

## ORIGINAL ARTICLE

## Covariant canonical gauge theory of gravitation for fermions

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## Abstract

We derive the interaction of fermions with a dynamical space–time based on the postulate that the description of physics should be independent of the reference frame, which means to require the form-invariance of the fermion action under diffeomorphisms. The derivation is worked out in the Hamiltonian formalism as a canonical transformation along the line of non-Abelian gauge theories. This yields a closed set of field equations for fermions, unambiguously fixing their coupling to dynamical space–time. We encounter, in addition to the well-known minimal coupling, anomalous couplings to curvature and torsion. In torsion-free geometries that anomalous interaction reduces to a Pauli-type coupling with the curvature scalar via a spontaneously emerged new coupling constant with the dimension of mass. A consistent model Hamiltonian for the free gravitational field and the impact of its functional form on the structure of the dynamical geometry space–time is discussed.

## KEYWORDS

canonical transformation, emerging mass parameter, extended Einstein gravity, fermion, gauge theory, gravitation, torsion

## 1 | INTRODUCTION

The early investigations of gauge theories of classical (c-number) fields describing space–time and matter have been carried out in the Lagrangian picture (Einstein 1955; Hehl et al. 1976; Kibble 1961; Sciama 1962; Utiyama 1956; Weyl 1919; Yang & Mills 1954). In contrast, our approach is based on the framework of covariant canonical transformation theory in the Hamiltonian picture pioneered by Struckmeier & Redelbach (2008). That theory is based just on four postulates:

**Hamilton's Principle** also referred to as the Principle of Least Action, states that the dynamics, i.e. the field equations of motion of a system of classical physical fields must be derived by variation from an action functional.

**Non-Degeneracy** of the Lagrangian is essential for the Legendre transform from the Hamiltonian to the

Lagrangian picture (and vice versa) to exist, hence to establish the duality transformation of moments and velocities. This ensures the applicability of the Hamiltonian canonical transformation theory.

**Diffeomorphism invariance** is required to ensure the invariance of the description of physics—the field equations of motion—under chart transitions of the base manifold. Hence, the Hamiltonian must be covariant under arbitrary coordinate transformations (diffeomorphisms). This is what Einstein had in mind by his Principle of General Relativity (Einstein 1950).

**Equivalence Principle** means that locally the space–time must be equivalent to an inertial system invariant under Lorentz transformations.

The restriction to four fundamental underlying assumptions is possible as the canonical transformation framework provides a strong formal guidance to maintain

the form of the action principle and hence of the emerging field equations of motion. Moreover, ambiguities in the form of the dynamics of space–time and its coupling to matter are avoided. The validity of this approach was proven for ordinary gauge theories and shown to deliver from first principles the correct Hamiltonian for any  $SU(N)$  gauge theory (Struckmeier et al. 2017b; Struckmeier & Redelbach 2008; Struckmeier & Reichau 2013). For a dynamical space–time, the approach was extended to a canonical gauge theory of gravity (Struckmeier et al. 2017a, 2019) with scalar (spin-0) and vector (spin-1) fields as sources for the space–time dynamics. This paper is an extension of that previous work, which now derives the gravitational coupling of spin- $1/2$  fields. To this end, an additional structural element is needed for the description of space–time, namely, a global orthonormal (so called tetrad or vierbein) basis attached to every point of the tangent space on the base manifold. Hence, according to the fourth postulate in the above list, we request the frame of any observer to be the inertial space, where the metric is globally Minkowskian. This “Lorentzian space–time” is represented by a frame bundle, and the tetrad field is a global section that pulls back the Minkowski metric to the curved base manifold. With the inclusion of that frame, we have to deal with the additional Lorentz symmetry and combine the diffeomorphism covariance requirement for the base manifold with the Lorentz covariance of the locally attached inertial frames. The resulting symmetry group,  $\text{Diff}(M) \times \text{SO}(1, 3)$ , generalizes the “affine space” of the Poincaré gauge theory (Hehl 2017, 1976).

