

1 **Measuring pH and buffer capacity in fluids aspirated from the fasted upper gastrointestinal tract of**  
2 **healthy adults**

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20 **Abstract**

21 *Purpose:* The design of biorelevant conditions for *in vitro* evaluation of orally administered drug products  
22 is contingent on obtaining accurate values for physiologically relevant parameters such as pH, buffer  
23 capacity and bile salt concentrations in upper gastrointestinal fluids.

24 *Methods:* The impact of sample handling on the measurement of pH and buffer capacity of aspirates from  
25 the upper gastrointestinal tract was evaluated, with a focus on centrifugation and freeze-thaw cycling as  
26 factors that can influence results. Since bicarbonate is a key buffer system in the fasted state and is used  
27 to represent conditions in the upper intestine *in vitro*, variations on sample handling were also investigated  
28 for bicarbonate-based buffers prepared in the laboratory.

29 *Results:* Centrifugation and freezing significantly increase pH and decrease buffer capacity in samples  
30 obtained by aspiration from the upper gastrointestinal tract in the fasted state and in bicarbonate buffers  
31 prepared *in vitro*. Comparison of data suggested that the buffer system in the small intestine does not  
32 derive exclusively from bicarbonates.

33 *Conclusions:* Measurement of both pH and buffer capacity immediately after aspiration are strongly  
34 recommended as “best practice” and should be adopted as the standard procedure for measuring pH and  
35 buffer capacity in aspirates from the gastrointestinal tract. Only data obtained in this way provide a valid  
36 basis for setting the physiological parameters in physiologically based pharmacokinetic models.

37

38 **Keywords**

39 Buffer capacity, stomach, small intestine, bicarbonates, pH, human intestinal fluid

40

41 **1. Introduction**

42 The design of biorelevant conditions for the *in vitro* evaluation of orally administered drug products is  
43 contingent on obtaining accurate values for physiologically relevant parameters such as pH, buffer capacity  
44 and bile salt concentrations. For this purpose, samples are often aspirated from the upper gastrointestinal  
45 (GI) tract. As values that have been reported for these parameters differ substantially among studies  
46 reported in the literature, the question arises as to whether the results may be influenced by the  
47 methodology used to collect and process the samples. If so, the aspiration study design needs to be  
48 harmonized to “best practices” in order to assure that meaningful and comparable results are reported.  
49 While Fuchs and Dressman(1) have discussed in general how various aspects of sampling can affect results  
50 (e.g. pooling of aspirates, location of aspiration etc.), in this study we focus specifically on the question of  
51 how sample handling procedures can influence pH and buffer capacity measurements in aspirates  
52 collected in the upper GI tract.

53  
54 The buffer capacity of GI fluids, i.e. their resistance to change in pH, can be important to the *in vivo*  
55 dissolution of ionizable active pharmaceutical ingredients (APIs) and the release of APIs from  
56 pharmaceutical products with pH-dependent release mechanisms.(2–4) The buffer capacity of GI fluids is  
57 determined by the physiological pH-regulating agents that are present in the region of interest as well as  
58 any food and drink that is ingested by the patient. Further, the impact of the transfer of gastric contents  
59 to the small intestine and the contribution of various protein-based pancreatic secretions to the buffer  
60 capacity of the fluids in the upper intestine should be taken into consideration.

61  
62 The intragastric pH in fasted, healthy adults is mainly regulated by the concentration of hydrochloric acid.  
63 Using perfusion techniques, hydrogen ion concentrations have been measured to range from 56 to 160  
64 mM.(5–8) There is also a potential contribution of pepsin, lipase, or other protein-based components to

65 the buffer capacity of bulk gastric contents. Under conditions of reduced gastric acid secretion there may  
66 also be some contribution from bicarbonate ions. Bicarbonate concentrations in the acid-suppressed  
67 stomach using the carbon dioxide partial pressure and pH ( $p\text{CO}_2/\text{pH}$ ) method (which is based on the  
68 Henderson-Hasselbalch equation and in which the total concentration of bicarbonates is considered to be  
69 the sum of carbon dioxide and free bicarbonates) have been reported to range from 1 to 20mM.(9,10)

70  
71 In the fasted small intestine, on the other hand, the pH is considered to be mainly controlled by  
72 bicarbonates, which are secreted by the pancreas and the enterocytes and are also present in the bile.(11–  
73 14) Using the  $p\text{CO}_2/\text{pH}$  method, the bicarbonate concentration in the upper intestine (duodenum and  
74 jejunum) of fasted adults has been measured to range between 2 and 20 mM, and the influx of  
75 hydrochloric acid into the upper small intestine has been shown to result in a significant increase in  
76 bicarbonate secretion rates.(6,15–18) Here it should be mentioned that  $p\text{CO}_2/\text{pH}$  measurements have  
77 been criticized as sometimes leading to an underestimation of bicarbonate concentration,(19) in which  
78 case values at the upper end of the reported ranges for the stomach and upper intestine are likely to be  
79 closer to the true intraluminal values.

80  
81 The buffer capacity of the GI contents can be estimated by aspirating the luminal contents from the region  
82 of interest and titrating the sample with a strong acid or base. Handling of aspirates and any storage prior  
83 to titration appear to have an impact on the measured value, since both sample handling techniques and  
84 reported values for pH and buffer capacity vary widely among studies. It has been reported that the pH of  
85 samples aspirated from the upper intestine drift to higher values when the samples remain on the bench  
86 at room temperature.(20) The authors attributed the drift to the transformation of bicarbonates to carbon  
87 dioxide.(20) Moreover, Litou et al. demonstrated that subjecting the samples to a freeze-thaw cycle  
88 significantly reduces the measured buffer capacity values in both the stomach and in the upper  
89 intestine.(21)

90 To resolve the various issues described above and thus assist in achieving a standardized methodology for  
91 sample handling of GI aspirates, this study had three specific objectives: First, to investigate the impact of  
92 sample handling on values of pH and buffer capacity measured in gastric and intestinal aspirates. In several  
93 studies reported in the literature, the pH and buffer capacity values were determined after centrifugation  
94 of the aspirated samples and/or after subjecting the aspirates to a freeze-thaw cycle (22–26), while in  
95 others these measurements were made immediately after obtaining the sample (20,21). Second, to  
96 compare the impact of freeze-thaw cycling and centrifugation on the pH and buffer capacity of bicarbonate  
97 solutions prepared in the laboratory with the impact of these sample handling procedures on aspirated  
98 samples. Third, to evaluate the impact of drug administration on buffer capacity via locally and/or  
99 systemically mediated mechanisms, based on literature data.(21,23)

100 **2. Methods**

101 *2.1 Data from published human intubation studies that were considered in the present work*

