1965, Pike 1946, Straight 1976). Concerning the lexical high tone, three different realizations have been claimed: (i) rising (Blair & Vermont-Salas 1965, Straight 1976) (ii) falling (Fisher 1976), and (iii) falling from high or high level (Pike 1946). However, Fisher (1976) shows that the falling realization occurs in monosyllabic words while in the first syllable of disyllabic words the lexical tone is realized as a rise. None of these investigations argues that the different realizations of a high tone are contrastive at the lexical level.

3.2 Procedure of the production experiment and data analysis

The speech data for the analysis reported in this section were recorded during the same field period as all the other data reported in this paper. Data elicitation took the form of a production experiment with an experimental setup that allows for separating lexical and post-lexical tones. The general procedure is inspired by the work of Bruce (1977) on the tonal aspects of Swedish word accents. Three distinct sentence structures served for the elicitation of target words in broad and narrow focus (post- and preverbal position, respectively), and in topic position (cf. section 2). The structures are listed in (5), where (5a) evokes broad focus in a sentence with the target word as a single argument of the existential verb, (5b) narrow focus in a sentence with the target word in the focus position, and (5c) topic in a sentence with the target word in the topic position. In all sentences the target words are non-initial and non-final, in order to avoid interactions with sentence initial reset or sentence-final lowering. The target words were chosen from the YUCLEX database (Lehmann s.d.), in order to consider instances of all possible tonal patterns (see Table 1).⁴

- (5) a. Broad focus construction yàan hun-túul _____ ichil le nah-o'. EXIST one-CL.AN ____ in DEF house-D2 'There is a in the house.'
 - b. Narrow focus construction ho'lyak-e', _____ hàant-ik le òon-o'. yesterday-D3 _____ eat:TRR-INCMPL DEF avocado-D2 'Yesterday, it was ____ who ate the avocado.'

⁴ In this article, we particularly discuss the realizations of the minimal pair *miis* 'cat' and *miis* 'broom'.

c.	Topic construction			
	ku ts'o'kol-e' le	-e'	h	bin-ih.
	afterwards-D3 DEF	-D3	PFV	go-B.3
	'Afterwards, what the	con	cerns, ((s)he went away.'

Table 1: Tonal patterns in lexical items. (N = neutral; L = low; H = high; grave accent indicates low tone, acute accent high tone).

tonal pattern	lexical item	translation
N	am	spider
L	lòol	flower
L	mìis	cat
Н	míis	broom
Н	láal	stinging nettle
N-N	ahaw	chief
N-L	konkùum	pot seller
N-H	konchúuk	shoe seller
L-N	yùuyum	bird
L-H	kòolnáal	farmer
L-L	xtùuxkùuts	pheasant
H-N	yáalam	fawn
H-L	óochkàan	snake
H-H	tóokchúuk	coal merchant

The speech data were elicited by means of question-answer pairs. Since most Yucatec Mayan speakers are not trained in reading Mayan orthography, we had to present our stimuli orally. The carrier sentences with target items as given in Table 1 were thus read by a native speaker before running the experimental sessions. The pitch contour of each provided sentence, however, was reduced to a flat level pitch in order to eliminate all linguistic information that is encoded by pitch. In the experimental sessions, informants heard the resynthesized stimuli. The informants' task, then, was to answer a generic question by repeating the text they had just heard before. All recordings were made on a DAT recorder (SONY 100) using head-mounted microphones. For the manipulation of the test sentences and for pitch analysis we used Praat (Boersma & Weenink 2006).

In total, twelve (male and female) speakers have been recorded. However, all twelve speakers did not produce sentences with all test items. The individual time-normalized measurements are based on two to six speakers.

The pitch analysis has been made using a hanning window of 0.4 seconds length with a default 10 ms analysis frame. The pitch contour has been smoothed using the Praat smoothing algorithm (frequency band 10 Hz) to diminish microprosodic perturbations. Following Xu (1999) the pitch tracks were time-normalized with ten measuring points during the voiced part of each of the labeled intervals. The time-normalized plots reported below thus only refer to the voiced parts of the words leaving voiceless parts aside. The F0-values measured in Hertz were converted to semitones⁵ to normalize across the physiological differences of male and female voices.