Throughout the paper, we retain the elementary tensor calculus and apply the conventions of Misner et al. (1973). The request for local invariance with respect to both, the Lorentz transformations on the frame bundle attached to the tangent space, and the diffeomorphism group of chart transitions on the base manifold, is implemented in Section 3.3 via the choice of a generating function, specifically designed for the underlying symmetry group. From this point, the derivation of the diffeomorphism-invariant action is unambiguous and straightforward. The action, presented in Section 3.5.5, serves as the basis to set up the total closed set of canonical field equations for the coupled dynamics of fermions and space–time. In particular, the Dirac equation in curved space–time is worked out. Regularity of the Dirac Hamiltonian invokes a new length parameter  $1/M$  that, while spurious in the case of non-interacting spinors, becomes a physical parameter once interaction with space–time or other gauge fields is turned on. The effective mass of the fermion field acquires an anomalous curvature-dependent mass term, and novel spin-dependent contributions that couple to the torsion of space–time. The curvature-dependent mass term may have a considerable impact on the physics of dense matter

in neutron stars and around black holes, and also on cosmology (Benisty et al. 2018; Struckmeier et al. 2020b; Vasak et al. 2020).

As all gauge theories merely provide the coupling of the given fields with the gauge fields, the Hamiltonians describing their free dynamics must be provided based on physical reasoning. A particular choice of the Hamiltonian of the free gravitational field—going beyond the version advocated in Struckmeier et al. (2017a) to accommodate both metric and connection—is finally addressed in Section 5. We conclude the paper in Section 6 with a summary and an outlook.

## 2 | ACTION PRINCIPLE

### 2.1 | Hamiltonian action principle in flat space–time

All Standard Model field theories are based on the Action Principle, which requires that the information on the dynamical system is encoded in the system’s Lagrangian  $\mathcal{L}$ . The field equations then follow from the extreme of the action  $S_0$ :

$$S_0 = \int_V \mathcal{L} d^4x, \quad \delta S_0 \stackrel{!}{=} 0, \quad (1)$$

with  $V$  denoting a region of space–time where  $\varphi$  and its derivatives are known on the boundary hypersurface  $\partial V$ . In the actual context, we assume the field to vanish at infinity. In a static space–time background, the volume form  $d^4x$  is invariant under the space–time evolution of the fields. For our purpose of a gauge formalism, we switch to the (covariant) De Donder-Weyl Hamiltonian  $\mathcal{H}$  (De Donder 1930; Weyl 1935) by means of a **complete** Legendre transformation. In analogy to classical mechanics, we have in the simplest case of a scalar field  $\varphi$ :

$$H = p \frac{dq}{dt} - L \leftrightarrow \mathcal{H} = \pi^j \frac{\partial \varphi}{\partial x^j} - \mathcal{L}, \quad j = 0 \dots 3.$$

The canonical momentum vector  $\pi^j(x)$  thus represents the dual of the gradient covector  $\partial\varphi/\partial x^j$ , with the Latin indices referring to a Lorentz frame with Minkowski metric  $\eta_{ij}$ . The Hamiltonian form of the action of scalar field theories Equation (1) is then the space–time integral:

$$S_0 = \int_V \left[ \pi^j \frac{\partial \varphi}{\partial x^j} - \mathcal{H}(\varphi, \pi^i) \right] d^4x.$$

The extreme  $\delta S_0 \stackrel{!}{=} 0$  is encountered exactly if the canonical field equations hold:

$$\frac{\partial \varphi}{\partial x^i} = \frac{\partial \mathcal{H}}{\partial \pi^i}, \quad \frac{\partial \pi^j}{\partial x^i} = -\frac{\partial \mathcal{H}}{\partial x^i}. \quad (2)$$

We observe that the dependence of  $\mathcal{H}$  on  $\pi^i$  uniquely determines the derivative of the scalar field  $\varphi$ , whereas the dependence of  $\mathcal{H}$  on  $\varphi$  merely determines the divergence of the canonical momentum vector  $\pi^i$ . This gives rise to a gauge freedom of the canonical momentum vector as any divergence-free vector  $p^i$  may be added to  $\pi^i$  without violating the canonical field Equations (2).