102 For each clinical study published to date, the protocol as well as the aspirate collection and handling  
103 procedures prior to the *ex vivo* measurements are summarized in Table 1. In this work, data from the  
104 studies of Litou et al.,(21) Pedersen et al.,(24) Kalantzi et al.(20) and Persson et al.(26) were used to  
105 evaluate the impact of a freeze-thaw cycle on the buffer capacity values in gastric aspirates and in aspirates  
106 from the upper small intestine. In all these studies, the adult volunteers were healthy, had fasted overnight  
107 prior to the study day and had received no treatment prior to the aspirations. In each of these studies the  
108 buffer capacity was measured with NaOH in gastric aspirates and with HCl in aspirates from the upper  
109 small intestine. In the study by Kalantzi et al.(20) 10 mg/mL PEG 4000 was used as a non-absorbable  
110 marker. Data from the studies of Kalantzi et al. and Litou et al. were reported as individual values.(20,21)  
111 Data from the study of Pedersen et al.(24) were reported as mean (SD) values, resulting from six  
112 measurements in one pooled sample of gastric contents aspirated from three healthy volunteers, whereas  
113 data from the study of Persson et al.(26) were reported as a single value corresponding to one pooled  
114 sample of intestinal fluids aspirated from six healthy volunteers.

115  
116 Individual data from the study of Litou et al.(21) were used to evaluate the impact of a freeze-thaw cycle  
117 on the estimated buffer capacity values in the stomach after treatment with famotidine to elevate the  
118 gastric pH. In that study the adult volunteers were healthy, had fasted overnight prior to the study day,  
119 and had received a treatment with famotidine prior to aspiration. In this case, the buffer capacity in the  
120 stomach was estimated immediately upon aspiration by titrating with NaOH and additionally after one  
121 freeze-thaw cycle by titrating with NaOH and by titrating with HCl (i.e. in both directions).

122

123 Data from the study of Hens et al.(23) were used to evaluate the impact of administration of ibuprofen (a  
124 weak acid) prior to initiation of aspiration on the pH and buffer capacity in the stomach and upper small  
125 intestine. In that study aspirates collected from another study by the same group were used(27). In the  
126 Koeningsknecht et al. study(27) the healthy adult volunteers fasted overnight prior to the study day and  
127 received 800 mg ibuprofen prior to aspiration. 25 mg of phenol red were used as a non-absorbable marker.  
128 Buffer capacity was measured with NaOH in aspirates collected from the stomach and with HCl in aspirates  
129 collected from the upper intestine, after centrifuging at 21000 g for 5 min and then freezing the samples  
130 at -80 °C. At an undisclosed time-point during the sample handling and the buffer capacity measurement,  
131 pure mineral oil was added to the sample. In the Hens et al. study the mean buffer capacity and pH values  
132 were reported at each aspiration time. Relevant data from this study were digitalized from the published  
133 figures using WebPlotDigitizer (v. 4.0, Texas, USA).

134  
135 Bergström et al. reported a median value for jejunal buffer capacity, but provided no information about  
136 either the protocol of the clinical study or of the sample handling procedures.(28) Perez de la Cruz Moreno  
137 et al. did not clarify whether the titrations were performed with NaOH or HCl.(22) Fadda et al. did not  
138 clarify which samples were measured immediately upon aspiration and which after freezing and  
139 thawing.(25) Therefore, data from those three studies could not be used in the present analysis.

140  
141 Pairwise statistical comparisons were performed in all cases using parametric or distribution-free tests,  
142 depending on the results of the normality and equal variance tests, using SigmaPlot 11.0 (Systat Software  
143 Inc. Chicago, IL, USA) and setting the Type I error at 0.05.

144

145 *2.2 Impact of centrifugation on the pH of aspirates from the fasted upper small intestine*

146 In a further study (29), eight successive aspirates were collected from the upper intestine of a fasted  
147 volunteer between 5 and 70 minutes after administration of 30 mg dipyridamole as an aqueous solution.  
148 The aspirates were centrifuged at 37 °C for 10 min and 12560 g immediately after aspiration, and the pH  
149 after centrifugation was compared with the pH measured immediately upon aspiration. On a separate  
150 occasion, eight successive aspirates were collected over 5-70 minutes from the same volunteer after  
151 administration of 90 mg dipyridamole as an aqueous solution. These samples were placed in centrifuge  
152 vials, which were immediately sealed and then centrifuged at 37 °C for 10 min and 12560 g: here, too, the  
153 pH values after centrifugation were compared with the pH measured immediately upon aspiration. The  
154 comparative data are presented in this work for the first time. The differences between the pH values  
155 before and after centrifugation were evaluated using either the paired t-test or Wilcoxon Signed-Rank test,  
156 depending on the results of normality and equal variances testing, with the Type I error set at 0.05. The  
157 statistical analysis of the data was performed using the SigmaPlot 11.0 software (Systat Software Inc.,  
158 Chicago, IL, USA).

159

160 *2.3 Titration methodologies for determining buffer capacity*

161 In all studies identified in the literature the buffer capacity of samples aspirated from the stomach was  
162 determined by titration with NaOH. In the case of samples aspirated from the upper small intestine, most  
163 published buffer capacity values were determined by titration with hydrochloric acid. It should be noted  
164 that the contents of the upper intestine are more resistant to a decrease in pH value when a strong acid is  
165 added than to an increase in pH when an equivalent molar amount of a strong base is added.(21,26)

166



167 *2.4 In vitro experiments with bicarbonate solutions*

168 Bicarbonate buffers of 10, 20, 30, 50 and 100 mM were prepared using the appropriate amount of sodium  
169 hydrogen carbonate (Alfa Aesar, LOT: Z07C065, ThermoFisher GmbH, Kandel, Germany) and adjusting the  
170 final pH of the buffer to 6.5 with HCl with the aid of a pH electrode (pHenomenal®, VWR Int. Leuven,  
171 Belgium). Buffer capacity measurements were performed by dropwise addition of HCl after various storage  
172 conditions and sample handling procedures, as follows:

173 a) immediately upon buffer preparation,

174 b) after freezing the sample in a sealed vial (-20 °C, 10 d),

175 c) after centrifuging (20 °C, 21000 g, 5 min) and freezing the sample in a sealed vial (-20 °C, 10 d), and

176 d) after leaving the sample in a sealed vial on the bench for 4 or for 24 h.

177 Frozen samples were allowed to thaw on the bench at room temperature for about 1 h before measuring  
178 the pH and buffer capacity. Experiments were performed at least in triplicate. The statistical evaluation of  
179 differences was performed with one-way ANOVA or the Kruskal-Wallis test, depending on the results of  
180 normality and equal variance testing, and post hoc comparisons were carried out using the Tukey test  
181 (SigmaPlot 11.0, Systat Software Inc., Chicago, IL, USA). The Type I error was set at 0.05 in all cases.

