

Functional and mechanistic insights into cytokine induced macrophage polarization

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## **Abbreviations**

ChIP: Chromatin Immunoprecipitation

Co-IP: Co immunoprecipitation

CRISPRi: clustered regularly interspaced short palindromic repeats-interference

dCas9: dead CRISPR associated protein 9 (catalytically inactive)

IL: Interleukins

STAT: Signal transducer and activator of transcription

BATF: Basic leucine zipper transcription factor, ATF-like

CCL18: Chemokine (C-C motif) ligand

TGFA: Transforming growth factor alpha (TGF- $\alpha$ )

CD274/PDL-1: Cluster of differentiation 274/ Programmed death-ligand 1 (PD-L1)

NGS: Next generation sequencing

hMDMs: human monocyte derived macrophages

GRR: Genome regulatory regions

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Project I: IL-6 augments IL-4-induced polarization of primary human macrophages through synergy of STAT3, STAT6 and BATF transcription factors

## 1. Summary

Macrophages in the tumor microenvironment respond to complex cytokine signals. How these responses shape the phenotype of tumor-associated macrophages (TAMs) is incompletely understood. Here we explored how cytokines of the tumor milieu, interleukin (IL)-6 and IL-4, interact to influence target gene expression in primary human monocyte-derived macrophages (hMDMs). We show that dual stimulation with IL-4 and IL-6 synergistically modified gene expression. Among the synergistically induced genes are several targets with known pro-tumorigenic properties, such as CC-chemokine ligand 18 (CCL18), transforming growth factor alpha (TGFA) or CD274 (programmed cell death 1 ligand 1 (PD-L1)). We found that transcription factors of the signal transducer and activator of transcription (STAT) family, STAT3 and STAT6 bind regulatory regions of synergistically induced genes in close vicinity. STAT3 and STAT6 co-binding further induces the basic leucine zipper ATF-like transcription factor (BATF), which participates in synergistic induction of target gene expression. Functional analyses revealed increased MCF-7 and MDA-MB 231 tumor cell motility in response to conditioned media from co-treated hMDMs compared to cells incubated with media from single cytokine-treated hMDMs. Flow cytometric analysis of T cell populations upon co-culture with hMDMs polarized by different cytokines indicated that dual stimulation promoted immunosuppressive properties of hMDMs in a PD-L1-dependent manner. Analysis of clinical data revealed increased expression of BATF together with TAM markers in tumor stroma of breast cancer patients as compared to normal breast tissue stroma. Collectively, our findings suggest that IL-4 and IL-6

cooperate to alter the human macrophage transcriptome, endowing hMDMs with pro-tumorigenic properties.

## Zusammenfassung

Makrophagen in der Tumor-Mikroumgebung reagieren auf komplexe Zytokinsignale. Wie diese Signale den Phänotyp tumorassoziierter Makrophagen (TAMs) prägen, ist jedoch nicht vollständig geklärt. Es wurde beschrieben, dass IL-4 und IL-6 synergistisch in Knochenmarks-Makrophagen der Maus (mBMDM) über die Aktivierung von *inositol-requiring enzyme 1* (IRE-1 $\alpha$ ), einem Marker der *unfolded protein response* (UPR), wirken. Diese Aktivierung steigert die Cathepsin-Freisetzung in mBMDMs durch Hochregulierung der in Golgi-Vesikeln angereicherten Faktoren *X-box binding protein 1* (XBP1) und *activating transcription factor 6* (ATF6). Die Autoren beobachteten erhöhte Konzentrationen von sXBP1 in Wildtyp (WT) mBMDM im Vergleich zu STAT6<sup>-/-</sup> oder STAT3<sup>-/-</sup> BMDMs, was für sie ein Indiz war, dass Zytokin-induzierte nicht-kanonische UPR durch den IRE1 $\alpha$  / XBP1-Signalweg die Sekretion von lysosomalen Proteasen fördert. Jedoch hat bisher keine Studie einen synergistischen Einfluss der Zytokine IL-4 und IL-6 auf die Zielgenexpression in primären, humanen, aus Monozyten generierten Makrophagen (hMDMs) unter Verwendung von *next-generation RNA sequencing* (RNA-Seq) untersucht.

In meiner Doktorarbeit konnte ich durch RNA-Seq-Experimente zeigen, dass die Stimulation mit IL-4 und IL-6 synergistisch die Genexpression beeinflusst. Die mit dieser Methode ermittelten Reads wurden auf das humane hg19-Genome *gemappt* und mittels des DEseq2-Pakets in 'R' analysiert. Unter den synergistisch induzierten Genen befanden sich mehrere mit bekannten pro-tumorigenen Eigenschaften, wie CC-Chemokin-Ligand 18 (CCL18), transformierender Wachstumsfaktor-alpha (TGFA) CD274 (*programmed cell death 1 ligand 1* (PD-L1)) sowie andere Chemokine wie CCL8, CCL17 und CCL23. Um den Mechanismus dieser Synergie zu charakterisieren, untersuchten wir die Veränderungen in der Phosphorylierung und der nukleären

Translokation der Transkriptionsfaktoren *signal transducer and activator of transcription* (STAT)3/6. Wie beobachteten jedoch keine signifikanten Veränderungen in der Proteinphosphorylierung. Als nächstes testeten wir IRE-1 $\alpha$ -abhängige (z.B. HERPUD1, XBP1s) sowie -unabhängige Stressmarker des endoplasmatischen Retikulums (ER) (GRP78, ATF6, ERJD4) in mBMDMs . Unsere Daten zeigen, dass die Expression von ER-Stress-abhängigen Genen nach IL-4/IL-6 Koststimulation in hMDMs unverändert blieb, was auf eine speziesspezifische Diskrepanz verglichen mit mBMDMs hinweist.

In einem nächsten Schritt untersuchten wir, ob die Bindung von STAT3 und STAT6 in regulatorischen Regionen der synergistisch induzierten Gene nach dualer Zytokinstimulation ansteigen. Obwohl sich die STAT3- und STAT6-Bindung quantitativ nicht signifikant änderte, beobachteten wir, dass STAT3/STAT6 in enger Nachbarschaft (5-500 bp voneinander) an die jeweiligen regulatorischen Regionen binden. Nach einem Knockdown von STAT3 beobachteten wir eine Hemmung der Ko-Induktion von synergistisch induzierten Genen, was deutlich macht, dass die synergistische Induktion tatsächlich über STAT3-abhängige Mechanismen erfolgt. Wir stellten die Hypothese auf, dass die gleichzeitige Bindung von STAT3 und STAT6 andere Proteine induziert, die an der synergistischen Regulation beteiligt sein könnten. Um weiter zu überprüfen, ob STAT3- und STAT6-Bindung tatsächlich für die Zielgenexpression verantwortlich waren, blockierten wir die STAT3- und STAT6-Bindung durch CRISPRi Technologie spezifisch durch eine Modifikation der oben genannten Bindungsstellen. Darüber hinaus untersuchten wir, ob die alleinige Bindung von STAT3 und STAT6 an die Enhancer-Sequenz im CCL18-Gen eine verstärkte Genexpression fördert. Zu diesem Zweck klonierten wir den CCL18-Kernpromotor (-147 bp von der TSS) mit oder ohne Enhancer-Bindungsstellen in den

pGL3-basic Luciferase-Reportervektor und bestimmten die Firefly-Luciferase-Aktivität normalisiert auf Aktivität der kotransfizierten Renilla-Luciferase-Aktivität (SV40-pRL) als interne Kontrolle. Die Firefly-abhängige Luciferase-Expression war im Vergleich zum Core-Promotor allein signifikant erhöht, wenn gleichzeitig die Enhancer-Bindungsstellen vorhanden waren. Dies impliziert, dass die Enhancer-Sequenzen zur CCL18-Genexpression beitragen. Es gab jedoch keinen weiteren Anstieg in IL-4 versus IL-4/IL-6-stimulierten Proben. Wir nehmen an, dass dies höchstwahrscheinlich auf das Fehlen der epigenetischen Histonacetylierung zurückzuführen ist, da klonierten Vektoren die epigenetische Maschinerie fehlt und die Vektor-DNA in einem linearen und nicht in einem chromosomalen Zustand (wie er *in vivo* vorkommt) vorliegt. Da die epigenetische Modifikation stark mit der Transkriptionsfaktorbindung assoziiert ist, untersuchten wir H3K9ac-Spiegel nach Zytokinstimulation und fanden heraus, dass H3K9ac an den STAT3- und STAT6-Bindungsstellen nach dualer Stimulation ansteigt. Diese Experimente zeigten, dass unsere STAT3/STAT6-Bindungsstellen bei der synergistischen Induktion der Zielgenexpression funktionell relevant waren.

Um Mechanismen der synergistischen Genexpression weiter zu validieren, identifizierten wir Gene, die nach IL-4/IL-6- im Vergleich zur IL-4-Stimulation ( $|\log_2FC| > 1$ ,  $p \leq 0.5$ ) differentiell exprimiert waren. Wir beobachteten, dass der *basic leucine zipper ATF-like transcription factor* (BATF) synergistisch nach dualer Stimulation induziert wurde. Wir untersuchten mRNA- und Proteinspiegel von BATF, die unsere Ergebnisse bestätigten. Anschließend beobachteten wir eine erhöhte STAT3-, aber unveränderte STAT6-Bindung (2-10bp auseinander) nach dualer Stimulation (IL-4/IL-6) in regulatorischen Regionen des BATF Gens via ChIP, sowie eine reduzierte BATF-Expression auf mRNA-Ebene nach einem Knockdown von STAT3. Somit bestätigten

wir, dass die BATF-Induktion tatsächlich von der STAT3-Bindung abhängig war. Um zu untersuchen, ob BATF tatsächlich an der synergistischen Genregulation nach IL-4/IL-6-Stimulation beteiligt war, verwendeten wir eine BATF-siRNA-Knockdown-Strategie und zeigten, dass via IL-4/IL-6-Stimulation synergistisch induzierte Gene nach BATF-Knockdown gehemmt waren. Weiterhin identifizierten wir BATF-Bindungsstellen in den regulatorischen Elementen der Gene für CD274, CCL18 und PD-L1, mittels existierender ChIP-Seq-Daten (andere Zelllinien) und Online-Vorhersage-Tools (Jaspar). Eigene ChIP Analysen zeigten ebenfalls eine erhöhte BATF-Bindung in diesen Elementen sowie eine Erhöhung von H3K9ac nach dualer Stimulation im Vergleich zur alleinigen Stimulation mit IL-4. Ferner konnten wir zeigen, dass die Blockade von BATF-Bindungsstellen mittels CRISPRi die synergistische Induktion von CCL18 hemmte, was die Rolle von BATF bei der synergistische Geninduktion bestätigte.

Der durch IL-4 und IL-6 ko-induzierte BATF-Transkriptionsfaktor, der weiterhin mit STAT3 und STAT6 synergisiert, könnte in vivo durch BATF3- oder IRF4-Bindung komplementiert werden. Wir stützen diese Hypothese auf unsere Beobachtung, dass BATF3 und IRF4 nicht nur synergistisch durch duale Zytokinstimulation induziert werden, sondern auch durch STAT3 und BATF reguliert werden, was wir durch siRNA-Knockdown-Experimente zeigen konnten. Darüber hinaus wurde zuvor gezeigt, dass BATF und IRF4 einen Komplex bilden können.

Funktionelle Analysen unserer Zielgene zeigten eine erhöhte MCF-7- und MDA-MB 231 Tumorzellmotilität in 3D-Chemotaxis-Assays als Reaktion auf konditionierte Medien von ko-behandelten (IL-4 und IL-6) hMDMs im Vergleich zu Tumorzellen, die mit Überständen von mit den einzelnen Zytokinen behandelten hMDMs inkubiert wurden. Durchflusszytometrische Analysen von T-Zellpopulationen nach Co-Kultur



mit hMDMs, die durch verschiedene Zytokine polarisiert wurden, zeigten, dass die duale Stimulation mit IL-4 und IL-6 immunsuppressive Eigenschaften von hMDMs förderte, was PD-L1-abhängig war. Wir stellten darüber hinaus fest, dass hMDMs nach dualer Stimulation die Aktivierung von ko-kultivierten CD8<sup>+</sup> -T-Zellen hemmten, was durch eine verringerte IFN $\gamma$ -Sekretion und eine reduzierte Anzahl von CD8<sup>+</sup> aktivierten T-Zellen angezeigt wurde. Dieser Effekt war PD-L1-abhängig, da die Verwendung PD-L1-blockierender Antikörper die oben beschriebenen Phänotypen aufhob. Von Interesse war eine unerwartete, erhöhte IFN $\gamma$ -Freisetzung bei Co-Kultur von T-Zellen mit IL-4-polarisierten hMDMs. Wir spekulieren, dass die erhöhte Expression von co-stimulatorischen Immunrezeptoren (CD40, CD80, CD86) und die mäßige Induktion von PD-L1 in IL-4-polarisierten hMDMs den Makrophagen-Phänotyp in Richtung Aktivierung von T-Zellen verschiebt. Dieser Effekt kehrte sich nach der dualen Zytokinbehandlungen durch synergistische Induktion von PD-L1 um, dessen immunsuppressive Effekte den co-stimulatorischen hMDM-Phänotyp, wie nach alleiniger Stimulation mit IL-4 beobachtet, außer Kraft setzen. Dies führt in Konsequenz zu einer verminderten IFN $\gamma$ -Sekretion durch aktivierte CD8<sup>+</sup> T-Zellen und TH1-Zellen.

Im Anschluss analysierten wir klinische Daten von gesundem Gewebe im Vergleich zu Tumorstroma aus publizierten, öffentlichen GEO-Datensätzen. Deren Analyse zeigte eine erhöhte Expression von BATF zusammen mit dem TAM-Marker CD163 im Tumorstroma von Brustkrebspatienten im Vergleich zu normalem Brustgewebsstroma. Zusammenfassend legen unsere Ergebnisse nahe, dass IL-4 und IL-6 zusammenwirken um das Transkriptom humaner Makrophagen so zu verändern, dass sie pro-tumorigene Eigenschaften erhalten.

## 2. Introduction

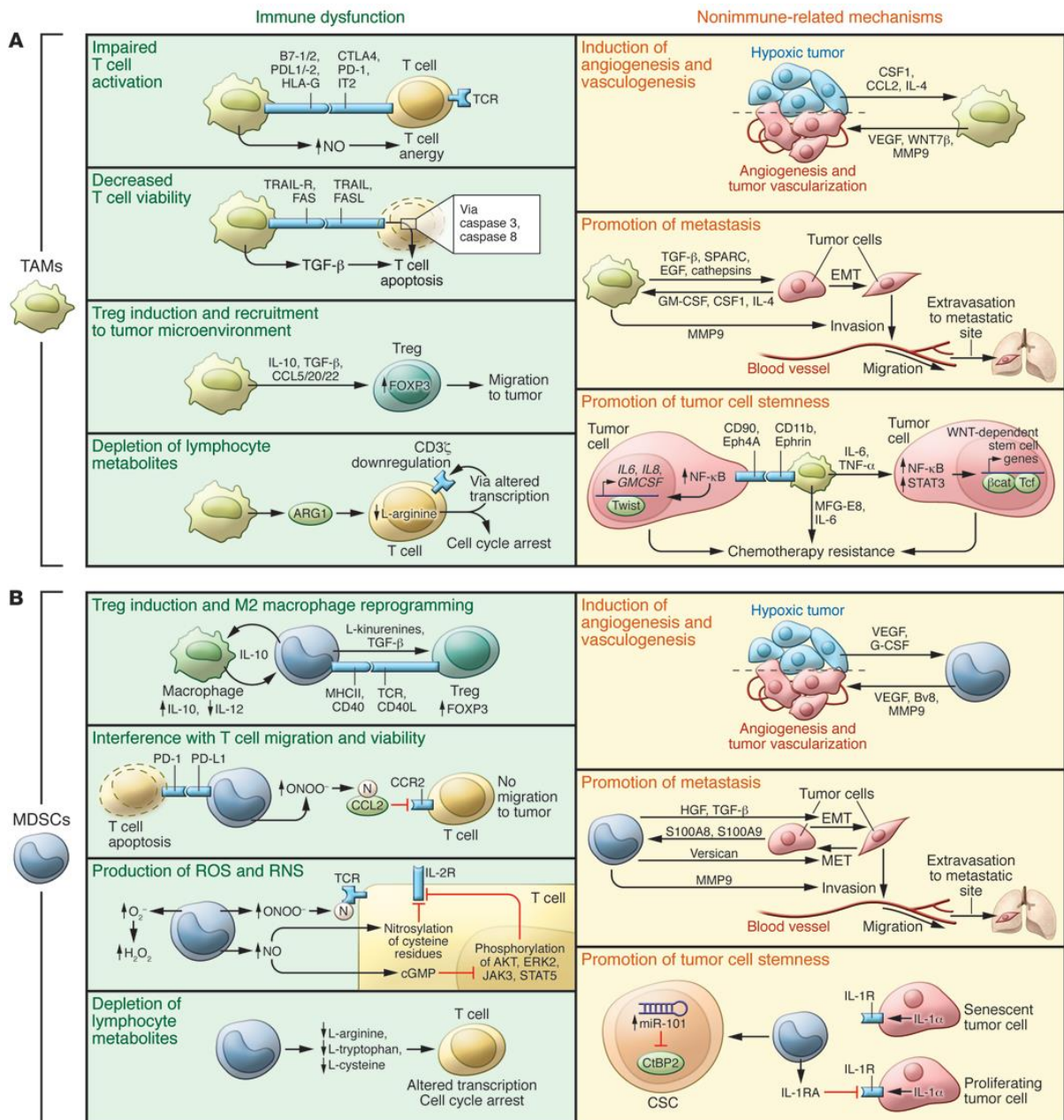
Tumor is defined through its unrestrained proliferation marked by increased genome instability. A few hallmarks of cancer are defined by (I) prolonged proliferative signals by growth factor ligands or deregulating growth factor receptor structure or downstream signaling, (II) evading growth repressors, (III) invasion and metastasis activation (IV) indefinite replication potential, (V) induction of angiogenesis, and (VI) enabling apoptosis resistance<sup>1,2</sup>. Apart from these classical hallmarks of cancer, a few emerging hallmarks include pro-tumorigenic inflammatory environment and avoiding immune cell mediated checkpoints through e.g. upregulation of immune inhibitory receptors. This helps the tumor resist the recognition and elimination by the adaptive immune system.

Crosstalk of tumor and immune cells is critical to promote tumor progression and metastasis<sup>3-5</sup>. A major outcome of this crosstalk is reshaping of gene expression landscapes and functional properties of tumor-resident and infiltrating myeloid cells, such as myeloid derived suppressor cells (MDSCs) or tumor-associated macrophages (TAMs)<sup>6, 7</sup>. MDSC are CD33<sup>+</sup>CD11b<sup>+</sup>HLADR<sup>lo</sup>, lacking markers for mature hematopoietic cells<sup>8</sup>. MDSCs are derived from CMP (common myeloid progenitors) or GMP (granulocyte to monocyte progenitors) and may suppress both innate or adaptive immune responses by inhibiting T effector cells through arginase-1, reactive oxygen species (ROS) or nitric oxide synthase (iNOS) expression and expanding T regulatory (Tregs) by releasing interleukin (IL-10) and transforming growth factor (TGF $\beta$ )<sup>9-11</sup>. As a result tumor myeloid cells promote tumor growth and invasiveness, support angiogenesis and help tumor cells evade immune surveillance mechanisms<sup>12</sup>. TAMs and MDSCs share many characteristics but are yet are two separate cell types. TAMs are sustained via circulating inflammatory monocytes

(CCR2<sup>+</sup>CD14<sup>+</sup>CD16<sup>-</sup>) and are distinct from vessel patrolling monocytes (CD14<sup>dim</sup>CD16<sup>-</sup>CX3CR1<sup>hi</sup>) in human tumors<sup>6</sup>. Although, MDSC (CD45<sup>+</sup>CD11b<sup>+</sup>CD33<sup>+</sup>) and TAMs (CD45<sup>+</sup>CD68<sup>+</sup>CD115<sup>+</sup>HLA-DR<sup>+</sup>CD205<sup>+</sup>) differ in their surface expression for receptor genes as measured by fluorescent activated cell sorting (FACS), they do share similar functional characteristics for tumor promoting phenotype. On one hand while MDSC suppress the innate and adaptive immune responses, TAMs share a tumor promoting phenotype via direct or indirect processes and are generally associated with poor patient prognosis. Interestingly MDSC also employ the CCR2/CCL2 signaling pathway as TAMs for their recruitment from bone marrow to the tumor site. TAMs and MDSCs can mediate tumor cell progression via (i) immune dysfunction, i.e. impairing T cell functions through inducing T cell apoptosis or anergy as well as recruiting Tregs or (ii) non-immune related mechanisms. These include induction of angiogenesis by releasing VEGF and MMP9, promotion of metastasis via release of cathepsins, chemokines, metalloproteases or induction of chemotherapy resistance via increasing expression of latency/tumor-stemness genes with distinct pro-tumorigenic properties<sup>13, 14</sup> (Fig. 1). TAMs may also promote malignant cell evasion from the antibody-dependent cell-mediated phagocytosis by activating inhibitory immunoglobulin Fc receptors (FCGR2B/ CD32b) or inhibiting activation receptors (FCGR1A/CD16, FCGR3A/CD64)<sup>15</sup>. Among immunosuppressive mechanisms exerted by tumor myeloid cells, surface expression of a T-cell inhibitory receptor, programmed cell death 1 ligand 1 (PD-L1) (synonym CD274), is prominent in TAMs, MDSCs as well as in tumor cells. PD-L1 expression is induced by IFN $\gamma$  or under hypoxic conditions<sup>16, 17 18</sup>. Induction of PD-L1 induces T cell anergy or inhibits cytotoxic T lymphocyte activation<sup>19-22</sup>, thereby facilitating tumor progression.

Pro-tumorigenic phenotype remodeling of tumor-infiltrating myeloid cells is greatly influenced by soluble factors secreted by tumor and stromal cells, such as chemokines, cytokines, and metabolites. For instance, breast tumor cells release high amounts of lactate and GM-CSF, switching TAMs towards a pro-metastatic phenotype characterized by high levels of CCL18 secretion<sup>13, 23</sup>. Another prominent cytokine of the tumor microenvironment is interleukin-6 (IL-6)<sup>24</sup>, which acts either pro- or anti-inflammatory in a context-dependent manner. IL-6 binds to the IL-6 receptor  $\alpha$  chain and transduces downstream signaling via gp130 receptor that recruits Jak2 tyrosine kinases and signal transducer and activator of transcription 3 (STAT3) transcription factors. Activated STAT3 induces IL-6 target genes, such as suppressor of cytokine signaling 3, in human monocyte-derived macrophages (hMDMs). IL-6 is released in the tumor microenvironment by tumor as well as stromal cells, including fibroblasts, endothelial cells, and macrophages<sup>25-28</sup>. The mode of IL-6 action is influenced by its cooperation with other cytokines. For example, cooperation of IL-6 with IL-1 $\beta$  and TNF $\alpha$  potentiates pro-inflammatory outcomes, whereas complementing IL-6 with IL-4/IL-13 is anti-inflammatory<sup>24, 29, 30</sup>. Similarly, the cytokines IL-4 and IL-13, released by adipose tissue, Th2 T cells as well as tumor cells in breast, pancreatic, and glioblastoma cancers<sup>31, 32</sup> can polarize TAMs towards an anti-inflammatory phenotype to support tumor progression and metastasis<sup>33-35</sup>.

The exact mechanisms of cytokine-cytokine interactions are only recently being explored. How combinations of cytokines and other soluble factors of the tumor microenvironment shape the TAM phenotype is poorly understood. Investigating different cytokine polarization patterns gives insights into designing effective therapies to reprogram TAMs towards anti-tumor phenotypes<sup>36</sup>. Recently, a study investigating the mechanism for IL-4 and IL-6 synergy in mBMDMs reported<sup>37</sup> that these cytokines



**Figure 1: Mechanism governing (A) TAMs and (B) MDSCs mediated tumor progression (adapted from Ugel S et.al, JCI, 2015)**

synergize via activation of inositol-requiring enzyme 1 (IRE-1 $\alpha$ ), a marker of unfolded protein response (UPR). This activation promoted cathepsin secretion in mouse BMDMs by upregulation of golgi vesicle enriched XBP1 and ATF6. During classical UPR activation, release of ER-resident GRP78 chaperone from IRE-1 $\alpha$  promotes its

oligomerization and auto-phosphorylation. This allows IRE-1 $\alpha$  to catalyze the excision of a 26-nucleotide intron XBP1 RNA, resulting in spliced 33KDa (sXBP1) variant, which is an active transcription factor regulating the expression of multiple UPR target genes. The authors found increased levels of sXBP1 in wild type (WT) compared to STAT6<sup>-/-</sup> or STAT3<sup>-/-</sup> BMDMs. Yan et.al suggested that cytokine-induced non-canonical UPR through IRE1 $\alpha$ /XBP1 pathway promotes the secretion of lysosomal proteases by re-routing the pro-form of cathepsins through ER.

Another example of cytokine interactions as commonly seen in tumor microenvironment was depicted by antagonism of IFN $\gamma$ -stimulated transcriptional response by IL-4 and vice versa in BMDMs <sup>38</sup>. It was demonstrated that the cytokines of opposing polarizations IL-4 and IFN $\gamma$  show extensive epigenomic and cross transcriptional inhibition. The responses were stratified based on IFN $\gamma$  sensitive (IFN $\gamma$  target genes inhibited by IL-4), IFN $\gamma$  resistant (IFN $\gamma$  target genes unaffected by IL-4), IL-4 sensitive (IL-4 target genes inhibited by IFN $\gamma$ ) and IL-4 resistant (IL-4 target genes unaffected upon IFN $\gamma$  stimulation). STAT1 and IRF1 were associated with IFN $\gamma$  resistant response to IL-4, however when co-bound with auxiliary factory such as AP-1, were sensitive to IL-4 mediated inhibition. Through further computational and functional analysis, the authors conclude that IFN $\gamma$  resistant regulatory elements were enriched for IFN $\gamma$  induced *MafB* motifs whereas that of IL-4 resistant regulatory elements for IL-4 induced Myc transcription factor binding motif <sup>38</sup>. The authors argue that the inhibitory effects of IL-4 on IFN $\gamma$  sensitive enhancers are explained IL-4 induced *MafB* transcription factor that combats IFN $\gamma$  induced inhibitory effects on enhancers at IL-4 target genes. Nevertheless, Myc and STAT6 levels were comparatively higher to *MafB* in IL-4 resistant enhancers. Furthermore, the authors found that IL-4 stimulated Myc levels were relatively constant and not inhibited after

IFN $\gamma$  co-stimulation in IL-4 resistant group. This suggested that Myc rather than MafB might confer resistance to antagonistic signals by IFN $\gamma$ . In another study, authors extracted macrophages from rheumatoid arthritis (RA) patients reported the role of IFN $\gamma$  mediated disassembly of enhancers bound by transcription factor Maf. IFN $\gamma$  stimulation displaces Maf bound on anti-inflammatory (M2-like genes) macrophages. This underlies that IFN $\gamma$  induced inflammatory signals in RA patients displace Maf TF further correlating low *Maf* levels with a negative and inflammatory signature in rheumatoid arthritis patients compared to control group<sup>39</sup>.

Goldstein I et.al highlights the importance of transcription factor (TF) assisted loading by IL1 $\beta$  induced NF- $\kappa$ B binding. IL1 $\beta$  and IL-6 can activate or inhibit genes upon co-stimulation during acute phase response. IL1  $\beta$ induced NF- $\kappa$ B binds and primes a subset of enhancers for efficient STAT3 binding upon IL-6 stimulation in primary mice hepatocytes <sup>40</sup>, thereby mediating synergistic gene expression.

However, the mechanism of how IL-6 enhances inflammatory (TNF $\alpha$ , IL1 $\beta$ , LPS) or anti-inflammatory (IL-4, IL-13) phenotypes in a context dependent manner during hMDMs polarization remains unclear. In this study, we address the mechanistic and functional aspects of how IL-6 interacts with IL-4 in hMDMs, explore co-regulated target genes and reveal the differences between murine and human macrophages.

### **3. Aims of the study**

Complex cytokine signals can shape polarization and activation status of stromal and immune cells in tumor microenvironment. Here, we study the effects of two such cytokines that are established M(IL-4) and M(IL-6) macrophage polarization cytokines. Recently IL-6 has been shown to be a context specific cytokine that can enhance the inflammatory M1(TNF- $\alpha$ , IL1 $\beta$ , LPS) or anti-inflammatory phenotype M2(IL-4, IL-13) phenotype of macrophages<sup>41</sup>. However, no report has yet established the mechanism of cytokine synergism in IL-4/IL-6 polarized hMDMs. Therefore, we planned to explore the mechanism and functional consequence of IL-4 and IL-6 mediated hMDMs polarization via high throughput RNA seq, CHIP, CRISPRi and in vitro activity assays.



## **4. Materials and Methods**

### **4.1 Materials**

#### **4.1.1 Cells**

Primary human macrophages: Buffy coats of healthy donors were collected from a local blood donation facility (Deutsche Rotes kreuz-Blutspendedienste) and cultured in RPMI-1640, 3% heat-inactivated human serum.

MDA-MB-231, adenocarcinoma metastatic breast, mesenchymal like, cancer epithelial cells and MCF-7, adenocarcinoma metastatic breast, luminal like, cancer epithelial cells were grown in RPMI, 10% FCS, 1% penicillin/streptomycin, non-essential amino acids (1%) and sodium pyruvate (1%)

SKBR3: adenocarcinoma metastatic breast cancer epithelial cells were grown in DMEM, 10% FCS, 1% penicillin/streptomycin, non-essential amino acids (1%) and sodium pyruvate (1%), 1% glutamax.

#### **4.1.2 Bacteria**

For CRISPR-Cas9 cloning DH-5 $\alpha$  strains were used. XL-10 super-competent cells used for cloning Luciferase firefly >200bp deletion constructs. Stellar cells were used for Agilent Quick site-directed mutagenesis Kit II 10bp deletions.

#### **4.1.3 Plasmids**

pGL3 luciferase reporter basic vector (Promega, E1751) was used to clone CCL18 core promoter (147bp upstream of transcription start site (TSS) and enhancer (7.4-8.5

Kb upstream of TSS) with STAT3 and STAT6 binding sites (873bp length between sites).

1. Core promoter: CCL18 core promoter was cloned in pGL3 basic vector
2. Core prom. +enhancer: 147 bp CCL18 core promoter cloned with 873 bp enhancer
3. CE\_S3del(10bp): 10bp of STAT3 binding in the enhancer were deleted from core+ enhancer cloned vector
4. CE\_S6del(10bp): 10bp of STAT6 binding in the enhancer were deleted from core+ enhancer cloned vector
5. CE\_ S3del (280bp): 280bp of region around STAT3 binding was deleted from core+ enhancer cloned vector
6. CE\_S6del (358bp): 358 bp of region around STAT3 binding was deleted from core+ enhancer cloned vector
7. pRen-SV40: Renilla luciferase vector was used as transfection control under transcriptional control of T7 promoter and late SV40 poly(A) signal sequence. (#E2231, Promega)

For CRISPR interference we used following plasmids

8. sg-MS2: Empty sgRNAs cloning vector (Addgene #61424)
9. pHAGE EF1 $\alpha$  dCas9-KRAB plasmid (Addgene #50919): Vector expressing dead Cas9 fused with KRAB repressor domain

#### **4.1.4 Primers**

Primers were bought from biomers.net GmbH (Ulm). Complete list of primers is available in the Tables 1-4.