## 2.2 | Hamiltonian action principle in curvilinear space-time

For a curvilinear space-time, metric  $g_{\mu\nu}(x)$  as well as the volume form  $d^4x$  are no longer invariant. For the description of spinor fields being the spin-1/2 representation of the Lorentz group, it is necessary to introduce tetrads  $e^i{}_\mu(x)$  as new fields representing the geometry of the inertial frames. The tetrads map the Lorentz frame (Latin indices) with static Minkowski metric  $\eta_{ij}$  into the coordinate frame (Greek indices) with a space-time-dependent metric  $g_{\mu\nu}(x)$ :

$$\begin{aligned} g_{\mu\nu} &= e_\mu{}^i \eta_{ij} e^j{}_\nu, & e_\mu{}^i e_i{}^\nu &= \delta_\mu^\nu, \\ \eta_{ij} &= e_i{}^\mu g_{\mu\nu} e^\nu{}_j, & e_i{}^\alpha e^\alpha{}_j &= \delta_j^i, \\ g &\equiv \det(g_{\mu\nu}) = -(\det e^i{}_\mu)^2 \equiv -\varepsilon^2, & \varepsilon &= \sqrt{-g}. \end{aligned}$$

The invariant volume form is given with  $\varepsilon \equiv \det e^i{}_\mu$  by  $\varepsilon d^4x \equiv \sqrt{-g} d^4x$ . Here, we use the factor  $\varepsilon$  to convert the absolute scalar Hamiltonian  $\mathcal{H}$  into a relative scalar  $\tilde{\mathcal{H}} = \mathcal{H}\varepsilon$  of weight  $w = 1$ . Correspondingly, the canonical momentum tensors are thus converted into momentum tensor densities—denoted by the tilde—as new dynamical variables:

$$\tilde{\pi}^\mu = \pi^\mu \varepsilon, \quad \tilde{k}_i{}^{\mu\nu} = k_i{}^{\mu\nu} \varepsilon,$$

where  $\tilde{k}_i{}^{\mu\nu}(x)$  is the tensor density representing the canonical conjugates of the tetrad fields  $e_\mu{}^i(x)$ . We then encounter the following form of the action principle which includes the tetrad field to account for the effect of curvilinear geometry:

$$S_0 = \int_V \left[ \tilde{\pi}^\alpha \frac{\partial \varphi}{\partial x^\alpha} + \tilde{k}_j{}^{\beta\alpha} \frac{\partial e_\beta{}^j}{\partial x^\alpha} - \tilde{\mathcal{H}}(\varphi, \tilde{\pi}^\nu, e_\mu{}^i, \tilde{k}_i{}^{\mu\nu}) \right] d^4x. \quad (3)$$

Frequently used identities involving the tetrads are:

$$\frac{\partial e_j{}^\nu}{\partial e_\mu{}^i} = -e_j{}^\mu e_i{}^\nu, \quad \frac{\partial \varepsilon}{\partial e_\mu{}^i} = e_i{}^\mu \varepsilon, \quad \frac{\partial \varepsilon}{\partial x^\nu} = -\varepsilon e^j{}_\alpha \frac{\partial e^\alpha{}_j}{\partial x^\nu}.$$

## 2.3 | Klein-Gordon Hamiltonian in curvilinear space-time

The simplest nontrivial case is given by the Klein-Gordon Hamiltonian for a real scalar field in curvilinear space-time:

$$\tilde{\mathcal{H}}_{\text{KG}}(\varphi, \tilde{\pi}^\nu, e_\mu{}^i) = \frac{1}{2\varepsilon} \tilde{\pi}^\alpha e_\alpha{}^i \eta_{ij} e^j{}_\beta \tilde{\pi}^\beta + \frac{\varepsilon}{2} m^2 \varphi^2.$$