3.3 The phonetic realisation of lexical tones

In this section we provide an overview of the realization of lexical tones in Yucatec Maya. It has been claimed that Yucatec Maya exhibits a tonal distinction between high and low tones with additional toneless syllables (e.g. Pike, 1946). We will show the realisation of low and high tones below.

On the basis of a first inspection of empirical data gained through our production experiment, in Kügler & Skopeteas (2006) we identified a lexical low and a lexical high tone. The data presented here show systematically

⁵ The conversion from Hertz into semitones is made according to the equation below with an arbitrarily chosen reference of 100 Hz (e.g. Reetz 1999):

 $f(st) = 12 \log_2 (f(Hz) / 100 Hz)$ See also Nolan (2003) who has convincingly demonstrated that the semitone scale fits best the intonational equivalence scale; see Ladd (1996:260ff) for the notion of semitones with respect to pitch range.

analysed and normalized results. While the low tone is realized as a low level tone (see Fig. 1, right panel) which is in accordance with the previous accounts discussed above, we find evidence for the high tone being realized as a rise in pitch (see Fig 2), thus supporting the analysis of Blair & Vermont-Salas (1965), Straight (1976), and partly that of Fisher (1976). The difference in the observed contours in Fig. 1 is due to a difference in tonal structure. In the broad focus condition (left panel of Fig. 1) a high tone on the indefinite marker *huntúul* precedes the lexical low tone of the target word, whereas a toneless syllable precedes the target syllable in the topic condition.

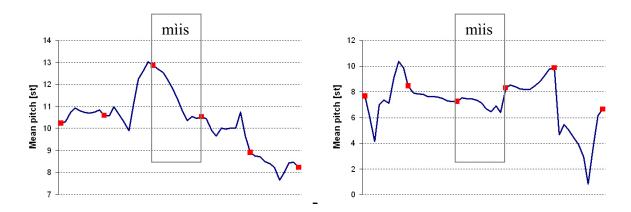


Fig. 1: Target word *miis* 'cat' with lexical low tone; in postverbal position (broad focus and canonical word order, five speakers) in the left panel, and in preverbal topic position in the right panel (five speakers).

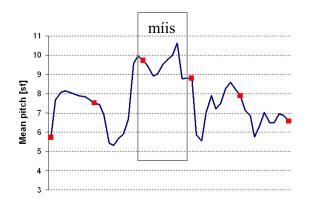


Fig. 2: Target word *míis* 'broom' with lexical high tone in postverbal position (broad focus and canonical word order, four speakers).

For syllables containing long vowels this tonal distinction is obligatory, whereas syllables containing short vowels are empty TBUs.

3.4 Tonal effects of information structure

In this section, we argue that information structure does not affect the realization of lexical tones in Yucatec Maya, i.e. the language does not encode a particular focus structure by means of intonational tones. We observe no interaction of lexical tones and post-lexical tones (intonation) meaning that Yucatec Maya does not employ additional pitch accents to express topic or focus. Properties of Yucatec Mayan intonation are dealt with in Blair & Vermont-Salas (1965) who offers a detailed annotation of intonational contours made for didactic purposes. Furthermore, Straight (1976) gives an inventory of rules that predict different realizations of the lexical tones in several tonal environments.

As already shown in Section 2, a crucial aspect of the Yucatec Mayan grammar is that the syntactic realization of the arguments is determined by information structure. As a result, it is not possible to examine the prosodic effects of information structure independently of syntax, i.e. it is not possible to design minimal pairs of identical carrier sentences that will be produced in contexts that induce distinct information structures. With this constraint in mind, the question of prosodic effects of information structure in Maya may be inspected by using the same lexical unit in different information structural – but necessarily also syntactic – positions (cf. (5) above).