<b>Table 1: List of Chromatin immunoprecipitation (ChIP) primers</b>		
<b>Gene_TF binding</b>	<b>Forward Primer</b>	<b>Reverse primer</b>
CCL18_ST AT3	GGTGTAAATAACACGTTGAGAG GCAGAG	CTGCACTCTAGCTTCAGTGA CAGAG
CCL18_ST AT6	GCTGGGATTATAGGCCTGAGA CAC	GCAGCCTAGAAAGCCAAAAC TGAAG
TGFA_ STAT3 STAT6	ACAGTACTCGAGGTTTCTGGA AATGG	GCAACTGTGGCATCTTTTTG CGT
CD274_ST AT3 STAT6	GAGCTTCCCAACTCAGGGAAG TAG	GGTGAGTAAACTCCTGTGGG GA
BATF_STA T3 STAT6	ATGAGTCTGGGTGGAGACCTC TA	TGTGGTAGGAGGTCATTGGC ATAG
CCL18_BA TF-1	ACAGTCTAGCAAGGACTCCTTA CCT	GTAAATCCACTTCTCTGGCC ACAAAG
CCL18_BA TF-2	TTCAGAGGCACTGCAACTCCG	AGTGCTGTGCTGGAAGAGAC G
CD274_BA TF-1	TGTGAATTAAGTTGTGCCAGC	ACTGACGTGAGAGACCTAGA TGA
CD274_BA TF-2	GAGGCAGAAGGAAGGATGGTA CTG	TGTCCTCAGGTGAGTCATGT TCAC
TGFA_BAT F-1	CCTTGAAGCTGGGGAACAGTC A	CCCCGGTGTGCATCCTTGAAC AC

TGFA_BAT F-2	ATGTTCTGACTTCGCTGGCACT	GGCAGTTTGTGACAGGCAAG TC
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<b>Table 2: List of Real time primers</b>		
<b>Gene</b>	Forward	Reverse
CCL18	CCCAGCTCACTCTGACCACT	GTGGAATCTGCCAGGAGGTA
CCL17	TTCTCTGCAGCACATCCACG	TGTTGGGGTCCGAACAGAT
TGFA	AGGTCCGAAAACACTGTGAG T	AGCAAGCGGTTCTTCCCTTC
CD274	TGGCATTGCTGAACGCATTT	TGCAGCCAGGTCTAATTGTTTT
CCL8	ACTTGCTCAGCCAGATTCAGT T	TGACCCATCTCTCCTTGGGG
CCL23	TCTCATGCTGCAGGATTCAT	TTGGTGAGGAAGATGACACCC
FCGR2B	AGCCAATCCCACTAATCCTGA	GGTGCATGAGAAGTGAATAGGT G
FCGR1A	AGCTGTGAAACAAAGTTGCTC T	GGTCTTGCTGCCCATGTAGA
FCGR3A	CCTCCTGTCTAGTCGGTTTGG	TCGAGCACCTGTACCATTGA
BATF	CCCTGGCAAACAGGACTCAT	TCTGGGCGGCAATACGATTT
CTS B	CTCCTGCTGGCTGTAATGGT	GGATGGAGTACGGTCTGCAC
CTS C	CAAACCTGGCCATGAACAGAC G	CTGCCTTGAGGTAGGTCAC
CTS L	GAACCCAGACCCGAGGTTTT	CTGGTGCACACCTACTCGAC
CTS Z	CCAAGGACCAGGAGTGTGAC	ATTCGGCATAGATGCCTCCG

HERPUD 1	CCAAAGCAGGAAAAACGGCA	CCTCAGGATACTGTCCCCGA
XBP1s	CTGAGTCCGCAGCAGGTG	GGCTGGTAAGGAACTGGGTC
XBPU	AGTTAAGACAGCGCTTGGGG	TGCACGTAGTCTGAGTGCTG
GRP78	ACTCCTGCGTCGGCGTGTTT	ACGGGTCATTCCACGTGCGG
ATF6	ACGGAGTATTTTGTCCGCCT	CCAGCCTGTGAAAGAGTCCC
ERDJ4	GTCGGAGGGTGCAGGATATT	CTTCAGCATCCGGGCTCTTA

**Table 3: List of luciferase gene primers**

Gene	Primer
XhoI_Fw	CGTGCTAGCCCGGGC GGCTGTGACCACTCATTCTGAGAAATATCT GTCA
HindIII_Rv	CCGGAATGCCAAGCT CTCCTGGCCTCCTTCTGGGGTATGAG
RT Luci Fw	ATTTATCGGAGTTGCAGTTGCGCC
RT Luci Rv	GCTGCGAAATGCCCATACTGTTGA
KpnI Fw	TCTATCGATAGGTACTGACCTGGCTGATTGGAACCAGAATGC
SacI Rv	GCTAGCACGCGTAAGCAGGCCTTACAATGGAGATAGCAGCCTAGA
S6DelVr-F1	TCTTAACTCATATTA CTTGACTTATTTTTTAAAAAAGTAAAGATGCA
S6DelVr-R1	TAATATGAGTTAAG AACTTTCGTGTATTGGCTCAT
S3DelVr-F1	TTAAATCTGTTCTAG CTTAACTCATATTA ACTTCTCTATAAATTTAAG TC
S3DelVr-R1	CTAGAACAGATTTAA CCTGACATTTCTGTTCC

S310bpDel Fw	CCCACCACTGCTAATTATAGATTCTGTAAACTCTCCAGACTC
S310bpDel Rv	GAGTCTGGAGAGTTTACAGAATCTATAATTAGCAGTGGTGGG
S610bpDel Fw	CTTTTTTAAAAAATAAGTCAAGACGAAGCTGGGCGCAGTGTCT
S610bpDel Rv	AGACACTGCGCCCAGCTTCGTCTTGACTTATTTTTTAAAAAAG

<b>Table 4: List of CRISPRi sgRNAs</b>		
<b>Gene_TF binding</b>	<b>sgRNA against TF binding sites</b>	<b>Genomic locations of 20bp sgRNAs targeting TF binding (hg 38)</b>
CCL18 STAT3	CCACTGCTAATTATAGAGTT	chr17:36056227-36056246
CCL18 STAT6-1	GCCCTCTGGGAGACTGAGAT	chr17:36056647-36056666
CCL18 STAT6-2	TTTCTTCAGAACAACCTTGAA	chr17:36059471-36059490
CD274 STAT3 STAT6-1	CCATATGCAAATGATTTAC	chr9:5459473-5459492
CD274 STAT3 STAT6-2	TAACTGACTTCCTGGAAAA	chr9:5490291-5490310
TGFA STAT3 STAT6-1	GTACTCGAGGTTTCTGGAAA	chr2:70516058-70516077

TGFA STAT3 STAT6-2	GCGATTTCTTGCATCATCAT	chr2:70479954-70479973
TGFA STAT3 STAT6-3	ATCCAAATTCCTGGAATTC	chr2:70524460-70524479
CCL18 BATF	TTACAGCCCACAGTCTAGCA	chr17:36074500-36074519

#### 4.1.5 Antibodies

<b>Table 5: List of Antibodies</b>		
<b>Antibodies</b>	<b>Provider</b>	<b>Catalogue number</b>
<b>WB/IP/Co-Immunoprecipitation</b>		
p-STAT6	Cell signaling Technologies (CST)	9361
STAT6	CST	5397
pSTAT3	CST	9131
STAT3	CST	9139
Nucleolin	Santa Cruz	sc-13057
BATF (WW8)	Santa Cruz	sc-100974
Goat $\alpha$ -rabbit IRdye 800	LI-COR	925-32211
Goat $\alpha$ -mouse IRDye 800	LI-COR	925-32210
$\beta$ -Actin	Sigma-Aldrich	A5316
Histone 3	Merck Millipore	06-755
Tubulin	Sigma-Aldrich	T9026

Human/Mouse/Rat Cathepsin X/Z/P	R&D Systems	AF934-SP
Cathepsin S	R&D Systems	AF1183-SP
Cathepsin L	R&D Systems	MAB9521-SP
Cathepsin B	R&D Systems	AF953-SP
MMP12 [EP1261Y]	Abcam	Ab52897
<b>FACS</b>		
CD16 (BV650)	BD Biosciences	563692
CD32 (PE)	BD Biosciences	303205
CD64(BV605)	BD Biosciences	305033
PD-L1 (APC)	BD Biosciences	329707
CD3 (BV605)	BD Biosciences	563219
CD4 (BV650)	BD Biosciences	563737
CD127 (PerCP-Cy-5.5)	BD Biosciences	560551
CD8 (APC-H7)	BD Biosciences	641400
CD44 (Alexa Fluor 700)	Biolegend	103025
CD279/PD-1 (Brilliant Violet 421)	Biolegend	329919
CD152/ CTLA-4 (PE)	Biolegend	369603
CD366/TIM3(APC)	Biolegend	345011
CD223/LAG3 (Alexa Fluor 488)	Biolegend	369325
CD25(PE-Cy7)	BD Pharmigen	557741
<b>Cytometric Bead Array</b>		



IL-10	BD Biosciences	#558274
IL-4	BD Biosciences	#558272
IL-6	BD Biosciences	#558276
IFN $\gamma$	BD Biosciences	#558450
<b>Chromatin Immunoprecipitation</b>		
STAT6	Santa Cruz	sc-981
STAT3	Santa Cruz	sc-482
BATF	CDI/ Neo-biotechnologies	m14-108
H3K9acetylation	Merck Millipore	06-942
<b>Activity Assays</b>		
$\alpha$ -PD-L1 Atezolizumab Humanized Antibody	Biovision	A1305-100
Trastuzumab/Herceptin (25 $\mu$ g/ $\mu$ l)	Roche	Order through MTA

#### 4.1.6 Cytokines

<b>Table 6: List of cytokines</b>		
IL-4	ImmunoTools	11340043
IL-6	ImmunoTools	11340064
IL-10	ImmunoTools	11340103
IL-13	ImmunoTools	11340133
IL-2	ImmunoTools	11340025

#### 4.1.7 Chemicals, reagents, plastic and kits

<b>Table 7: List of kits and reagents</b>		
<b>Kits and Reagents</b>	<b>Company</b>	<b>Catalogue</b>
iQ custom SYBR green Supermix	Biorad	172-5006CUST
Maxima First Strand cDNA Synthesis Kit for RT-qPCR	ThermoFisher Scientific	K1642
QIA Ampure purification kit	Qiagen	28106
DC Protein Assay Reagent A	Biorad	500-0113
DC Protein Assay Reagent B	Biorad	500-0114
Nitrocellulose membranes	GE Healthcare	10600002
Whatman Gel blotting paper	Sigma	10426892
Human IFN $\gamma$ CBA Flex set Kit	BD Biosciences	558269
PfuUltra II Fusion HS DNA Polymerase	Agilent	600670
5X passive luciferase lysis buffer	Promega	E1500
Pan-CD3 T cell isolation kit (MACS)	Miltenyi Biotec	130-096-535
Dynabeads (protein G)	Thermofisher Scientific	10003D
NucleoSpin RNA extraction kit	Macherey-Nagel	740955.250
Qubit HS RNA Assay Kit	Thermofisher Scientific	Q32852
Qubit dsDNA HS Assay Kit	Thermofisher Scientific	Q32854
TruSeq Stranded mRNA LT - SetB library preparation kit	Illumina	RS-122-2102

NextSeq 500/550 High Output Kit v2	Illumina	FC-404-2005
A/G agarose beads	Santa Cruz	sc-2003
CL4B Sepharose beads	Sigma-Aldrich	CL4B200-100ml
NucleoSpin RNA extraction kit	Macherey-Nagel	740955.250
Qubit HS RNA Assay Kit	Thermo Fisher Scientific	Q32852
TruSeq Stranded mRNA LT - SetB	Illumina	RS-122-2102
Qubit dsDNA HS Assay Kit	Thermo Fisher Scientific	Q32854
NextSeq using 500/550 High Output Kit v2, 75cycles	Illumina	FC-404-2005

<b>Table 8: List of Enzymes and buffers</b>		
<b>Enzymes and Buffer</b>	<b>Company</b>	<b>Catalogue</b>
XhoI	New England Biolabs (NEB)	R0146S
HindIII	NEB	R0104S
KpnI	NEB	R0142S
ScaI	NEB	R0122
DpnI	NEB	R0176S
BbsI-HF	NEB	R3539S
T4 Ligation Buffer	NEB	B0202S
T4 PNK	NEB	M0201S
10X Tango Buffer	Thermofisher Scientific	BY5

T7 DNA ligase	NEB	M0318S
Plasmid-Safe™ ATP-Dependent DNase (Exonuclease)	Epicentre/Lucigen	E3101K
5X Infusion HD Enzyme	Clontech Takara	638909
PfU Ultra HF DNA Polymerase (2.5U/μl)	Agilent	600380
Rnase A (100μg/μl)	Qiagen	19101
Proteinase K (20μg/μl)	Qiagen	19131
DMEM	Sigma-Aldrich	D5546
RPMI	Sigma-Aldrich	R0883
Fetal bovine serum	Capricon	FBS-11A
Sodium Pyruvate	Thermofisher Scientific	11360070
MEM Non-Essential Amino acids	Thermofisher Scientific	11140035

<b>Table 9: List of Chemicals</b>		
<b>Chemicals</b>	<b>Company</b>	<b>Catalogue</b>
Tris	Sigma-Aldrich	T1503
(MgCO <sub>3</sub> ) <sub>4</sub> Mg(OH) <sub>2</sub> .5H <sub>2</sub> O	Sigma	M5671
MgSO <sub>4</sub> .7H <sub>2</sub> O	Carl Roth	P027.1
EDTA	Applichem	A3553
DTT	Applichem	A1101
Coenzyme A	Sigma	C3019
Luciferin	Sigma	L9504
ATP	NEB	P0756S

NaOH	Sigma-Aldrich	A1551
NaHCO <sub>3</sub>	Fluka	71628
Collagen	ibidi	50201
Bis/Acrylamide	Carl Roth	T802.1
SDS pellets	Carl Roth	CN30.3
APS	Sigma-Aldrich	A3678
TEMED	Carl Roth	2367.1
NaCl	Sigma	EC 201-064-4
Glycerol	Sigma-Aldrich	G5516
Bromophenol Blue	Applichem	A2331
NP-40	Applichem	A1694
NaF	Applichem	A3904
Na <sub>3</sub> VO <sub>4</sub>	Applichem	A2196
Triton X-100	Carl Roth	3051.4
LiCl	Carl Roth	3739.1
Sodium deoxycholate	Sigma-Aldrich	D6750
KCl	Sigma-Aldrich	P9541
HEPES	Sigma-Aldrich	H3375
Tween-20	Carl Roth	9127
Chloroform	Sigma-Aldrich	3211
Methanol	Fischer Scientific UK	M14000/PC17
Ethanol	Sigma-Aldrich	32205
Propanol-2	Fischer Scientific UK	A416P-4
Paraformaldehyde	Merck	1040051000

PMSF	Applichem	A0999.0100
CaCl <sub>2</sub>	Carl Roth	6751.1
Milk powder	Carl Roth	T145.2
DEPC	Applichem	A0881

<b>Table 10: List of Instruments</b>	
<b>Instruments</b>	<b>Company</b>
Biorad Transblot Turbo transfer system	Biorad
PowerPac™ HC High-Current Power Supply	Biorad
Mithras LB 940 (Luciferase)	Berthold
Apollo 11 LB 913 Absorbance Reader	Berthold
Nanodrop ND-1000	Thermo fisher Scientific
BD LSRFORTESSA (FACS)	BD Biosciences
CFX96 Touch™ Real-Time PCR	Biorad
Branson Digital Sonifier® Cell Disruptor	Branson Ultrasonic
Centrifuge 5415 R, 5424 R and 5810 R	Eppendorf
Master Cycler Nexus Gradient	Eppendorf
Bacteria Shaker	Innova® S44i
Odyssey Infrared Imaging	LI-COR Biosciences

<b>Table 11: List of softwares</b>	
<b>Software</b>	<b>Company</b>
Corel Draw Graphic Suite 17	Core Corporation
R package	R Foundation for Statistical Computing

Graph Pad Prism	GraphPad Software, Inc.
Endnote	Thomas Reuters Endnote
Fastqc	Babraham Bioinformatics
Image Studio Lite	LI-COR Biosciences
CFX Manager	Biorad
Xcelligence	Roche, Acea Bio

#### 4.1.8 Buffers

<b>Table 12: Reverse transcription buffer</b>	
5X reaction mix (K1642) buffer	2 $\mu$ l
Maxima Enzyme mix (K1642)	1 $\mu$ l
RNA	1 $\mu$ g
Nuclease-free water	Adjust to final reaction volume of 10 $\mu$ l

<b>Table 13: Chromatin Immunoprecipitation buffers</b>	
<b>Farnham Lysis Buffer (Cell Lysis)</b>	
HEPES pH8	5mM
KCl	85mM
NP-40	0.5%
Add PI and PMSF before use	
<b>RIPA Buffer (Nuclear Lysis)</b>	
NP-40	1%
Sodium deoxycholate	0.5%

SDS	0.1%
PBS	1X
<b>Tris-EDTA (TE)</b>	
Tris-HCl pH7.5	100mM
Na <sub>2</sub> EDTA	0.1mM
<b>Magnetic Dyna beads</b>	
Dynabeads (protein G) Novex Life Technologies #10003D	
PBS/BSA	1X PBS, 5mg/ml BSA (fresh)
<b>IP Elution buffer</b>	
SDS	1%
NaHCO <sub>3</sub>	0.1M
<b>Reversion Mix (Decrosslinking) per sample</b>	
Chemical	Working concentration
NaCl	1.9M
Tris/HCl pH 6.8	0.38M
EDTA	100mM
RNase A	10µg
Proteinase K	10µg
Total Volume	42µl
<b>Dilution buffer</b>	
0.01% SDS, 1.1% Triton X 100, 1.1mM EDTA, 20mM Tris-HCl pH 8.0, 167mM NaCl	
<b>Low salt buffer</b>	
0.1% SDS, 1% Triton-X100, 2mM EDTA, 20mM Tris-HCl pH 7.4, 150mM NaCl	
<b>High salt buffer</b>	



0.1% SDS, 1% Triton-X100, 2mM EDTA, 20mM Tris-HCl pH 7.4, 500mM NaCl
<b>LiCl wash buffer</b>
250mM LiCl, 10mM Tris-HCl, pH7.4, 1% NP-40, 1% sodium deoxycholate, 1mM EDTA

<b>Table 14: Co-immunoprecipitation buffer</b>
<b>CoIP buffer</b>
1 % Triton-X 100
20 mM HEPES, pH 7,5
150 mM NaCl
10 % Glycerin
1 mM EDTA

<b>Table 15: Total cell lysis buffer</b>
<b>Components</b>
50mM Tris/HCL
150mM NaCl
5mM EDTA
10mM NaF
1mM Na <sub>3</sub> VO <sub>4</sub>
0.5% NP-40
Add Phenylmethylsulfonyl fluoride (1mM PMSF and 1mM complete EDTA free protease inhibitor.

<b>Table 16: Nuclear translocation lysis buffer</b>		
<b>Component</b>	<b>Lysis Buffer A</b>	<b>Lysis Buffer B</b>
Tris (pH 8)	20mM	20mM
NaCl	10mM	400mM
EDTA	5mM	5mM
NP-40	0.5% (with A+ or without A-)	0.5%
Add PMSF (1:500) and PI (1:50) before use		

<b>Table 17: Polyacrylamide gel electrophoresis (PAGE) buffers</b>		
<b>10X SDS Running Buffer</b>		
<b>Components</b>	<b>Working Solution</b>	<b>For 1L</b>
Glycine	1.92M	144g
Tris	250mM	30,3g
SDS	35mM	10g
Dilute to 1X with ddH <sub>2</sub> O		
<b>5X Dye Loading buffer</b>		
<b>Component (Stock)</b>	<b>Working solution</b>	
Tris pH 6.8 (0.5M)	5ml	
SDS (10%)	10ml	
Glycerin	5ml	
Bromophenol blue	10mg	
DTT	50mM (7.7mg/ml)	
Dilute to 1X in cell lysate before loading		

<b>10X Blotting Buffer, pH 8.3</b>				
Tris	30,3g			
Glycine	144g			
<b>1X Blotting Buffer</b>				
10X blotting buffer	100ml			
Methanol	200ml			
H <sub>2</sub> O	700ml			
<b>10X TBS Buffer, pH 7.4</b>				
Working Solution (1L)				
Tris HCL pH 7.4	100mM, 12.11g			
NaCl	9%, 90g			
<b>1X TTBS Washing buffer</b>				
10X TBS	100ml			
Tween20 (20%)	5mL			
ddH <sub>2</sub> O	900ml			
<b>Blocking Buffer</b>				
Working solution, 250ml				
Milk/BSA	5% (12.5g)			
1X TTBS	250ml			
Sodium Azide (10%)	0.1%			
<b>Running Gel</b>				
Component	6.5%	8%	10%	15%
Water	5.665 ml	5.4 ml	4.9 ml	3.65 ml
1.5M TrisHcL (pH8.8)	2.5 ml	2.5 ml	2.5 ml	2.5 ml
40% Bis/Acrylamide	1.625 ml	2 ml	2.5 ml	3.75 ml
10% SDS	100µl	100µl	100µl	100µl

10% APS	100µl	100µl	100µl	100µl
TEMED	10µl	10µl	10µl	10µl
<b>Stacking Gel (1x)</b>				
Water	3.2 ml			
0.5M TrisHcL (pH8.8)	1.25 ml			
40% Bis/Acrylamide	500 µl			
10% SDS	50 µl			
10% APS	50 µl			
TEMED	5 µl			

**Table 18: List of firefly luciferase reaction buffers**

<b>Recipe for D Luciferase (1L)</b>		
20 mM Tricine	MW: 179.2	3.6g
1.07 mM (MgCO <sub>3</sub> ) <sub>4</sub> Mg(OH) <sub>2</sub> ·5H <sub>2</sub> O	MW: 485.7	.52g
2.67 mM MgSO <sub>4</sub>	MW: 485.7	.66g
0.1 mM EDTA	MW: 372.24	37.224mg
33.3 mM DTT	MW:154.25	5.136g
270 µM Coenzyme A	MW: 767.53	.2072g
470 uM Luciferin	MW: 280.32	.132g
530 uM ATP	MW: 605.2	.320g
<b>Renilla Substrate Recipe</b>		
Tris-HCL (25mM), NaCl(100mM) CaCl <sub>2</sub> (1mM), pH 7.8 in 500ml		

## **4.2 Methods**

### **4.2.1 Cell Culture and Stimulations:**

Peripheral blood mononuclear cells (PBMCs) were isolated from buffy coats supplied by DRK-Blutspendedienst Baden-Württemberg-Hessen (Frankfurt, Germany) using Ficoll density centrifugation. 15ml Ficoll was centrifuged in LeucoSep Falcons. Approximately 20ml of blood was aliquoted in each falcon and volume was refilled with PBS/EDTA (2mM) up to 50ml. The buffies were then centrifuged at 440g, for 35mins, 9 accelerations, 2 brakes at room temperature to separate erythrocytes, granulocytes, PBMCs and plasma. The PBMC layer was carefully transferred into new 50ml falcons and washed twice with PBS/EDTA. The pellet was resuspended in 50ml serum-free RPMI-1640 media and plated on Cell+ (Starstedt, 83.3920.300) coated plates. PBMCs were cultured for 1-2h in serum-free RPMI media and differentiated for 7-8d in RPMI-1640 medium containing 3% heat-inactivated AB-positive human serum, with media changed every 2-3 days. Differentiated hMDMs were stimulated for 24h with 20ng/ml of IL-4 and/or IL-6 (Immunotools).

Studies conform to the principles outlined in the Declaration of Helsinki and were approved by the ethics committee of the faculty of medicine at Goethe-University Frankfurt.

### **4.2.2 Real Time PCR**

Total RNA from hMDMs was isolated using Peggold RNAPure kit (PegLab). 1ml of Peggold was added to hMDMs for 15mins and vortexed with 200µl of chloroform for 15secs. The mix was incubated on ice for 5mins and centrifuged at 12,000g for 15mins at 4°C. The top aqueous layer was carefully transferred to a new tube and vortexed with 500µl of isopropanol. The mixture was incubated on ice for 15mins or -20°C

overnight to precipitate the RNA. The mixture was again centrifuged to pellet the RNA at 12,000g for 15mins at 4°C. The white pellet was washed twice with 70% ethanol at 12,000g for 10mins at 4°C. The supernatant was decanted, and the pellet was dried by incubating at 70°C. The pellet was resuspended in 20µl of DEPC-treated water by shaking at 65°C. The RNA was then quantified using Nanodrop and 1µg of RNA was reverse transcribed using cDNA Synthesis kit with following protocol

<b>Table 19: PCR reaction cycles of reverse transcription</b>		
cDNA Synthesis	Temperature (°C)	Time (min)
	25	10
	50	15
Terminate reaction	85	5
Hold	4	

The cDNA was diluted 1:10 in autoclaved water and 2µl of cDNA was mixed in duplicates with 5µl iQ custom SYBR green Supermix, 0.4µl primer (10pmol/µl) and 2.6µl water per well followed by quantitative real time PCR analysis on the CFX96 system from Biorad. Expression levels were normalized to β2-microglobulin (β2-MG) to get absolute gene expression →  $2^{-(\text{Mean\_GeneCT} - \text{Mean\_}\beta 2\text{MGCT})}$

<b>Table 20: PCR reaction cycles for quantitative real time PCR</b>				
Number	Step	Temperature (°C)	Time (min)	Cycles
1		50	2	x1
2	Initial Denaturation and enzyme activation	95	3	x1

3	Denaturation	95	0:15	
4	Annealing	60	0:30	
5	Extension	72	0:30	
Read the plate				
Go to Step 1 for 40 cycles				
6		95	1:00	x1
7		65	1:00	x1
8	Melt Curve analysis	65-95 with 0.5 increment	0:05	Read the plate after every 0:05 sec
END				

#### **4.2.3 Chromatin Immunoprecipitation**

On day 1, Differentiated hMDMs were fixed in 1% paraformaldehyde for 10mins, quenched with 0.125M glycine for 5min and washed in ice-cold PBS twice for 5min each on shaker at ambient temperature. Cells were lysed in buffer I to release cytosolic proteins and debris for 10mins and centrifuged at 13000 rpm for 5min at 4°C. The nuclear pellet was lysed in 200µl nuclei lysis buffer for 10mins and sonified with Branson sonifier at 10% amplitude, 20sec burst (0.5sec ON/1sec OFF) for 6 cycles with at least 1-2 mins pause between each cycle. Soluble chromatin was diluted with dilution buffer to 2ml i.e. for a final SDS concentration of 0.1%. Higher SDS concentration prevents binding of antibody to the proteins. The lysate was pre-cleared with sepharose CL-4B beads for 1h and 1% of input was stored at 4°C. The rest of soluble chromatin was pulled down overnight at 4°C using following 4µg of primary

antibodies. Protein A/G beads were blocked in dilution buffer overnight and used the next day to precipitate antibody-protein complexes for 2h at 4°C.

### **Blocking of Agarose and CL4B sepharose beads**

3,5 ml aliquots of protein A sepharose CL-4B beads in 15 ml falcons were washed twice with 3,5 ml of dilution buffer and centrifuged at 1200g, 4°C. Beads were resuspended in 3,5 ml of dilution buffer with PI, 1 g/l BSA (50 µl 10% BSA in 1 ml solution) and 0,4 g/l sonicated salmon sperm DNA (stock: 10 mg/ml → 5 µl Salmon sperm in 1ml solution) to avoid unspecific binding. Beads were rotated overnight at 4°C and stored at 4°C for further use.

50µl of A/G agarose beads per IP were aliquoted and centrifuged at 3000rpm twice at 4°C in dilution buffer. Beads were then resuspended in dilution buffer and diluted to 1:20 of 10% BSA (22µl) and 1:100 of salmon sperm (stock: 10µg/µl, 1µl) per 100µl beads. The A/G beads were rotated and blocked overnight and used to pull down protein at 4°C.

### **Washing and purification**

On day2, the beads were washed once with low salt buffer, once with high salt buffer and twice with LiCl buffer at 4°C and followed by a final wash with TE (Tris-EDTA) - buffer at room temperature. The beads were then eluted in two rounds of 100µl elution buffer at 55°C by shaking. The eluate was reverse crosslinked with RNase and proteinase K at 65°C for 4h using 42µl of reversion mix solution.

The decrosslinked DNA was then purified using Qiagen QIAquick PCR Purification Kit (Cat No.: 28106) and eluted in 2x40µl of prewarmed elution buffer at 55°C.

### **BATF ChIP protocol**



The BATF CHIP was performed according to the company's protocol using BATF-antibody coupled to Dynabeads and magnetic isolation.

$5 \times 10^6$  cells were lysed in 1ml Farnham Lysis buffer for 10mins at 4°C followed by centrifugation at 2000rpm, 5mins at 4°C. The pellet was resuspended in 300µl RIPA buffer and sonified in Bioruptor (Diagenode) for 2x10 minutes rounds at 'High voltage' settings for 60sec ON/30 sec OFF. The sonicated mixture was diluted to 1ml with RIPA buffer and centrifuged at 13000 rpm for 5min at 4°C. The soluble chromatin was aliquoted and stored on ice but was not blocked because magnetic beads pull down do not need blocking.

#### **Antibody coupling to magnetic beads.**

200 µl of re-suspended magnetic bead slurry was added to a 1.5 ml microfuge tube on ice containing 1 ml PBS/BSA and vortexed briefly. The tubes were then fixed on the magnet and supernatants removed. The beads were then resuspended in 1 ml PBS/BSA and washed thrice. 1 ml PBS/BSA was added to beads and incubated with 3µg primary antibody (BATF, m14-108) overnight. Antibody-bound beads must not be vortexed. Beads were gently mixed on a rotator platform for at least 2 hours at 4°C and washed thrice to remove unbound antibody. The bead mix was resuspended in 100 µl PBS/BSA and added to each 1 ml chromatin preparation (from Sonication protocol) followed by incubation on a rotator for 1h at room temperature and 1h at 4°C. From here on all steps were performed at 4°C. Beads containing immuno-bound chromatin were placed on the microfuge tube on the magnet and supernatant discarded. Beads were then washed and mixed twice in LiCl wash buffer for 3 minutes on a rotator followed by 1 ml TE buffer wash and mixing for 1 minute on rotator. Beads were then fixed on the magnet separator, supernatant was discarded, and the bead

pellet was resuspended in 200  $\mu$ l IP Elution Buffer to elude DNA at 65°C for 1hr with shaking. The eluted DNA was reverse crosslinked as defined previously and purified using QIAmp DNA purification kit.

#### **4.2.4 Co-Immunoprecipitation**

Cells grown in 10cm dishes were washed with PBS/0.5 mM EDTA and lysed by scraping in 200  $\mu$ l Co-IP lysis buffer. Benzoylase was added to 1:1000 dilution. The lysate was incubated for 10mins and transferred to reaction tubes and spun for 10 min at 4°C for 16000 g. 3 $\mu$ l of antibody was added to 1 mg of total protein (i. e. roughly 1:50 dilution or use recommended dilution of antibody) and 10% of the mixture was kept as input. The input was sonified and centrifuged at 16,000 g, 4°C. 1X Laemmli buffer was added and input was heated at 95°C and stored at -20°C for next day. The lysate was incubated with antibody under rotation at 4 °C overnight. Next day, 25  $\mu$ l protein A/G agarose beads per IP were washed thrice with an equal amount of CoIP buffer (1000 rpm, 4 °C, 1 min). 25 $\mu$ l of beads were added to each IP sample and rotated for 2 h at 4 °C. The beads were spun down and the supernatant was kept as flowthrough. Beads were washed thrice with 250  $\mu$ l CoIP buffer. The protein was eluted in 40  $\mu$ l 2x SDS laemmli buffer for 5 min at 95 °C. Beads were then spun at 1000 rpm for 1 min and supernatant was loaded on SDS-polyacrylamide gels along with input from previous step.

#### **4.2.5 Western Blot Analysis**

##### **4.5.1 Total Cell Lysis**

Media was aspirated from primary hMDMs and cells were washed with ice- cold PBS. Cells were then scraped in 100 $\mu$ l of Lysis buffer and sonified using Branson sonifier for 3 seconds (0.6 sec ON/0.3 sec OFF) at 10% amplitude in ice-cold water beaker.

The sonified fragments were then centrifuged at 16100g for 10mins at 4°C and supernatant was collected as total cell lysate.

#### **4.5.2 Cell Fractionation for nuclear translocation analysis**

Media was aspirated, and cells washed in ice-cold PBS. Cells were scraped in PBS and centrifuged at 12,000g for 30secs at 4°C. Cells were lysed for 3mins in 100µl of lysis buffer A with detergent (A+) to lyse the cytoplasm. The lysate was spun down at 16100g for 20secs and the cytosolic supernatant fragment was collected. Cells were washed in 1ml lysis buffer A without detergent, NP-40 (A-) at 16,100 g for 20secs. Supernatant was discarded, and the pellet re-suspended in 100µl of nuclear lysis buffer B. Nuclear lysate was then sonicated using Branson sonifier for 3 seconds (0.6 sec ON/0.3 sec OFF) at 20% amplitude in ice-cold water beaker. Following centrifugation for 16,100g at 4°C the supernatants were collected. Nuclear and cytoplasm protein concentration was quantified using Biorad DC™ Protein Assay.

2.5µl of protein cell lysate was loaded in duplicates on a 96-well plate along with 5µl of BSA standards. 25µl of DC™ Protein Assay Reagent A (Biorad, Catalog#500-0113) followed by 200µl of DC™ Protein Assay Reagent B (Biorad, Catalog#500-0114) was pipetted onto samples and shaken at room temperature for at least 20mins. The protein was quantified via measuring absorbance at 750nm using Berthold Apollo 11 LB 913 Absorbance Reader and using BSA standards to plot a standard curve.

80µg of protein was mixed with 5X SDS loading dye to give protein a negative charge and heated at 95°C for 5mins. Denatured samples were then loaded on 7.5-15% polyacrylamide gels in 1X SDS Running Buffer and blotted in 1X blotting buffer on nitrocellulose membranes using Biorad Transblot Turbo transfer system. Gel was blotted in following order, two soaked Whatman papers followed by nitrocellulose

membrane, gel followed by 2 Whatman papers in blotting buffer. Membranes were blocked for 1hr at room temperature followed by overnight incubation in primary antibodies. The next day unbound primary antibody was washed away with 1xTTBS buffer thrice and incubated for 1hr at room temperature in blocking buffer with species-specific IRDye 700/800-coupled secondary antibodies. Unbound secondary antibody was washed away using washing buffer thrice for 10mins, scanned and quantified using Odyssey imaging system (LI-COR Bioscience).

#### **4.6 ELISA**

$2 \times 10^5$  hMDMs were stimulated with cytokines for 48h in 1ml serum-free medium, and the cell-free supernatant was processed for TGFA or CCL18 ELISA using kits from RayBiotech (ELH-TGF $\alpha$ -1 and ELH-PARC-1) according to manufacturer's instructions. For TGFA ELISA, the supernatant was diluted to 1:1 whereas for CCL18 ELISA it was diluted 1:5 serum-free media.

#### **4.7 Flex Set CBA**

IFN $\gamma$  and IL-10 were quantified from 25 $\mu$ l of supernatants of macrophage-T cell coculture using BD CBA Human CBA Flex set Kits.

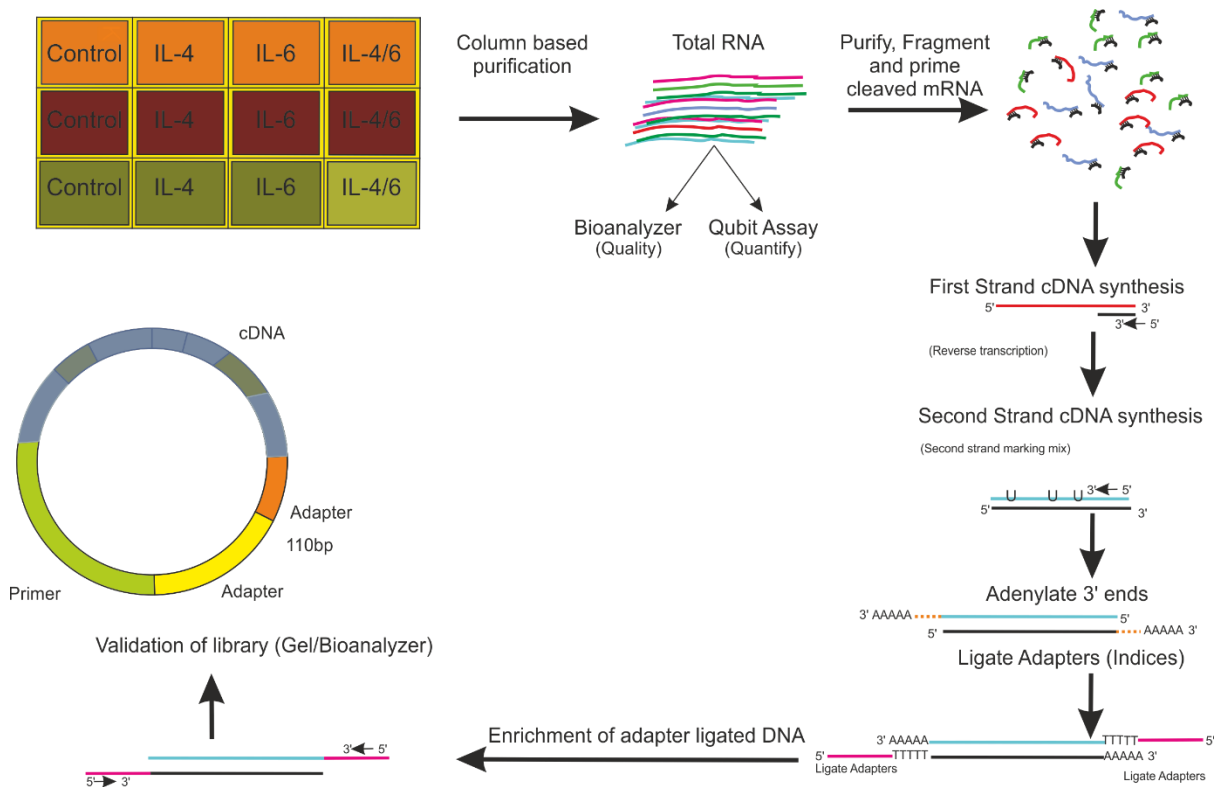
CBA buffer: 0.5% (w/v) BSA, 0.09% (v/v) sodium azide in PBS

The assay was performed according to manufacturer's instructions.

#### **4.8 NGS Library Preparation and RNA Sequencing Analysis**

RNA from cytokine-treated hMDMs from three different donors was extracted using NucleoSpin RNA extraction kit, followed by quantification with Qubit HS RNA Assay Kit. 4 $\mu$ g of RNA was used for library preparation and mRNA was extracted using polyA pulldown and converted to cDNA using TruSeq Stranded mRNA LT - SetB library

preparation kit. cDNA library was quantified with Qubit dsDNA HS Assay Kit and prepared for single paired sequencing on NextSeq using 500/550 High Output Kit v2 for 75 cycles.



**Figure 2: Graphical representation of RNA seq library preparation**

Summary statistics of the individual RNA sequence data sets were generated with FastQC <sup>42</sup> analysis that showed a quality score of >93%. Quality trimming of the sequence reads was performed using the Trimmomatic module <sup>43</sup> from Trinity <sup>44</sup> with the following parameter settings: ILLUMINACLIP:/~Trimmomatic-0.32/adapters/TruSeq3-SE.fa:2:30:10 LEADING:5 TRAILING:5 SLIDING WINDOW :4:15. Quality-trimmed reads were mapped to the human genome hg19 with the STAR aligner <sup>45</sup> using the following parameters: --outFilterMultimapNmax 1 --outSAMtype BAM SortedByCoordinate. More than 91% of reads were uniquely mapped to the

hg19 genome browser. The mapping results were summarized with FeatureCounts<sup>46</sup>, and Principal Component Analysis (PCA) of the feature counts was done with the prcomp module in R. Differential Gene Expression (DGE) analysis was performed in R using DESeq2 package<sup>47</sup>. For downstream bioinformatics characterization, we selected differential expressed genes with an absolute log2 fold change ( $\log_2FC$ )  $\geq 1$  and a p-value  $< 0.05$  for all four test conditions (single cytokine treated versus control (IL-4/-6, IL-4, IL-6 vs Control) and IL-4/-6 vs IL-4) and plotted the heatmap from log2 normalized read counts. Venn diagrams and heat maps were generated in R using the venn.plot and ggplot2 modules, respectively. For every stimulation condition (IL-4, IL-6 and IL-4/-6), we identified antagonistic and synergistic genes according to the procedure described in<sup>40</sup>. Precisely, we identified a gene as antagonistic if the ratios of changes met the following conditions:  $(IL-4 \text{ or } IL-6)/Ctr. > 2$  and  $(IL-4+IL-6)/Ctr. < 1.5$ , where Ctr. denotes untreated control macrophages. Likewise, genes were identified as synergistic, if  $[(IL-4+IL-6)/Ctr.] / [(IL-4/Ctr.) + (IL-6/Ctr.)] > 1.2$ . The individual cutoff values were modified from<sup>40</sup>.