182 **3. Results**

183 *3.1 Impact of sample handling on pH and buffer capacity of aspirates from the upper gastrointestinal tract*  
184 *of healthy adult volunteers in the fasted state*

185 3.1.2 Gastric aspirates

186 For aspirates collected from the fasted healthy adult stomach,(20,21,24) measurements immediately after  
187 aspiration, or after one freeze-thaw cycle indicate that the pH is not significantly different (pH 1.73, n=60  
188 (20,21) vs. pH 1.92, n=16 (21,24), Mann-Whitney,  $p=0.078$ ). There appears to be a relation between the  
189 buffer capacity and gastric pH (Figure 1). As can be observed from the insert graphs in Figure 1, there is a  
190 linear correlation between the measured buffer capacity value and the hydrogen ion concentration  
191 (calculated according to the measured pH value), independent of whether the measurement was  
192 performed immediately after aspiration ( $R^2 = 0.85$ ) or after one freeze-thaw cycle ( $R^2 = 0.82$ ). The outlying  
193 datum for buffer capacity after one freeze-thaw cycle (Figure 1B) from the study of Pedersen et al.(24)  
194 could be related to the fact that no water was administered in that study prior to aspiration, unlike in the  
195 studies by Kalantzi et al.(20) and Litou et al.,(21) in which 240 mL of water had been administered prior to  
196 aspiration (see Table 1).

197 The median buffer capacity of gastric fluids measured immediately upon aspiration (17.4 mmol/L/ $\Delta$ pH,  
198 n=60 (20,21) was far higher than after one freeze-thaw cycle (6.6 mmol/L/ $\Delta$ pH, n=16 (21,24); Mann-  
199 Whitney,  $p=0.007$ ).

200  
201 Data measured in aspirates collected from the stomach after pretreatment with famotidine indicated that  
202 one freeze-thaw cycle did not affect the pH significantly (paired t-test,  $p=0.301$ ). The absence of a clear  
203 relationship between pH and buffer capacity in this case can be attributed to the lack of HCl and the  
204 presence of other components in the gastric aspirates (Figure 2).(30–32)

205 The mean buffer capacity of gastric fluids after treatment with famotidine was significantly higher when  
206 measured immediately after aspiration (0.62 mmol/L/ $\Delta$ pH), than after one freeze-thaw cycle (0.21  
207 mmol/L/ $\Delta$ pH; paired t-test, n=16, p<0.001).

### 208 3.1.2 Intestinal aspirates

209 When vials containing aspirates from the fasted upper small intestine were not sealed prior to  
210 centrifugation, the centrifugation procedure (37 °C, 12560 g, 10 min) increased the median (range) pH  
211 values significantly from 6.11 (2.67-6.74) to 6.70 (2.67-7.29) (p=0.008).(29) When the vials were sealed  
212 prior to centrifugation, the effect was reduced, with the pH rising from 5.84 (4.38-7.03) to 5.89 (4.38-7.48),  
213 but still statistically significant (p=0.031).(29)

214 pH values of aspirates from upper intestine were not significantly affected by one freeze-thaw cycle (6.35,  
215 n=47 vs 6.86, n=18, Mann-Whitney, p=0.168)(20,21,26). The median buffer capacity measured  
216 immediately upon aspiration (7.0 mmol/L/ $\Delta$ pH, n=45(20,21)) was significantly higher than that after one  
217 freeze-thaw cycle (4.8 mmol/L/ $\Delta$ pH, n=17(21,26)) (Mann-Whitney, p=0.019) (Figure 3).

## 218 *3.2 The impact of ibuprofen together with centrifugation/freezing of samples on the pH and buffer capacity* 219 *in aspirates from the upper gastrointestinal tract of healthy adult volunteers in the fasted state*

### 220 3.2.1 Gastric aspirates

221 Administration of 800 mg ibuprofen significantly elevated the gastric pH value; median pH values were  
222 1.73 (n=60)(20,21) measured immediately upon aspiration without prior drug administration compared  
223 with pH 2.63 (n=13)(23) measured immediately upon aspiration after administration of ibuprofen (Mann-  
224 Whitney, p<0.001). However, the buffer capacity of the gastric contents measured after administration of  
225 ibuprofen and after centrifuging/ freezing the samples was not significantly affected. In aspirates that were  
226 obtained from volunteers who had not received ibuprofen and which underwent a freeze-thaw cycle prior  
227 to measurement the median value was 6.6 mmol/L/ $\Delta$ pH (n=16) (21,24), whereas in aspirates that were

228 obtained from another set of volunteers who had received ibuprofen and which had undergone both  
229 centrifugation and a freeze-thaw cycle, the median value was 4.7 mmol/L/ $\Delta$ pH (n=13) (23) ( $p=0.283$ ,  
230 Mann-Whitney) (Figure 4A).

### 231 3.2.2 Intestinal aspirates

232 The pH values measured immediately upon aspiration in samples collected from the upper small intestine  
233 after administration of 800 mg ibuprofen (median 5.51, n=26 (23)) were significantly lower than those  
234 measured immediately upon aspiration with no prior drug administration (median 6.35, n=47 (20,21))  
235 ( $p=0.002$ , Mann-Whitney). The observation is in line with data reported by Hoffman et al. (33) who  
236 measured the intestinal pH with a Heidelberg capsule in eight healthy volunteers. In that study, values  
237 reported after administration of an ibuprofen suspension at various infusion rates were lower than  
238 average population data. However, the exact region of the upper small intestine at which the pH was  
239 measured was not confirmed in this study.(33)

240 Given that the pH values in aspirates collected from the upper small intestine are significantly lowered by  
241 prior administration of ibuprofen and/or centrifuging of aspirates, the buffer capacity values are also  
242 expected to be affected. Indeed, the buffer capacity measured in aspirates under ibuprofen administration  
243 combined with centrifugation/freezing sample handling (median 1.0 mmol/L/ $\Delta$ pH, n=26 (23)) was  
244 significantly lower than in other studies in which no ibuprofen was administered and the samples were  
245 frozen without having been centrifuged (median 4.72 mmol/L/ $\Delta$ pH, n=16(21,26)) ( $p<0.001$ , Mann-  
246 Whitney) (Figure 4B).

247

248 *3.3 The impact of handling and storage on buffer capacity of bicarbonate solutions prepared in the*  
249 *laboratory*

250 Data with respect to the impact of sample handling procedures and storage conditions on the buffer  
251 capacity of solutions of bicarbonate prepared in the laboratory at concentrations of 10 mM to 100 mM  
252 are presented in Tables 2 and 3.