In Kügler & Skopeteas (2006) we investigated the interaction between lexical tones and intonation in Yucatec Maya on the basis of a first inspection of the data, and concluded that there appears to be no tonal means for the expression of focus or topic. As illustrated in Section 2, narrow focused constituents appear preverbally (cf. (5b) above). If a word containing a lexical pre-specified tone occurs in the focus position, the underlying shape of the tone as described in Section 3.3 remains preserved. Thus, we observe no interaction of lexical tone and intonation (in the form of particular pitch accents) for the expression of focus. The data presented here are calculated means of six speakers. Consider the pitch track of the monosyllabic target word *miis* 'broom' with lexical high tone in narrow focus position in the left panel of Fig. 3. The target word is realized with the rise in pitch identical to the high tone rise established in Section 3.3. There appears no further tonal event that might be analyzed as a pitch accent indicating focus tonally. If we compare the narrow focus realization of a target word containing a lexical high tone with a realization in broad focus (postverbally, cf. Fig. 2 above) or in topic position (preverbally as in the narrow focus condition, cf. the right panel of Fig. 3), we observe the same tonal pattern, i.e., a rise in pitch on the target word. Thus, we conclude that information structural components such as topic, narrow and broad focus are not expressed by means of post-lexical tones (pitch accents) as is the case in languages such as Basque or Swedish (cf. Gussenhoven 2004).

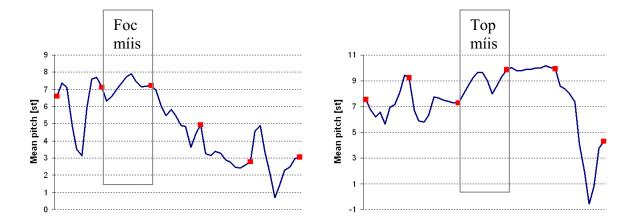


Fig. 3: Target word *miis* 'broom' with lexical high tone in preverbal position, normalized across six speakers; the left panel shows narrow focus, and the right panel topic position.

3.5 Summary

Concerning the prosodic properties of Yucatec Maya, we have shown that a lexical low tone is realized as a low level pitch, whereas a lexical high tone is realized as a rise in pitch (cf. also Kügler & Skopeteas 2006). Further, the realization of the lexical tones is not affected by information structure, i.e. information structure appears to induce no qualitative effects on the realisation of lexical tones. Focus is mainly expressed by means of syntax, which is explored in more detail in the next section.

4 Encoding Information Structure in Spontaneous Production

We have seen in Section 2 that Yucatec Maya displays a number of morphosyntactic devices for the encoding of topic and focus. We have shown in Section 3 that the language does not employ tonal means for the encoding of information structure. In this Section, we present data obtained through a production experiment in which spontaneous responses to different question types were elicited. This data shows the impact of the mentioned structural and prosodic properties on the choice of a given grammatical construction in particular discourse conditions.

The experiment discussed in this paper was developed within the project D2 "Typology of Information Structure" (part of the SFB 632 "Information Structure") and is part of the Questionnaire on Information Structure (Skopeteas et al. 2006)⁶.

⁶ See Skopeteas et al. (2006:119ff.) for a full documentation of the experimental procedure and material.

Experimental procedure

Subjects were shown a sheet of paper that contained four pictures. All pictures presented situations in which two entities were involved in events which are typically encoded by transitive verbs (x hits y, x kicks y, x carries y, x cuts y, etc.). The subjects were given one minute to observe what happens in the pictures; after that, the pictures were taken away and four questions relating to the pictures were played from a laptop. The questions were pre-recorded with two native speakers on a DAT recorder (SONY 100) and digitized at a sampling frequency of 22050 Hz. Subjects were instructed to listen to the questions and give a spontaneous answer. This experiment was part of a longer session (about 40 min.) that contained pseudo-randomized tasks from six different production experiments that were used as fillers for each other.

Sixteen native speakers of Yucatec Maya participated in this experiment. Their responses were recorded using head-mounted microphones on the same DAT recorder.