We calculated the fold changes between two stated conditions for every gene in biological replicates (i.e. matched observations from each donor). Fold changes were either calculated in IL-4, IL-6 or IL-4/-6 versus the control (untreated) condition or between individual (IL-4/-6 versus IL-4) stimulations for each biological replicate (A, B, C). For instance, Gene X will have three changed expression values (CE) in sample A ( $X_{CE_{IL-4A}}$ ), sample B ( $X_{CE_{IL-4B}}$ ) and sample C ( $X_{CE_{IL-4C}}$ ) for IL-4 stimulation. Next, we calculated the standard deviation between the fold changes in  $X_{CE_{IL-4A}}$ ,  $X_{CE_{IL-4B}}$  and  $X_{CE_{IL-4C}}$  versus control ( $X_{CE_{controlA}}$ ,  $X_{CE_{controlB}}$ ,  $X_{CE_{controlC}}$ ) or between two stimulation conditions using SD function in R. Next, a density plot of all standard deviation values was plotted using ggplot2 function in R.

#### 4.9 Data Analysis

Microarray data from Yan et.al<sup>37</sup> linear coefficient models for mouse BMDMs for synergized genes were compared to synergized genes in human macrophages (Table 36). Data sets GSE14548<sup>48</sup>, GSE90505<sup>49</sup>, GSE83591<sup>50</sup> and GSE9014<sup>51</sup> were analysed using GEO2R web tool (NCBI). Datasets were divided in GEO2R analysis tool at NCBI into two groups (normal/tumor stroma). Gene ID was obtained from respective platform; the dataset was uploaded (e.g. GPL1352 platform) and values were extracted through Profile graph tool online. The respective sample expression values were imported in Excel and analysed through graph Prism software.

#### 4.10 Transfection

Macrophages were transfected for RNA silencing experiments using HiPerFect transfection reagent (Qiagen) and siGenome STAT3 (Dharmacon, M-003544-02-0005) for 72h or BATF for 24h ON-TARGETplus siRNA pools (Dharmacon, 20µM) before stimulation with indicated cytokines.

	<b>siRNA</b>	<b>HiPerFect</b>	<b>medium-FCS</b>		<b>final volume</b>
	3,75µl/well	16,8µl/well	482µl/well		
siControl	12	53,76	1542,4	µl	1608,16
siSTAT3	12	53,76	1542,4	µl	1608,16

The components were added into reaction tubes, vortexed and incubated for 15mins at room temperature. Cells were incubated with 500µl of siRNA for 6hrs, followed by addition of 1ml of serum-containing medium and overnight incubation. The medium

was changed next day, and cells were further incubated for 24 or 72 hrs before treatment with cytokines.

For CRISPRi, hMDMs were transfected using Viromer Red transfection reagent (Lipocalyx). HMDMs were incubated in serum-free medium overnight and transfected with sgRNAs targeting STAT3/STAT6 or BATF binding sites cloned into sgRNA-MS2 vector (Addgene #61424)<sup>52</sup> and pHAGE EF1 $\alpha$  dCas9-KRAB plasmid (Addgene #50919)<sup>53</sup> for 24h followed by stimulation with cytokines for further 24h.

#### **4.11 Cloning, Transformation and vectors**

##### **4.11.1 sgRNA-cloning steps in sgRNA-MS2 vector**

Primers were designed such that the sgRNAs do not contain any BbsI enzyme site (i.e. the nucleotide sequence 'GAAGAC' or 'GTCTTC'), due to simultaneous digestion-ligation step. The most important step was to add the complementary base pairs (marked in red) after BbsI digestion into the primers ordered sgRNAs i.e. for oligosense1 and antisense 1. sgRNA were designed from <http://www.e-crisp.org/E-CRISP/>

**For example**

CCL18crpi S3 (sense 1)	5' -caccgCCACTGCTAATTATAGAGTT-3'
CCL18crpi S3 (antisense 1)	5'-aaacAACTCTATAATTAGCAGTGGc-3'

<b>CRISPRi sgRNAs</b>	
CCL18 STAT3	CCACTGCTAATTATAGAGTT
CCL18 STAT6	GCCCTCTGGGAGACTGAGAT
CCL18 BATF	TTACAGCCCACAGTCTAGCA



After the primer design for sgRNA the following steps were performed to clone sgRNA into sgRNA-MS2 backbone.

**1. Phosphorylate and anneal each pair of oligos in a single-step reaction:**

<b>Table 22: Reaction setup for template phosphorylation and annealing</b>	
<b>Volume (µl)</b>	<b>Components</b>
1	Oligo sense 1 (100µM)
1	Oligo sense 2 (100µM)
1	10X T4 Ligation Buffer (NEB)
6.5	ddH2O
0.5	T4 PNK (NEB)
10µl	Total Volume

The above reaction was annealed in a thermocycler using the following parameters at 37°C for 30 min followed by 95°C for 5 min and then ramped down to 25°C at 5°C/min.

The resulting gRNA was diluted to 1:250.

**2. Set up digestion-ligation reaction in a single-step reaction:**

<b>Table 23: Reaction setup for plasmid digestion and sgRNA ligation</b>	
<b>Volume</b>	<b>Components</b>
X µL	sgRNA-MS2 vector or other backbone vector (100ng)
2 µL	phosphorylated and annealed oligo duplex from <b>step 1</b> (1:250 dilution)
2 µL	10X Tango buffer (or FastDigest Buffer)

1 $\mu$ L	DTT (10mM to a final concentration of 0.5mM)
1 $\mu$ L	ATP (10mM to a final concentration of 0.5mM)
1 $\mu$ L	FastDigest <i>Bbs</i> I (Thermo Fisher Fermentas)
0.5 $\mu$ L	T7 DNA ligase
<u>Y</u> $\mu$ L	<u>ddH<sub>2</sub>O</u>
20 $\mu$ L	total

The above reaction was incubated for digestion and parallel ligation in a thermocycler at 37°C for 5 min followed by 23°C for 5 min. The cycle was repeated 6 times for a total run time of 1h and reaction was cooled down to 4°C.

### 3. PlasmidSafe exonuclease treatment

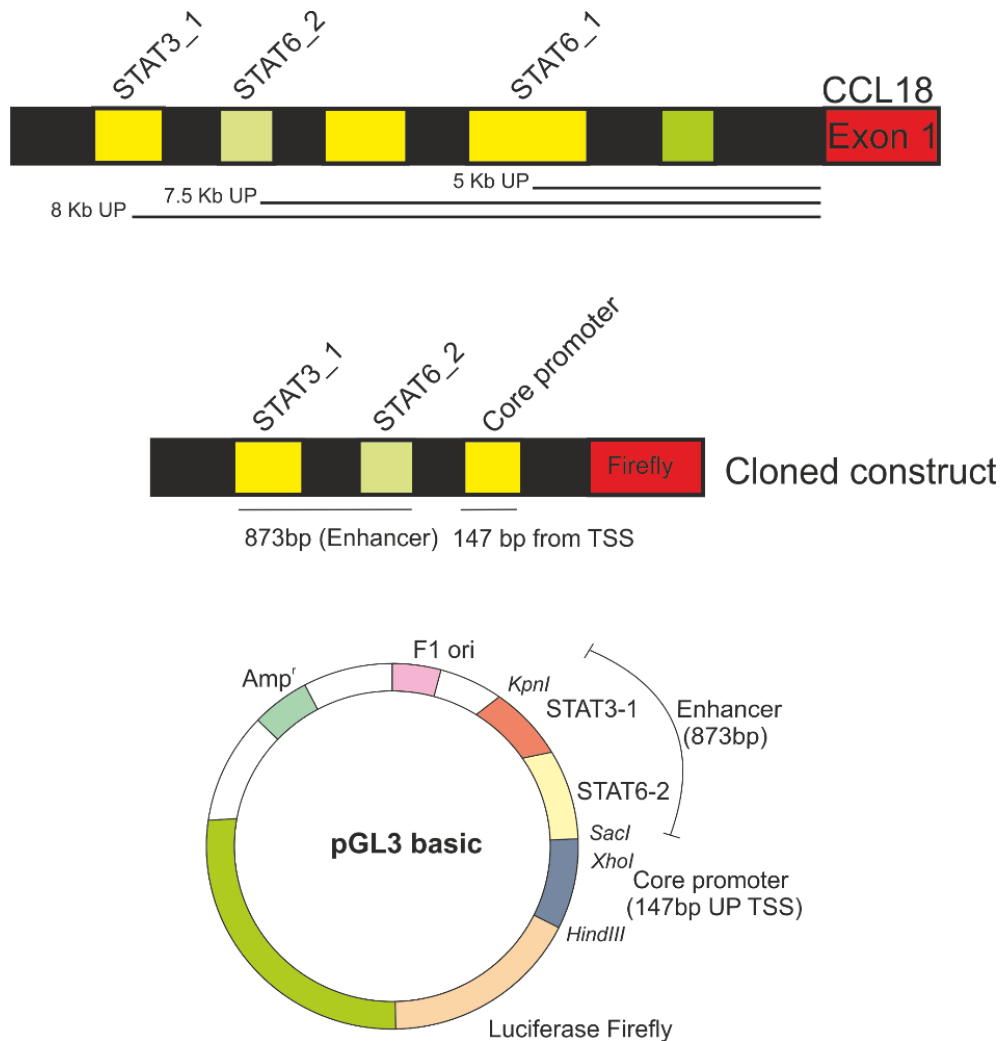
The ligation reaction was treated with exonuclease to prevent unwanted recombination events

<b>Table 24: Reaction setup for exonuclease digestion of unannealed DNA</b>	
<b>Volume</b>	<b>Reaction Mix</b>
11 $\mu$ L	ligation reaction from <b>step 2</b>
1.5 $\mu$ L	10X PlasmidSafe Buffer
1.5 $\mu$ L	10mM ATP
1 $\mu$ L	PlasmidSafe exonuclease
15 $\mu$ L total	

The reaction was incubated at 37°C for 30 min. 1-2 $\mu$ l of the final product was heat shock transformed into competent cells (DH5 $\alpha$ ). Colonies were picked, followed by plasmid DNA isolation and sequencing to verify the right clones.

### 4.11.2 Luciferase reporter cloning and transfection

A descriptive cloning procedure is shown in Fig. 3



**Figure 3: Cloning scheme for pGL3basic vector containing CCL18 core promoter (147bp) and STAT3/STAT6 binding enhancer region (873bp)**

#### 4.11.2.1 Cloning core promoter and CCL18 enhancer into pGL3-basic vector

CCL18 core promoter (defined from European promoter database (EPD), 147bp upstream of TSS) was amplified using forward and reverse primers flanked with 15bp homologous fragments for insertion into pGL3-basic (Promega, E1751) vector. The CCL18 core promoter was amplified using genomic DNA from hMDMs and primers

listed in Table 3. Nucleotides marked in yellow below are 15bp homologous sequences to XhoI and HindIII restriction digestion sites in pGL3basic vector and in black are the primer sequences to amplify the CCL18 genomic region. The genomic DNA was amplified using CloneAmp HiFi Premix (CloneTech) with following amplification cycles.

XhoI\_Fw

:CGTGCTAGCCCGGGCGGCTGTGACCACTCATTTCTGAGAAATATCTGTCA

HindIII\_Rv: CCGGAATGCCAAGCTCTCCTGGCCTCCTTCTGGGGTATGAG

<b>Table 25: PCR Amplification of Genomic DNA</b>		
Temperature (°C)	Time	Cycles
96	5min	1X
98	10sec	30X
60	15sec	
72	15sec	
72	5min	
4	Hold	

5µg of pGL3 vector was double digested with XhoI (NEB, #R0146S) and HindIII (NEB, #R0104S) restriction enzyme. The PCR insert and the double digested pGL3 vector were gel purified and set-up for infusion reaction as mentioned below.

**Table 26: Infusion reaction to infuse amplified core promoter into pGL3basic double digested vector.**

Reaction	Amount
PCR fragment	30ng
Linearized vector	30ng
5X Infusion HD enzyme	2 $\mu$ l
Deionized H <sub>2</sub> O	As needed
Total reaction	10 $\mu$ l

The reaction was incubated for 20mins at 50°C. 2 $\mu$ l of reaction was used to transform super competent Stellar *E. coli* cells. Colonies were picked, and DNA was extracted using miniprep kit (Macherey Nagel). The DNA was amplified using following forward and reverse primers to check if the insert was cloned.

rt luci for: ATTTATCGGAGTTGCAGTTGCGCC

rt luci rev: GCTGCGAAATGCCCATACTGTTGA

Following runs on Agarose gels positive PCR bands containing inserts as compared to empty pGL3basic control vectors were sent for sequencing.

Similarly, the CCL18 enhancer region (7.6-8.3Kb upstream of TSS, 500bp in length with both STAT3 and STAT6 sites) was cloned into pGL3 vector with previously inserted CCL18 core promoter (as a template, in Step I) using the same protocol as listed above. In summary, genomic enhancer was amplified with primers (black), cloned CCL18 promoter-pGL3basic vector was double digested using KpnI and SacI restriction sites, both fragments were gel purified and the reaction was set up for Infusion (15bp homology arms in red) and then used to transform to Stellar cells.

Kpni Fw: TCTATCGATAGGTACTGACCTGGCTGATTGGAACCAGAATGC

Sacl Rv: GCTAGCACGCGTAAGCAGGCCTTACAATGGAGATAGCAGCCTAGA

#### **4.11.2.2 Deleting STAT3/STAT6 binding regions in cloned enhancer + core promoter vector**

The cloned enhancer region was 853 bp in length with STAT3 and STTA6 binding sites. STAT3 and STAT6 binding sites were deleted for a total length of 208bp and 358bp respectively. For this, the pGL3-core+enhancer plasmid was amplified using sequences marked in black color underneath (T<sub>m</sub>, 55°C) with PfuUltra II Fusion HS DNA Polymerase (Agilent, Catalog#600670) using listed protocol.

1. S6DelVr-F1:

TCTTAACTCATATTA CTTGACTTATTTTTTAAAAAAGTAAAGATGCA

2. S6DelVr-R1: TAATATGAGTTAAGAACTTTCGTGTATTGGCTCAT

3. S3DelVr-F1:

TTAAATCTGTTCTAGCTTAACTCATATTA ACTTCTCTATAAATTTAAGTC

4. S3DelVr-R1: CTAGAACAGATTTAA CCTGACATTTCTGTTCC

Cycles	Temperature (°C)	Duration
1	95	2 min
30	95	10 secs
	T <sub>m</sub> -5 (50)	20 secs
	72	1.5-2 mins, (15sec/Kb, Vector 6Kb)
1	72	3 mins

<b>Table 28: PCR reaction steps for plasmid amplification</b>	
<b>Component</b>	<b>Amount</b>
Distilled H <sub>2</sub> O	40.5µl
10X Pfu Ultra II reaction buffer	5µl
dNTP mix(25mM)	0.5µl
pGL3basic+core+enhancer	30ng
Primer Fw (10µM)	1µl
Primer Rv (10µM)	1µl
PfuUltra II fusion HS DNA Polymerase	1µl
Total volume	50µl

The amplified vector was DpnI-digested at 37°C for 1hr to remove non-amplified DNA template and gel-purified to isolate the single plasmid band at 6Kb. 30ng of purified vector construct was infused using HD-Infusion kit (CloneTech) as mentioned above with 15bp complementary overhangs designed at 5'-end of deletion primers. The reactions were used to transform Stellar competent *E. coli* cells. The deleted enhancer fragment plasmid was sequenced using a pGL3basic-primer and insert was confirmed.

#### ***4.11.2.3 Deleting 10bp STAT3/STAT6 binding sites in cloned enhancer + core promoter vector***

10bp of STAT3 and STAT6 binding sites were deleted from cloned enhancer and core promoter plasmid using Quick site mutagenesis protocol (Agilent). The following primer sequences were designed from Agilent website

<https://www.genomics.agilent.com/primerDesignProgram.jsp?toggle=uploadNow&mutate=true&requestid=403674>

S310bpDel Fw: 5'-cccaccactgctaattatagattctgtaaactctccagactc-3'

S310bpDel Rv: 5'-gagtctggagagtttacagaatctataattagcagtggg-3'

S610bpDel Fw: 5'-cttttttaaaaaataagtcaagacgaagctggg-3'

S610bpDel Rv: 5'-agacactgcgccagcttcgtctgactatttttaaaaaag-3'

These primers were used to synthesize a new cDNA strand of the entire pGL3-basic plasmid with core promoter and enhancer cloned together as mentioned below.

<b>Table 29: PCR reaction buffers and steps</b>		
<b>Components</b>		
10X Reaction buffer	5µl	
pGL3basic-core-enhancer DNA	50ng	
Primer 1	125ng	
Primer 2	125ng	
dNTPmix	1µl	
PfU Ultra HF DNA polymerase (2.5U/µl)	1µl	
ddH <sub>2</sub> O		
Total volume	50µl	
<b>PCR reaction cycles</b>		
Cycles	Temperature (°C)	Duration
1	95	30 secs
18 cycles	95	30 secs
	55	1min
	68	1min/Kb plasmid length (6min)



The PCR-amplified vector was DpnI digested at 37°C for 1hr and 2µl was used to transform 50µl of XL-1 Blue supercompetent cells. Colonies were processed to isolate DNA using mini-preps, and the deletions were confirmed by sequencing using Luciferase vector sequencing primers described above.

#### ***4.11.2.4 Quantification of Firefly and Renilla luciferase activities***

The cells were lysed in 100µl of 1X Passive lysis buffer (Luciferase Cell Culture Lysis 5X Reagent, Promega, Catalog # E1500) in 24 well plate or 200µl per 6 well plate. Plates were frozen in liquid nitrogen and transferred to -80°C. Samples were thawed for 20mins at room temperature and 20µl lysate was aliquoted in one 96well plate (Greiner Bio-one™ 655075, Catalog #07-000-130) in duplicates for every sample and the remaining lysate was stored at -80°C for repeated measurements, if necessary. Firefly/Renilla expression was measured separately for 10secs each with Mithras Multimode Microplate Reader LB 940 from Berthold technologies.

#### ***4.12 Flow Cytometry Analysis***

Cells were centrifuged for 5min at 500g at 4°C, and the supernatant was discarded. Cells were re-suspended in 80µl PBS/BSA (0.5%) with 2µl of Fc Block (BD Biosciences). Cells were incubated on ice for 15-20min with 1-2µl of antibodies centrifuged and re-suspended in 300µl of FACS flow buffer before analysis on a LSRII/Fortessa flow cytometer (BD Biosciences).

#### ***4.13 T Cell Activation Assay***

T cells from human buffy coats were isolated using Pan CD3-Tcell extraction kit (Miltenyi Biotec). PBMCs were isolated as described in Section 4.1. The pellet was rigorously re-suspended in 12ml ice-cold water and incubated for 20secs on ice. 4ml of hypoosmotic lysis buffer was then added to lyse platelets. The solution was then

diluted with cold PBS to 50ml and centrifuged at 1300rpm for 6 mins at 4°C. This step was repeated until no erythrocytes and platelets remained. The pellet was resuspended in 500µl of running buffer (1XPBS, 2mM EDTA, 25ml of 10% BSA) buffer and incubated with 80µl of CD3<sup>+</sup> MACS beads for 30mins on ice. The beads were washed with 2-4ml of running buffer, centrifuged at 4°C and resuspended in 500µl of running buffer. Cell suspension was passed through LS/MACS magnetic columns equilibrated with running buffer, followed by 3x3ml washes and elution in 5ml buffer. After counting 1x10<sup>6</sup> CD3<sup>+</sup> T cells were expanded using 5µl/10<sup>6</sup> T cells of ImmunoCult Human CD3/CD28/CD2 T cell activator (STEMCELL Technologies), 100ng/ml human IL-2 and 50µM β-mercaptoethanol, and cultured in RPMI with 5% heat inactivated FCS, 5mM sodium pyruvate, and 5mM non-essential amino acids. hMDMs from the same buffy coats were differentiated with human plasma for 7d in a 24-well plate. On day 7, hMDMs were stimulated for 48h with IL-4 or/and IL-6. On day 8 T cells were reactivated as described earlier. On day 9 hMDMs and T cells were cocultured (1:5) for the next 3d. On day 12, supernatants were centrifuged, and cell pellet was analyzed for surface marker expression, whereas culture media were probed for IFN $\gamma$  or IL-10 secretion using CBA Flex assays.

#### **4.14 3D Chemotaxis Assay**

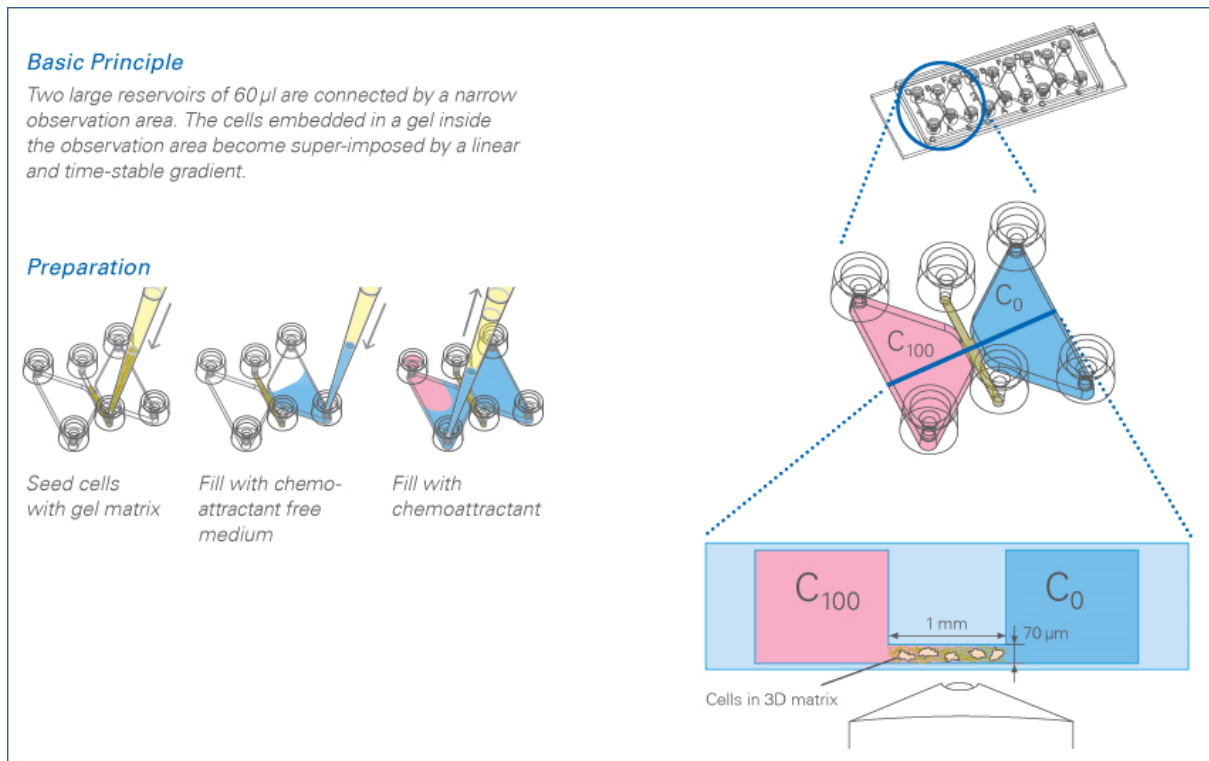
We collected serum-free conditioned media from hMDMs 48h post-treatment with different cytokines. 20000 MCF-7 or MDA-MB 231 cells were seeded onto collagen-coated  $\mu$ -slide chemotaxis slides (ibidi, #80326). The cells were then incubated and tracked via Cell Observer (Zeiss) at 37°C with 5% CO<sub>2</sub> for 16h with images taken every 10min. A total of 90 cells were tracked per condition (30 cells, n=3) and quantified via manual tracking protocol in Image J.

#### 4.14.1 Protocol for Cell preparation

The following protocol is modified from ibidi Application Note 26

[https://ibidi.com/img/cms/support/AN/AN26\\_CollagenI\\_protocols.pdf](https://ibidi.com/img/cms/support/AN/AN26_CollagenI_protocols.pdf)

<b>Table 28: Reaction components for 3D collagen and cell preparation for chemotaxis assay</b>	
Final Collagen I concentration in gel (mg/ml) = 0.5	
Component	Volume (µl)
10X DMEM	10
1M NaOH	2
H <sub>2</sub> O	71
NaHCO <sub>3</sub> 7.5%	2.5
1XDMEM (+FCS)	25
Collagen I, 5mg/ml	15
Cell Suspension	50
Cell ingredients were mixed in a 1.5ml eppendorf tube in exact order at 4°C ensuring no air bubbles.	



**Figure 4: 3D chemotaxis assay design adapted from ibidi**

<https://ibidi.com/channel-slides/9--slide-chemotaxis-ibitreat.html>

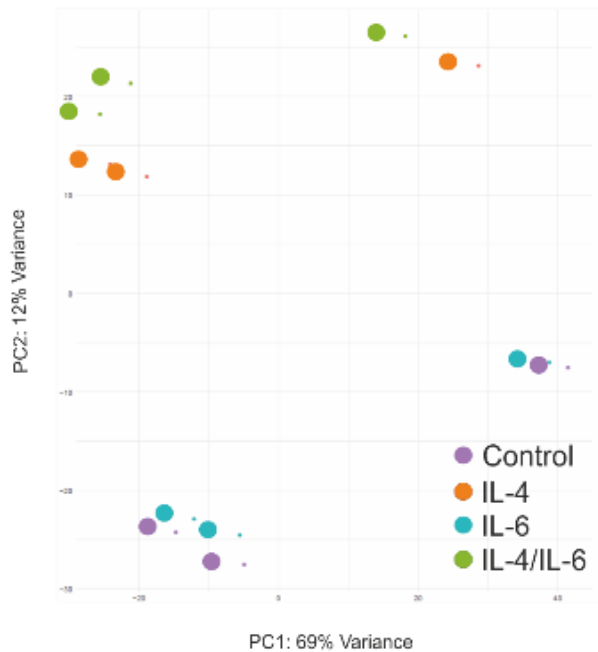
6  $\mu$ l of above mix with a final concentration of 20,000 MDA-MB 231 cells was seeded onto collagen-coated  $\mu$ -slide chemotaxis slides (ibidi, #80326) according to manufacturer's recommendations (Fig. 4). At this step, it was ensured that no air bubbles are blocking the microscope acquisition area. 6  $\mu$ l of mix was then incubated in a 10cm dish with wet tissues to prevent evaporation for 30mins, allowing cells to seed. The chamber was washed with 6  $\mu$ l of serum free media thrice to ensure no FCS blocks the chemotaxis of MDA-231 cells. After 30mins chemoattractant free (serum free RPMI) was added on the right chamber and the diluted condition media (1:1 in 1% FCS) was added in the left chamber. Collagen concentrations were tested from 1.5-0.5 mg/ml and the autoclaved sterile water volume was adjusted accordingly.

#### **4.15 Statistical Analysis**

Statistical analysis was performed using GraphPad5 Prism. One-way ANOVA analysis with Bonferroni post hoc test was applied for multiple group comparisons with significance levels indicated in figure graphs (\*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$ ). Results are presented as means $\pm$ SD for at least three independent biological replicates.



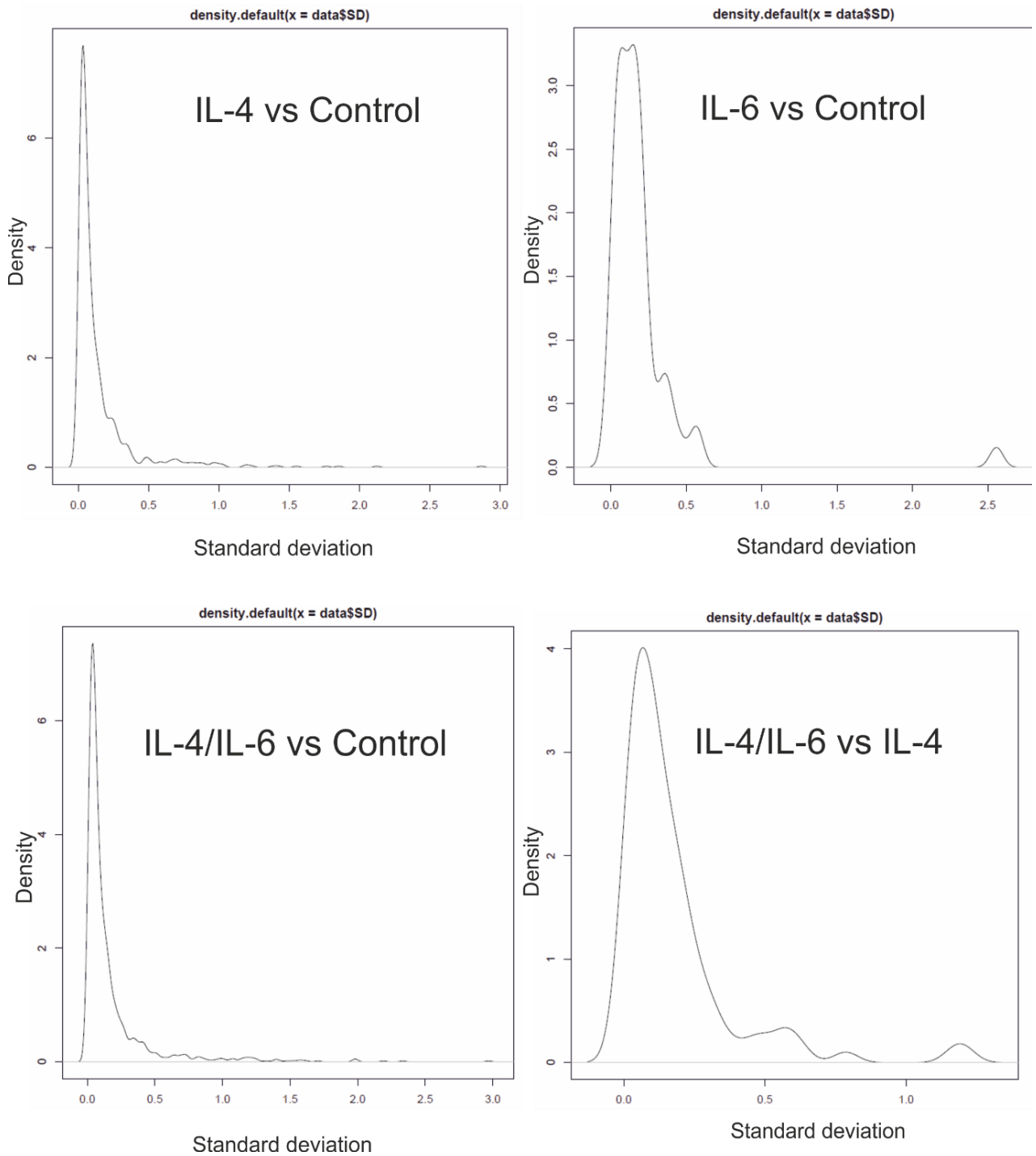
clustered compared to IL-6-treated and unstimulated hMDMs that clustered closely together (Fig. 6).



**Figure 6: Principal component analysis (PCA) of three biological replicates for each treatment condition**

We note the divergence of biological replicates in IL-4 and IL-4/IL-6 co-stimulation reflects a naturally occurring variance in the extent of response (gene induction) to upstream cytokines similar to previous reports with different levels of basal expression in untreated macrophages from different donors<sup>55, 56</sup>. This variation was also not sex mediated as all donors were females as validated by curating a gene count matrix for Y-linked genes which was mostly zero under all treatment conditions. However, genes expressed in untreated condition and IL-6 do not differ substantially and cluster closely because IL-6 alone induces only a small repertoire of STAT3 inducible genes<sup>54</sup>. We analyzed fold changes of mRNA expression across different treatments for each differentially expressed genes and plotted the distribution of standard deviations for

replicate measurements, showing that most of standard deviations are close to zero. This implies little variation of hMDM responses to cytokines between biological replicates (Fig. 7).

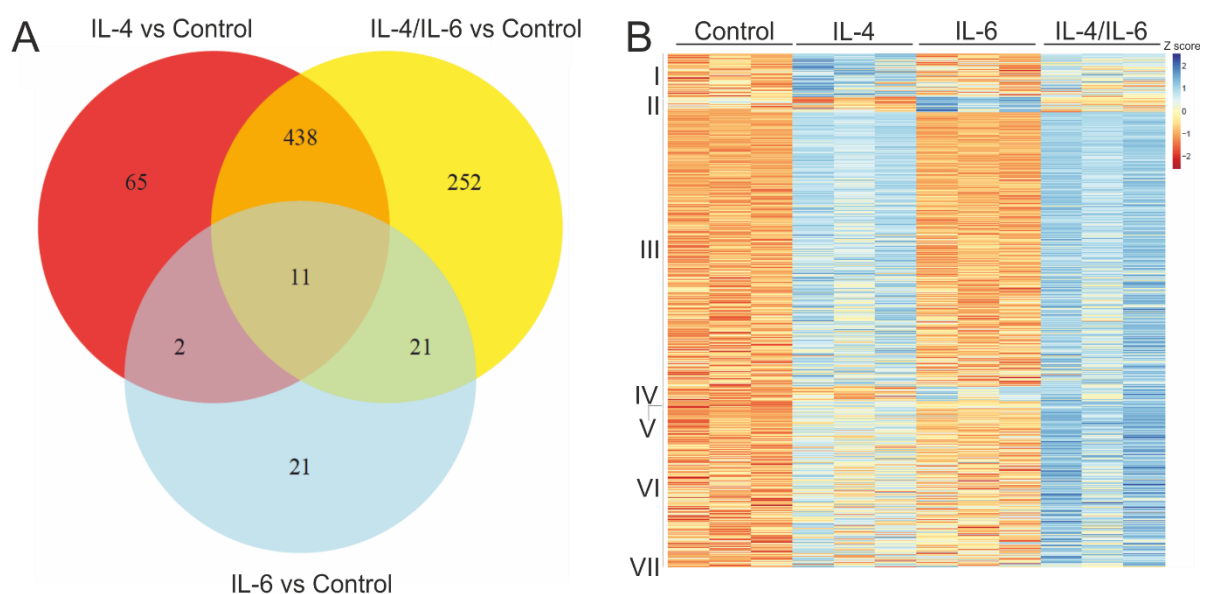


**Figure 7: Standard deviations of biological replicates for RNA sequencing**



**dataset were plotted for fold changes in RNA expression comparing different treatment conditions.**

We mapped our reads to hg19 genome and generated normalized raw read counts using DESeq2 package (Table 31, available in soft copy). We next generated a list of differentially expressed genes with  $|\log_2\text{FoldChange}| \geq 1$  relative to the untreated control (Table 32, soft copy). Fig. 8A and Table 33 (soft copy) shows the overlap between the upregulated genes ( $\log_2\text{FoldChange} \geq 1$ ) upon treatments with IL-4, IL-6, and IL-4/IL-6 in Venn diagram. Of the 722 genes upregulated in co-stimulated (IL-4/IL-6) macrophages, 60% were also upregulated upon IL-4 stimulation (438), but only 3% were upregulated by IL-6 alone (21).