253 At a 10 mM concentration of bicarbonate, subjecting the samples to centrifugation followed by one  
254 freeze–thaw cycle increased the pH (Kruskall-Wallis,  $p=0.004$ ) and decreased the buffer capacity (one-way  
255 ANOVA,  $p=0.021$ ) significantly. By contrast, subjecting the samples to just the freeze–thaw cycle (without  
256 centrifugation) did not affect either the pH or the buffer capacity significantly (Table 2). Keeping the  
257 sample on the bench for 4 h or 24 h (Table 3) led to a statistically significant increase in pH with an  
258 attendant decrease in buffer capacity (one-way ANOVA,  $p<0.001$  for both parameters, all pairwise  
259 comparisons were significantly different for both parameters).

260 At a bicarbonate concentration of 30 mM buffer, centrifuging and/or freezing the sample significantly  
261 increased the pH and decreased the buffer capacity (one-way ANOVA,  $p<0.001$ , for both parameters).  
262 Keeping the sample on the bench for 24 h significantly increased the pH (one-way ANOVA,  $p<0.001$ , all  
263 pairwise comparisons) and decreased the buffer capacity (one-way ANOVA,  $p=0.012$ ).

264 At a very high bicarbonate concentration of 100 mM buffer, centrifuging and/or freezing the sample did  
265 not affect the pH or the buffer capacity (one-way ANOVA,  $p=0.197$ ). While keeping the sample on the  
266 bench for 24 h significantly increased the pH (6.50 vs. 7.04, (Kruskal – Wallis,  $p=0.004$ ), the buffer capacity  
267 was not significantly altered (one-way ANOVA,  $p=0.123$ ).

268  
269 Overall, it was observed that keeping the sample on the bench for 4 h leads to a significant increase in the  
270 pH and to a significant decrease in the buffer capacity at bicarbonate concentrations up to 30mM.  
271 Likewise, freezing and/or centrifuging the sample affects the pH and buffer capacity significantly at

272 concentrations of up to 30 mM (i.e. within the physiological range of bicarbonate concentrations that have  
273 been observed). The observed differences are greater when the sample has been both centrifuged and  
274 frozen than when it is simply frozen before storage. The results are in general agreement with the study  
275 of Leijssen et al., in which the “loss of label” (i.e. decrease in concentration) of bicarbonate solutions was  
276 investigated *in vitro*. The authors reported that different stirring rates (when the bicarbonate solution was  
277 placed in a beaker) resulted in a loss of label up to 58% in one hour and that that the percentage loss could  
278 be reduced by increasing the bicarbonate buffer concentration from 1 to 10 mM.(34)

279 In summary, at bicarbonate concentrations in the physiological range of values observed in the fasted state  
280 in the small intestine, both the pH and buffer capacity become very sensitive to the sample handling  
281 procedure, so it is imperative to ensure that the sample handling procedure is closely controlled.

282 **4. Discussion**

283 A general comment on the studies with aspirates from the stomach and upper intestine is that the sample  
284 handling, use of marker compounds and pretreatment with drugs all vary from study to study. Although  
285 this is to be expected to some extent because of the different aims of the studies, it impedes a  
286 straightforward comparison of the results. At least for the purposes of determining inter-subject variability  
287 in parameters like pH and buffer capacity (and other relevant upper GI parameters such as bile salt  
288 concentrations), it would be extremely helpful to have a harmonized protocol.

289 *4.1 pH in aspirates*

290 It can be concluded that the pH of the samples aspirated from the fasted stomach and upper small  
291 intestine is not significantly affected by a single freeze-thaw cycle (section 3.1). By contrast, centrifugation  
292 of intestinal aspirates upon collection increases the pH of the sample (section 3.1). It has been reported  
293 that the pH of samples aspirated from the upper small intestine drifted to higher values when the samples  
294 were kept on the bench at room temperature. The authors attributed the drift to the transformation of  
295 bicarbonates to carbon dioxide.(20) Taken together, these observations suggest that different sample  
296 handling procedures can have an effect on the measured pH values.

297 *4.2 Buffer capacity in aspirates*

298 The data presented here show that the buffer capacity of samples aspirated from the either the fasted  
299 stomach or the fasted small intestine is lowered significantly by subjecting the sample to a freeze-thaw  
300 cycle (section 3.1). Further, comparing studies in which ibuprofen was administered and the samples were  
301 centrifuged before freezing with studies in which no drug was administered and samples were frozen  
302 without having been centrifuged (section 3.2), it appears that centrifugation also leads to a decrease in  
303 buffer capacity. Thus, it is evident that the accuracy of the buffer capacity measurements of fluids  
304 aspirated from the upper GI tract is compromised when they are not performed immediately upon

305 aspiration. Since centrifugation or leaving the sample on the laboratory bench for several hours both affect  
306 the pH, these sample handling procedures are expected to have a knock-on effect on the accuracy of the  
307 measurement of the buffer capacity as well.

308  
309 Similar concerns with respect to the effects of sample handling on pH and buffer capacity have been made  
310 for other body fluids. For example, Gittings et al. performed pH and buffer capacity measurements in  
311 human saliva collected from healthy volunteers, immediately upon collection and after storing the samples  
312 at -80°C, respectively.(35) The authors recognized that bicarbonate buffer is a dynamic system and opined  
313 that in saliva samples carbon dioxide may be lost from the system.

#### 314 4.3 *In vitro* testing

315 Comparison of the *in vivo* and *in vitro* observations provides experimental evidence for non-exclusivity of  
316 bicarbonates in the regulation of pH in the fasted upper small intestine as well as in the fasted stomach at  
317 elevated pH. The results from the *in vitro* experiments indicated that both the buffer capacity and the pH  
318 of bicarbonate solutions up to 30 mM are affected by subjecting the samples to a freeze-thaw cycle. Since  
319 subjecting the samples to a freeze-thaw cycle does not significantly affect the pH of aspirates from the  
320 upper small intestine or from the stomach when the subjects are pretreated with famotidine (section 3.1),  
321 the question of whether bicarbonate is the sole contributor to the buffer system in the upper  
322 gastrointestinal tract arises. It appears that in these aspirates, species other than bicarbonates e.g.  
323 enzymes and/or mucin glycoproteins, may play an important role in regulating the intraluminal pH. This  
324 possibility is also supported by recent data concerning the importance of bicarbonates in biorelevant  
325 media simulating the conditions in the stomach under elevated gastric pH conditions and in the upper  
326 small intestine in the fasted state.(21,36)