Experimental conditions

For the purposes of this paper, we will consider four of the eight conditions of this experiment. Two factors are instantiated in the four conditions:

- (a) solicited argument: agent or patient;
- (b) relation of the (intended) answer to the question: completive (i.e., filling a gap in the presupposed information) vs. corrective (i.e., replacing a part of the presupposed information).

The combination of these factors results in four conditions. The questions establishing these conditions are exemplified in (6).

- a. question inducing completive answer: agent máax léench'in-t-ik le xìib-o'? who push-TRR-INCMPL DEF man-D2
 'Who is pushing the man?
 - b. question inducing completive answer: patient ba'x t-u léench'in-t-ah le xìib-o'? what PFV-A.3 push-TRR-CMPL DEF man-D2 'What is the man pushing?
 - c. question inducing corrective answer: agent x-ch'úup léench'in-t-ik le xìib-o'?
 F-woman push-TRR-INCMPL DEF man-D2
 'Is a woman pushing the man?' (with respect to a stimulus in which 'a man is pushing the man')
 - d. corrective answer: patient

le x-ch'úup-o' táan wáah u DEF F-woman-D2 PROG INT A.3 léench'in-t-ik hun-túul xìib? push-TRR-INCMPL one-CL.AN man 'Is the woman pushing a man?' (with respect to a stimulus in which 'the woman is pushing a girl')

The conditions exemplified in (6) were factorially implemented in 16 items presenting different events, all involving two participants. Each subject was confronted with each item once and with each experimental condition twice. Thus the experimental procedure resulted in a corpus of $(16\times2=)32$ answers per condition, which are discussed in the following Subsection.

Results

In accordance with the syntactic properties of the language which have been presented in Section 2, all question types presented in (6) elicited focus constructions to some extent. In the following examples, the argument which is solicited through the question is placed preverbally and the argument which is part of the background of the question is placed postverbally.

- (7) a. A-focus
 Q= Who is looking at the girl?
 hun-túul xibpal pak-t-ik
 one-CL.AN man:child see-TRR-INCMPL
 le x-ch'úuppal-o'.
 DEF F-woman:child-D2
 'It is a boy that is looking at the girl.'
 - b. P-focus
 Q= What is the man kicking?
 hun-p'éel esten... k'áanche' k-u
 one-CL.INAN HESIT chair IPFV-A.3
 kóochek'-t-ik le xìib-o'.
 kick:foot-TRR-INCMPL DEF man-D2
 'It is a chair that the man is kicking.'

The solicited information may also occur postverbally, as in the following example. The argument which is part of the background of the question is placed in the topic position.

(8) Q= Is the man kicking a table?
le xìib-o' túun kóochek'-t-ik
DEF man-D2 PROG:A.3 kick:foot-TRR-INCMPL
hun-p'éel silla
one-CL.INAN chair
'The man is kicking a chair.'

Answers with two preverbal arguments also occur, but only in the conditions in which the agent is a topic and the patient is in focus (and not vice versa).

(9) Q= Is the woman hitting a flower? ma', le x-ch'úup-o' hun-p'éel k'áax k-u NEG DEF F-woman-D2 one-CL.INAN wood IPFV-A.3 lox-ik. hit-INCMPL 'No, the girl hits a piece of wood.'

Since the subjects were instructed to give a spontaneous answer to the recorded questions, the results contain also elliptical sentences that do not allow insights into the function of sentential positions.

 (10) Q= Who is carrying the pot? hun-túul máak. one-CL.AN man 'A man.'

Argument ellipsis is attested, too. In these answers, the focused argument and the verb are realized and the argument which is part of the question's background is elided. There are two possible realizations of focused arguments in these sentences, either in the preverbal focus position (11b) or postverbally (11a).

- (11) a. Postverbal realization Q= What is the man pulling? túun kóol-ik hun-p'éel mesa PROG:A.3 pull-INCMPL one-CL.INAN table 'He is pulling a table.'
 - b. Preverbal realization
 Q= What is the man carrying?
 hun-túul x-ch'úup k-u bis-ik
 one-CL.AN F-woman IPFV-A.3 carry-INCMPL
 'It is a woman that he's carrying.'