**Figure 8: (A) Venn diagram displaying numbers of upregulated genes in IL-4, IL-6, and IL-4/IL-6 co-stimulations relative to control. (B) Heat map representing different patterns of gene induction upon respective stimulus.**

We summarized the pattern of induction upon cytokine stimulation in a heat map (Fig. 8B), and categorized the genes into seven categories, depending on their response

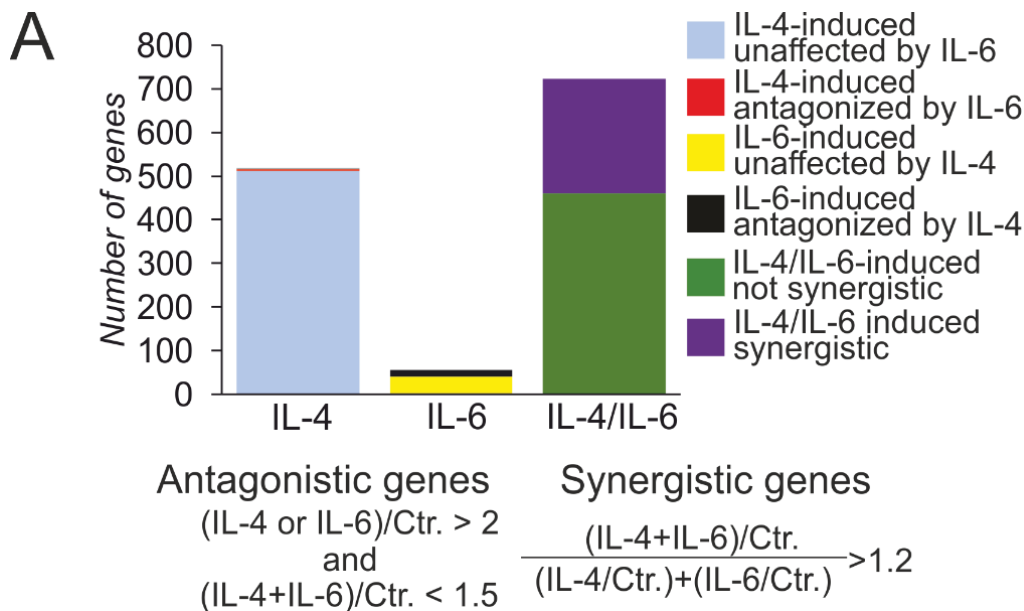
across different treatments: Class I (IL-4-unique genes), Class II (IL-6-unique genes), Class III (genes induced by IL-4 and IL-4/IL-6) , Class IV (genes induced by IL-6 and IL-4/IL-6), Class V (genes upregulated by single as well as combined cytokine treatments) , Class VI (genes induced only upon IL-4/IL-6 co-treatment), Class VII (genes upregulated by IL-4 and IL-6, but not their combination). We found 252 genes uniquely upregulated when hMDMs were co-stimulated with both IL-4 and IL-6. Considerably fewer genes are uniquely upregulated upon either IL-6 (21) or IL-4 (65) treatment. The 252 genes are neither individual IL-4 or IL-6 targets, but their expression is induced at least 2-fold relative to the control upon dual stimulation. We find amongst these genes membrane receptors, cytokines and immune activation receptors (Table 33). A Gene Ontology (GO) analysis<sup>57, 58</sup> of these 252 genes using online Panther tool's GO biological process annotation revealed enrichment of IFN $\gamma$ -signaling pathways as well as extracellular cell matrix and adhesion pathways (Fig. 9).

GO biological process complete	N of genes in the term	N of genes in the list	Fold Enrichment	raw P value	FDR
protein kinase B signaling	46	5	13.22	5.90E-05	3.84E-02
interferon-gamma-mediated signaling pathway	71	6	10.28	3.96E-05	3.25E-02
protein O-linked glycosylation	121	8	8.04	1.10E-05	1.22E-02
response to interferon-gamma	179	11	7.47	4.75E-07	1.48E-03
cellular response to interferon-gamma	157	9	6.97	9.16E-06	1.19E-02
response to molecule of bacterial origin	332	14	5.13	1.01E-06	2.62E-03
glycoprotein biosynthetic process	312	13	5.07	2.82E-06	4.89E-03
response to lipopolysaccharide	313	13	5.05	2.92E-06	4.55E-03
positive regulation of cell-cell adhesion	257	10	4.73	7.09E-05	4.42E-02
extracellular matrix organization	315	11	4.25	7.87E-05	4.39E-02
glycoprotein metabolic process	378	13	4.18	2.04E-05	1.88E-02

**Figure 9: List of top 10 significantly enriched GO biological processes for 252 uniquely induced genes in dual IL-4/IL-6 stimulation.**

In addition, we identified genes that are controlled by IL-4 and IL-6 in an antagonistic or in a synergistic fashion upon co-stimulation (Fig. 10A, Table 34, soft copy) according to previously described criteria<sup>40</sup>. Apparently, IL-6 has almost no opposing

effects on the IL-4-induced genes, however, IL-4 co-stimulation does antagonize 25% (14 out of 55) of IL-6 target genes. Remarkably, 262 out of 722 upregulated genes upon IL-4/IL-6 co-treatment were synergistically induced.



**B**

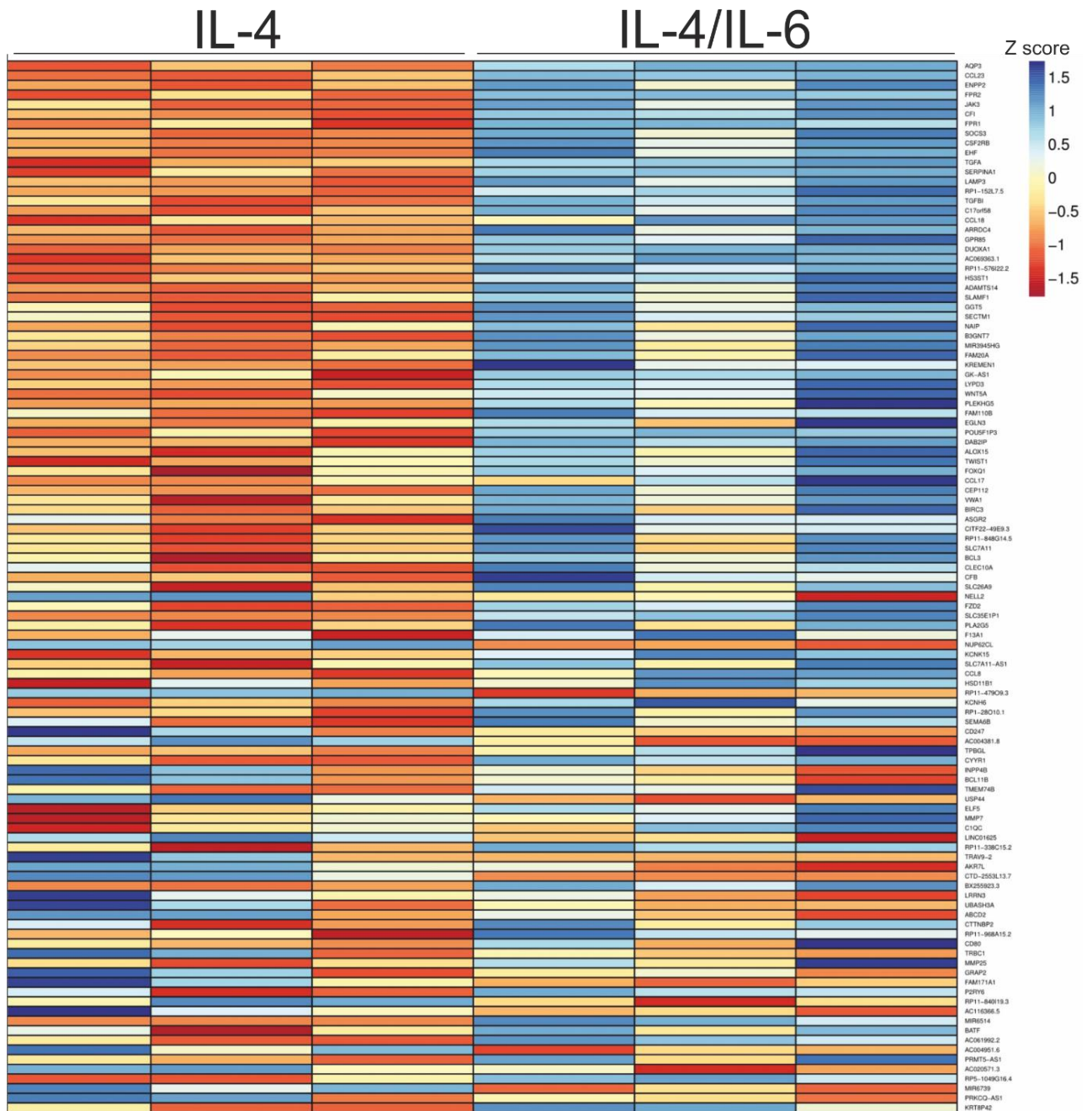
GO biological process term	N of genes in the term	N of genes in the list	Fold Enrichment	raw P value	FDR
lymphocyte chemotaxis	43	6	12.49	1.60E-05	1.13E-02
regulation of heart rate by cardiac conduction	36	5	12.44	8.53E-05	3.01E-02
monocyte chemotaxis	41	5	10.92	1.49E-04	4.54E-02
lymphocyte migration	57	6	9.43	6.84E-05	2.72E-02
T cell costimulation	76	8	9.43	4.16E-06	4.61E-03
lymphocyte costimulation	77	8	9.3	4.55E-06	4.71E-03
cellular response to interferon-gamma	139	11	7.09	9.14E-07	4.72E-03
protein O-linked glycosylation	109	8	6.57	4.77E-05	2.38E-02
response to interferon-gamma	160	11	6.16	3.31E-06	3.94E-03
multicellular organismal signaling	128	8	5.6	1.37E-04	4.35E-02

**Figure 10: (A) Visualization of numbers of synergistically and antagonistically regulated genes. (B) List of top 10 significantly enriched GO biological processes for synergistically induced IL-4/IL-6 target genes.**

Further GO analysis of synergistically induced genes revealed significantly enriched pathways associated with immune cells (Fig. 10B). Importantly, lymphocyte and

monocyte chemotaxis as well as lymphocyte co-stimulation and the response to IFN $\gamma$  were among top 10 significantly enriched biological processes.

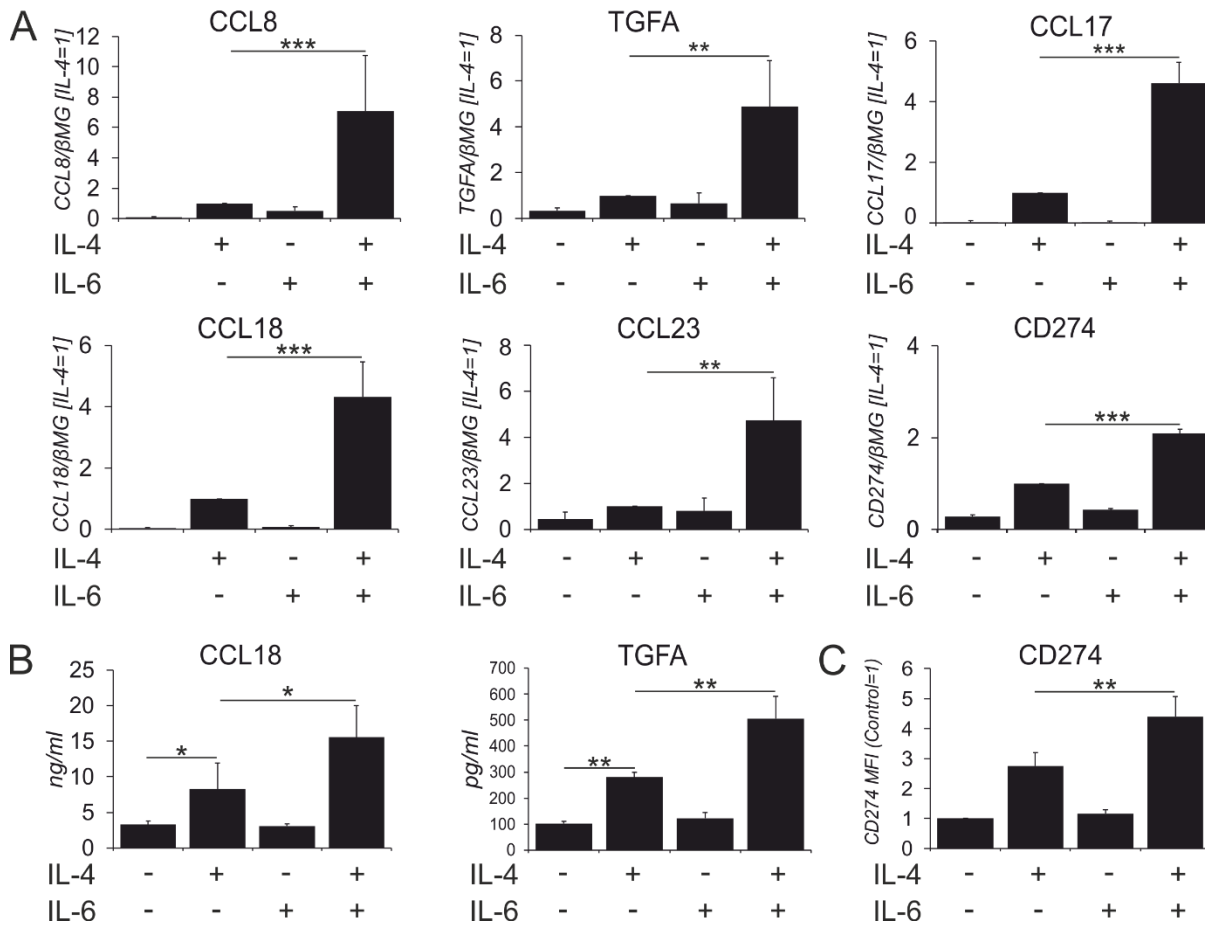
Our analyses reveal that whereas IL-6 on its own induces relatively few genes, it has a bigger impact on IL-4-induced transcriptome. We analyzed IL-6 mediated changes upon co-stimulation by performing differential gene expression analysis between IL-4/IL-6 and IL-4-treated conditions ( $|\log_2\text{FoldChange}| \geq 1$ ,  $p \leq 0.05$ ). According to these criteria we observed that 109 genes were differentially regulated between IL-4/IL-6 and IL-4 stimulations as depicted in the heat map (Fig. 11, Table 35). Amongst these 109 differentially regulated genes, 23 IL-4 target genes (e.g. CCL18, CCL8, CCL17, CCL23, TGFA) were upregulated and 2 downregulated (BCL11B, INPP4B) upon co-stimulation. In addition, 11 IL-6 target genes (e.g. FAM20A, AQP3, SOCS3) were upregulated and 4 were downregulated (FPR, FPR2, GPR85, KCNK15). 61 of the 109 differentially regulated targets were neither IL-4 nor IL-6 targets, being unique to co-stimulation and 8 genes were not only differentially expressed under IL-4/IL-6 relative to IL-4-treatment conditions but were also differentially expressed in IL-4 vs control and IL-6 vs control (e.g. TGFB1, ENPP2, EHF, GGT5).



**Figure 11: Heat map of 109 differentially regulated genes between IL-4/IL-6 and IL-4 treatments.**

We validated transcriptome changes revealed by RNA sequencing for selected synergistically induced genes with known functions in macrophages by Q-PCR and protein expression analyses. Particularly, dual stimulation enhances the expression of several chemokines targeted by IL-4 (CCL17, CCL18, CCL23 and CCL8). We observed induction of TGFA gene encoding an EGF receptor ligand as well as

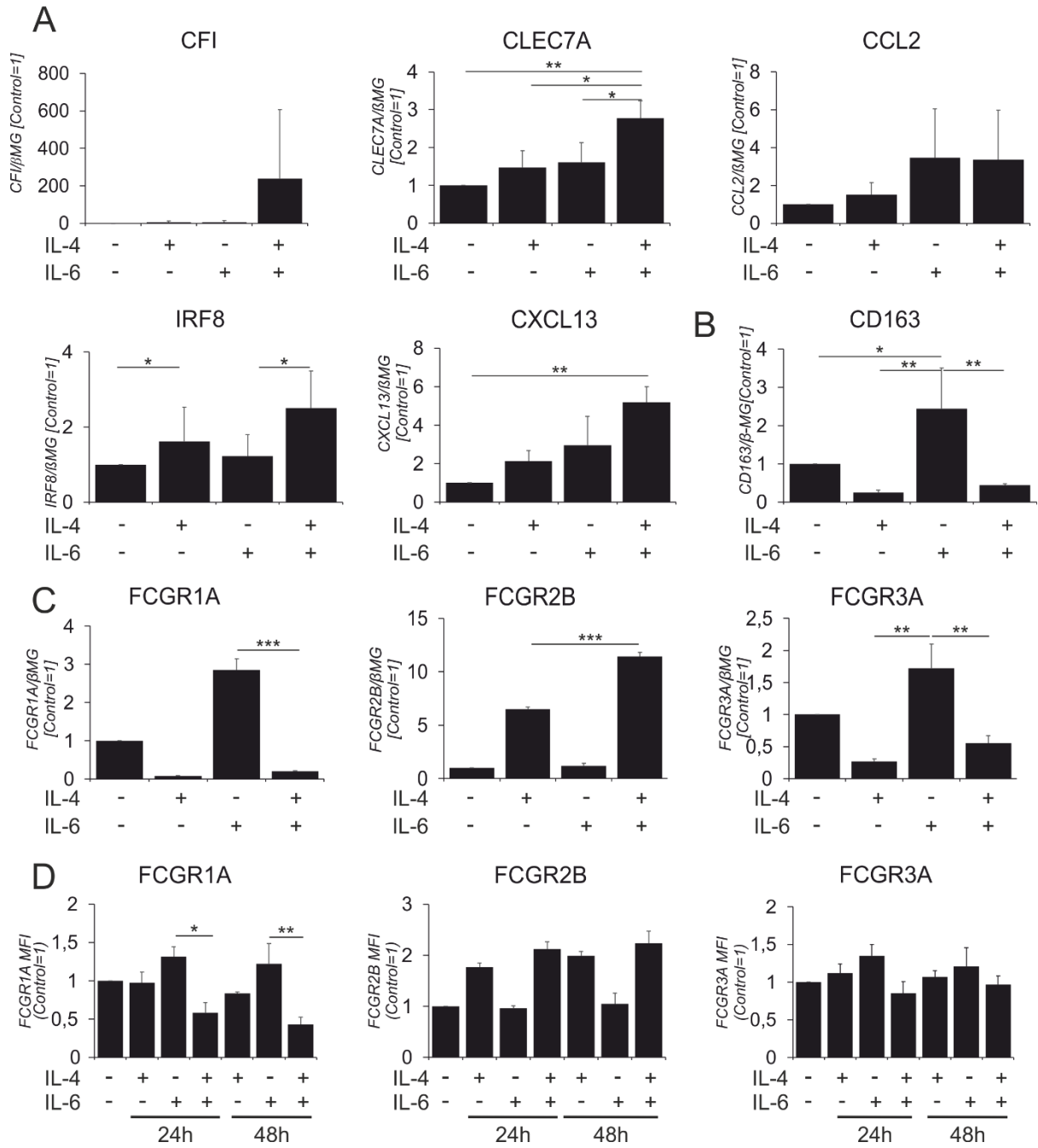
upregulation of CD274, coding for an immunosuppressive PD-L1 cell surface receptor at mRNA (Fig. 12A) and protein levels (Fig. 12B, C).



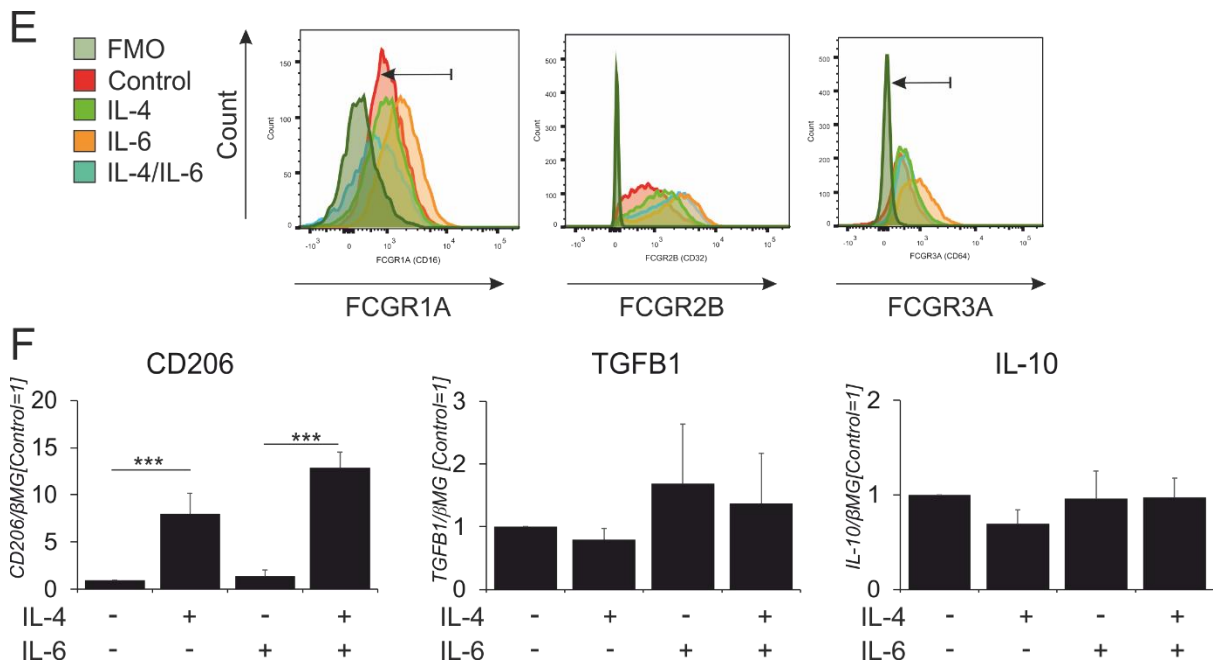
**Figure 12: In vitro validation of synergistically induced IL-4/IL-6 target genes. (A)** mRNA expression analysis for indicated genes in macrophages treated for 24h with IL-4 and IL-6 alone or in combination. **(B)** Protein secretion of CCL18 and TGF $\alpha$  determined by ELISA and **(C)** surface expression of CD274 determined by flow cytometry in macrophages treated for 48h with IL-4 and IL-6 alone or in combination. Data are presented as mean $\pm$ SD. \*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$ .

We also validated genes uniquely induced by dual stimulation (Fig. 13A), including membrane receptors (CFI, CLEC7A) and chemokines (CCL2, CXCL13). In addition, we confirmed that IL-4 stimulation antagonized some IL-6 target genes (e.g. CD163

and FCGR1A) (Fig. 13B-C). Since the inhibitory immunoglobulin receptor FCGR2B was synergistically upregulated after IL-4/IL-6 co-treatment (Table 34), we measured the expression levels of IgG Fc receptors and found upregulation of the inhibitory receptor FCGR2B at mRNA and protein level, whereas the activation receptors, FCGR1A and FCGR3A were downregulated in IL-4- and IL-4/IL-6-treated cells (Fig. 13 C-E). Analysis of typical markers associated with anti-inflammatory macrophage polarization revealed that CD206 mRNA expression was enhanced but there were no alterations of IL-10 and TGFB1 mRNA expression (Fig. 13F).

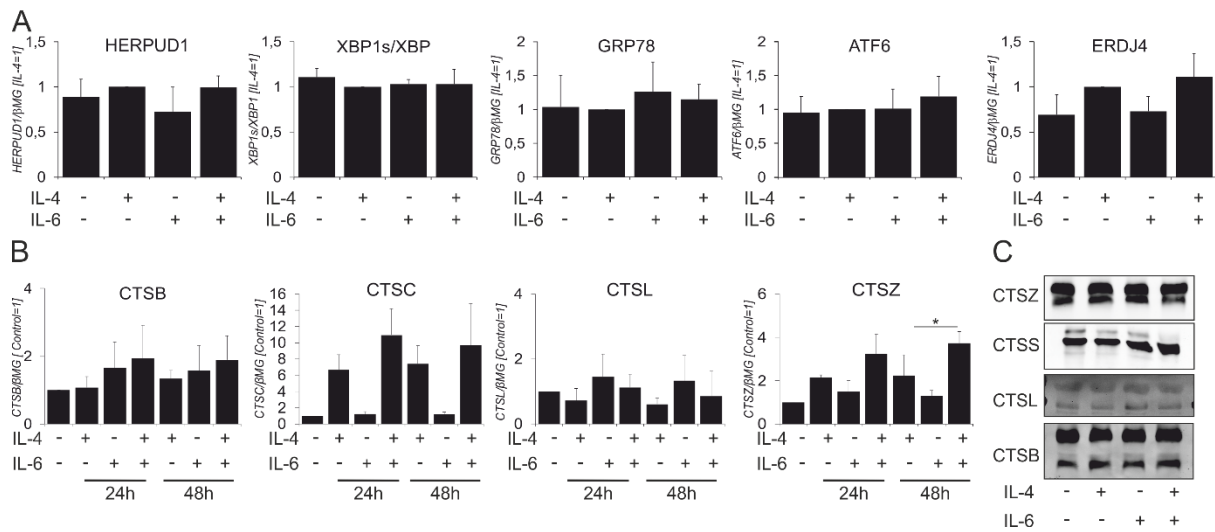






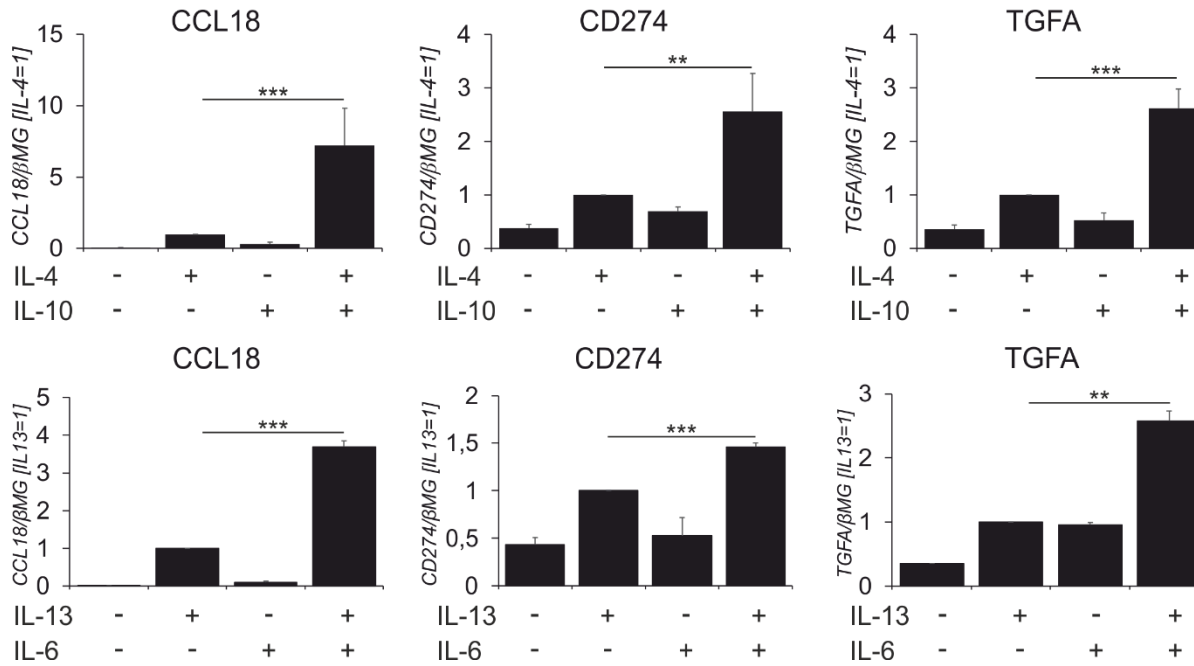
**Figure 13: Gene expression defining differences in expression patterns of synergized or antagonized genes** (A) mRNA expression of uniquely induced genes in dually stimulated hMDMs. (B) mRNA expression of IL-6 target gene CD163 antagonized upon dual stimulation. (C-E) mRNA (C) and surface protein (D-E) expression of FcγRs in hMDMs treated for 24h (C) or indicated times (D) with cytokines. (F) mRNA expression of macrophage anti-inflammatory markers CD206, TGFB1 and IL-10. Error bars indicate Mean±SD (\*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$ ).

Comparing our data with a recent analysis of IL-4/IL-6 co-stimulated murine macrophages<sup>37</sup> revealed considerable differences. The previous study suggested that the synergism of IL-4 and IL-6 was regulated by inositol requiring enzyme-1 $\alpha$  (IRE-1 $\alpha$ ) activation. Therefore, we validated the downstream targets of IRE-1 $\alpha$ -dependent (e.g. HERPUD1, XBP1s) as well as -independent endoplasmic reticulum (ER) stress markers (GRP78, ATF6, ERJD4). Our data show that expression of ER-stress-related genes remained unaltered after co-stimulation in hMDMs thus, indicating discrepancies to mBMDMs (Fig. 14A).



**Figure 14: Expression levels of ER stress targets and cathepsins in hMDMs (A, B) mRNA expression of ER stress markers and cathepsins and (C) cathepsin protein expression in macrophages treated for 24h (A, B) or 48 h (C) with IL-4 and IL-6 alone or in combination. Error bars indicate Mean±SD (\*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$ ).**

Neither did we observe mRNA or protein changes for the majority of cathepsin genes found upregulated in the murine system (Fig. 14B, C). Of 82 genes synergistically induced by IL-4/IL-6 in murine BMDMs only 2 (CCL8, CH25H) were present in the list of 292 IL-4/IL-6 synergistically induced genes in human macrophages (Table 35). Although gene sets co-induced in murine vs human systems are strikingly distinct, we do find similar classes of genes being upregulated, such as chemokines (Ccl8, Ccl7, Ccl24, Ccl12 in mBMDMs and CCL17, CCL18, CCL8, CCL23 in human macrophages) or C type lectin domain containing proteins (Clec10a vs CLEC4A, CLEC4G).



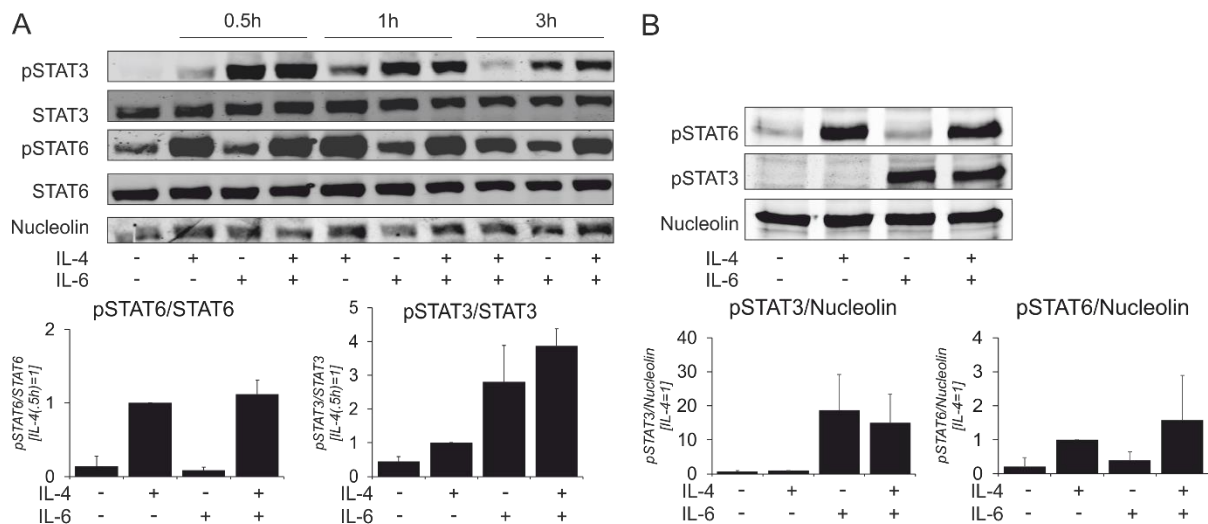
**Figure 15. IL-4/IL-10 and IL-13/IL-6 co-stimulations induce similar changes in CCL18, TGFA and CD274 gene expression as IL-4/IL-6 co-treatment:** mRNA expression of indicated genes in hMDMs treated for 24h with indicated cytokines alone or in combination. Error bars indicate Mean±SD (\*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$ ).

Since it was shown that IL-13 employs similar signaling as IL-4 whereas signaling by IL-6 may be partly mimicked by IL-10, we questioned whether IL-13 and IL-10 can substitute for IL-4 and IL-6 to induce IL-4/IL-6 co-regulated genes. Both IL-4/IL-10 and IL-13/IL-6 had similar effects on the induction of CCL18, TGFA and CD274 as compared to IL-4/IL-6 treatment (Fig. 15).

## 5.2. IL-6-induced upregulation of IL-4 target genes is STAT3-dependent

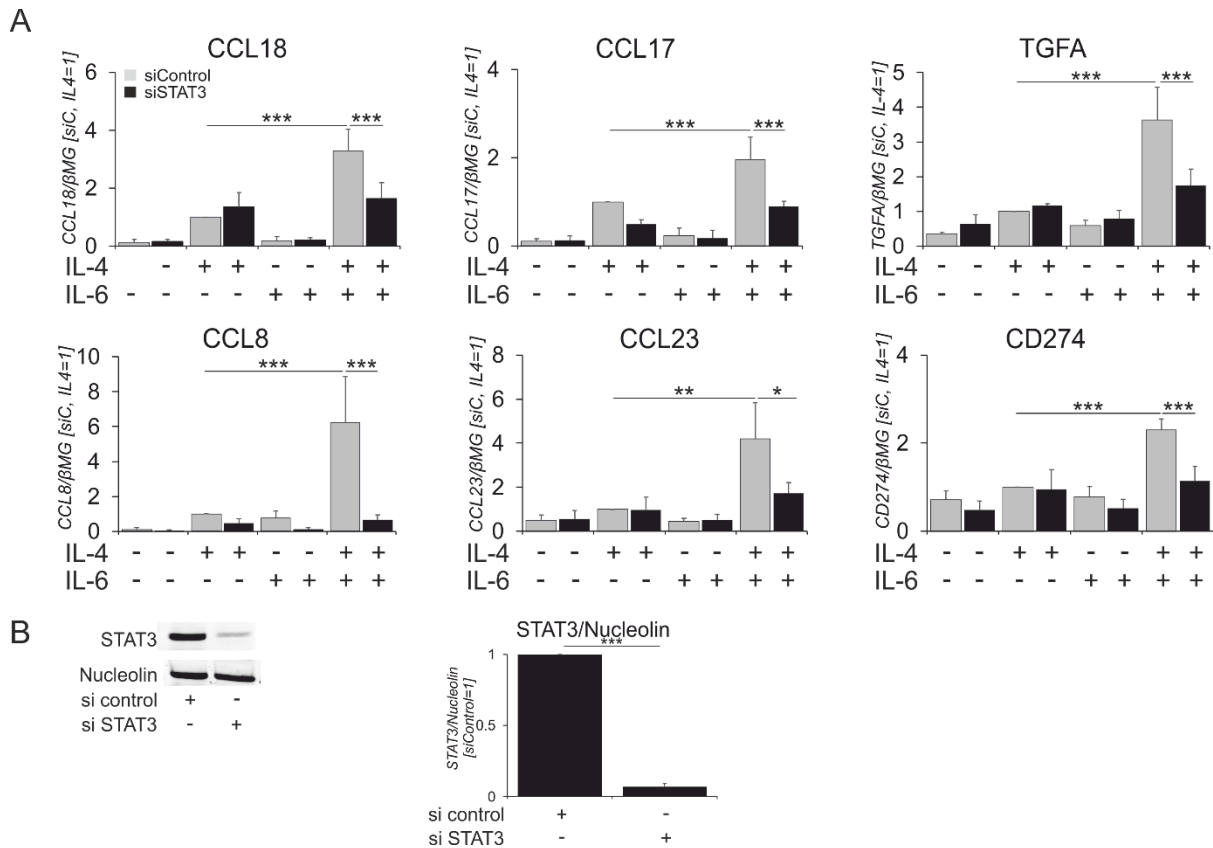
Signals by IL-4 and IL-6 are transduced, to a major part, by STAT6 and STAT3 phosphorylation respectively. Upon receptor activation, STAT6 and STAT3 undergo tyrosine phosphorylation and nuclear translocation activating downstream target genes. Analyzing tyrosine phosphorylation of STAT3 and STAT6 in whole cell lysates

(Fig. 16A) or nuclear extracts (Fig. 16B) upon IL-6 and IL-4 stimulation, we observed expected increases of STAT3 phosphorylation in IL-6-treated cells and STAT6 phosphorylation in IL-4-treated cells. However, neither changes in STAT6, nor STAT3 phosphorylation were detected after co-stimulation as compared to single cytokine treatments.