327



328 Proteins are present both in gastric and intestinal fluids. Lindahl et al. reported, among others,  
329 concentrations of proteins in the fasted gastric fluids of  $2.1 \pm 1.2$  mg/mL.(37) This value is in general  
330 agreement with the study of Litou et al., where concentrations of  $0.27 \pm 0.14$ ,  $0.53 \pm 0.18$  and  $0.71 \pm 0.35$   
331 mg/mL at 10, 20 and 35 min after administration of 240 mL of water, respectively, were reported.(21) With  
332 regard to the upper small intestine, Lindahl et al. reported protein concentrations in jejunal fluids of  $1.8 \pm$   
333  $0.7$  mg/mL.(37) Similar values were reported by Kalantzi et al. for the fasted duodenum ( $3.1$  mg/mL),(20)  
334 Persson et al. ( $1 \pm 0.1$  mg/mL)(26) and Litou et al. ( $1.00 \pm 0.37$ ,  $1.8 \pm 1.2$ ,  $2.7 \pm 1.7$  and  $3.7 \pm 0.11$  mg/mL at  
335 5, 10, 30 and 50 min after administration of 240 mL of water).(21) Since the freeze-thawing process can  
336 denature or destabilize proteins,(38) it is important to measure their contributions to buffer capacity by  
337 titrating immediately after collection of the aspirate. From the observations in this study as well as the  
338 literature data on other physiological fluids (39–44), it seems that bicarbonates may not be the only  
339 contributors to the buffer system of the luminal fluids in the upper gastrointestinal tract and that proteins  
340 likely have an important role.

#### 341 *4.4. Effects of drug administration on pH and buffer capacity*

342 Some authors have administered a drug prior to aspirating samples from the upper GI tract and it is quite  
343 clear that the administration of some drugs prior to the initiation of aspirations can have an effect on the  
344 measured pH and/or buffer capacity of the luminal aspirates.

345 A case in point is famotidine, a histamine 2 receptor antagonist, which like proton pump inhibitors is often  
346 used to elevate the gastric pH. In the Litou et al. study(21) it was shown that a 40 mg dose of famotidine  
347 (20 mg famotidine 14 h and 2 h prior to aspirations) elevates the gastric pH to values of pH 7 or more.  
348 Under these conditions the buffer capacity is reduced to a very low value (mean  $0.62$  mmol/L/ $\Delta$ pH) due  
349 to the suppression of gastric acid secretion combined with the intake of a glass of water prior to aspiration.  
350 Interestingly, even at these extremely low buffer capacities, subjecting the sample to a freeze-thaw cycle  
351 prior to measurement resulted in a further decrease of the buffer capacity (section 3.1).

352 Hens et al. reported that the buffer capacity decreased after administration of 800 mg ibuprofen.(23) This  
353 can be partly explained by the decrease in pH when ibuprofen dissolves in the intestinal lumen to a value  
354 far lower than the pKa of the bicarbonate buffer system, thus weakening the buffer capacity of the  
355 bicarbonate. However, the pharmacological effect of ibuprofen should be also taken into consideration  
356 when interpreting its effects on pH and buffer capacity in the gastrointestinal tract. It has been suggested  
357 that bicarbonate secretion from the duodenal mucosa is regulated through cephalic-vagal stimulation,  
358 non-humoral mediators activated by the presence of acid in the stomach, as well as locally produced  
359 prostaglandins of the E-type (PGEs), which stimulate the bicarbonate secretion in the proximal and distal  
360 duodenum and are released by the presence of acid in the intestinal fluids.(12,13,45–48) The suppression  
361 of proximal and distal duodenal bicarbonate secretion after administration of an non-steroidal anti-  
362 inflammatory drug (NSAID) has been investigated in healthy subjects (50 mg of indomethacin orally  
363 administered 13 h and 1h prior to the study, or 50 mg of indomethacin rectally administered at identical  
364 time intervals, n=10).(49) In that study, the authors concluded that administration of NSAIDs could cause  
365 duodenal mucosal bicarbonate injury at least partly by decreasing mucosal prostaglandin generation.(49)  
366 It seems, therefore, that the decrease in luminal pH and buffer capacity induced by ibuprofen is mediated  
367 via both physicochemical interactions in the lumen and systemic pharmacological effects.

368 **5. Conclusions**

369 Data collected from aspiration studies comprise the most valuable source of information with respect to  
370 characterizing the gastrointestinal environment and the properties of the gastrointestinal fluids, as well as  
371 the inter-subject variability in the associated parameters.

372 This study showed that sample handling procedures can significantly affect the pH and buffer capacity  
373 measurements of samples aspirated from the fasted upper gastrointestinal tract. It is therefore  
374 recommended that reporting of the physiological pH and buffer capacity values of fluids in the fasted  
375 upper gastrointestinal lumen should rely exclusively on data collected immediately upon aspiration,  
376 without prior drug treatment of the volunteers and without any additional sample handling.

377 There is a clear need for a standardized aspiration study protocol based on best practices to enable  
378 accuracy of the measurements and comparability of results across aspiration studies. Only data obtained  
379 in this way provide a valid basis for designing biorelevant test conditions and setting the physiological  
380 parameters in Physiologically Based Pharmacokinetic (PBPK) models.

381 Since both pH and buffer capacity of bicarbonate solutions up to 30mM are more sensitive to a freeze-  
382 thaw cycle than in aspirates, in addition to hydrochloric acid and bicarbonates, other substances may play  
383 a role in regulation of pH in the upper GI tract in the fasted state. In particular, further studies are needed  
384 in order to better define the role of proteins, and possibly other components, in the buffer capacity of the  
385 luminal fluids.

386

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390

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559

560

561 Table 1: Published median pH and average buffer capacity values for the gastric contents and the contents of upper intestine of fasted adults, clinical  
 562 study protocols and aspirate storage conditions and handling procedures.