Fig. 4 and Fig. 5 show the results gained in the four experimental conditions. Note that the figures only contain those answers that (a) do not imply a misinterpetation of the stimulus and that (b) do not display verb ellipsis. Three types of answers are distinguished in Fig. 4: focus constructions as exemplified in (7), postverbal placement of the solicited information as illustrated in (8) and "other". The cases classified as "other" contain pseudo-clefts or complex sentences with a dislocated argument.

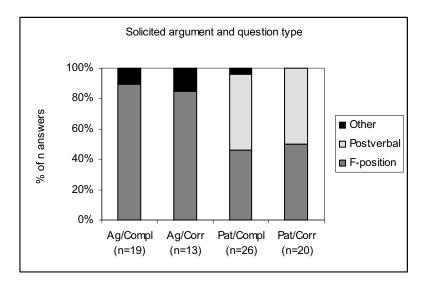


Fig. 4: Encoding the argument which is solicited through the question ("Ag": agent in focus; "Pat": patient in focus; "Compl": completive answer; "Corr": corrective answer).

Fig. 4 shows an asymmetry in the encoding of agents and patients, when solicited through the questions. Agents are almost always placed in the preverbal focus position, while patients may occur in the postverbal position, too. This result reveals that patients may also be focused in situ. Furthermore we can observe descriptively in Fig. 4 that the type of question (i.e., corrective vs. completive) does not have an impact.

An argument which is part of the background of the question can be encoded either as a topic (see (8) and (9)), or postverbally (see (7)), or is elided (see (11)). The observed occurrence of these options is presented in Figure 5: in agent questions the background argument is the patient, and in patient questions the background argument is the agent.

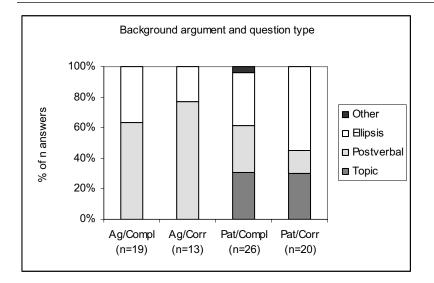


Fig. 5: Encoding the background argument

Figure 5 shows that an asymmetry holds for the topicalization of the arguments, too: an agent is placed in the topic position when it is background information (see patient questions), while a patient which is the background information is always encoded postverbally. As already observed with respect to the encoding of the solicited argument, the difference between corrective and completive answers does not crucially affect the encoding of the background argument in Yucatec Maya.

The common denominator between the two observed asymmetries is a general preference for Agent first orders. In sentences with two third person nominals, one of which is topicalized, the construction is ambiguous due to the lack of case marking. For these sentences, there is a strong preference to interpret the topicalized argument as an agent which probably results in the asymmetry presented in Fig. 5.

5 Summary

We demonstrated in Section 2 that Yucatec Maya provides unambiguous syntactic means for the encoding of information structure. In Section 3, we illustrated that information structure appears to induce no qualitative effects on the realization of lexical tones. The consequence of these observations is that speakers will have to choose a syntactic device in order to encode the information structure of arguments. This hypothesis was confirmed by a production experiment that we presented in Section 4: in all question types, speakers produced a high amount of syntactic constructions that focused the solicited argument. The data obtained by this experiment also revealed an asymmetry between focused patients and focused agents: while agent questions almost always triggered agent focus, patient questions only triggered patient focus in half of the answers. In the other half, the patient – though it is the solicited argument – remains in situ. The data from topicalization revealed a reverse asymmetry; the agent is the preferred topic while the patient does not occur in topic position. Both asymmetries are attributed here to a general preference for agent first orders in Yucatec Maya.

6 Glosses

А	cross-reference marker, set A
В	cross-reference marker, set B
CL	classifier
AN	animate
INAN	inanimate
CMPL	completive
D	deictic
DEF	definite
EXIST	existential
F	feminine
HESIT	hesitative

INCMPL incompletive interrogative INT **IPFV** imperfective NEG negative PFV perfective PROG progressive subjunctive **SUBJ** TRR transitivizer

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