The field equations follow as

$$\frac{\partial \varphi}{\partial x^\nu} = \frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial \tilde{\pi}^\nu} = \frac{1}{\varepsilon} \tilde{\pi}^\alpha e_\alpha{}^i \eta_{ij} e^j{}_\nu = \pi_\nu \quad (4a)$$

$$\frac{\partial \tilde{\pi}^\alpha}{\partial x^\alpha} = -\frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial \varphi} = -\varepsilon m^2 \varphi \quad (4b)$$

$$\frac{\partial e^i{}_\mu}{\partial x^\nu} = \frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial \tilde{k}_i{}^{\mu\nu}} = 0 \quad (4c)$$

$$\begin{aligned} \frac{\partial \tilde{k}_i{}^{\mu\alpha}}{\partial x^\alpha} &= -\frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial e_\mu{}^i} = -\frac{1}{\varepsilon} \tilde{\pi}^\mu \eta_{ij} e^j{}_\beta \tilde{\pi}^\beta \\ &\quad + \frac{1}{2} e_i{}^\mu \left( \frac{1}{\varepsilon} \tilde{\pi}^\alpha e_\alpha{}^n \eta_{nj} e^j{}_\beta \tilde{\pi}^\beta - \varepsilon m^2 \varphi^2 \right). \end{aligned} \quad (4d)$$

Solving the first equation for  $\tilde{\pi}^\alpha$

$$\tilde{\pi}^\alpha = \varepsilon e^\alpha{}_i \eta^{ij} e_j{}^\beta \frac{\partial \varphi}{\partial x^\beta},$$

the canonical momentum vector can be eliminated from the second equation to yield

$$\frac{\partial}{\partial x^\alpha} \left( \varepsilon e^\alpha{}_i \eta^{ij} e_j{}^\beta \frac{\partial \varphi}{\partial x^\beta} \right) + \varepsilon m^2 \varphi = 0,$$

which is equivalently expressed in terms of the metric as

$$g^{\alpha\beta} \frac{\partial^2 \varphi}{\partial x^\beta \partial x^\alpha} + \frac{1}{\varepsilon} \frac{\partial \varphi}{\partial x^\beta} \frac{\partial}{\partial x^\alpha} (\varepsilon g^{\alpha\beta}) + m^2 \varphi = 0.$$

The second term vanishes for a flat metric and thus reproduces the usual Klein-Gordon equation.

In the actual example, the Hamiltonian does not depend on the momentum density  $\tilde{k}_i{}^{\mu\nu}$ , which reduces to a Lagrange multiplier in the Lagrangian, i.e. in the integrand in the action (Equation (3)). Consequently, its conjugate quantity, i.e. the metric, is a conserved quantity. This may change although if the description of the space-time dynamics in the system Hamiltonian is taken into account.

The last canonical equation can be expressed in terms of the first one and the metric energy-momentum tensor density which in the Hamiltonian representation is the

derivative of  $\tilde{\mathcal{H}}_{\text{KG}}$  with respect to  $e_{\mu}^j$ :

$$\begin{aligned}\tilde{T}^{\mu}_{\nu} &\equiv \frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial e_{\mu}^j} e_{\nu}^j = \tilde{\pi}^{\mu} \frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial \tilde{\pi}^{\nu}} - \delta_{\nu}^{\mu} \left( \tilde{\pi}^{\alpha} \frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial \tilde{\pi}^{\alpha}} - \tilde{\mathcal{H}}_{\text{KG}} \right) \\ &= \tilde{\theta}^{\mu}_{\nu}.\end{aligned}\quad (5)$$

The right-hand side is exactly the Hamiltonian form of the canonical energy-momentum tensor density, which happens to agree with the metric one for the Klein-Gordon system. Regrouping the terms yields the Hamiltonian representation of the identity (Struckmeier et al. 2020a) for the scalar density function  $\tilde{\mathcal{H}}_{\text{KG}}(\varphi, \tilde{\pi}^{\nu}, e_{\mu}^i)$ :

$$\frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial e_{\mu}^j} e_{\nu}^j - \frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial \tilde{\pi}^{\nu}} \tilde{\pi}^{\mu} + \delta_{\nu}^{\mu} \left( \frac{\partial \tilde{\mathcal{H}}_{\text{KG}}}{\partial \tilde{\pi}^{\alpha}} \tilde{\pi}^{\alpha} - \tilde{\mathcal{H}}_{\text{KG}} \right) \equiv 0.$$