	H <sub>2</sub> O volume (mL)	Drug pretreatment	Sample handling				Time of measurement (min)	Median/Mean pH (range/±SD)*	Average buffer capacity (±SD) (mmol/L/ΔpH)	Titrant	Reference
			Immediate measurement	Pooling	Centrifugation	Freezing					
Stomach	250	800 mg ibuprofen			✓	✓	0-420	2.6 (1.8-3.7)	4.7 (1.3)	NaOH	(23)
	240	-	✓				10	2.7 (1.9-3.9)	4.7 (4.6)	NaOH	(21)
							20	1.7 (1.3-2.0)	21.3 (11.4)		
							35	1.6 (1.1-2.4)	27.6 (15.7)		
	240	40 mg famotidine	✓				10	7.2 (6.9-7.3)	0.5 (0.2)	NaOH	(21)
							20	7.1 (6.0-7.2)	0.7 (0.2)		
							35	7.1 (4.7-7.3)	1.3 (0.7)		
	-	-		✓		✓	N/A	2.5 (1.40)	14.3 (9.5)	NaOH	(24)
	250	-	✓				20	2.4	7.0	NaOH	(20)
							40-60	1.7	18.0		
Duodenum	250	800 mg ibuprofen			✓	✓	0-420	5.1 (4.5-5.8)	1.4 (0.4)	HCl	(23)
	240	-	✓				5	6.8 (6.4-7.2)	8.4 (2.9)	HCl	(21)
							15	6.2 (2.3-7.1)	19.2 (33.7)		
							30	6.3 (3.0-7.0)	9.0 (3.8)		
							50	6.5 (2.7-7.7)	14.2 (10.5)		
	240	40 mg famotidine	✓				5	7.2 (7.1-7.6)	6.1 (0.8)	HCl	(21)
							15	7.2 (7.0-7.7)	9.0 (3.8)		
							30	7.1 (6.6-8.4)	7.7 (2.8)		
							50	7.3 (6.2-8.0)	6.9 (2.7)		
	N/A	-			✓	✓	N/A	N/A	4.0-13.0 (range)	N/A	(22)

	250	-	✓				30	6.2	5.60	HCl	(20)
Jejunum	250	800 mg ibuprofen			✓	✓	0-420	5.6 (4.9-6.1)	0.8 (0.3)	HCl	(23)
	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	4.0	N/A	(28)
	N/A	-	✓	✓		✓	N/A	7.1 (0.5)	3.2 (1.3)	HCl	(25)
	-	-		✓		✓	0-150	7.5	2.4	HCl	(26)
	-	-		✓		✓	0-150	7.5	2.8	NaOH	(26)

563 N/A: not available information

564 \*In the Hens et al.,(23) Litou et al.,(21) Pedersen et al.(24) and Kalantzi et al.(20) studies pH was measured immediately upon aspiration. In the  
565 studies of Persson et al.(26) and Fadda et al.(25) the exact timepoint of the measurement of the pH is not specified.

566

567

568 *Table 2: The impact of freezing and of centrifuging and freezing on the pH and buffer capacity of bicarbonate buffer systems as a function of*  
 569 *concentration.*

Buffer concentration (mM)	pH			Buffer capacity		
	Upon preparation	After freezing	After centrifugation and freezing	Upon preparation	After freezing	After centrifugation and freezing
<b>10</b>	6.50	7.08 p>0.05	7.28 p<0.05	5.83	5.33 p=0.140	4.67 p=0.004
<b>20</b>	6.50	7.22 p<0.001	7.23 p<0.001	11.4	10.93 p>0.05	10.13 p>0.05
<b>30</b>	6.50	7.28 p<0.001	7.32 p<0.001	17.33	15.27 p<0.001	14.67 p<0.001
<b>50</b>	6.50	7.30 p=0.071	7.33 p=0.071	27.2	23.60 p=0.05	24.00 p=0.05
<b>100</b>	6.50	7.30 p=0.05	7.36 p=0.05	51.44	44.30 p>0.05	42.33 p>0.05

570

571 *Table 3: The impact of keeping the sample on the bench for 4 or 24 h on the pH and buffer capacity of bicarbonate buffer systems as a function of*  
 572 *concentration.*

Buffer concentration (mM)	pH			Buffer capacity		
	Upon preparation	After 4 h	After 24 h	Upon preparation	After 4 h	After 24 h
<b>10</b>	6.50	6.78 p<0.001	7.10 p<0.001	5.83	5.57 p<0.001	5.83 vs 4.70 0.005
<b>20</b>	6.50	6.99 p<0.001	7.15 p<0.001	11.4	11.20 p>0.05	9.70 p>0.05
<b>30</b>	6.50	6.89 p<0.001	7.04 p<0.001	17.33	17.27 p > 0.05	15.13 p=0.008
<b>50</b>	6.50	6.87 p<0.001	7.03 p<0.001	27.2	26.80 p>0.05	21.07 p<0.001
<b>100</b>	6.50	6.89 p>0.05	7.04 p=0.004	51.44	50.80 p>0.05	49.67 p>0.05

573

574 **Figure Captions**

575

576 Figure 1: Data on the buffer capacity of gastric contents in fasted healthy adults vs. the corresponding pH  
577 values previously published by Litou et al.(21) (●, individual data), by Kalantzi et al.(20) (■, individual data),  
578 and by Pedersen et al.(24) (◆, mean ± SD data). (A) Data measured immediately upon aspiration; (B) data  
579 measured after one freeze-thaw cycle. The inserts in the Figure represent the linear relationship between  
580 the buffer capacity, measured immediately upon aspiration or after one freeze-thaw cycle, with the  
581 concentration of hydrogen ions.

582

583 Figure 2: Data on the buffer capacity of gastric contents of fasted healthy adults after pretreatment with  
584 famotidine vs. the corresponding pH values previously published by Litou et al.(21) (A) data measured  
585 immediately upon aspiration; (B) data measured after one freeze-thaw cycle.

586

587 Figure 3: Data on the buffer capacity of contents of upper intestine of fasted healthy adults vs. the  
588 corresponding pH values published previously by Litou et al.(21) (●), by Kalantzi et al.(20) (■), and by  
589 Persson et al.(26) (×). (A) data measured immediately upon aspiration; (B) data measured after one freeze-  
590 thaw cycle.