**Figure 16: Effects of IL-4 and IL-6 on STAT3 and STAT6 phosphorylation (A)** Western blots of hMDMs total cell lysates treated for indicated times or (B) nuclear extracts for 1h (B) with indicated cytokines along with respective quantifications in the lower panel. Error bars indicate Mean±SD

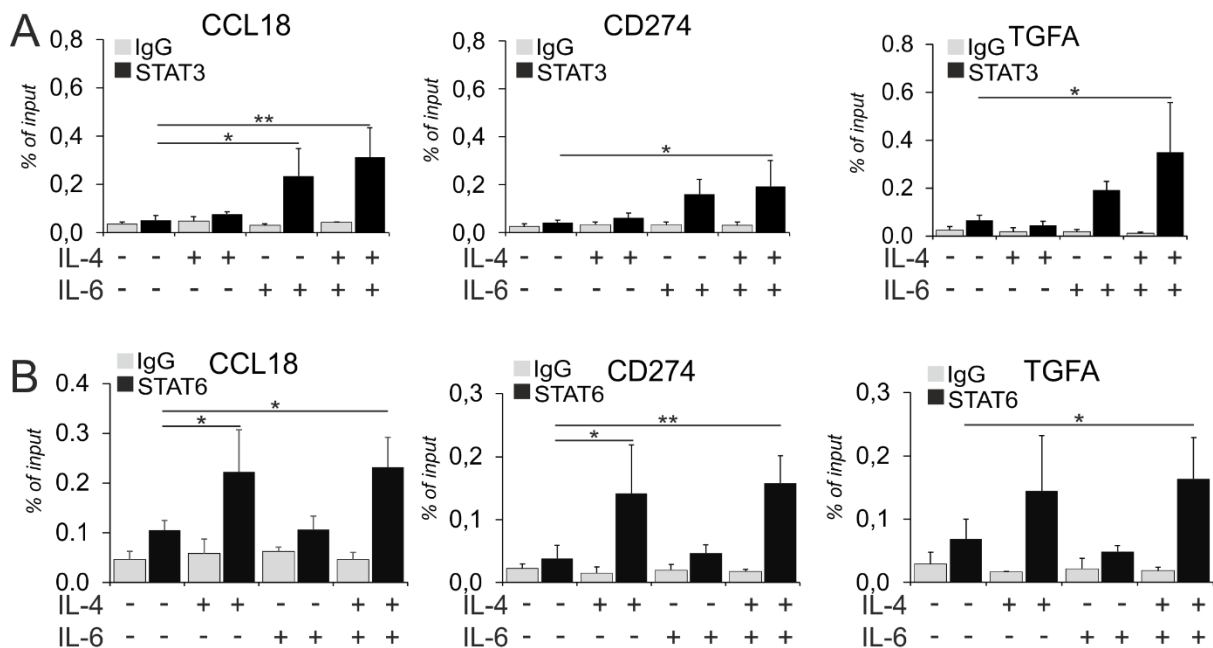
To assess the role of STAT3 in upregulating IL-4 target genes upon co-stimulation, we silenced STAT3 expression using siRNA, followed by single and dual cytokine treatments. A STAT3 knockdown reduced target gene expression in co-stimulated cells to levels observed in cells stimulated with IL-4 alone (Fig. 17 A, B), indicating that STAT3 is critical for the stimulatory effect of IL-6.



**Figure 17: IL-6 synergy with IL-4 requires STAT3.** (A) mRNA expression of indicated genes in hMDMs transfected with control or STAT3 siRNAs for 72h prior to 24h-treatments with IL-4 and IL-6 alone or in combination. (B) STAT3 protein expression after STAT3 knockdown. Data are presented as mean±SD. \*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$ .

We next investigated STAT3 and STAT6 binding to cognate sites in target gene regulatory regions (GRRs) upon single and combined cytokine treatments. We explored the STAT3/STAT6 binding sites using existing ChIP-seq data across different cell lines<sup>59-61</sup>, and the transcription factor binding site software JASPAR<sup>62</sup>. Of 109 genes differentially regulated between IL-4/IL-6 and IL-4, we focused on CD274 as a target involved in T cell immunosuppression, CCL18, a chemokine promoting tumor cell invasion and metastasis, and TGFA, a growth factor promoting tumor cell

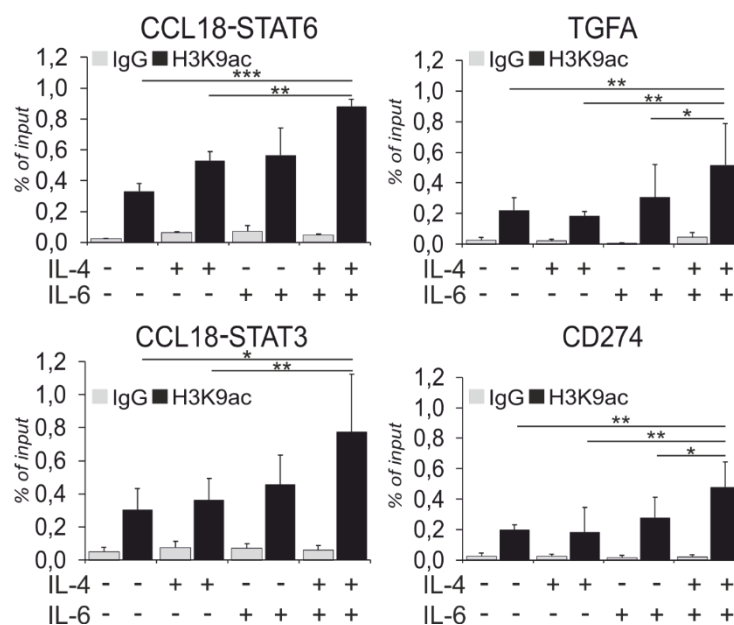
proliferation. Testing several possible STAT3 and STAT6 binding sites in chromatin immunoprecipitation (ChIP) experiments, we found co-binding of STAT3 (Fig. 18A) and STAT6 (Fig. 18B) in GRRs of CCL18, TGFA, and CD274. STAT3 bound 8.1Kb upstream and STAT6 7.6Kb upstream of the transcription start site (TSS) in the CCL18 GRR, whereas STAT3 and STAT6 bound in close proximity (2-10bp apart) for CD274 (9Kb downstream of the TSS) and TGFA (37.6Kb downstream of the TSS).



**Figure 18: STAT3 and STAT6 bind the GRRs of co-induced target genes. (A-B)** HMDMs were treated for 1h with IL-4 and IL-6 alone or in combination for (A) STAT3 ChIP, (B) STAT6 ChIP. Data are presented as mean±SD. \*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$ .

Whereas IL-4 induced binding of STAT6 and IL-6 increased binding of STAT3 for some of the investigated targets, significantly increased co-binding of STAT3 and STAT6 to target GRRs was detected only in the presence of both, IL-4 and IL-6. We observed no increase in STAT6 or STAT3 binding upon co-stimulation as compared to single cytokine treatments.

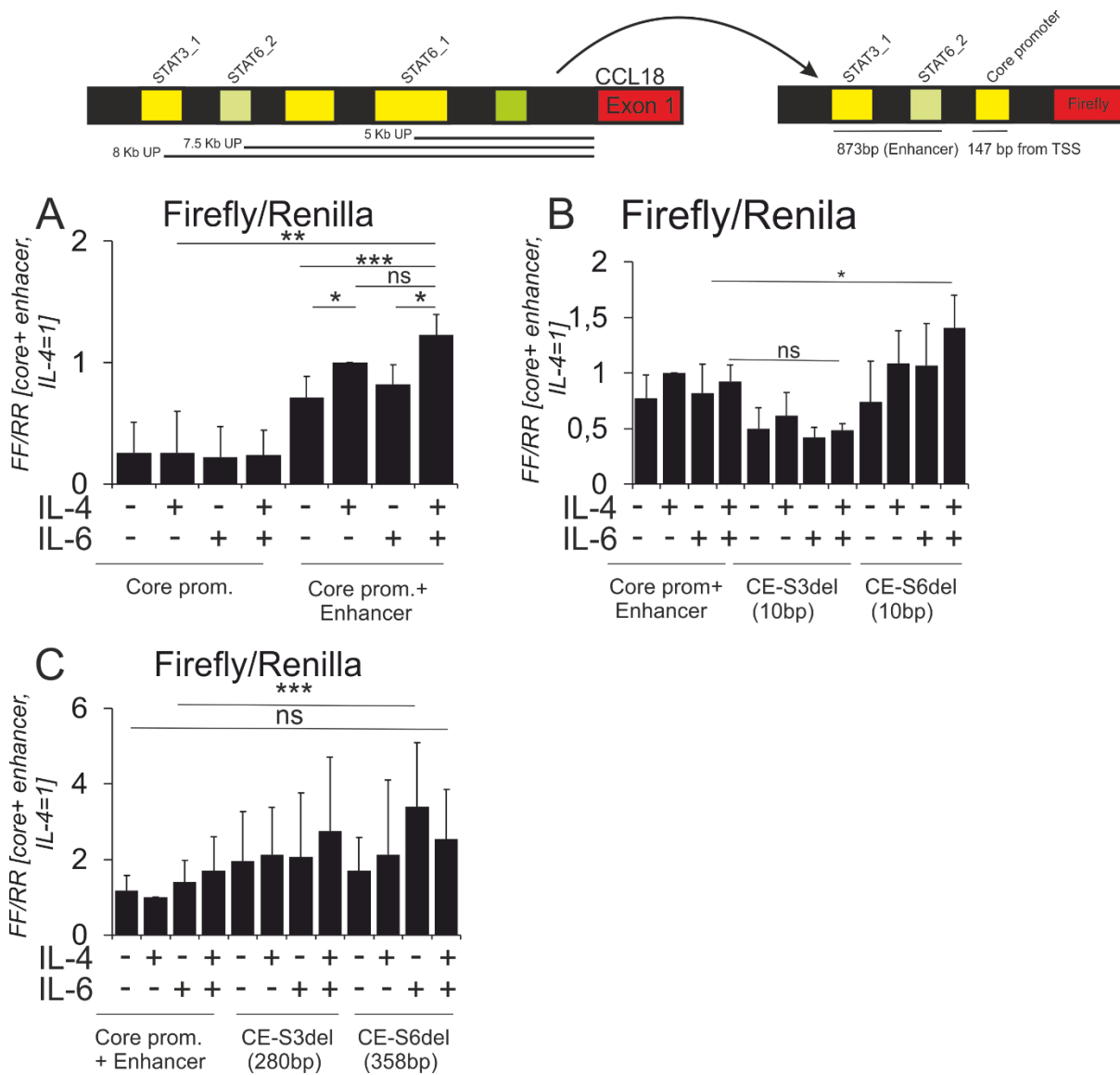
The epigenetic landscape modulates transcription factor binding<sup>63, 64</sup>, and previous studies<sup>40 38</sup> highlighted the role of histone acetylation in transcription factor recruitment to chromatin in cytokine-treated macrophages. Therefore, we investigated changes of histone acetylation in the regulatory regions of co-induced genes after single and combined cytokine treatments. Analyzing levels of Lys9-acetylated histone H3 at STAT-binding sites of co-induced genes, we found increased H3 Lys9 acetylation upon co-treatment as compared to single treatments (Fig. 19).



**Figure 19: hMDMs were treated for 6h with IL-4 and IL-6 alone or in combination for H3K9ac ChIP for indicated genes at STAT3 and STAT6 binding sites. Data are presented as mean±SD. \*, p<0.05, \*\*, p<0.01, \*\*\*, p<0.005.**

We further investigated if STAT3 and STAT6 binding at the enhancer sequence in CCL18 gene alone promotes enhanced gene expression. Therefore, we cloned the CCL18 core promoter (-147bp from TSS) with/without the enhancer binding sites in pGL3-basic luciferase reporter vector and measured firefly luciferase activity

normalized to activity of co-transfected renilla luciferase (SV40-pRL) as internal control.



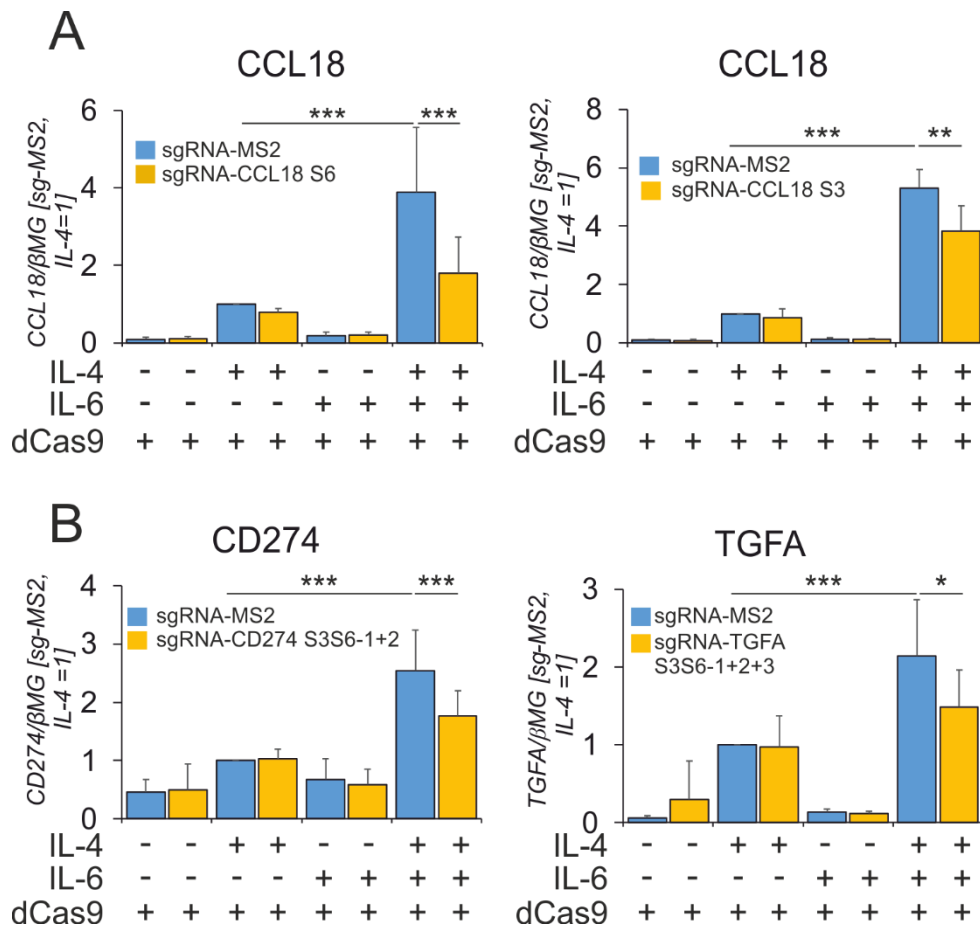
**Figure 20: Quantification of firefly and renilla expression for cloned CCL18 enhancer sites with respective deletions in hMDMs.** Ratios of firefly to renilla luciferase activities in hMDMs transfected with (A) CCL18 core promoter and enhancer cloned in pGL3 basic luciferase reporter (B) same construct compared with constructs carrying 10bp deletions in STAT3/STAT6 binding sites or (C) constructs carrying deletions of 280bp in STAT3 and 358bp in STAT6 binding sites after 24hrs stimulation with IL-4 or/and IL-6.



The firefly/renilla expression of enhancer binding sites (853bp long) along with CCL18 core promoter (20bp) was significantly increased relative to core promoter alone, implying that the enhancer sequences contribute to CCL18 gene expression. However, there was no increase in IL-4 vs IL-4/IL-6 stimulated condition (Fig. 20A). We speculate this is most likely due to failure of histone acetylation as observed in Fig. 19, since a cloned vector lacks the epigenetic machinery and represents the cloned DNA in a linear rather than a chromosome looping state (as occurring *in vivo*). We furthermore deleted either 10bp Fig. 20(B) or 200-400bp regions around STAT3 and STAT6 binding sites Fig. 20(C) using infusion-based plasmid deletions (Materials and methods for more detail), and unexpectedly there was no significant decrease in firefly activity. We assert the reason to failure of chromosome remodeling or binding of other transcription factors that might regulate the luciferase expression.

To validate that the STAT3/6 binding sites indeed regulate target gene expression, we used CRISPR interference (CRISPRi)<sup>52, 53</sup>. We prevented the binding of STAT3/STAT6 to their cognate sites at the CCL18 GRR by transfecting the cells with dCas9-KRAB (catalytically inactive Cas9 fused with KRAB repressor domain) and sgRNA plasmids targeting STAT3 and STAT6 binding sites (lying 500bp apart) individually.

As seen in Fig. 21A, in dCas9-KRAB and empty sgRNA vector (sg-RNA-MS2)-transfected cells IL-4 and IL-6 synergistically induced CCL18 expression. This effect was attenuated by blocking either the STAT6 or STAT3 binding sites individually.

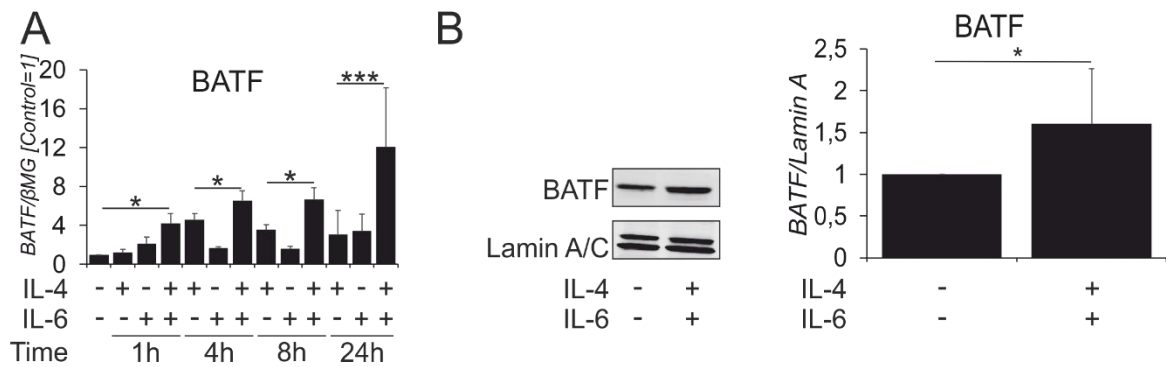


**Figure 21: mRNA expression for target genes inhibited by blocking STAT3/STAT6 binding sites using CRISPRi.** mRNA expression for (A) CCL18 after blocking of STAT6- (left panel) and STAT3- (right panel) binding sites in CCL18 GRR ( $n=9$ ) and (B) CD274 (left) and TGFA (right) expression after blocking two STAT3/STAT6 and three STAT3/STAT6 co-binding sites ( $n\geq 5-6$ ) using CRISPRi-KRAB for 24h followed by stimulation with IL-4 and IL-6 for 24h. Data are presented as mean $\pm$ SD. \*,  $p<0.05$ , \*\*,  $p<0.01$ , \*\*\*,  $p<0.005$ . mRNA expression is normalized to housekeeping gene  $\beta$ 2-microglobulin ( $\beta$ MG). sg-RNA-MS2, sg-RNA-S3 or sg-RNA-S6 denote empty non-targeting control, sgRNA targeting STAT3 or STAT6 binding sites in CCL18 GRR. sgRNA S3S6-1+2 or S3S6-1+2+3 denote individual sgRNAs used to target different STAT3 or STAT6 co-binding (2-10bp apart) sites in the CD274 or TGFA GRRs. dCas9 denotes dead-Cas9 fused to KRAB repressor domain vector.

We further tested our hypothesis for STAT3/STAT6 binding sites in GRRs of CD274 and TGFA. However, blocking STAT3 and STAT6 co-binding sites for the respective genes individually did not result in reduction of synergized target gene expression in dual stimulation (data not shown). Therefore, we blocked the binding sites in combinations i.e. 2 STAT3/-6 binding sites for CD274 (9 and 0.1Kb downstream of TSS, Fig. 21B, left) and 3 STAT3/-6 binding sites for TGFA (29.3Kb, 37.5Kb and 74.5Kb downstream of TSS, Fig.21B right). Collectively, these data suggest that binding of STAT3 and STAT6 in proximity to each other is required to mediate the synergism of IL-4 and IL-6 in inducing CCL18, CD274 and TGFA mRNA expression.

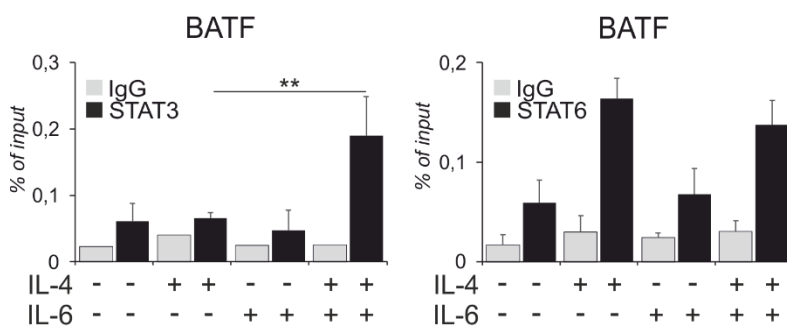
### **5.3. BATF cooperates with STAT3 and STAT6 to synergistically induce a subset of IL-4 target genes**

We questioned whether co-treatment with IL-4 and IL-6 also induced transcription factors, which may cooperate with STAT3/STAT6, resulting in the increased expression of co-induced genes. Inspection of the IL-4/IL-6 co-stimulation transcriptome revealed increased expression of basic leucine zipper ATF-like transcription factor (BATF) upon IL-4/IL-6 co-treatment as compared to single treatments. To explore the role of BATF in more detail, we time-dependently tracked the changes of BATF mRNA (Fig. 22A) expression. BATF mRNA expression increased as early as 1h upon co-stimulation. Accordingly, we observed increased levels of BATF protein in nuclear fractions of IL-4/IL-6 co-stimulated within 1hr (Fig. 22B).



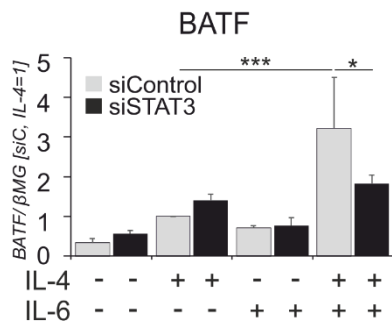
**Figure 22: BATF gene and protein expression levels in hMDMs.** (A) Time course of BATF mRNA expression after treatments with IL-4 and IL-6 alone or in combination,  $n=5$  (B) BATF protein in nuclear extracts of human macrophages treated with IL-4 and IL-6 for 1h.,  $n=11$ ,  $*p<.05$ .

We then investigated STAT3 and STAT6 binding to the BATF GRR using ChIP in cells stimulated with IL-4/IL-6 for 1h (Fig. 23). STAT3 and STAT6 binding sites were 1.2Kb downstream of the TSS and were only 5bp apart. We found no significant increase in STAT6 binding between IL-4 and IL-4/IL-6 stimulations. However, we found an increased STAT3 binding upon IL-4/IL-6 co-stimulation as compared to single cytokine treatments.



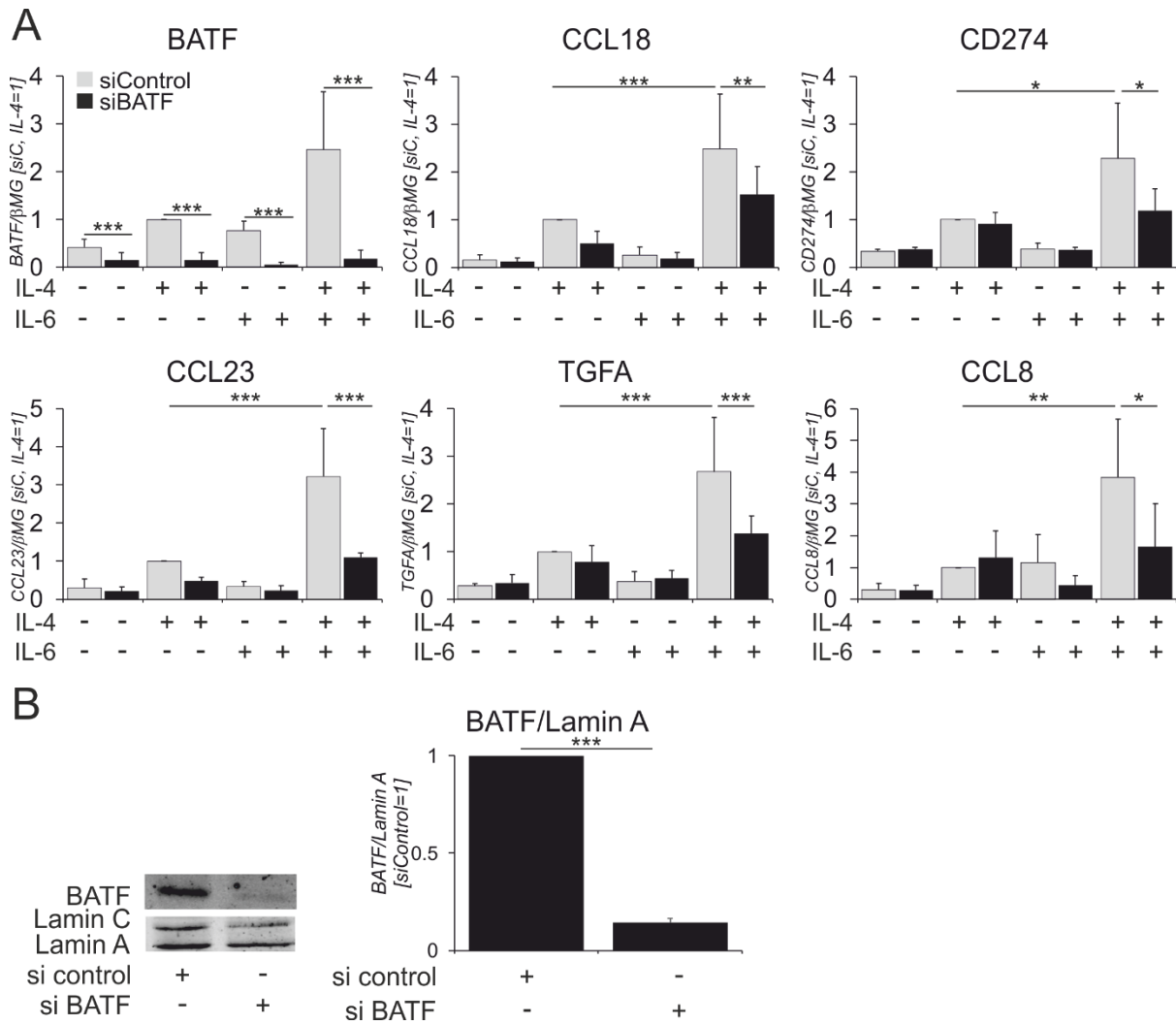
**Figure 23: ChIP for STAT3 and STAT6 in hMDMs.** STAT3 and STAT6 binding in the GRRs of BATF 1h after cytokine treatments. Data are presented as mean±SD. \*,  $p<0.05$ , \*\*,  $p<0.01$ , \*\*\*,  $p<0.005$

STAT3 silencing abrogated the increase of BATF expression after co-stimulation, indicating that STAT3 along with STAT6 regulates BATF expression (Fig. 24).



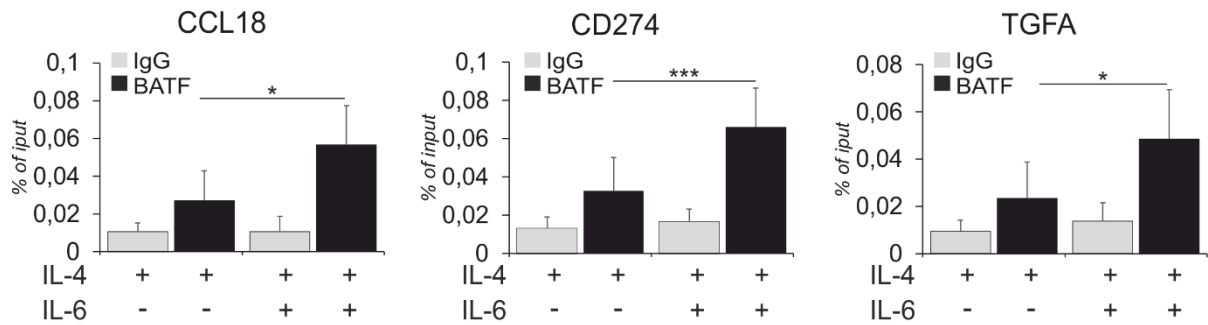
**Figure 24: BATF mRNA expression in macrophages transfected with STAT3 siRNA 72h prior to 24h cytokine treatments.** Data are presented as mean±SD. \*,  $p < 0.05$ , \*\*,  $p < 0.01$ , \*\*\*,  $p < 0.005$

To further investigate the impact of BATF on IL-4/IL-6-dependent gene expression we performed BATF silencing. Indeed, the mRNA expression of IL-4/IL-6 target genes (CCL18, CD274, TGFA, CCL8 and CCL23) was inhibited upon BATF knockdown (Fig. 25 A-B), indicating a possible role of BATF in cooperating with STAT3 and STAT6 to regulate co-induced target genes.



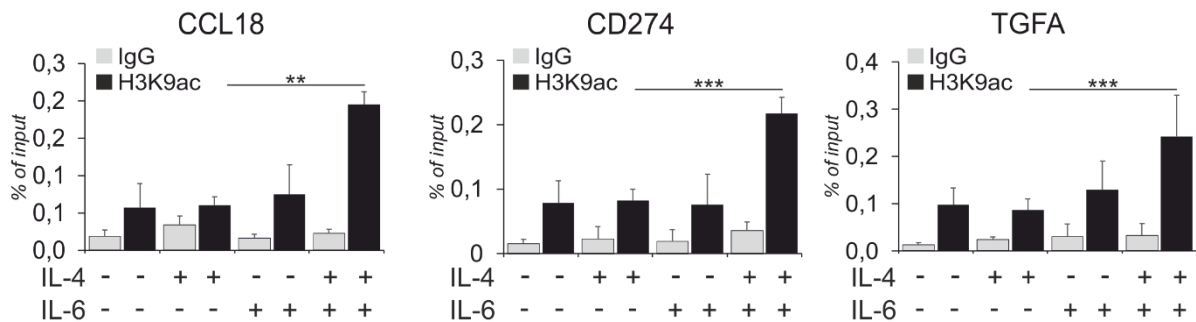
**Figure 25: Expression levels after silencing BATF with siRNAs.** (A) mRNA expression of indicated genes in macrophages transfected with BATF siRNA 24h prior to 24h cytokine treatments. (B) Western blot analysis of BATF levels showing BATF siRNA knockdown efficiency for BATF. Error bars indicate (Mean $\pm$ SD). (n=4, \*\*\*, p<0.005).

Using BATF ChIP-seq data<sup>65, 66</sup> and JASPAR software we identified putative BATF binding sites in CCL18, TGFA and CD274 GRRs, 10, 29.5 and 37Kb downstream of respective TSS. Using ChIP, we further explored whether BATF binds to these elements. Fig. 26 shows that BATF binding to target gene GRRs increased in IL-4/IL-6 co-treated cells as compared to cells treated with IL-4.



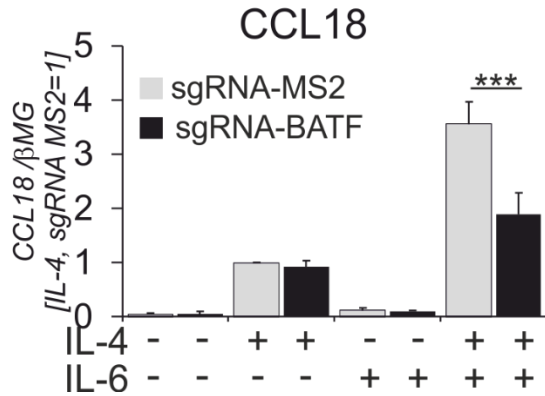
**Figure 26: ChIP analysis of BATF binding at GRRs of indicated genes in macrophages treated for 6h with IL-4 and IL-6 alone and in combination. Data are presented as mean±SD. \*, p<0.05, \*\*, p<0.01, \*\*\*, p<0.005**

We further analyzed the effects of histone acetylation at BATF binding sites (Fig. 27) and observed an increase of H3K9 acetylation upon co-stimulation, indicating increased chromatin accessibility at the BATF binding regions.



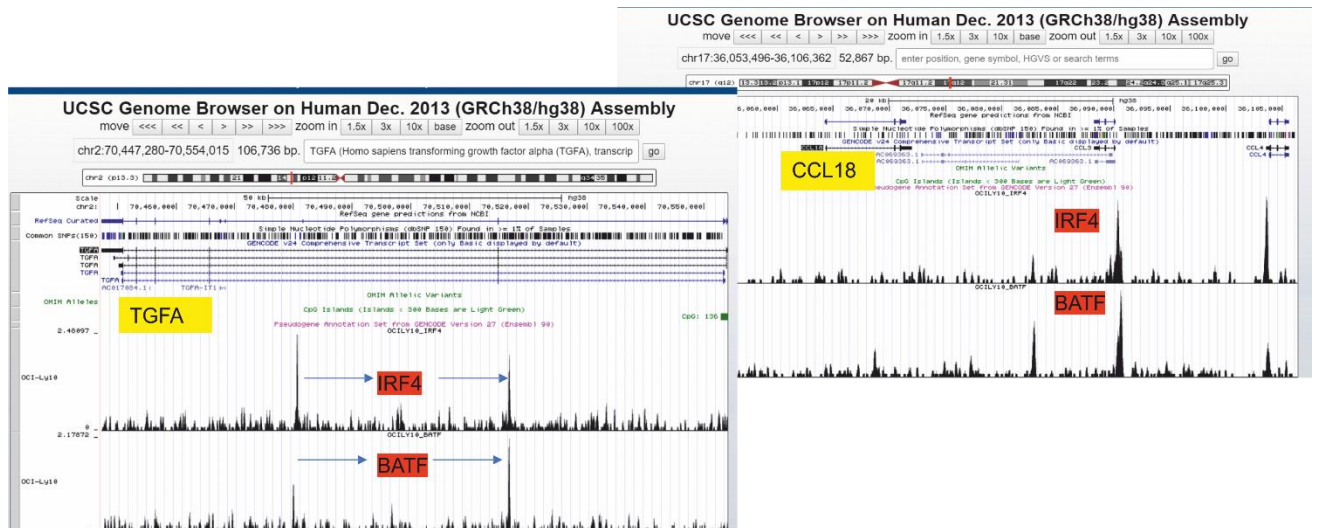
**Figure 27: ChIP analysis of H3K9ac at GRRs of indicated genes in macrophages treated for 6h with IL-4 and IL-6 alone and in combination. Data are presented as mean±SD. \*, p<0.05, \*\*, p<0.01, \*\*\*, p<0.005**

Blocking BATF binding sites in CCL18 GRR using CRISPRi, we detected decreased co-induction of CCL18 mRNA in cells transfected with sgRNA-BATF compared to cells transfected with empty sgRNA-vector, confirming that BATF binding functionally regulates STAT3 and STAT6 synergism (Fig. 28).



**Figure 28: CCL18 mRNA expression in hMDMs transfected with CRISPRi against the BATF binding sites and treated for 24h with IL-4 and IL-6 alone and in combination. Data are presented as mean±SD. \*, p<0.05, \*\*, p<0.01, \*\*\*, p<0.005.**

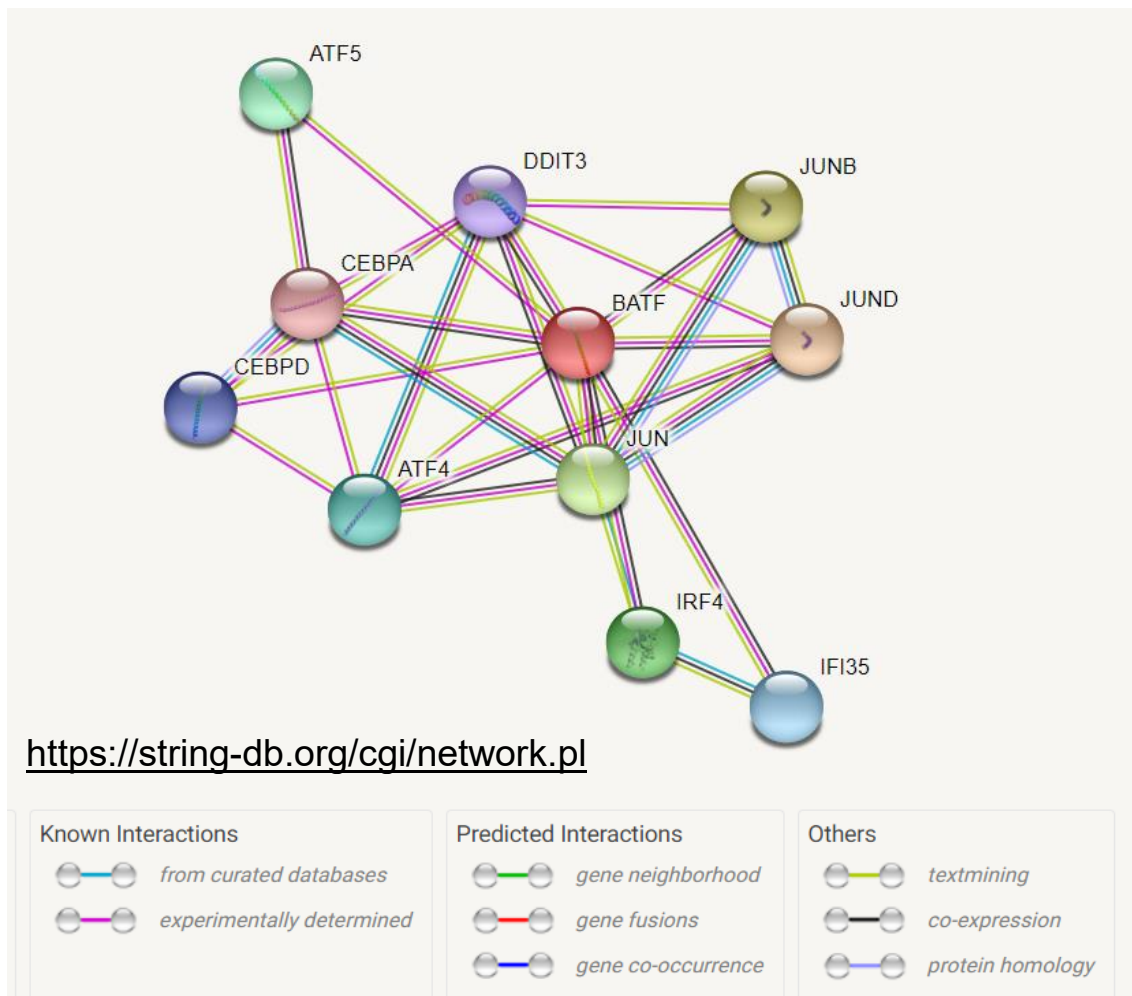
Investigating interaction partners of BATF, it was previously shown that BATF co-binds with IRF4 in a complex (Fig. 29) as represented in ChIP seq data showing co-localization of IRF4 and BATF binding peaks in CCL18 and TGFA GRR.