## 2.4 | Dirac Hamiltonian in curvilinear space-time

The regularized Dirac Lagrangian density for spinors in curvilinear space-time is given by (Gasiorowicz 1966; Struckmeier et al. 2020b; Struckmeier & Redelbach 2008):

$$\begin{aligned}\tilde{\mathcal{L}}_{\text{D}} &= \frac{i\varepsilon}{3M} \left( \frac{\partial \tilde{\psi}}{\partial x^{\alpha}} e^{\alpha}_{\ k} - \frac{iM}{2} \tilde{\psi} \gamma_k \right) \sigma^{kj} \left( e_j^{\beta} \frac{\partial \psi}{\partial x^{\beta}} + \frac{iM}{2} \gamma_j \psi \right) \\ &\quad - (m - M) \tilde{\psi} \psi \varepsilon,\end{aligned}\quad (6)$$

with  $m$  the usual mass of the Dirac particle and  $M$  a free parameter of mass dimension. Due to the quadratic “velocity” dependence of Equation (6), the corresponding covariant Hamiltonian as obtained via the Legendre transformation is

$$\begin{aligned}\tilde{\mathcal{H}}_{\text{D}}(\psi, \tilde{\kappa}^{\nu}, \tilde{\psi}, \tilde{\kappa}^{\nu}, e^{\nu}_k) &= \tilde{\kappa}^{\alpha} \frac{\partial \psi}{\partial x^{\alpha}} + \frac{\partial \tilde{\psi}}{\partial x^{\alpha}} \tilde{\kappa}^{\alpha} \\ &\quad - \tilde{\mathcal{L}}_{\text{D}}(\psi, \partial_{\nu} \psi, \tilde{\psi}, \partial_{\nu} \tilde{\psi}, e^{\nu}_k)\end{aligned}$$

with the canonical momenta  $\tilde{\kappa}^{\nu}$  and  $\tilde{\kappa}^{\nu}$  defined by:

$$\tilde{\kappa}^{\nu} = \frac{\partial \tilde{\mathcal{L}}_{\text{D}}}{\partial \left( \frac{\partial \psi}{\partial x^{\nu}} \right)}, \quad \tilde{\kappa}^{\nu} = \frac{\partial \tilde{\mathcal{L}}_{\text{D}}}{\partial \left( \frac{\partial \tilde{\psi}}{\partial x^{\nu}} \right)}.$$

With Equation (6), the Dirac Hamiltonian density  $\tilde{\mathcal{H}}_{\text{D}}$  then follows as

$$\tilde{\mathcal{H}}_{\text{D}} = \frac{3M}{i\varepsilon} \left( \tilde{\kappa}^{\alpha} e_{\alpha}^k - \frac{i\varepsilon}{2} \tilde{\psi} \gamma^k \right) \tau_{kj} \left( e_j^{\beta} \tilde{\kappa}^{\beta} + \frac{i\varepsilon}{2} \gamma^j \psi \right) + m \tilde{\psi} \psi \varepsilon,\quad (7)$$

or, equivalently,

$$\begin{aligned}\tilde{\mathcal{H}}_{\text{D}} &= \frac{iM}{2} \left( \tilde{\psi} \gamma_j e_j^{\beta} \tilde{\kappa}^{\beta} - \frac{6}{\varepsilon} \tilde{\kappa}^{\alpha} e_{\alpha}^k \tau_{kj} e_j^{\beta} \tilde{\kappa}^{\beta} - \tilde{\kappa}^{\alpha} e_{\alpha}^k \gamma_k \psi \right) \\ &\quad + (m - M) \tilde{\psi} \psi \varepsilon.\end{aligned}\quad (8)$$

$\tau_{kj}$  is the inverse of the commutator  $\sigma^{jk}$  of the Dirac matrices:

$$\begin{aligned}\sigma^{jk} &\equiv \frac{i}{2} (\gamma^j \gamma^k - \gamma^k \gamma^j), \quad \eta^{jk} \mathbf{1} = \frac{1}{2} (\gamma^j \gamma^k + \gamma^k \gamma^j) \\ \tau_{kj} &\equiv \frac{i}{6} (\gamma_k \gamma_j + 3\gamma_j \gamma_k), \quad \tau_{ik} \sigma^{kj} = \delta_i^j \mathbf{1}.\end{aligned}$$