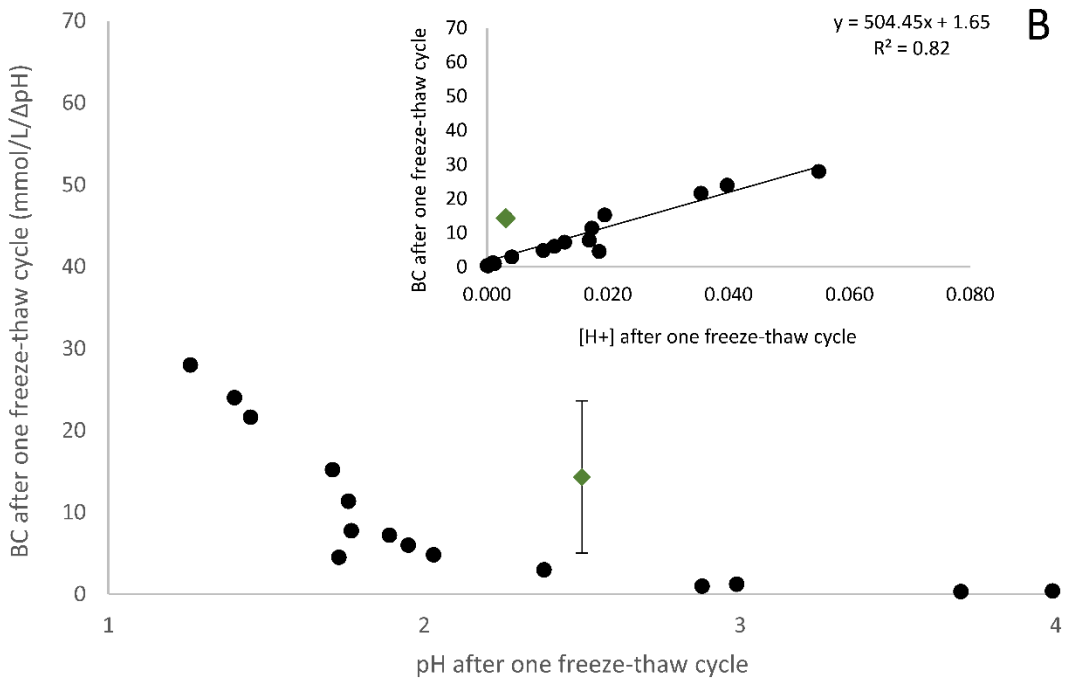
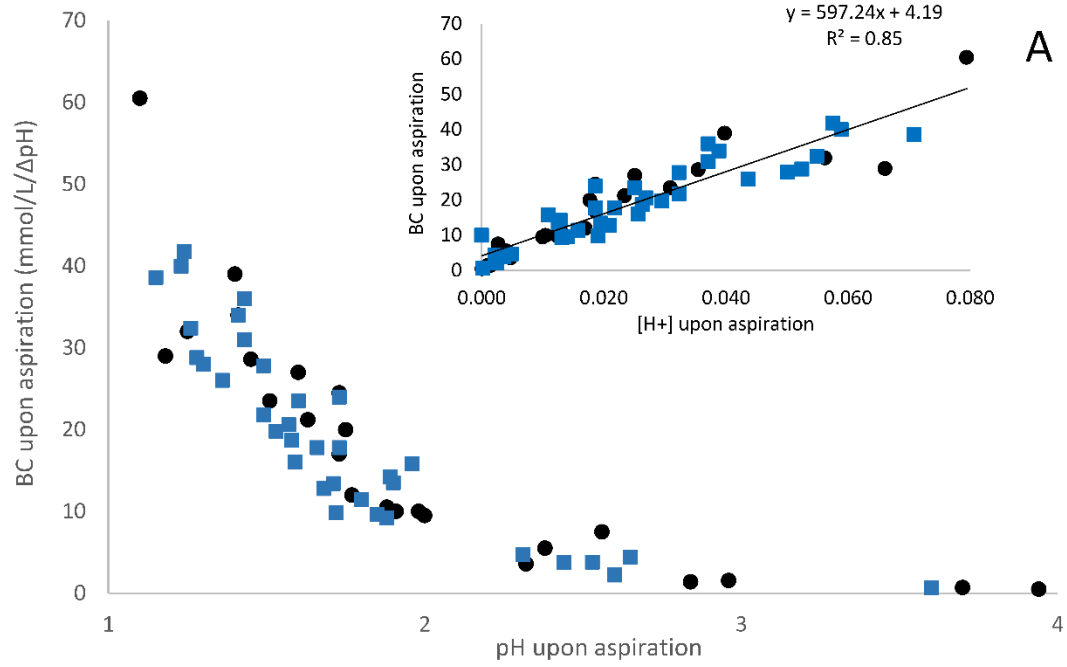
591

592 Figure 4: (A) Data on the buffer capacity of fasted adult gastric contents vs. the corresponding pH values  
593 collected without prior treatment [Litou et al.(21) (●),and Pedersen et al.(24) (◆)], and after  
594 administration of 800 mg ibuprofen just before initiation of aspirations [Hens et al.(23) (▲)].

595 (B) Data on the buffer capacity of fasted adult contents of upper intestine vs. the corresponding pH  
596 values collected without prior treatment of the volunteers [Litou et al.(21) (●),and Persson et al.(26) (×)]  
597 and after administration of 800 mg ibuprofen just before initiation of aspirations [Hens et al.(23) (▲)].  
598 All data were collected after one freeze-thaw cycle and/or centrifugation and a freeze-thaw cycle.

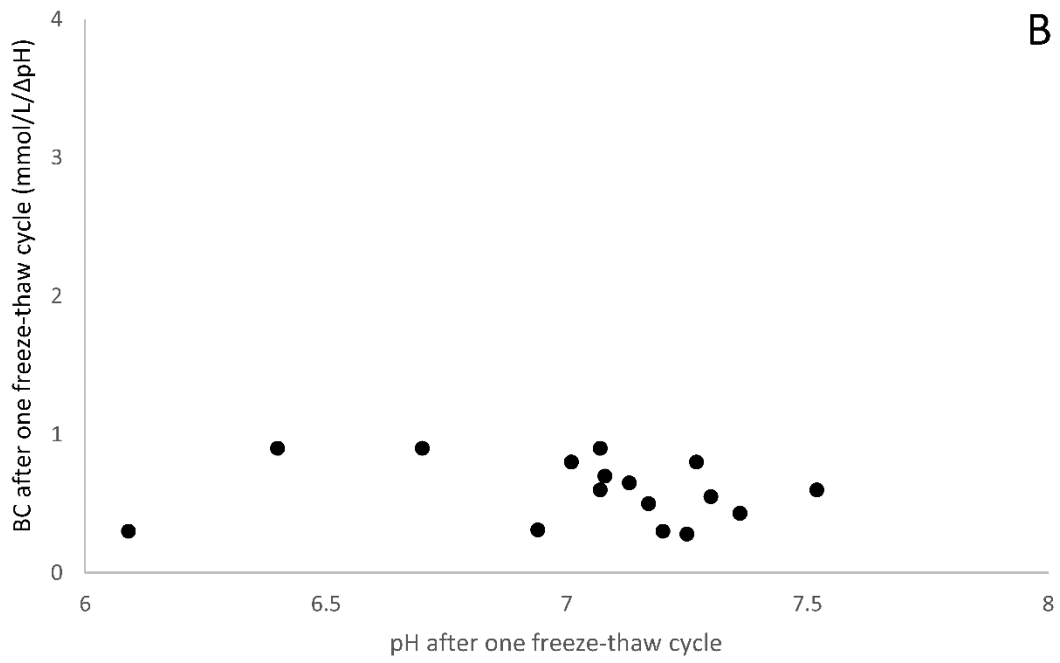
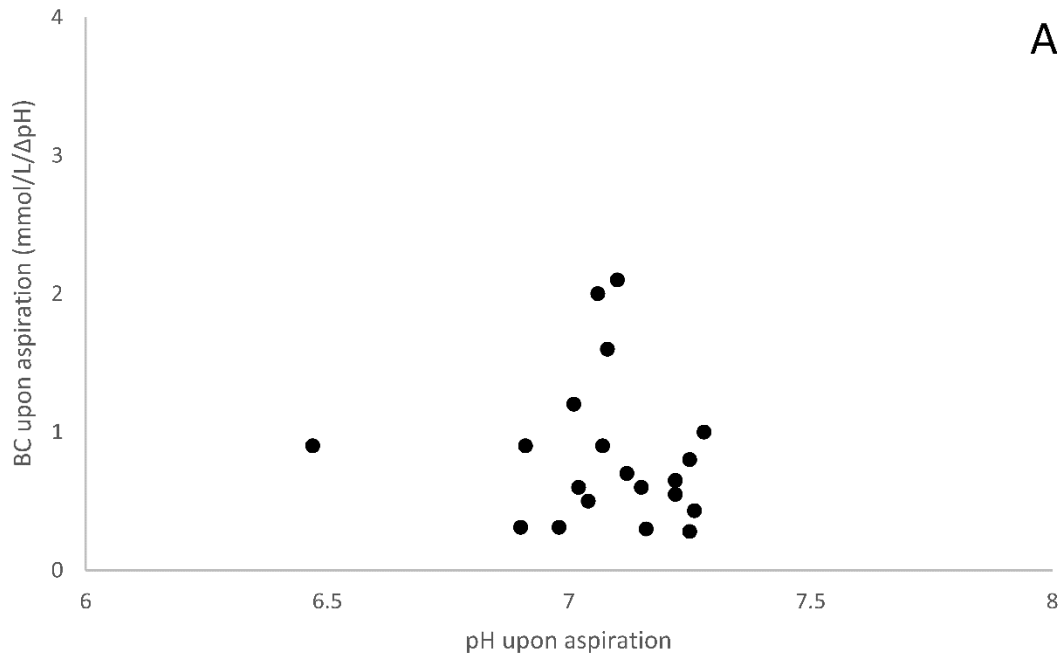