**Figure 29: Binding sites for BATF and IRF4. BATF (GSM2574766, GSM1370272, GSM1370277) and IRF4 (GSM803390, GSM1370274, GSM1370279) ChIP-seq data in B lymphocyte from blood (GM12878) show overlapping binding to TGFA and CCL18 GRRs.**

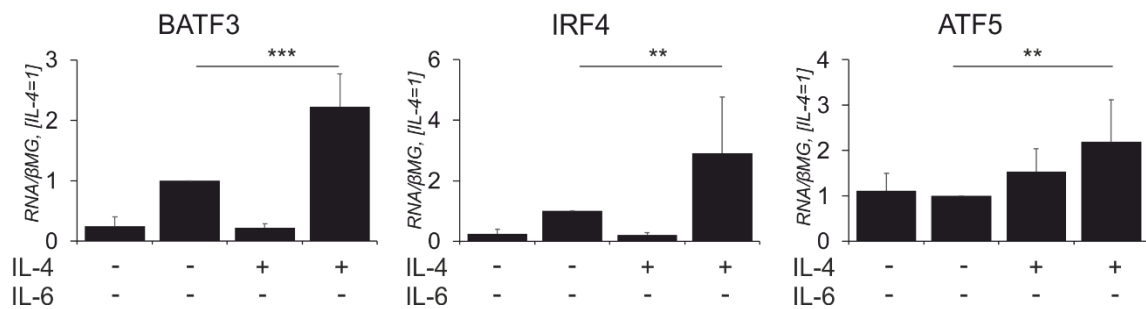


BATF and IRF4 co-binding was detected either within the gene (TGFA) or downstream (DS) of the TSS (30Kb DS CCL18) in the dataset<sup>63</sup>. It was also reported that the BATF and IRF act in a compensatory fashion to bind after IL-12 stimulation in conventional dendritic cells (cDCs). Moreover, BATF can replace the BATF3 functions as evidenced *in vitro* and *in vivo* by overexpressing BATF in in BATF3<sup>-/-</sup> mice, restoring the CD103<sup>+</sup> Sirp- $\alpha^-$  cDC development in BATF3<sup>-/-</sup> bone marrow cultures<sup>67</sup>. We next summarized BATF interaction partners via *in silico* analysis using functional protein association networks (*STRING pathway*) (Fig. 30), and found among them ATF5 gene, which was uniquely present in our list of genes synergistically induced by IL-4/IL-6.



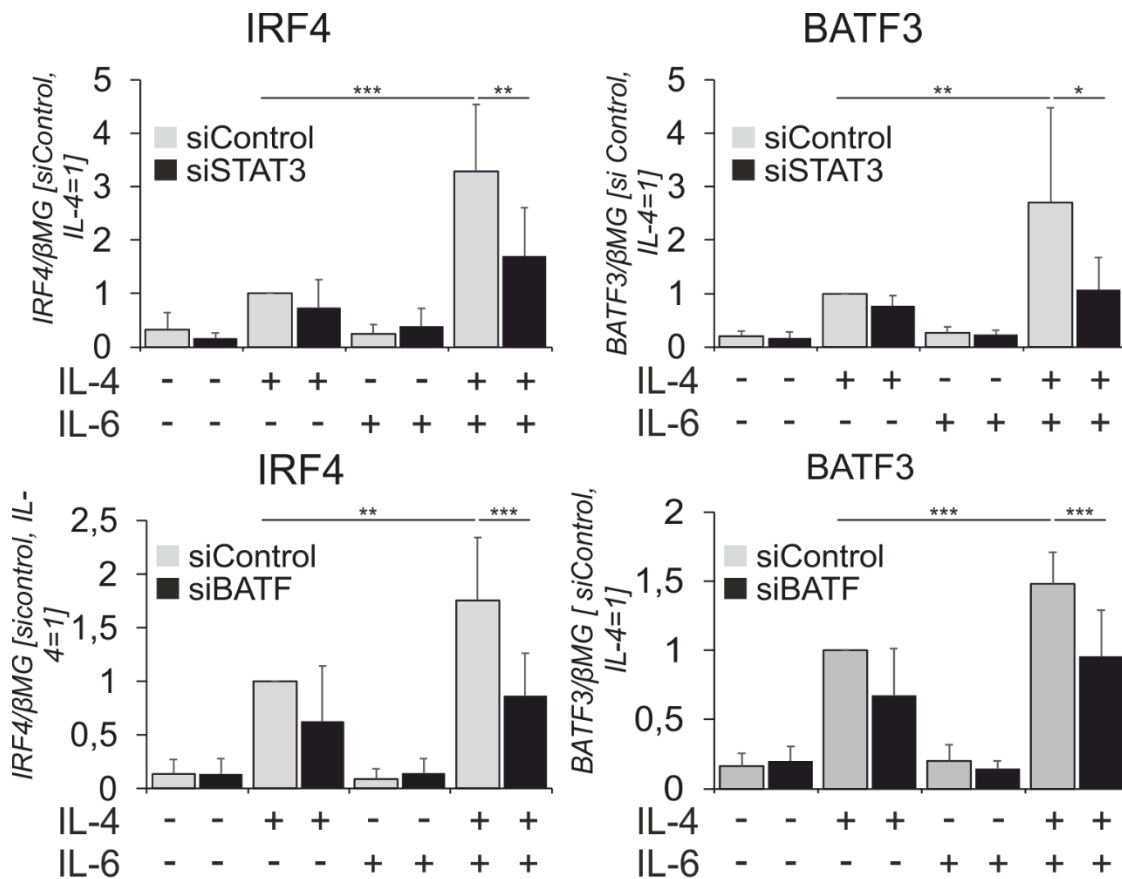
**Figure 30: STRING network predicting BATF interaction partners**

Interestingly, we found that dual cytokine stimulation significantly increased mRNA expression of BATF3, IRF4 and ATF5 compared to IL-4 stimulation alone (Fig. 31).



**Figure 31: mRNA expression of BATF3, IRF4 and ATF5 measured 24hrs after cytokine treatments.**

We then questioned if the synergistic induction of BATF3 and IRF4 by dual cytokine stimulation was STAT3- or BATF-dependent. Indeed, after silencing STAT3 and BATF, we found similar and significant reduction of BATF3 and IRF4 mRNA expression in IL-4/IL-6-treated cells as compared to control siRNA-transfected cells (Fig. 32).

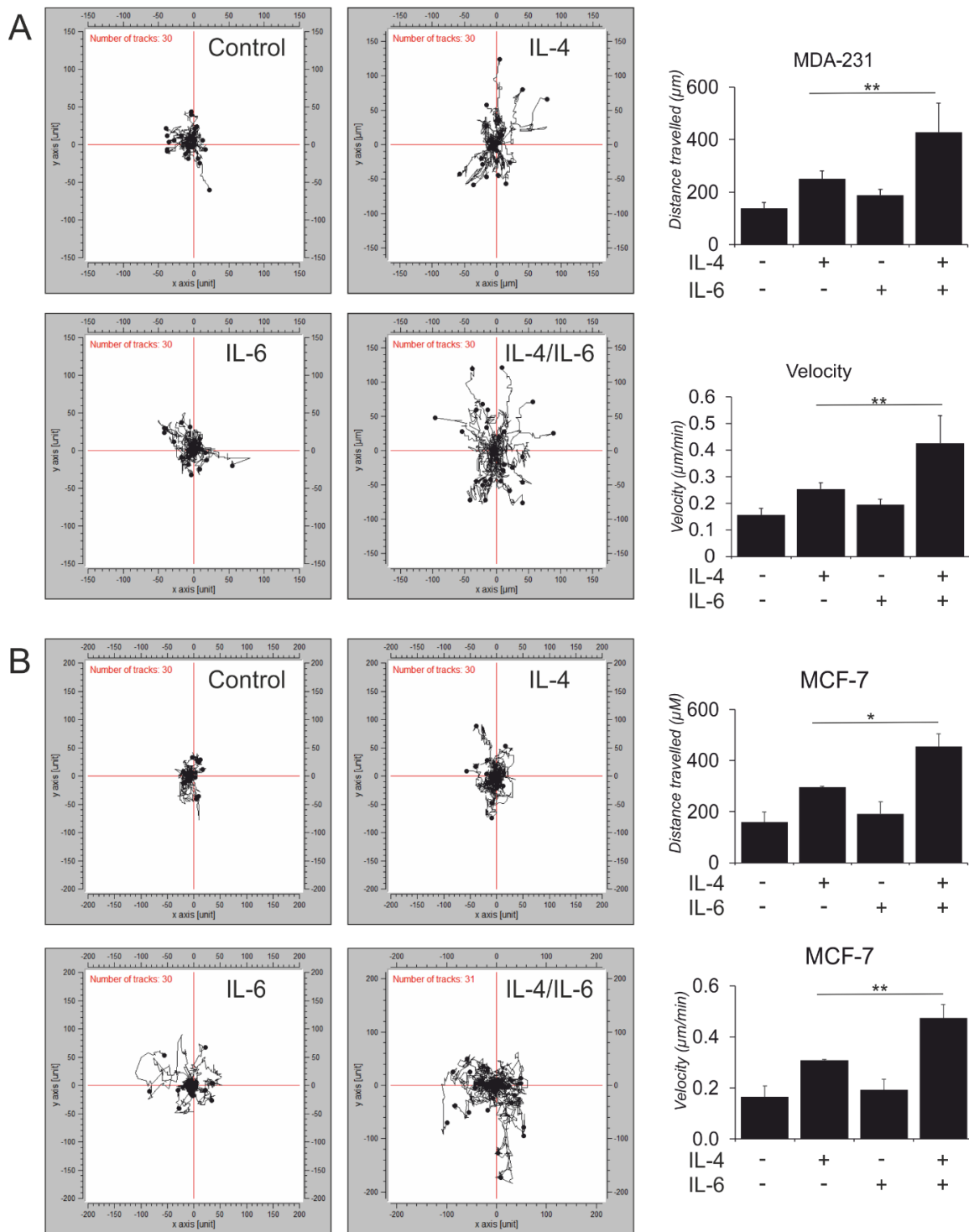


**Figure 32: STAT3 and BATF knockdown suppresses synergistically induced BATF3 and IRF4 levels:** mRNA expression of indicated genes in macrophages transfected with STAT3 siRNA 72hr (upper) or BATF siRNA (lower) 24h prior to 24h cytokine treatments

#### 5.4. Functional analysis of IL-4/IL-6 co-stimulated macrophages

We explored functional implications of IL-4/IL-6 synergism for macrophage interactions with tumor and immune cells. As CCL18 was ascribed an important role in stimulating breast tumor cell migratory and pro-invasive phenotypes, we tested whether the secretome of cytokine-treated hMDMs promoted breast cancer cell migration. We tracked the migration of MCF-7 (ER<sup>+</sup>, PR<sup>+</sup> Her2<sup>-</sup>, luminal-like invasive ductal carcinoma (IDC)) and aggressive MDA-MB 231 (ER<sup>-</sup>, PR<sup>-</sup> Her2<sup>-</sup>, basal like metastatic triple negative breast carcinoma (TNBC)) cells stimulated by conditioned

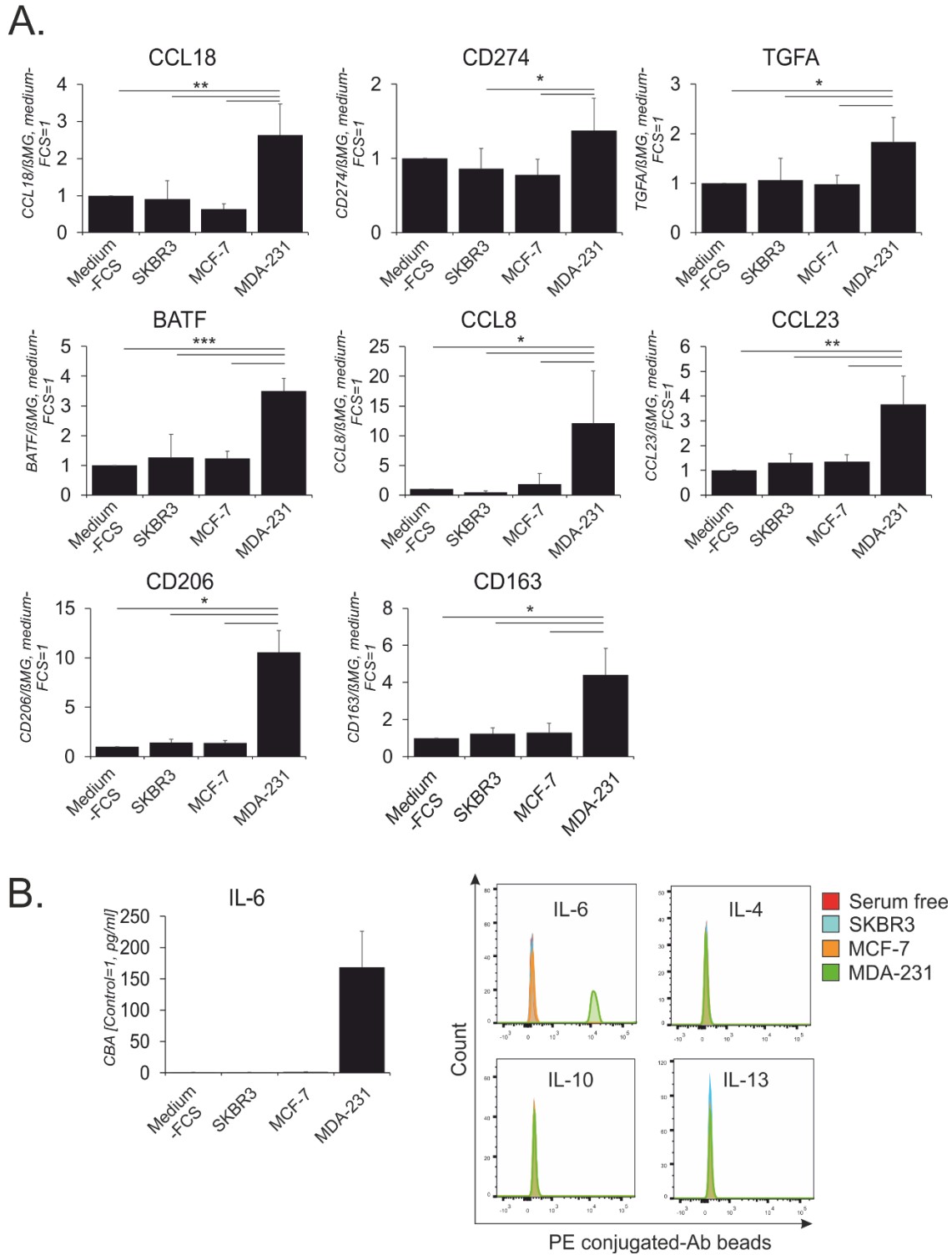
medium from hMDMs treated with IL-4 and IL-6 alone or in combination in 3D chemotaxis assays using Cell Observer technology. The motility of both MCF-7 (Fig. 33A) and MDA-MB 231 cells (Fig. 33B) increased upon stimulation with conditioned media from IL-4/IL-6-stimulated hMDMs as compared to cells incubated with conditioned media from single cytokine-treated cells. The extent of migratory response was highly similar in both MCF-7 and MDA-MB 231 cells treated with supernatants of stimulated hMDMs. Breast cancer cells treated with supernatants from dually stimulated hMDMs migrated twice the distance with a 2-fold higher velocity compared to cells exposed to supernatants of hMDMs stimulated with IL-4 alone.



**Figure 33: Activity assays investigating the downstream effects of IL-4/IL-6 co stimulation.** Representative tracks from 3D cell chemotaxis assays with (A) MDA-MB 231 and (B) MCF-7 cells incubated for 16h with conditioned media from polarized

*macrophages and quantified for accumulated distance travelled and velocity. (n≥3, 90 cells) in total were tracked*

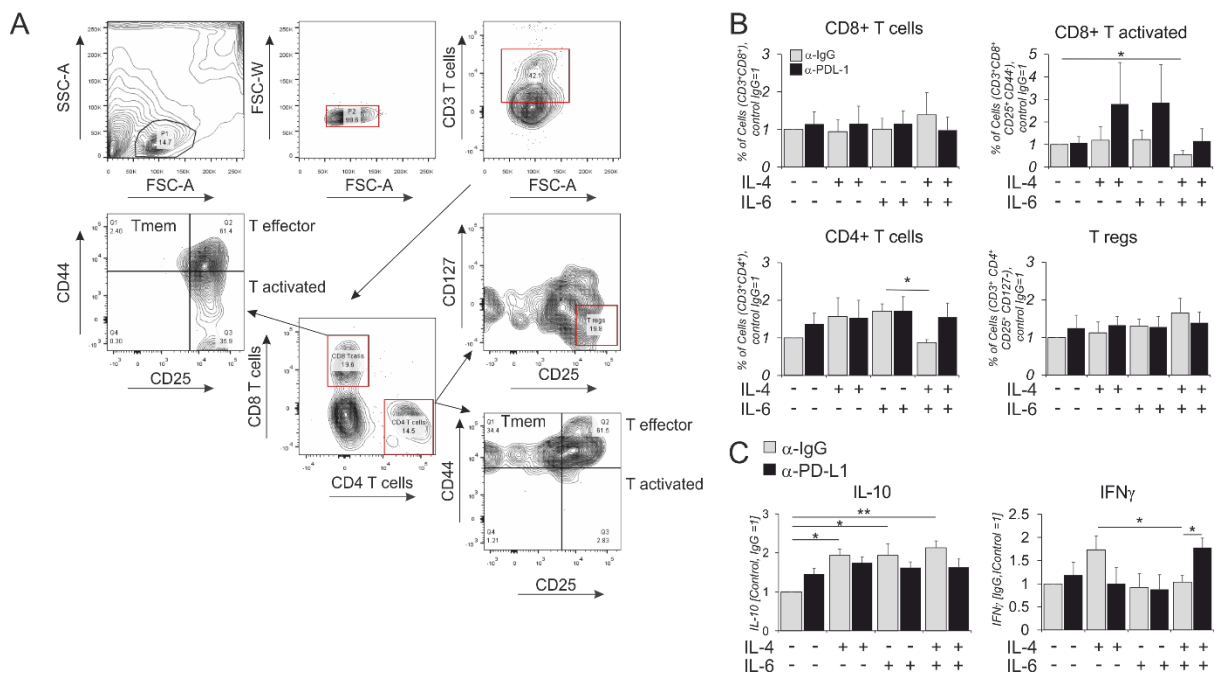
We found no difference in breast cancer cell proliferation for either MCF-7 or MDA-MB 231 cells after 72h of tracking (data not shown). We next investigated if viable cell conditioned media (VCM) from different mammary carcinoma cell lines (SKBR3, MCF-7 and MDA-MB 231) could induce a similar phenotype that we observe in hMDMs upon IL-4/IL-6 stimulations. Therefore, we incubated hMDMs for 24h with serum-free VCM generated from breast cancer cell lines. Surprisingly, we found that most of our target genes as well as CD206 and CD163 were induced only by VCM generated from MDA-MB 231 cells (Fig. 34A). Testing for levels of IL-4, IL-10, IL-13 or IL-6 in VCM we could detect only IL-6 released by MDA-MB 231 cells (Fig. 34B), suggesting that other factors released by the tumor cells, such as lactate, lipids or GM-CSF may substitute for IL-4/IL-13.



**Figure 34: Expression levels after incubation of hMDMs with supernatants of breast cancer cell lines. (A) mRNA expression of IL-4/IL-6 target genes in hMDMs after 24h incubation with supernatant from indicated breast cancer cell lines. (B) IL-6**

levels analyzed by CBA in tumor cell supernatants. Error bars indicate Mean $\pm$ SD (\*,  $p<0.05$ , \*\*,  $p<0.01$ , \*\*\*,  $p<0.005$ ).

Next, we validated the functionality of CD274 upregulation by performing a T cell activation assay with CD3/CD2/CD28 bead-activated T cells co-cultured for 3d in the presence of an isotype control (IgG) or an anti-CD274/PD-L1 antibody (Atezolizumab) with autologous hMDMs polarized with IL-4 and IL-6 individually or in combination for 48h prior to co-culture. A representative FACS panel (Fig. 35A) shows the gating scheme for analyzing T cell subsets distinguishing following subtypes: total T cells (CD3<sup>+</sup>), CD3<sup>+</sup>CD4<sup>+</sup> T helper cells, CD3<sup>+</sup>CD8<sup>+</sup> cytotoxic T cells, CD3<sup>+</sup>CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>-</sup> regulatory T cells (T<sub>reg</sub>). We also quantified CD3<sup>+</sup>CD44<sup>+</sup>CD25<sup>-</sup> memory T cells (T<sub>mem</sub>)<sup>68</sup>, CD3<sup>+</sup>CD25<sup>+</sup>CD44<sup>+</sup> effector T cells (T<sub>eff</sub>), CD25<sup>+</sup>CD44<sup>-</sup> activated T cells (T<sub>act.</sub>) for both CD4<sup>+</sup> and CD8<sup>+</sup> T cell subtypes.



**Figure 35: Quantification of T cell surface marker expression and cytokines released upon hMDMs-T cell co-culture.** (A-C) hMDMs were stimulated with indicated cytokines for 48h followed by co-culture with autologous CD3/2/28 bead-



*activated T cells for the next 72h in the presence of isotype control IgG or anti-PD-L1 antibody. (n≥6-9) (A) FACS panel indicating different T cell markers profiled after co-culture along with respective fluorescent minus one (FMO) controls. (B) Percentages of T cell subtypes after the co-culture. (C) IL-10 and IFN $\gamma$  secretion by total CD3<sup>+</sup>T cells upon co-culture. Data are presented as mean $\pm$ SD. \*, p<0.05, \*\*, p<0.01*

We found no major changes in relative cell abundance between the different treatment groups. There was a minor inhibition of percentages of CD8<sup>+</sup> T<sub>act</sub> cells upon co-culture with IL-4/IL-6 stimulated hMDMs in the presence of isotype control antibody (Fig. 37B, upper panel). We also found 50% inhibition of total CD4<sup>+</sup> T cells after co-culture with dually stimulated hMDMs as compared with hMDMs exposed to single IL-6 treatment (Fig. 35B, lower panel). The decreased percentages of CD8<sup>+</sup> T<sub>act</sub> cells and CD4<sup>+</sup> T cells were partially rescued in the presence of anti-PD-L1 antibody. We observed a 1.5-fold yet non-significant increase in the percentage of T<sub>reg</sub> after co-culture with dually stimulated vs. unstimulated hMDMs. We detected no significant changes in the percentages of CD4<sup>+</sup> or CD8<sup>+</sup> T<sub>eff</sub> or T<sub>mem</sub> cells (data not shown).

Although only minor changes in T cell surface marker expression were noticed, we observed altered cytokine production by T cells in co-cultures. Increased IL-10 levels, which may be produced by regulatory T cells, were detected upon treating macrophages with any of the cytokine combinations. However, this effect was PD-L1 independent (Fig. 35C, left panel). While levels of the TH2 cytokine IL-4 and the TH17 cytokine IL-17 were unaltered (data not shown), increased levels of IFN $\gamma$  were observed after co-culture with IL-4 polarized hMDMs, an effect that was inhibited in dually stimulated hMDMs (Fig. 35C, right panel). This observation could be explained by decreased numbers of CD8<sup>+</sup> T<sub>act</sub> cells or CD4<sup>+</sup> T cells, which might be TH1 polarized, in co-cultures with dually stimulated macrophages. Importantly, we

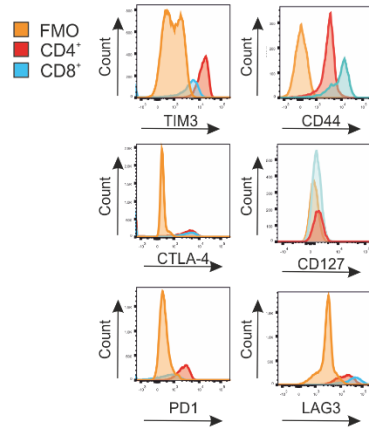
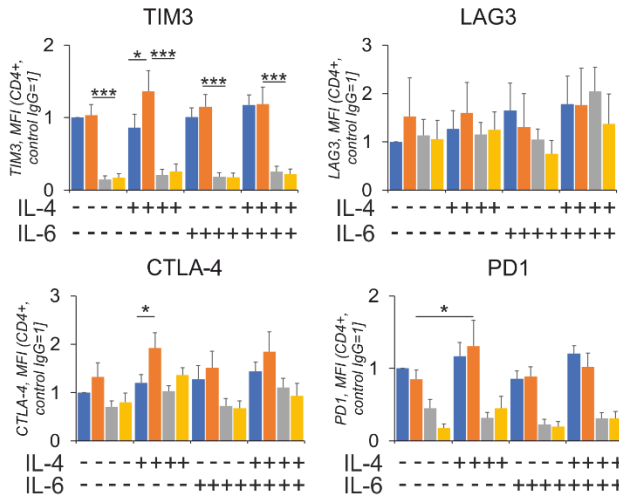
confirmed the role of increased CD274 in immunosuppression by dually stimulated hMDMs, since IFN $\gamma$  levels in this group were markedly increased upon anti-PD-L1 treatment.

We also analyzed the expression of inhibitory T cell markers TIM3, LAG3, PD1 or CTLA4 either for CD4<sup>+</sup> or CD8<sup>+</sup> T cells, which showed no discernable changes after co-culture (Fig. 36A). However, TIM3, CTLA-4 and PD-1 expression on CD4<sup>+</sup>T cells co-cultured with IL-4 polarized hMDMs increased in the presence of PD-L1 blocking antibody. This may occur as a compensatory mechanism to maintain increased expression of inhibitory receptors on CD4<sup>+</sup>T cell surface.

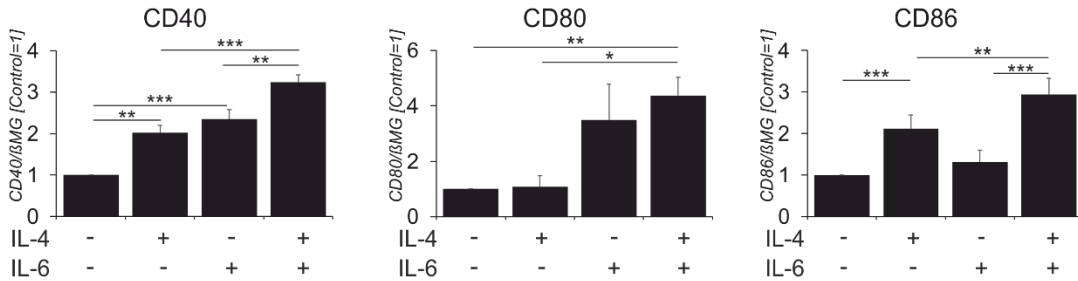
The observed and unexpected increase in IFN $\gamma$  expression after co-culture with IL-4 polarized hMDMs could be explained by increased expression of macrophage co-stimulatory receptors CD40, CD80 and CD86 (Fig. 36 B-D Table 32).

**A**

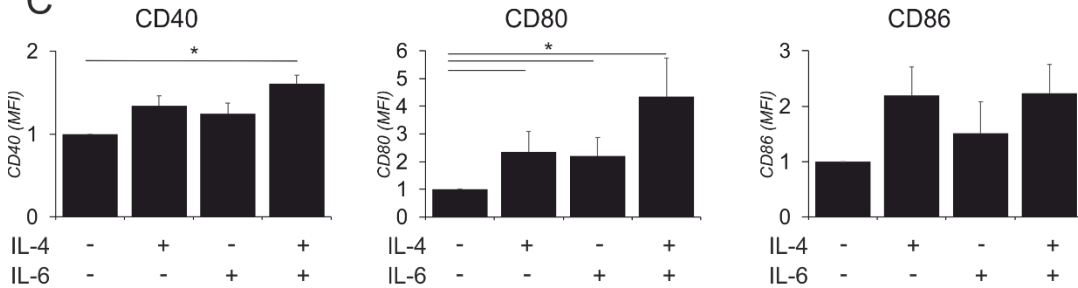
■  $\alpha$ -IgG, CD4<sup>+</sup>    ■  $\alpha$ -PD-L1, CD4<sup>+</sup>  
■  $\alpha$ -IgG, CD8<sup>+</sup>    ■  $\alpha$ -PD-L1, CD8<sup>+</sup>



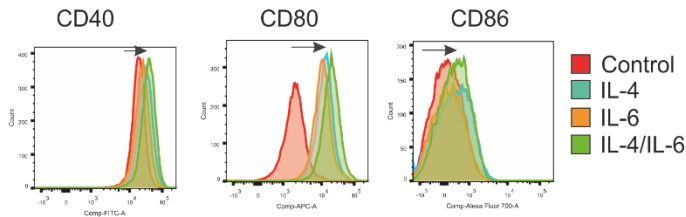
**B**

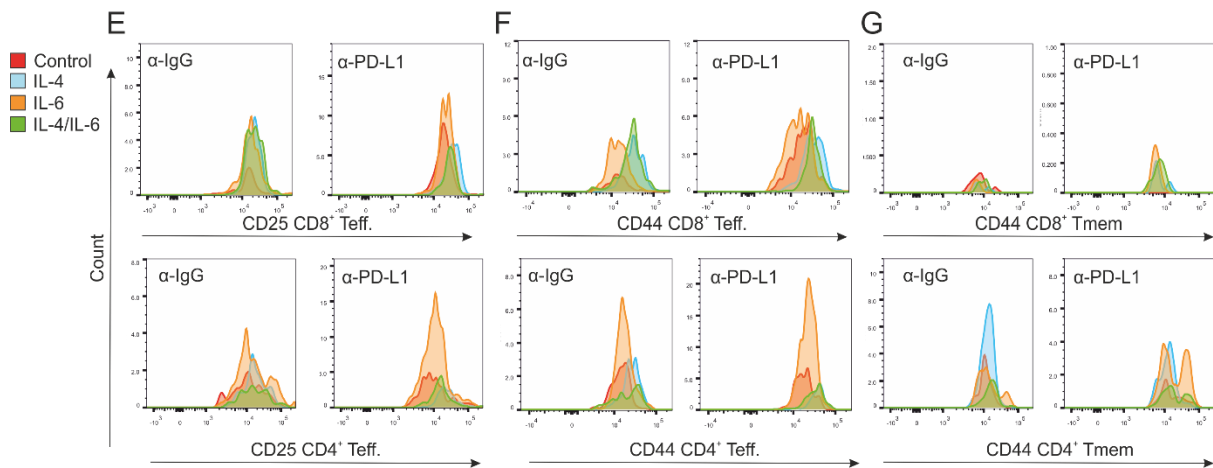


**C**



**D**



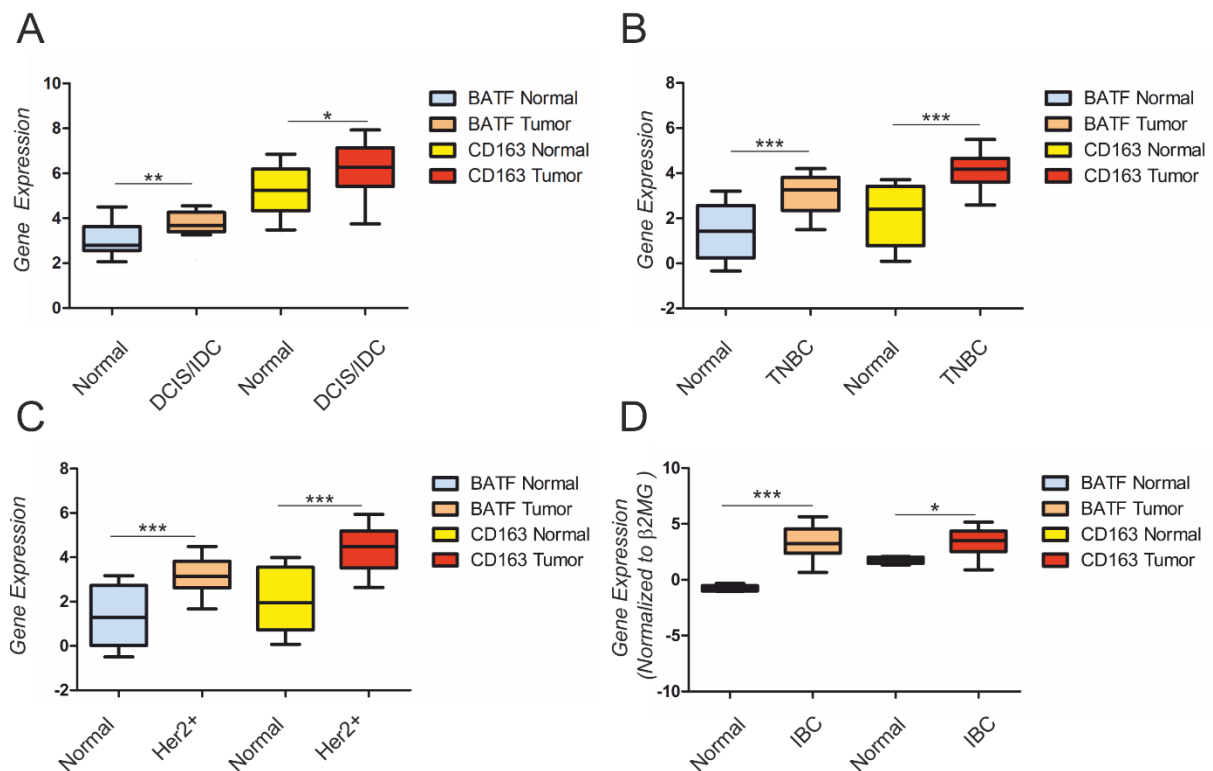


**Figure 36: Expression of genes quantified via FACS on T cells after co-culture with hMDMs.** (A) Cell surface expression of inhibitory receptors on T cell surface after co-culture with polarized hMDMs for CD4<sup>+</sup> or CD8<sup>+</sup> T cells expressed as Mean fluorescent Intensity (MFI) represented (left) and Fluorescent minus one (FMO) controls (right) (B) mRNA normalized to  $\beta$ 2-microglobulin and (C) cell surface expression for co-stimulatory CD40/80/86 markers on polarized hMDMs along with their respective (D) histograms. (E, F) Histograms showing surface expression for CD25<sup>+</sup>CD44<sup>+</sup> T effector cells ( $T_{eff}$ ) and (G) CD25<sup>-</sup>CD44<sup>+</sup> T memory ( $T_{mem}$ ) cells for CD4<sup>+</sup> and CD8<sup>+</sup> T cells. Error bars indicate Mean $\pm$ SD with *p* value calculated by One-way ANOVA analysis with matched pair and Bonferroni post multiple correction test, 95% confidence interval (\*, *p*<0.05, \*\*, *p*<0.01, \*\*\*, *p*<0.005).

Our experiments functionally validated that hMDMs co-treated with IL-4 and IL-6 released factors enhanced breast tumor cell motility. Furthermore, dual stimulated hMDMs augmented immunosuppressive molecules (PD-L1) inhibiting CD8<sup>+</sup> T cell activation, as well as total CD4<sup>+</sup> T cell percentages and likely IFN $\gamma$  production. Through these mechanisms, hMDMs stimulated with IL-4 and IL-6 in combination potentially act in a pro-tumorigenic manner.