Here  $\eta_{ik}$  is the Minkowski metric, and  $\mathbf{1}$  the unit matrix in spinor space. These definitions imply the identities:

$$\gamma_k \sigma^{kj} \equiv \sigma^{jk} \gamma_k \equiv 3i \gamma^j, \quad \gamma^k \tau_{kj} \equiv \tau_{jk} \gamma^k \equiv \frac{1}{3i} \gamma_j.\quad (9)$$

Setting up the covariant canonical equations for the Hamiltonian Equation (8), gives:

$$\frac{\partial \psi}{\partial x^{\nu}} = \frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \tilde{\kappa}^{\nu}} = -\frac{iM}{2} e_{\nu}^k \left( \gamma_k \psi + \frac{6}{\varepsilon} \tau_{kj} e_j^{\beta} \tilde{\kappa}^{\beta} \right)\quad (10a)$$

$$\frac{\partial \tilde{\kappa}^{\alpha}}{\partial x^{\alpha}} = -\frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \tilde{\psi}} = -\frac{iM}{2} \gamma_j e_j^{\beta} \tilde{\kappa}^{\beta} - (m - M) \psi \varepsilon\quad (10b)$$

$$\frac{\partial \tilde{\psi}}{\partial x^{\nu}} = \frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \tilde{\kappa}^{\nu}} = \frac{iM}{2} \left( \tilde{\psi} \gamma_j - \frac{6}{\varepsilon} \tilde{\kappa}^{\alpha} e_{\alpha}^k \tau_{kj} \right) e_j^{\nu}\quad (10c)$$

$$\frac{\partial \tilde{\kappa}^{\alpha}}{\partial x^{\alpha}} = -\frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \psi} = \frac{iM}{2} \tilde{\kappa}^{\alpha} e_{\alpha}^k \gamma_k - (m - M) \tilde{\psi} \varepsilon.\quad (10d)$$

Equation (10a) can be solved for  $\tilde{\kappa}^{\mu}$  and Equation (10c) for  $\tilde{\kappa}^{\mu}$ :

$$\tilde{\kappa}^{\mu} = e^{\mu}_n \left( \frac{i}{3M} \sigma^{nm} e_m^{\beta} \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{2} \gamma^n \psi \right) \varepsilon\quad (11a)$$

$$\tilde{\kappa}^{\mu} = \left( \frac{i}{3M} \frac{\partial \tilde{\psi}}{\partial x^{\beta}} e^{\beta}_m \sigma^{mn} + \frac{i}{2} \tilde{\psi} \gamma^n \right) e_n^{\mu} \varepsilon.\quad (11b)$$

Inserting Equation (11a) into Equation (10b) yields the generalized Dirac equation in curvilinear space-time:

$$\begin{aligned}0 &= i \gamma^k e_k^{\alpha} \frac{\partial \psi}{\partial x^{\alpha}} - m \psi + \frac{i}{2} \gamma^k \left( \frac{\partial e_k^{\alpha}}{\partial x^{\alpha}} - e_k^{\alpha} \frac{\partial e_i^{\xi}}{\partial x^{\alpha}} e_i^{\xi} \right) \psi \\ &\quad - \frac{i \sigma^{kj}}{3M} \left( \frac{\partial e_k^{\alpha}}{\partial x^{\alpha}} e_j^{\beta} + e_k^{\alpha} \frac{\partial e_j^{\beta}}{\partial x^{\alpha}} - e_k^{\alpha} e_j^{\beta} \frac{\partial e_i^{\xi}}{\partial x^{\alpha}} e_i^{\xi} \right) \frac{\partial \psi}{\partial x^{\beta}}.\end{aligned}\quad (12)$$

It obviously reduces to the usual Dirac equation in a flat space-time geometry where all derivatives of the tetrads vanish. The parameter  $M$  cancels out. Inserting Equation (11b) into Equation (10d) yields the generalized Dirac equation for the adjoint spinor  $\tilde{\psi}$ . We remark that in the case of the Hamiltonian description the term quadratic

in the canonical momenta  $\tilde{\kappa}^\alpha$  and  $\tilde{\kappa}^\beta$  in Equation (8) is mandatory in this formulation as otherwise—according to Equations (10)—no correlation would exist between canonical momenta and “velocities,” i.e. the space–time derivatives of the spinors.