Figure 1



602

Figure 2

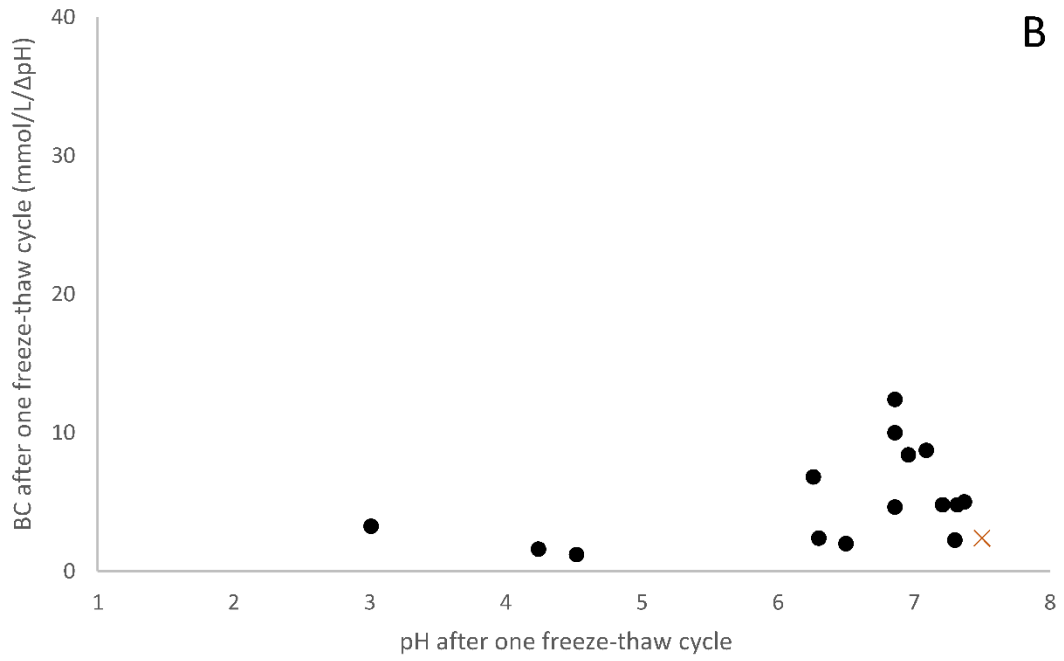
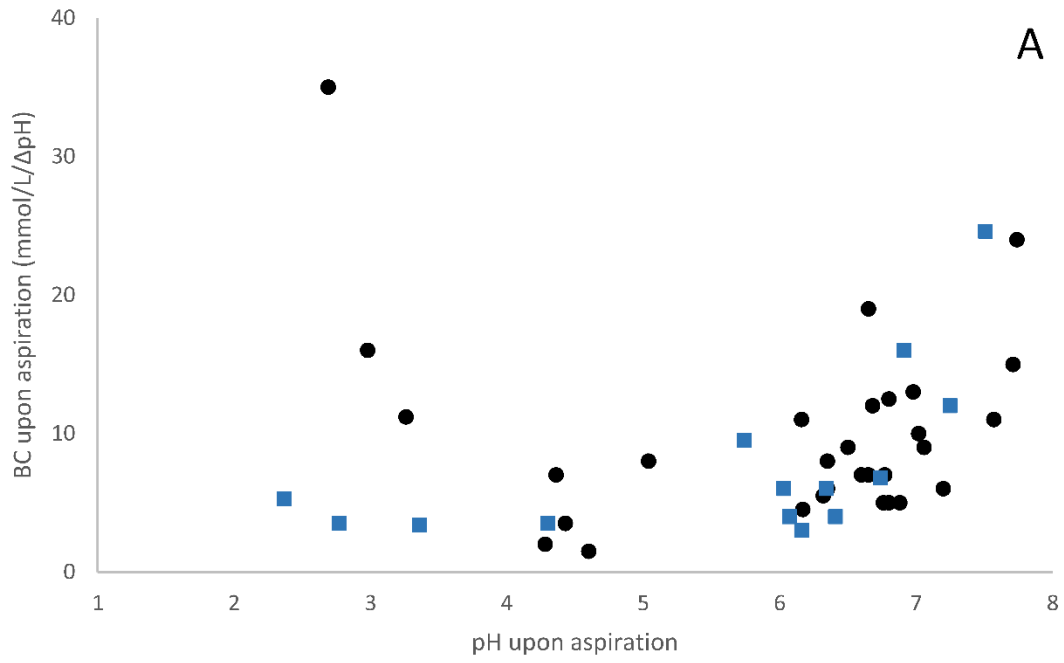


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Figure 3



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Figure 4