## 5.5. BATF expression is elevated in primary breast tumor stroma

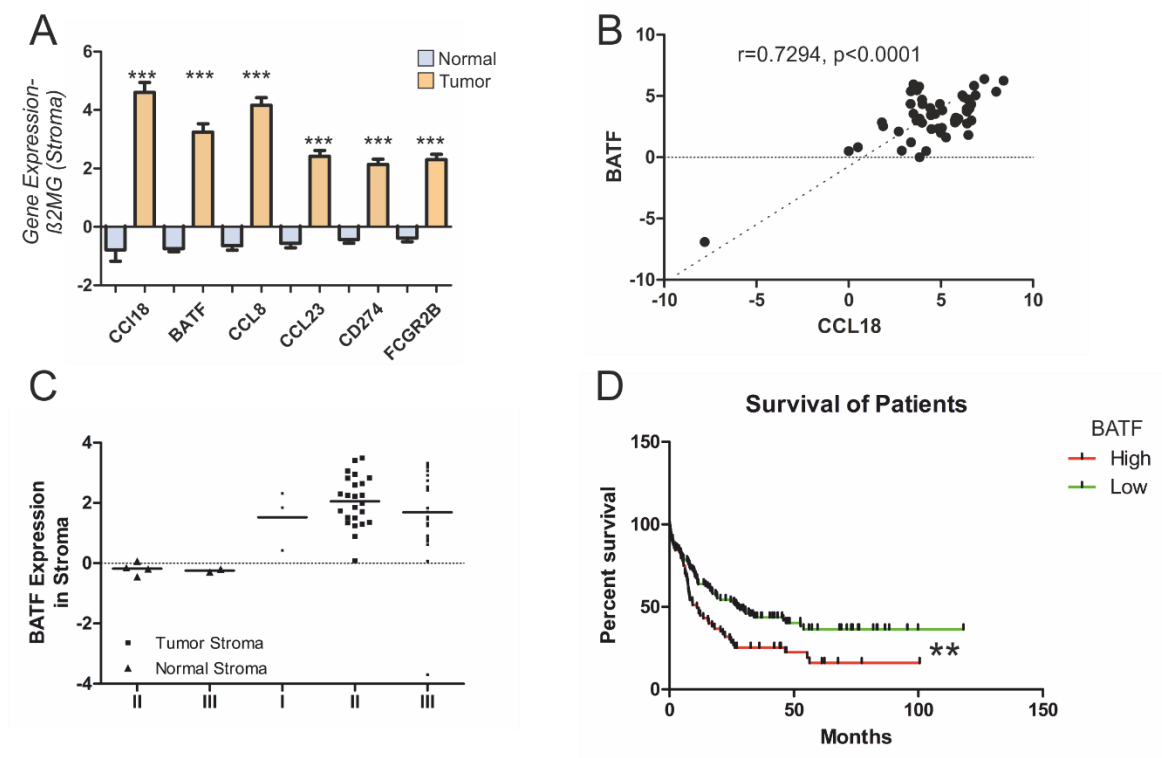
We investigated the relevance of our findings for human cancer by analyzing BATF mRNA expression levels in breast tumor stroma using GEO2R analysis. Exploring publicly available breast cancer datasets for tumor stroma BATF expression, we found significantly increased BATF expression in tumor stroma compared to normal tissue stroma in ductal carcinoma in situ (DCIS/IDC)<sup>48</sup> (Fig. 37A) or Triple negative breast carcinoma (TNBC) (Fig. 37B)<sup>49</sup> or Her2+ breast carcinoma (Fig. 37C)<sup>50</sup> or Invasive breast carcinoma (Fig. 37D)<sup>51</sup>.



**Figure 37: BATF expression in tumor stroma correlates with IL-4/IL-6 target genes.** Datasets from previously published studies by Ma et al. (A), Saleh et.al (B), Liu et.al (C), and Finak et al. (D-F) were analysed using GEO2R. (A-C) Box and whiskers plots with 10-90 % error bars for BATF, macrophage marker (CD163)

expression in normal tissue (A, n=14; B, n=12; C, n=14; D, n=6) versus tumor stroma (A, n=18; B, n=58; C, n= 39; D, n =53) in breast carcinoma samples.

BATF expression was induced along with synergistically induced IL-4/IL-6 target genes (CCL18, CCL8, CCL23, CD274, FCGR2B) in breast tumor versus normal tissue stroma (Fig. 38A). Analysis of sample-matched expression of BATF and CCL18 in tumor stroma revealed significant positive correlation (Fig. 38B). We further asses the BATF expression in different grades of breast tumor and normal stromas and observed increased expression with increase in tumor stage (Fig. 38C). Since, macrophages closely relate to myeloid cell origin cells, we speculated if BATF expression could also be correlated to poor patient survival in myeloid origin neoplasms (Fig.38D). We observed poor patient survival in patients expressing high levels of BATF. This data indicates that cells in tumor stroma express high levels of BATF that can potentially contribute to tumor progression, suggesting that BATF may be a potential cancer biomarker. candidate.



**Figure 38: Correlation of BATF with different tumor grades and cell type** (A) Gene expression for indicated genes in tumor versus normal stroma. Two tailed, 95% confidence, non-parametric t-test was used for statistical analysis (B) Pearson correlation analysis for BATF and CCL18 expression in tumor stroma shows a positive correlation ( $R=.7294$ ,  $p<.0001$ ,  $n= 53$ ). (C) BATF expression in breast and normal stroma in different tumor grades from Finak et.al. (D) Kaplan–Meier survival curve correlating poor prognosis for patient survival associated with high expression levels of BATF ( $n=173$ ). Data are presented as mean $\pm$  10-90% SEM. \*,  $p<0.05$ , \*\*,  $p<0.01$ . \*\*\*,  $p<0.005$ .

## 6. Discussion

TAMs are subjected to a variety of cytokines promoting their tumor-supportive phenotype. Here we carried out mechanistic and functional analyses to elucidate how two cytokines of the tumor microenvironment, IL-4 and IL-6, cooperate in altering the transcriptome of human macrophages. Comparing our data with a previously published analysis of IL-4/IL-6 co-stimulated transcriptome of murine macrophages<sup>37</sup> revealed an explicit non-redundancy in synergistic responses upon IL-4 and IL-6 stimulation in human versus mouse systems. Thus, whereas synergistic effects of IL-6 and IL-4 on gene expression in murine BMDMs were suggested to depend on the activation of the unfolded protein response (UPR)-mediating enzyme IRE-1 $\alpha$ <sup>37</sup>, we found no evidence involving UPR and its IRE-1 $\alpha$  branch in the human macrophage transcriptome response to IL-4/IL-6 co-stimulation. In contrast to mouse data, IL-4/IL-6 co-stimulation elicited no change of mRNA or protein expression for the majority of cathepsins in human macrophages. In fact, only 2 genes were synergistically induced both in murine and human data sets. However, similar classes of genes were induced, e.g. chemokines or C-type lectins. These discrepancies are reminiscent of previously acknowledged differences between human and murine macrophages regarding IL-4 stimulation<sup>69, 70</sup>.

We explored the mechanism of IL-6 mediated synergism and find neither increased differences in STAT3 or STAT6 nuclear translocation, nor the increase in IL-4 receptor expression as suggested earlier<sup>29</sup>. STAT3 silencing confirmed its central role in the synergistic effects of IL-4/IL-6 co-stimulation. Speculating that STAT6/STAT3 co-binding in the regulatory regions of target genes upon IL-4 and IL-6 co-stimulation drives increased gene expression<sup>29</sup>, we found and validated closely spaced STAT6/STAT3 binding sites in GRRs of co-induced genes. Furthermore, increased



H3K9 acetylation in these GRRs was detected upon co-stimulation, indicating enhanced chromatin accessibility for transcription factor binding<sup>71,72</sup>. Using CRISPRi, we validated functionality of STAT3/STAT6 binding sites for CCL18, CD274 and TGFA co-induction wherein the dCas9 fused KRAB repressor domain blocks the binding of transcription factors in 20bp regions. Individual or combined blocking of STAT6 binding sites in CCL18 GRR alleviates synergistic induction of CCL18 in IL-4/IL-6 polarized hMDMs. For CD274 and TGFA, where the STAT3 and STAT6 binding sites were only 2-10bp apart, we used a common sg-RNA for different co-binding sites. Unlike CCL18, blocking STAT3/STAT6 binding sites in GRR of CD274 and TGFA individually did not inhibit the synergistic gene induction, necessitating blocking 2 STAT3 and STAT6 co-binding sites for CD274 and 3 co-binding sites for TGFA in combination to observe reduced synergistic induction. This could be explained by multiple STAT3/STAT6 binding sites mediating induction of CD274/TGFA and compensation by other STAT6/STAT3 sites upon individual transcription factor blocking.

Investigating whether STAT6/STAT3 co-binding induced transcription factors that cooperated in transmitting synergistic effects of IL-4/IL-6 co-treatment, we identified BATF as one such factor. BATF is a pivotal transcription factor shown to control IL-4 production by T follicular helper cells<sup>73</sup>, to function as an early CD8<sup>+</sup> T cell differentiation checkpoint<sup>74</sup>, or to regulate IL-23-driven colitis by acting on Th17 cells<sup>75</sup>. However, the function and roles of BATF are largely unexplored in myeloid settings. Synergistic induction of BATF was STAT3-dependent as confirmed by STAT3 knockdown and STAT3 and STAT6 binding to the BATF GRR as revealed by ChIP experiments. Silencing BATF repressed synergistically induced IL-4/IL-6 target genes analogous to STAT3 knockdown. Furthermore, these genes harbored BATF binding sites showing increased BATF occupancy and H3K9 acetylation upon co-stimulation.

Using CRISPRi to block BATF binding in the CCL18 GRR we found decreased CCL18 induction, further supporting BATF involvement in target gene regulation. Therefore, we suggest that STAT3 and STAT6 binding induces BATF, which binds the GRR along with STAT3/STAT6 and thus cooperates in synergistic upregulation of IL-4/IL-6 co-induced genes.

IL-4 and IL-6 co-induced BATF transcription factor that synergizes with STAT3 and STAT6, could be compensated by BATF3 or IRF4 binding in *in vivo* conditions. We base this hypothesis on our observation that BATF3 and IRF4 are not only synergistically induced by dual cytokine stimulation, but also regulated by STAT3 and BATF as evidenced by siRNA knockdowns. Also, BATF and IRF4 were previously shown to co-bind in a complex.

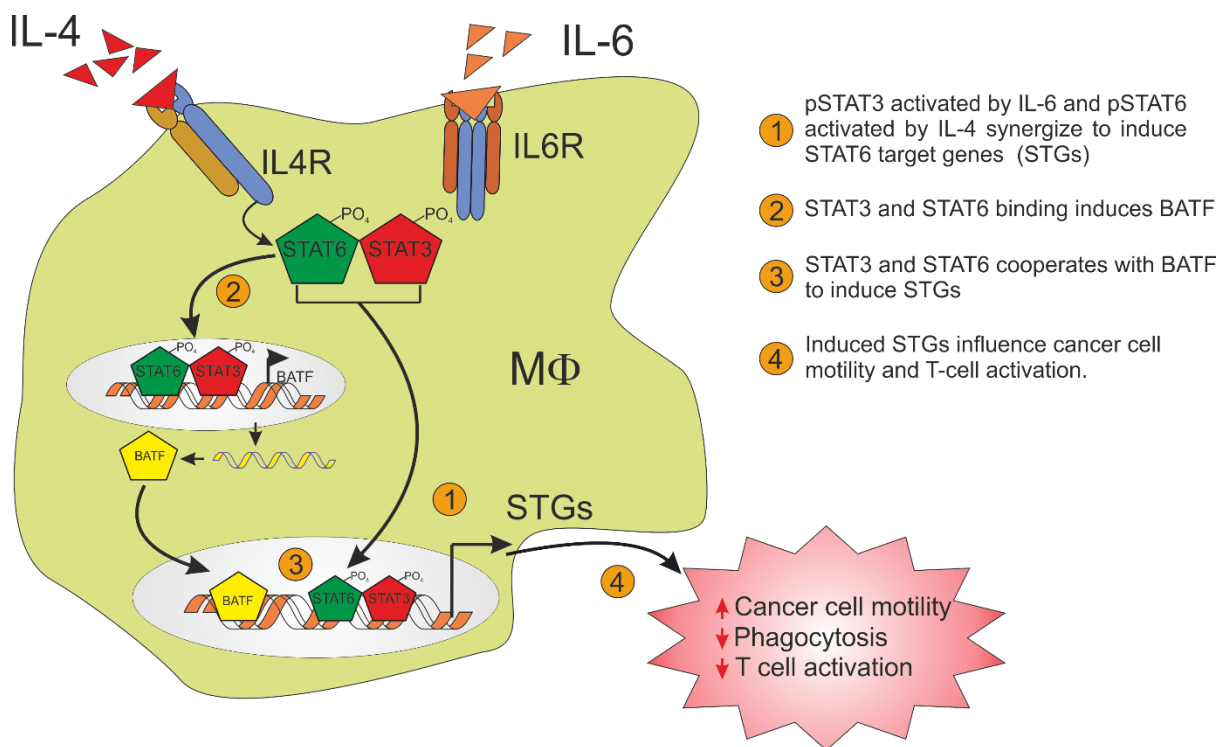
To functionally characterize the role of differentially regulated genes, we performed a series of activity assays, observing increased motility of MCF-7 and MDA-MB 231 cells incubated with conditioned media from IL-4/IL-6-treated hMDMs. This increase could be due to the enhanced chemokine release by co-stimulated macrophages. One such potential chemokine could be CCL18 as it was previously described to bind the PITPNM3 receptor and induce motility in MCF-7 and MDA-MB 231 cells<sup>13</sup>.

We further noticed that hMDMs upon dual stimulation inhibit CD8<sup>+</sup>T cell activation as evidenced by reduced IFN $\gamma$  secretion and reduced percentages of CD8<sup>+</sup> activated T cells from autologous CD3/2/28 bead-activated T cell in co-culture assays. We found this effect to be PD-L1 dependent as using PD-L1 blocking antibody rescued the above phenotypes. Of interest was increased IFN $\gamma$  release upon co-culture of T cells with IL-4 polarized hMDMs. We speculate that the increased expression of immune co-stimulatory receptors (CD40, CD80, CD86) and modest induction of PD-L1 in IL-4-

polarized hMDMs shifts the macrophage phenotype towards immune/T cell activation. This effect is reversed after dual cytokine treatments through synergistic induction of PD-L1, whose immunosuppressive effects override the co-stimulatory hMDMs cell surface phenotype, leading to reduced IFN $\gamma$  secretion by CD8<sup>+</sup> T<sub>act</sub> cells and TH1 cells. Analysis of GEO datasets revealed elevated expression of BATF together with CD163 macrophage marker in breast tumor versus normal stroma, which positively correlated with CCL18 and several other IL-4/IL-6 target genes. While this may suggest that BATF levels increase because of enhanced macrophage infiltrates, other immune cells express BATF as well, and as our study indicates BATF levels may increase in macrophage upon activation. Nevertheless, these data suggest that macrophages expressing BATF may be of clinical relevance in the progression of breast cancer.

## 7. Conclusion

In summary, our study provides evidence for a pro-tumorigenic polarization of human macrophages by IL-4/IL-6. Our data support the central role of STAT3 as a transcription factor driving IL-6-elicited alterations of the macrophage transcriptome and reveal a novel role of BATF transcription factor in shaping the transcriptional response of co-stimulated macrophages, suggesting its potential importance as a target to suppress pro-tumorigenic properties of TAMs.



**Figure 39: Graphical Summary of our finding depicting STAT3, STAT6 and BATF synergy and the functional outcome.**

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## 1. Introduction

30,000 genes were identified in human genome project, however, most of them remain unannotated. MTFR1L (Mitochondrial fission regulator-1 like) or Fam54b (Family With Sequence Similarity 54b), is one such factor that was identified as AMPK substrate in two recent proteomic studies <sup>76,77</sup>. The first screen by Hoffman et.al employed a global phospho-proteomic analysis in muscle biopsies in untrained males before and after exercise, identifying 1004 novel exercise-regulated phosphosites on 562 proteins. Among these 562 proteins were annotated targets of exercise-regulated kinases, including AMPK, PKA, CaMK, MAPK, and mTOR. The authors next performed a parallel phosphor-peptide mass-spectrometric analysis of rat L6 myotubes stimulated with AMPK activator 5-aminoimidazole-4-carboxamide ribonucleotide (AICAR) and used the data for machine learning algorithms based on the fold induction and primary amino acid motif surrounding the phosphosites post AICAR treatment to predict AMPK targets in human muscle biopsies. Based on this prediction model and in vitro AMPK binding assay, they identified novel AMPK substrates, including MTFR1L.

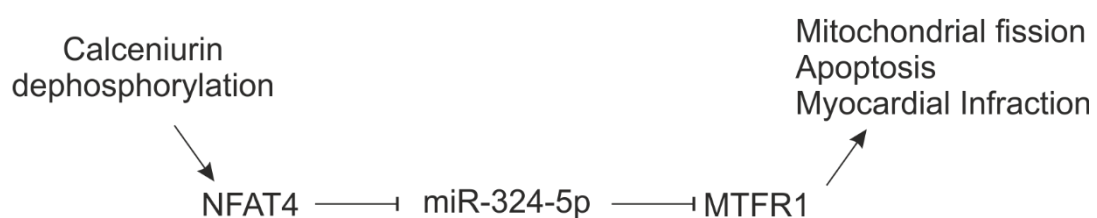
The second study by Schaffer BE et.al <sup>77</sup> employed a more direct approach where the authors overexpressed in HEK293T and U2OS cells a mutant AMPK kinase ( $\alpha 1$  and  $\alpha 2$ ) accepting N<sup>6</sup>-(phenethyl) ATP $\gamma$ S (bulky ATP) that tags direct substrates with thiophosphate moiety followed by thioP antibody capture and LC-MS/MS. The authors identified 57 novel AMPK phosphorylation sites with targets involved in cell motility, adhesion and invasion.

In both screens, MTFR1L was phosphorylated on serine residues S103 and S238. These sites were found to be phosphorylated in several other large proteomic screens ([www.phosphosite.org](http://www.phosphosite.org)). MTFR1L is a member of a MTFR protein family, and

expression data suggest its ubiquitous expression across different cell types. However, until now no literature data exists on function and role of MTFR1L. Two closely related paralogues of the same gene family are MTFR1 (FAM54A2/CHPPR (Chondrocyte protein with a poly-proline region)) and MTFR2 (DUFD1/FAM54A).

MTFR1 is a novel mitochondrial protein predominantly localized in the inner mitochondrial membrane (IMM) and first identified as being potentially relevant in late stages of chondrocyte differentiation<sup>78, 79</sup>. Studies of *Mtfr1*<sup>-/-</sup> mice show that MTFR1 is required to maintain defence against ROS-mediated stress in adult mice spermatids and Leydig cells. The KO mice had enhanced oxidative DNA damage due to reduced expression of enzymes involved in ROS detoxification (e.g. *Akr1b7*, *Atx1*, *Cox7c*, *Gpx3/4/5*, *Txn1*, *Tnrdx1*) with highest disparity in glutathione peroxidase 3 (*Gpx3*) expression<sup>80, 81</sup>.

Recently, MTFR1 was reported to induce mitochondrial fission, cardiomyocyte apoptosis and myocardial infarction that was regulated by nuclear factor of activated T-cells 4 (NFAT4) -dependent miR-324-5p<sup>82</sup>. miR-324-5p attenuates MTFR1 translation and miR-342-5p is in turn inhibited by NFAT4 as shown in Fig. 40. NFAT4 is modulated by Ca<sup>2+</sup>/calmodulin signalling through its import into the nucleus following dephosphorylation by Ca<sup>2+</sup>/calmodulin-dependent protein phosphatase calcineurin<sup>83, 84</sup> and is implicated to reduce voltage gated K<sup>+</sup> currents after myocardial infraction<sup>85, 86</sup>. Therefore, targeting NFAT4 could potentially block MTFR1 induced mitochondrial fission and prevent cardiomyocytes from cell death.



**Figure 40:** *MTFR1L-induced mitochondrial fission, apoptosis and thereby myocardial infraction can be inhibited via miR-324-5p that is in turn inhibited by NFAT4*<sup>82</sup>.

MTFR1 and MTFR2 are suggested to play similar functional roles. MTFR2 was shown to be expressed in seminiferous tubules by middle-late pachytene spermatocytes and spermatids and shared mitochondrial fission phenotype with MTFR1. MTFR2 and MTFR1 regulate mitochondrial dynamics and cellular respiration (O<sub>2</sub> consumption and ATP synthesis)<sup>87</sup>.

Interestingly, MTFR2 was identified as a candidate gene for obesity in the GWAS study of around 250,000 people based on body mass index (BMI)<sup>88-90</sup>. The authors further curated the existing gene expression profiles of obese patients and performed Ingenuity pathway analysis. Strikingly, pathway analysis of BMI regulated genes identified Glutathione Redox Reactions and MTFR2 were highly enriched terms in the obese cohort population. This is reminiscent to the glutathione peroxidase genes being downregulated in *Mtfr1*<sup>-/-</sup> mice<sup>81</sup>. In another study, MTFR2 was implicated in transcriptional regulation of the expression of a dual specificity protein kinase (TTK) in glioma stem-like cells (GSCs), since MTFR2 silencing reduced the activity of TTK promoter-based luciferase reporter<sup>91</sup>. Through TTK regulation, MTFR2 was suggested to contribute to maintenance of GSCs population in glioblastoma. *Keysar S et.al* identified a novel NFIB-MTFR2 fusion in relapsing adenoid cystic carcinoma of salivary gland<sup>92</sup>. Furthermore, patient-derived xenograft models validated a more aggressive cancer stem cell CD44<sup>hi</sup> and ALDH-expressing population in tumor sphere formation assays in NFIB-MTFR2-fused adenoid cystic carcinoma.

Based on previous literature data on MTFR1L paralogues, we tried to investigate the role of a novel substrate for AMPK, MTFR1L and its interaction partners.



## **2. Materials and methods**

### **2.1. Plasmids**

**2.1.1. AMPK KO CRISPR plasmids:** AMPK sgRNA cloned plasmids for CRISPR KO were purchased from Addgene (pX462-hPRKAA1-gRNA and pX462-hPRKAA1-gRNA, addgene#74374-74377), targeting exon 1 of AMPK  $\alpha$ 1 and  $\alpha$ 2. Plasmids were transfected into one 6 well plate with 0.5 $\mu$ g each of forward and reverse oligo for sgRNA-PRKAA1 and sgRNA-PRKAA2 in U2OS cells using HiPerFect transfection reagent (Qiagen# 301704) for 6h. Cells were seeded in 10 cm dish the next day and cultured for further 24h. On day3, cells were selected under puromycin pressure for 3 days and single cell clones were sorted and expanded in a 96-well format. Once the clones were confluent, the expression of AMPK was analyzed by western blotting of total cell lysates using AMPK $\alpha$ 1 (Eurogentech, rabbit) and AMPK $\alpha$ 2 (CST#2757T).

### **2.1.2 MTFR1L mutant constructs**

A plasmid containing MTFR1L cDNA with C-terminal Myc-DDK tag under control of CMV-driven promoter and containing neomycin mammalian resistance cassette was purchased from Origene (Origene#PS100001). The cDNA was then mutated using Pfull ultra and Quickchange site-directed mutagenesis protocol (Agilent, according to manufacturer's instructions), to introduce S103A, S238A, S103D and S238D mutations.

### **2.2 Cells**

U2OS cells were cultured in DMEM supplemented with 10%FCS and 1%P/S. Stably expressing MTFR1L mutant S103AS238A and S103DS238D constructs were

maintained in 600µg/ml of neomycin (G418, Genitacin, InVivoGen), determined by U2OS kill curve in AMPK<sup>-/-</sup> cells.

### **2.3. Immunofluorescence**

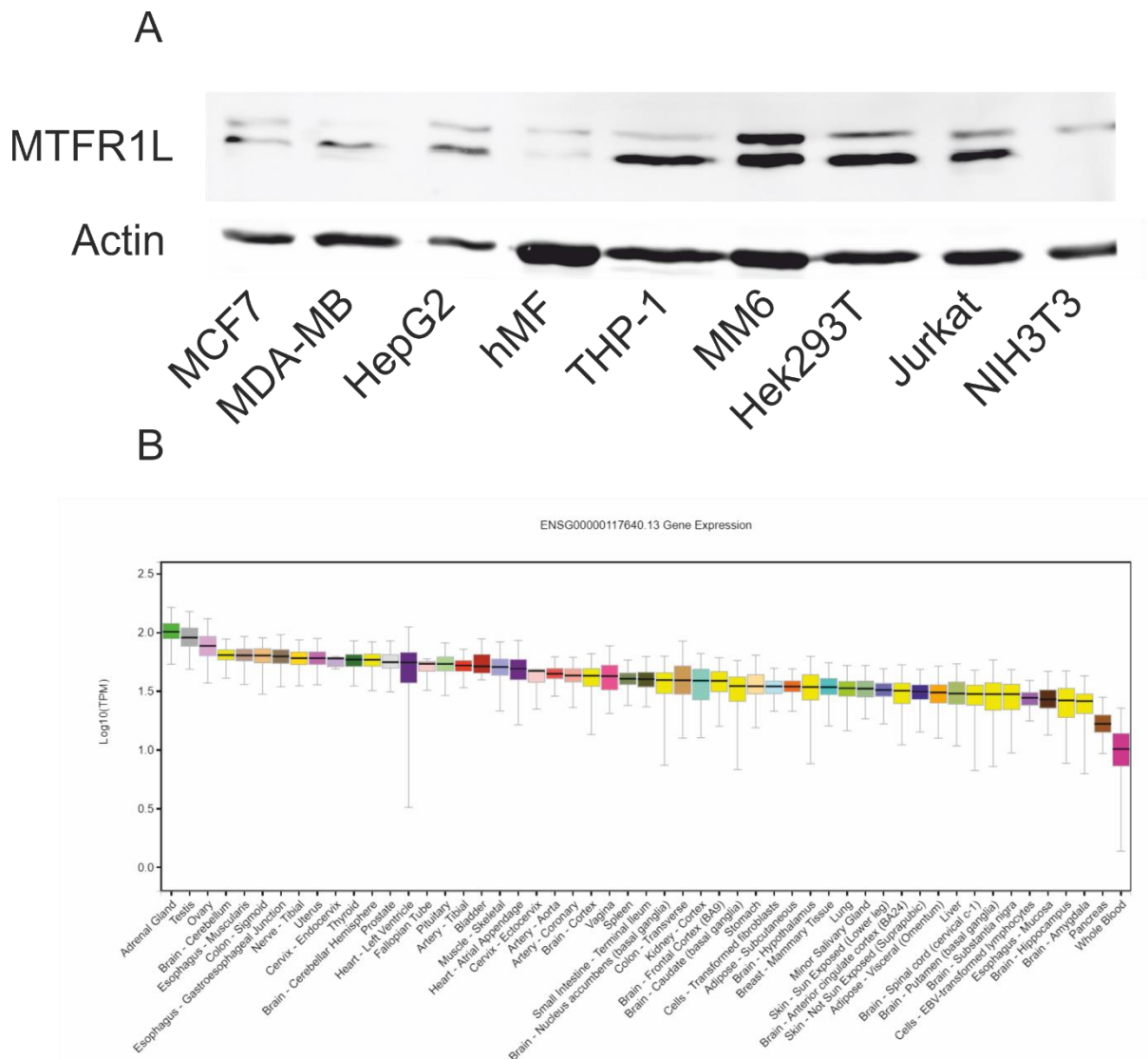
Cells were rinsed with PBS, followed by fixation in freshly prepared 4%PFA for 15mins at room temperature. The fixed cells were then washed with PBS twice and permeabilized with PBST (0.1% Triton-X) for 15 mins at room temperature, washed with PBS and blocked for 1hr with blocking solution (5%BSA/0.01% Triton-X/PBS, PBST) followed by incubation with primary antibodies in 1% BSA/PBST (1% Triton-X) overnight at 4 °C. Cells were then further washed thrice with PBST (0.01% Triton-X), incubated with secondary antibodies (Thermo Scientific) in 1%BSA/PBST (0.01% Triton-X) for 2 h and Hoechst 33342 for 10mins. Cells were then washed with PBS and imaged using Plan-Apochromat 20X and 40X oil objectives on a Zeiss LSM 510 confocal microscope.

### **2.4 Xcelligence cell proliferation**

Gold plated Xcelligence plates were measured for blank with 1%FCS/DMEM. 5000 cells were incubated in 1% FCS/DMEM and allowed to seed for 20mins. RTCA measurement program was then run for 72h to measure cell proliferation based on electron changes/current measurement via XCELLigence x96 machine according to manufacturer's instructions.

### 3.1 Results

#### 3.1. MTFR1L is ubiquitously but variably expressed across different cells



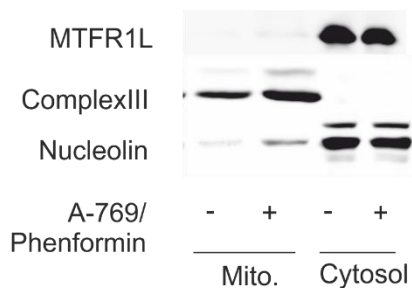
**Figure 41:** (A) MTFR1L protein expression compared across different cell lines or human macrophages (hMF) by western blotting. (B) log10 gene expression Transcript per million expression (TPM) profile of MTFR1L across different organs in normal human samples (analysed using GTEx plugin)

<https://portals.broadinstitute.org/ccle/page?gene=MTFR1L>

We observed ubiquitous yet variable expression pattern of MTFR1L protein across different cells with highest expression levels in HEK293T and myeloid (THP-1 and MM6) and lymphoid (Jurkat) cancer cell lines) (Fig. 41A). We further analysed MTFR1L expression across different tissue types through *in silico* GTEx plugin from Broad institute (Fig. 41B). MTFR1L has highest expression in the heart, testis and adrenal gland and lowest in pancreas, bone marrow, salivary glands and skin.

### 3.2. Characterization of MTFR1L expression pattern in U2OS cells

To understand the mechanism and function of MTFR1L, we separated nucleus, mitochondria and cytosol of U2OS upon AMPK activation using combination of A-769 and phenformin (Fig. 42) and concluded that MTFR1L is localized in the nucleus and cytoplasm but not in mitochondria. We did not observe an overall change in MTFR1L distribution in different cellular fractions upon AMPK activation.



**Figure 42:** Cellular fractionation of U2OS cells after stimulation with AMPK activators A-769 (250 $\mu$ M)/phenformin (100 $\mu$ M)

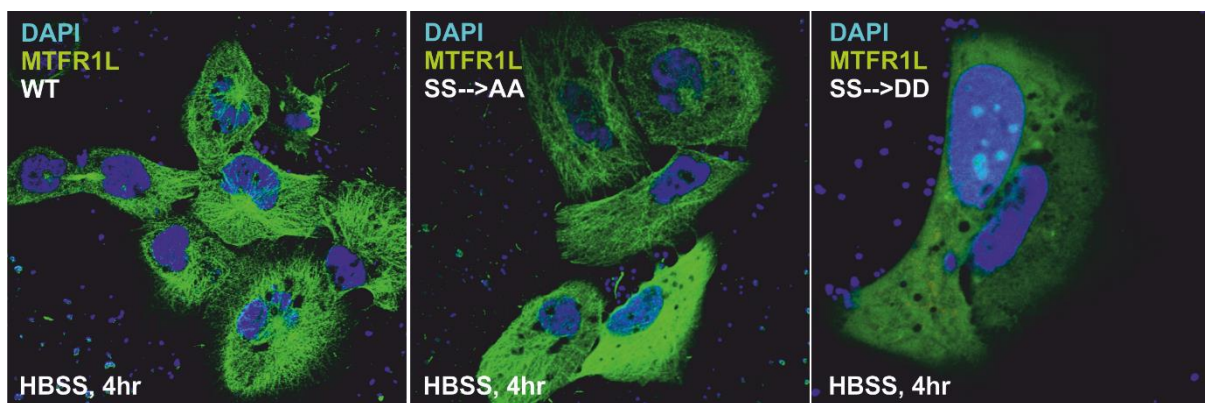
### 3.3. MTFR1L subcellular localization analysed by microscopy

We further studied the subcellular localization of MTFR1L via immunofluorescence using confocal microscopy. We used MTFR1L overexpression constructs using TrueORF gold DDK-Myc-tagged expression plasmids carrying neomycin resistance gene. We further mutated the cDNA to generate constructs S103A/S238A (SS $\rightarrow$ AA)

representing phosphorylation-deficient and S103D/S238D (SS→DD) as phosphomimetic using site-directed mutagenesis. We chose these sites based on AMPK substrate phosphorylation screens as mentioned in the introduction.

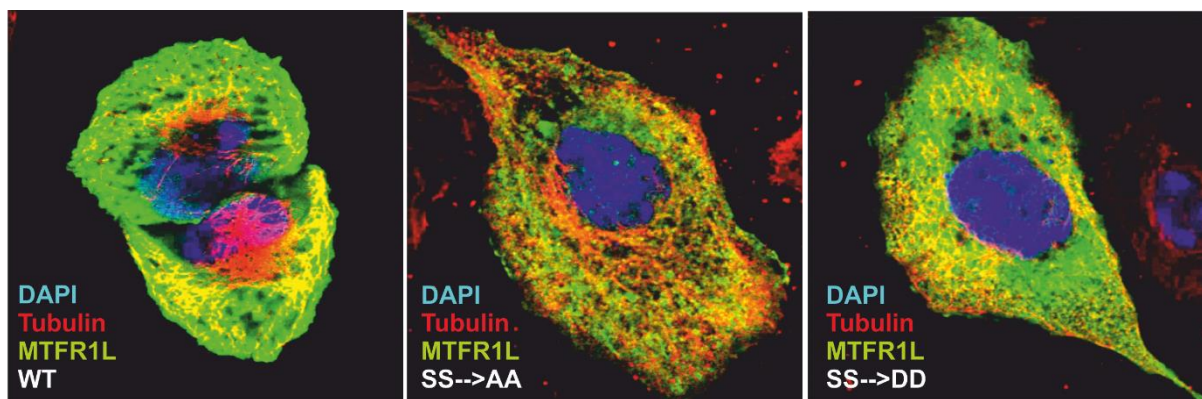
### 3.3.1 MTFR1L response to nutrient withdrawal

Since AMPK as an energy sensor is activated upon withdrawal of nutrients, we used Hank's balanced salt solution (HBSS) to induce starvation for 4h. Thus, we transfected U2OS cells with MTFR1L wild type and mutant SS→AA and SS→DD constructs for 24h and next day starved cells for further 4h. Phosphorylation-deficient SS→AA mutant overexpressed in U2OS cells shows similar distribution in the cell cytoplasm as wild type MTFR1L. Surprisingly yet quite evidently MTFR1L localized to what appeared to be the cell cytoskeletal network i.e. microtubules, actin filaments, and intermediate filaments. The structures showing MTFR1L expression were reminiscent of microtubules, astral tubes and plausibly kinetochores. These data suggested that MTFR1L may interact or be even part of the cytoskeletal machinery. The SS→DD phosphomimetic mutant construct on the other hand had a diffused localization upon overexpression (Fig. 43).



**Figure 43:** MTFR1L OE constructs localization after 4h nutrient withdrawal.

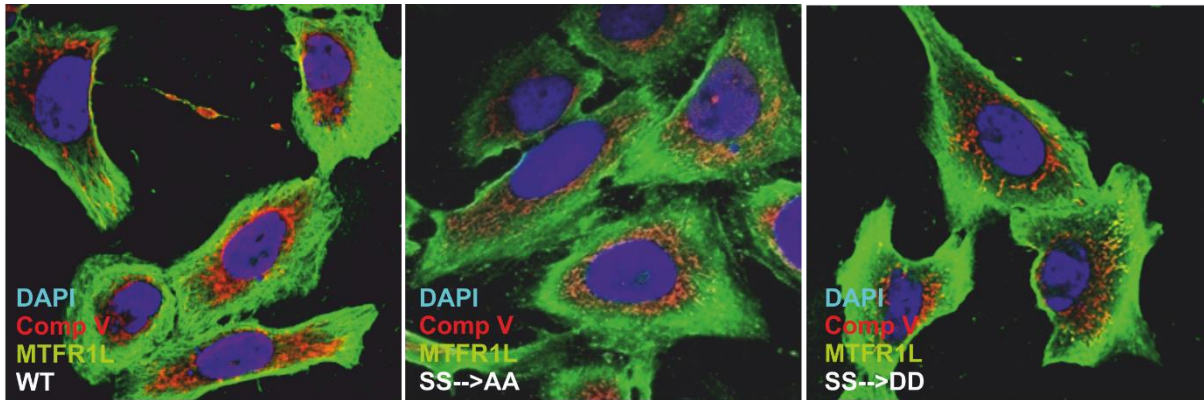
Therefore, based on our above hypothesis that MTFR1L is expressed in cytoskeletal proteins, we overexpressed our MTFR1L constructs and stained the cells for tubulin, a marker for microtubules. MTFR1L OE constructs partially co-localize with tubulin, suggesting a possible involvement of MTFR1L in maintaining cytoskeletal structure (Fig. 44). We postulate the partial localization might be due to MTFR1L association with other cytoskeletal markers e.g. double helix microfilaments from F-actin strand (actin) or intermediate filaments or oversaturation of MTFR1L overexpression constructs' expression.



**Figure 44:** MTFR1L OE mutant constructs expressed in U2OS and stained with tubulin and MTFR1L antibodies.

### 3.3.2. MTFR1L does not affect mitochondrial morphology

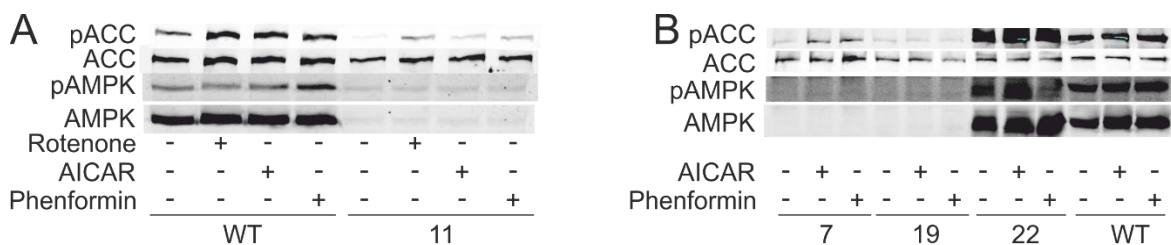
Since, MTFR1L paralogues, MTFR1 and MTFR2 were shown to be involved with mitochondrial fission phenotype, we tested if MTFR1L overexpression had any morphological effect on mitochondrial fission/fusion properties. We overexpressed MTFR1L wild type and mutant constructs for 24h and performed immunohistochemistry for complex V (mitochondria) and MTFR1L. We observed no major changes in mitochondrial morphology after MTFR1L overexpression (Fig. 45).



**Figure 45:** Mitochondrial morphology in U2OS cells overexpressing indicated MTFR1L constructs determined by staining with Complex V antibody.

### 3.4. Characterization of MTFR1L mutant constructs in AMPK<sup>-/-</sup> background

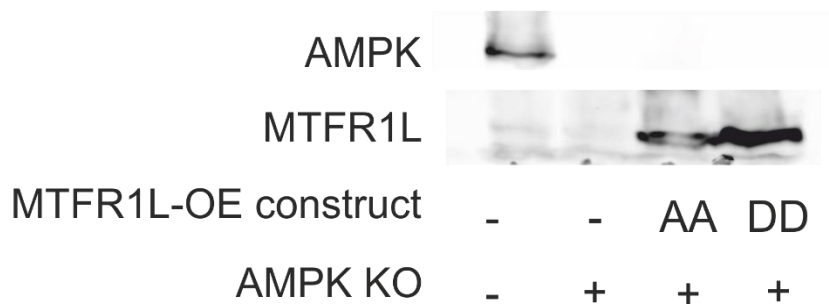
To study the function of MTFR1L, we tried to generate MTFR1L KO U2OS cells using CRISPR-Cas9. We were only able to generate cells that showed lower MTFR1L expression as compared to wild type cells, whereas no knockouts were obtained (data not shown). We postulated, given the ubiquitous expression of MTFR1L, that a knockout might be lethal. As an alternative strategy, we created AMPK<sup>-/-</sup> cells asserting that the endogenous phosphorylation levels of MTFR1L, an AMPK substrate would be lower compared to wt AMPK expressing cells, while comparing its function in the context of AMPK.



**Figure 46:** Analysis of AMPK and ACC expression and phosphorylation in U2OS wild type and AMPK KO cells created via CRISPR-Cas9 and treated with AMPK activators rotenone, AICAR and phenformin.



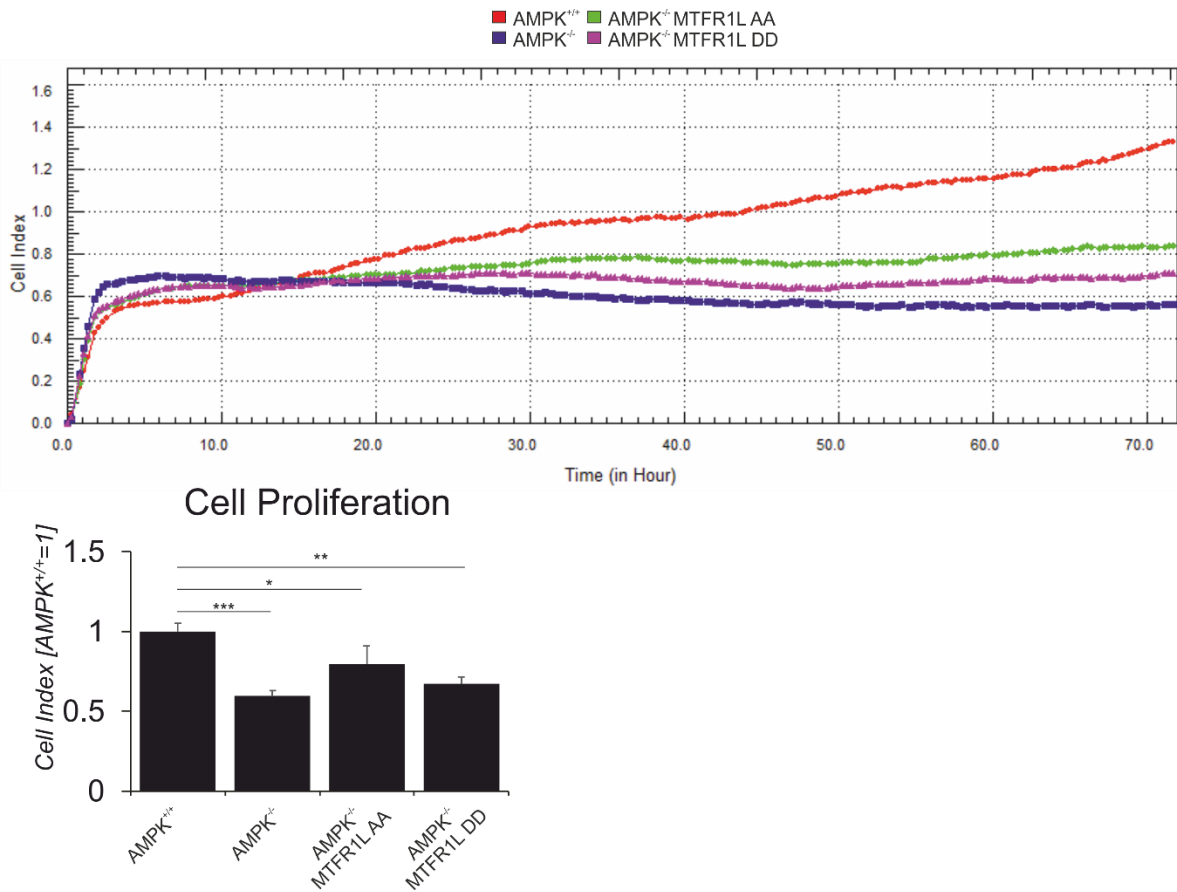
Therefore, we created AMPK U2OS KO cells (Fig. 46 A-B). Out of the three candidate clones, 7, 11 and 19, only clone 19 showed no ACC phosphorylation upon AMPK activation. Moreover, there was no total AMPK in this clone. We further overexpressed phosphorylation-deficient S103A/S238A and phosphomimetic S103D/S238D MTFR1L mutant constructs in U2OS AMPK<sup>-/-</sup> cells. We selected the clones for neomycin resistance, since the MTFR1L mutant plasmid harboured neomycin resistance gene. Thereby, the mutant cDNA is stably integrated in AMPK<sup>-/-</sup> U2OS cell's genome. As evidenced by Fig. 47, we show no AMPK in KO cells and high expression of mutant MTFR1L constructs.



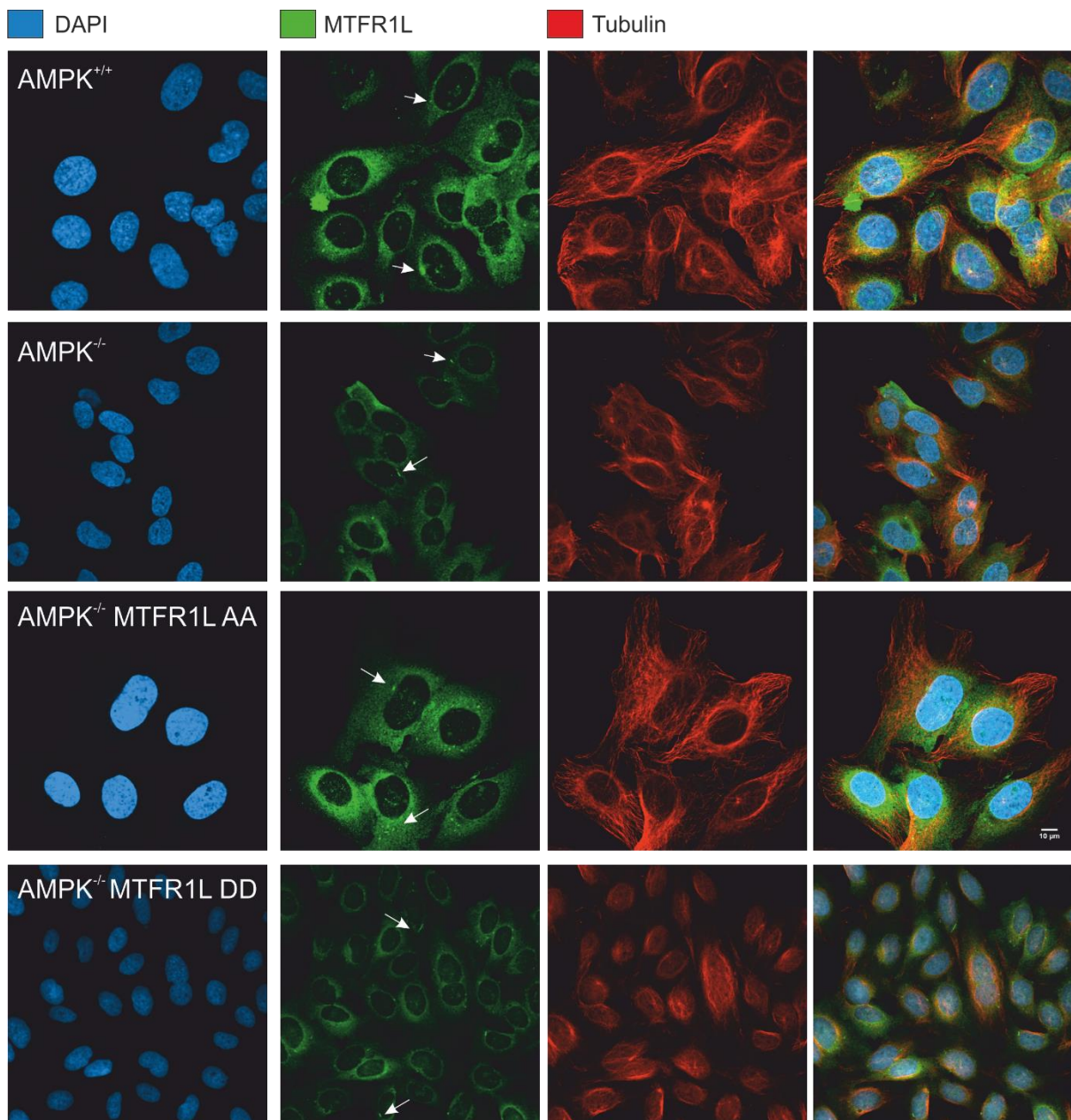
**Figure 47:** MTFR1L expression in AMPK<sup>+/+</sup> and AMPK<sup>-/-</sup> background.

We further characterized our cell populations by performing cell proliferation assay and observed that AMPK<sup>-/-</sup> cells grew significantly slower compared to AMPK<sup>+/+</sup> (WT) U2OS with active AMPK (Fig.48). Stably overexpressing MTFR1L phosphonegative mutant partially rescued the stalled growth in AMPK<sup>-/-</sup> U2OS cells compared to phospho-mimic MTFR1L DD mutant in AMPK<sup>-/-</sup> U2OS, which had comparable proliferation as AMPK<sup>-/-</sup> cells.





**Figure 48:** proliferation for AMPK<sup>-/-</sup>, AMPK<sup>+/+</sup> and MTFR1L mutant-expressing U2OS cells, as measured by xCELLigence RTCA DP software. Data are presented as mean ± 10-90% SEM. \*, p < 0.05, \*\*, p < 0.01. \*\*\*, p < 0.005.

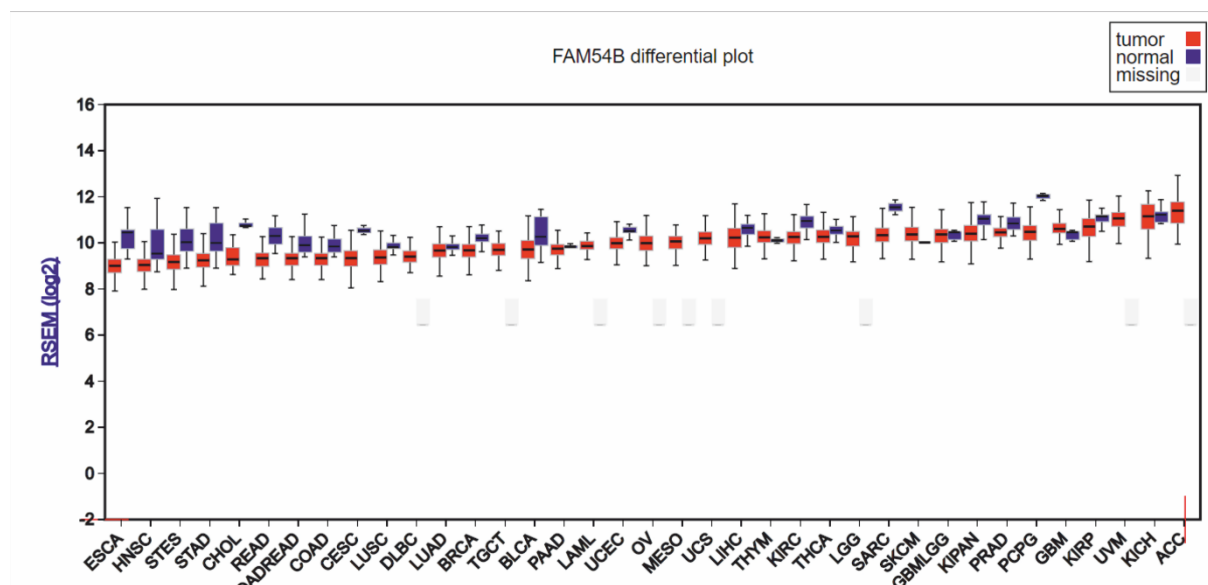


**Figure 49:** Immunofluorescence imaging for MTFR1L and tubulin in AMPK<sup>+/+</sup> (WT), AMPK<sup>-/-</sup> (KO) and stable MTFR1L mutant OE in U2OS cells.

We further checked the co localization of MTFR1L with tubulin in stably expressing MTFR1L mutant constructs via immunofluorescence (Fig.49). We could only observe partial and inconclusive co-localization of MTFR1L with tubulin. However, we did observe paranuclear specks specific to MTFR1L in each cell type.

### 3.5. MTFR1L expression across tumor cells

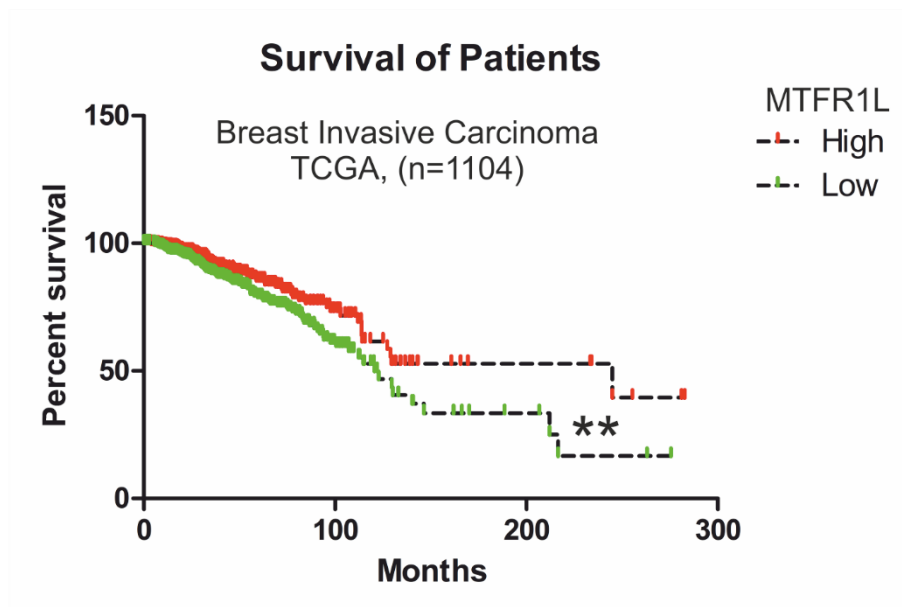
In cancer cell lines, MTFR1L is shown to have the highest expression in normal cells compared to tumor subtypes. We validated MTFR1L expression via firebrowser, online TCGA portal that sums up gene expression in tumor vs normal tissues. The datasets are a cumulative assembly of mRNA expression quantified via RNA sequencing or microarray analysis. Surprisingly, MTFR1L was either unchanged or mostly downregulated in tumors compared to normal cells (Fig. 50). This suggested MTFR1L could be a potential tumor suppressor unlike MTFR1 which was reported to have pro-tumorigenic potential.



**Figure 50:** MTFR1L expression in cancer (red) versus normal (blue) or grey (missing normal) cells with RSEM (RNA-Seq by Expectation-Maximization) on the Y axis and cancer type on the X-axis. (adapted from Firebrowser plugin, <http://firebrowse.org/viewGene.html?gene=fam54b>)

We further explored existing TCGA datasets to understand if MTFR1L is a potential tumor suppressor, a rationale supported by low or unaltered expression levels in tumor compared to normal tissues. We looked at breast invasive cancer datasets

([http://www.cbiportal.org/study?id=brca\\_tcg#summary](http://www.cbiportal.org/study?id=brca_tcg#summary)), since our preliminary analysis (Fig. 43, 50) showed low levels of MTFR1L in cell lines derived from niche-dependent solid tumors compared to suspension culture neoplasms (where MTFR1L levels were high or unaltered relative to normal tissue). As expected we found high levels of MTFR1L associated with overall patient survival and low expression with poor outcome (Fig. 51). Therefore, we speculate that MTFR1L could be a potential tumor suppressor gene that is downregulated in most tumors. Nevertheless, further work is required to confirm the MTFR1L anti-tumorigenic phenotype as summed up in Section 3.1.



**Figure 51:** Kaplan-Meier median-Survival curve for MTFR1L expression in invasive breast carcinoma (TCGA, MTFR1L<sub>high</sub>= 515, MTFR1L<sub>low</sub>=579)

#### 4. Discussion

The function of MTFR1L protein remains unknown since its first identification as a downstream AMPK target. We show through the initial parts of our study that MTFR1L is a cytoskeletal localized protein which could probably be a tumor suppressor gene since almost all different cancer types have lower expression of MTFR1L compared to patient matched normal cell samples.

We observed that wt MTFR1L OE was expressed in a cytoskeletal arrange pattern. To confirm this hypothesis, we stained our cells with cytoskeletal marker tubulin. MTFR1L was partially co-localized with MTFR1L in WT and phospho-negative MTFR1L AA mutant construct but appeared to have a diffused morphology for phosphor-mimetic MTFR1L DD OE construct. We observed a similar diffused MTFR1L cytoplasmic expression for MTFR1L DD mutant construct upon serum withdrawal with HBSS. Since, MTFR1 and MTFR2 were confirmed to cause mitochondrial fission, we observed no obvious phenotypes regarding mitochondrial morphology upon either MTFR1L wt or mutant OE constructs.

To study the function of MTFR1L we tried to design MTFR1L KO U2OS cells for overexpressing MTFR1L mutant constructs but were unsuccessful, probably because MTFR1L KO might be lethal given its ubiquitous expression. Therefore, we designed AMPK<sup>-/-</sup> U2OS cells and generated stable MTFR1L OE mutant construct cell lines in AMPK KO background, hoping the endogenous MTFR1L phosphorylation might be lower compared to wild type counterpart.

AMPK<sup>-/-</sup> U2OS took significantly longer to proliferate compared to cells with WT AMPK. Nevertheless, stably expressing MTFR1L mutant AA rescued the stalled proliferation partially yet non-significantly compared to WT U2OS. However, MTFR1L mutant DD

had similar proliferation cycle like AMPK<sup>-/-</sup>. We next characterized via immunofluorescence imaging MTFR1L expression across different cell types but could not find significant differences between four cell types. Further work in MTFR1L characterization needs to be performed to get mechanistic insights to MTFR1L interaction partners via MS analysis and further validation of MTFR1L targets.

## **6. Conclusion**

MTFR1L is an AMPK target protein of unknown function that is not only ubiquitously expressed, but its expression is also deregulated in cancer. We show here the preliminary data on MTFR1L expression, as a potential gene involved in cytoskeletal network and carcinogenesis.

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## 10. Curriculum Vitae



**SAHIL GUPTA**

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### PERSONAL

Born: 17.10.1990, Dehradun, India

Languages: English, German, Hindi

### STRENGTHS

Enthusiastic, Curious, Persistent, Analytical

### PUBLICATIONS

- **Gupta S et.al**, IL-6 augments IL-4-induced polarization of primary human macrophages through synergy of STAT3, STAT6 and BATF transcription factors, *Oncoimmunology*, 2018:1-17
- **Snodgrass RG, Zezina E, Namgaladze D, Gupta S\*, Angioni C, Geißlinger G, Lütjohann Dand Brüne B**, (2018), A Novel Function for 15-Lipoxygenases in Cholesterol Homeostasis and CCL17 Production in Human Macrophages, *Frontiers in Immunology*, doi: 10.3389/fimmu.2018.01906
- **Boß M, Newbatt Y, Gupta S\*, Collins I, Brüne B, Namgaladze D.**, AMPK-independent inhibition of human macrophage ER stress response by AICAR, *Sci Rep.* 2016 ;6:32111
- **Atale N\*, Gupta S\*, Yadav UC, Rani V. (\*equal contribution)** Cell-death assessment by fluorescent and nonfluorescent cytosolic and nuclear staining techniques., *J Microsc.* 2014 ; 255(1):7-19.
- **Dey B\*, Thukral S\*, Krishnan S\*, Chakrobarty M\*, Gupta S\*, Manghani C\*, Rani V\***, (\*all authors contributed equally) DNA-protein interactions: methods for detection and analysis., *Mol Cell Biochem.* 2012 ;365(1-2): 279-99

### Molecular Biology

- CRISPR/Cas9 KO in cell lines, Chromatin Immunoprecipitation
- Next generation sequencing library preparation (RNA)
- Luciferase (Firefly/Renilla) Assay, Gene Expression Analysis
- High-throughput cloning, site directed mutagenesis
- T cell, Monocyte extraction (Dyna magnetic beads)

### In Vitro

- Cell culture: Cell lines and primary cell cultures (macrophages)
- Functional and activity assays (Generation of 3D tumor spheroids, tumor killing assay, Chemotaxis, Migration and Invasion assays)

### EDUCATION

**PhD, Cell signaling in Macrophages and Tumor microenvironment**  
*Goethe University Frankfurt*

1.12.2014-31.07.2018 Frankfurt

- Guidance towards independent investigation with an interdisciplinary approach

**Masters in Biology**  
*Ludwig Maximilian University*

GPA 1.8/5 (1: best)

1.10.2012-1.09.2014 Munich

- Five research internships and six months masters thesis, helped me troubleshoot and develop analytical thinking

**Bachelors in Biotechnology**  
*Jaypee Institute of Information Technology*

GPA 7.4/10 (10: best)

Jul 2008- Jun 2012 Noida, India

- One year Bachelor thesis and determination to make significant contribution in the scientific community in prospective future.

**High school Diploma, CBSE**  
*N.K.Bagrodia Public School*  
*Rohini, Sec-9*

82.2/100 100: Best

Jul 2006- Jul 2008 Delhi, India

- Graduated in Physics, Biology, Chemistry, English and Mathematics

### TECHNICAL SKILLS

In Vitro	██████████
In Vivo	██████████
Bioinformatics	██████████
Ex Vivo	██████████
RNA Sequencing	██████████

### MOBILE

### LONG TERM GOAL

Leading team in multi-disciplinary studies defining the role of aneuploidy and immune cells in cancer cells crosstalk.

### INTERESTS

Aneuploidy and Cancer immunology

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- 1.12.2014-31.07.2018 PhD, Goethe University Frankfurt Germany (DE)
- 1.03-30.08.2014 Master Thesis, Max Planck Institute of Heart & Lung Research, Bad Nauheim, DE
- 1.10-30.12.2013 Internship, Adolf-Butenandt-Institute, Munich, DE
- 1.07-31.09.2013 Internship, Max Planck Institute of Psychiatry, Munich, DE
- 1.05-30.06.2013 Internship, Gene Zentrum Munich, DE
- 1.02-31.03.2013 Internship, Clinic for Radiotherapy & Radiooncology Munich, DE
- 1.12.2012-31.01.2013 Internship, Zoology State Collection, Munich, DE
- 1.10.2012-31.09.2014 Masters in Biology Ludwig Maximilian University Munich, DE
- 1.06.2011-30.08.2012 Bachelor Thesis, IIIT, Noida, India (IND)
- 1.06-31.07.2011 Internship, Sir Gangaram Hospital (SGRH), Centre of Medical Genetics, New Delhi, IND
- 1.12.2010-31.01.2011 Internship, All India Institute of Medical Sciences, Transplant Immunology & Immunogenetics Department, New Delhi, IND
- 1.06.2008-30.08.2012 Bachelors in Biotechnology Jaypee Institute of Information Technology (IIIT), Noida, India

\*Continued on next page



### In Vivo/ex Vivo

- Handling/Disecting mice and chicken embryos
- Isolation of various organs like liver, spleen, lung, thymus, heart, bone marrows
- Ex vivo culture (BMDMs differentiation)

### Protein Purification & Characterization

- ELISA: Serum immunoglobulins, secreted cytokines
- Chromatography (Size Exclusion Chromatography, Gel Filtration S200 GL10/300, S75 GL10/300, HiTrap HPQ Ion exchange)
- Protein pulldown and Protein Expression (Baculovirus Expression System), Periplasmic extraction in BL-21
- Protein Extraction (Ni-NTA, His Tag)
- Spectroscopy (Fluorescence (Qubit), UV-Vis (Nanodrop), Bradford Assay (Bio-Rad))

### Cell Biology

- Bacterial culture and characterization, yeast culture, etc.
- FACS of different immune cells populations
- Immuno-fluorescence assays, Immunohistochemistry Confocal microscopy (LSM series), Live cell imaging

### Bioinformatics & Softwares

- Functional Genomics (Sequence analysis, Gene Expression Analysis, Pathway Analysis),
- Practicing coding for NGS data analysis
- Protein prediction, Gene Annotation, Data Mining, 3D Reconstruction software (Amira, Image J, Fiji) Imaris, Chimera

### CONFERENCES

- Poster presentation at 'Turning molecular information into novel cancer therapies on 'IL-6 augments IL-4-induced polarization of primary human macrophages through STAT3, STAT6 and BATF' from 25-27.09.2018 at Frankfurt Cancer conference, Germany.
- Poster presentation at 'Macrophage Biology in single cell era' organized by Prof. Dr. Martin Williams and Jo Van Genderachter, VIB - U Gent Center for Inflammation Research, Belgium from 26-27 Oct.17.
- Attended RMU-RNA Salon "Genomics approaches in RNA biology" 3rd Mini Symposium on "Medical RNomics" on September 11'2017 at Natural Science Campus of Justus-Liebig University Gießen, Germany
- Poster presentation at *Myeloid Cells (D3) Keystone Conference on 'Mechanism of IL-4/-6 synergism in regulating CCL18'* from April 10-14, 2016 in Killarney Ireland.
- Attended 7<sup>th</sup> University Cancer Centre Science Day on October 7, 2016 in Frankfurt, Germany.
- Attended 2<sup>nd</sup> Symposium on *Tumor microenvironment crosstalk* organized by Prof. Dr. Florian Greeten from Oct 13-14, 2016 in Frankfurt, Germany.
- Attended GE Healthcare Life Sciences conference on 'Evolving solutions in Protein Interaction Analysis' on December 8, 2011 at IIIT, Noida, India.

### JOBS

- Employed at Max Planck Institute of Neurobiology in Structure of Neocortical Circuits Group for Brain cell neuron tracking from electron microscopic data from Jan - Mar 2013.
- Employed at Helmholtz Zentrum, Neuherberg, Oberschleißheim at Prof Dr. Magdalena Gotz lab as a Student Assistant for genotyping from Aug - Oct 2013

### ACHIEVEMENTS

#### ● Turning five years' lab experience into project proposals

I have designed two complete projects for my prospective future in research, which I believe would be groundbreaking and novel.

- Secured GATE Biotechnology **All India Rank 82 with 99.4 percentile** (BT 3043152) among 14638 candidates on February 12, 2012.

### THESIS

#### PhD Thesis, PD Dr. Dmitry Namgaladze

- Mechanism and physiological relevance of IL-4 and IL-6 mediated transcriptome changes in human macrophages
- Role of MTFR1L and it's mechanism of action upon AMPK activation (ongoing second project)

#### Master Thesis, Prof. Dr. Didier Stainier

- Forward genetic screen: Identification of regulators in cell type differentiation of early postnatal respiratory system of p7 pups (F3) in ENU mutagenized mice

- Experimental Design and in vivo mice work

<http://www.mpi-hlr.de/en/forschung/dept-iii.html>

#### Bachelor Thesis, Assoc. Prof. Vibha Rani

- Cloning of Developmentally Regulated Protein Target sites in 13 day old Chick embryo
- First exposure into long term practical lab experience and troubleshooting

[http://www.jiit.ac.in/faculty\\_jiit.php?id=18341722&dep=bt&page=0](http://www.jiit.ac.in/faculty_jiit.php?id=18341722&dep=bt&page=0)

### INTERNSHIPS

- Assembly studies of the MSL complex and characterization of the human ortholog of the MSL's protein MLE (RHA)

[Adolf-Butenandt-Institute, Prof. Dr. Peter Becker](http://www.molekularbiologie.abi.med.uni-muenchen.de/ueber_uns/becker/index.html)

[http://www.molekularbiologie.abi.med.uni-muenchen.de/ueber\\_uns/becker/index.html](http://www.molekularbiologie.abi.med.uni-muenchen.de/ueber_uns/becker/index.html)

- Quantification of Gene expression (SLPI, MMP-9, OSM) in corticosteroid and TNF- $\alpha$  induced PBMC and granulocytes in Multiple Sclerosis (MS) patients.

[Max Planck Institute of Psychiatry, Prof. Dr. F. Weber](http://www.psych.mpg.de/135251/research_report_411133?c=2007220)

[http://www.psych.mpg.de/135251/research\\_report\\_411133?c=2007220](http://www.psych.mpg.de/135251/research_report_411133?c=2007220)

- Cloning, expression and binding assays for sirpa-16-33 triplebody variants against Acute myeloid Leukemia (AML) with mutated sirp alpha binding site

[Gene Zentrum, Prof. Dr. Karl Peter Hopfner](http://www.hopfner.genzentrum.lmu.de)

<http://www.hopfner.genzentrum.lmu.de>

- Comparison of the pattern of DNA damage induced by Hydrogen Peroxide and Hydroxy-urea, to the replication structure of CV-1 cells

[Klinik und Poliklinik für Strahlentherapie und Radioonkologie, PD Dr. Friedl](http://www.klinikum.uni-muenchen.de/Klinik-und-Poliklinik-fuer-Strahlentherapie-und-Radioonkologie/de/direktion/bereichsleitungen/friedl_anna/index.html)

[http://www.klinikum.uni-muenchen.de/Klinik-und-Poliklinik-fuer-Strahlentherapie-und-Radioonkologie/de/direktion/bereichsleitungen/friedl\\_anna/index.html](http://www.klinikum.uni-muenchen.de/Klinik-und-Poliklinik-fuer-Strahlentherapie-und-Radioonkologie/de/direktion/bereichsleitungen/friedl_anna/index.html)

- Soft Part 3D visualization by serial sectioning and reconstruction of internal anatomy of mollusc *Wirenna argentea* and *Otis*

[ZSM Munich, Dr. Bernhard Ruthensteiner](https://www.zsm.mwn.de/eve/staff.htm)

<https://www.zsm.mwn.de/eve/staff.htm>



## 11. List of Publications

1. **Gupta S** et.al., IL-6 augments IL-4-induced polarization of primary human macrophages through synergy of STAT3, STAT6 and BATF transcription factors, *Oncoimmunology*, 2018: 1-17, <https://doi.org/10.1080/2162402X.2018.1494110>
2. Snodgrass RG, Zezina E, Namgaladze D, **Gupta S\***, Angioni C, Geißlinger G, Lütjohann D and Brüne B, A Novel Function for 15-Lipoxygenases in Cholesterol Homeostasis and CCL17 Production in Human Macrophages, 2018, doi: 10.3389/fimmu.2018.01906
3. Boß M, Newbatt Y, **Gupta S**, Collins I, Brüne B, Namgaladze D., AMPK-independent inhibition of human macrophage ER stress response by AICAR, *Sci Rep.* 2016 ;6:32111
4. Atale N\*, **Gupta S\***, Yadav UC, Rani V. (\*equal contribution) Cell-death assessment by fluorescent and nonfluorescent cytosolic and nuclear staining techniques., *J Microsc.* 2014 ;255(1):7-19. (\* equal contribution)
5. Dey B\*, Thukral S\*, Krishnan S\*, Chakrobarty M\*, **Gupta S\***, Manghani C\*, Rani V\*., (\*all authors contributed equally), 'DNA-protein interactions: methods for detection and analysis', *Molecular and Cellular Biochemistry*, 2012 ;365(1-2):279-99 (\* equal contribution)
6. **Gupta S** \*and Rachana. "Respiratory Distress Syndrome and Surfactant Therapy", *The Pharma Review*, vol. 10, 46, pp. 64-67, Mar –Apr. 2012. (\* equal contribution)

## 12. Poster Presentations

1. Poster presentation at 'Turning molecular information into novel cancer therapies' on 'IL-6 augments IL-4-induced polarization of primary human macrophages through STAT3, STAT6 and BATF' from 25-27.09.2018 at Frankfurt Cancer conference, Germany.
2. Poster presentation at Merck GmbH, Darmstadt on 5.06.2018 on 'IL-6 augments IL-4-induced polarization of primary human macrophages through synergy of STAT3, STAT6 and BATF transcription factors'
3. Poster presentation at 'Macrophage Biology in single cell era' organized by Prof. Dr. Martin Guillemins and Jo Van Ginderachter, VIB - U Gent Center for Inflammation Research, Belgium from 26-27 Oct.17
4. Poster presentation at Myeloid Cells (D3) Keystone Conference on 'Mechanism of IL-4/-6 synergism in regulating CCL18' from April 10-14, 2016 in Killarney Ireland.

### 13. Erklärung

#### DECLARATION

I herewith declare that I have not previously participated in any doctoral examination procedure in a mathematics or natural science discipline.

Frankfurt am Main, .....(Date) .....

(Signature)

#### Author's Declaration

I herewith declare that I have produced my doctoral dissertation on the topic of **'Functional and mechanistic insights into cytokine induced macrophage polarization'**

independently and using only the tools indicated therein. In particular, all references borrowed from external sources are clearly acknowledged and identified. I confirm that I have respected the principles of good scientific practice and have not made use of the services of any commercial agency in respect of my doctorate.

Frankfurt am Main, .....(Date).....

(Signature)