The metric energy-momentum tensor density  $\tilde{T}^\mu_\nu$  of the Dirac system is now, in analogy to Equation (5),

$$\begin{aligned} \tilde{T}^\mu_\nu &= \frac{\partial \tilde{\mathcal{H}}_D}{\partial e_\mu^j} e_\nu^j = \frac{iM}{2} \left[ \left( \tilde{\psi} \gamma_j - \frac{6}{\varepsilon} \tilde{\kappa}^\alpha e_\alpha^k \tau_{kj} \right) e^j_\nu \tilde{\kappa}^\mu \right. \\ &\quad \left. - \tilde{\kappa}^\mu e_\nu^j \left( \gamma_j \psi + \frac{6}{\varepsilon} \tau_{jk} e^k_\beta \tilde{\kappa}^\beta \right) \right] \\ &\quad + \delta_\nu^\mu \left( \frac{3iM}{\varepsilon} \tilde{\kappa}^\alpha e_\alpha^k \tau_{kj} e^j_\beta \tilde{\kappa}^\beta + (m - M) \tilde{\psi} \psi \varepsilon \right). \end{aligned} \quad (13)$$

The canonical energy-momentum tensor density  $\tilde{\theta}^\mu_\nu$  of the Dirac system, defined in the Hamiltonian representation by

$$\begin{aligned} \tilde{\theta}^\mu_\nu &= \frac{\partial \tilde{\mathcal{H}}_D}{\partial \tilde{\kappa}^\nu} \tilde{\kappa}^\mu + \tilde{\kappa}^\mu \frac{\partial \tilde{\mathcal{H}}_D}{\partial \tilde{\kappa}^\nu} \\ &\quad - \delta_\nu^\mu \left( \tilde{\kappa}^\beta \frac{\partial \tilde{\mathcal{H}}_D}{\partial \tilde{\kappa}^\beta} + \frac{\partial \tilde{\mathcal{H}}_D}{\partial \tilde{\kappa}^\beta} \tilde{\kappa}^\beta - \tilde{\mathcal{H}}_D \right) \end{aligned}$$

is shown by means of the canonical Equations (10) to coincide with the metric energy-momentum tensor:  $\tilde{\theta}^\mu_\nu \equiv \tilde{T}^\mu_\nu$ .

## 2.5 | Requirement of form-invariance of the action under diffeomorphisms

Implementing the postulate that the equations of physics should be independent of the reference frame means to request form-invariance of the action under diffeomorphisms. An inspection of the action integral Equation (3) already shows that this demand is in general not met in a curvilinear space–time as the derivatives of non-scalar quantities do not transform covariantly under chart transitions of the space–time manifold. This applies, for instance, to the tetrads  $e^j_\mu$ , which represent generalized tensors that reside in both the general coordinate space (Greek index) and in the local inertial frame (Latin index). Its tensor transformation rule  $e^j_\mu(x) \mapsto E^I_\nu(X)$  under a chart transition  $x \mapsto X$ , and an arbitrary Lorentz transformation in the local inertial space, is given by:

$$E^I_\nu(X) = \Lambda^I_j(x) e^j_\beta(x) \frac{\partial x^\beta}{\partial X^\nu}. \quad (14)$$

$\Lambda^I_j(x)$  denotes the skew-symmetric matrix of local (orthochronous) Lorentz transformations in the inertial frame,  $\Lambda_{Ij} = -\Lambda_{jI}$ . Here and in the following capital letters denote transformed fields or indices in a

transformed inertial frame. Thus

$$\Lambda^I_k \Lambda^k_J = \Lambda_J^k \Lambda_k^I = \delta_J^I \Leftrightarrow \Lambda^i_K \Lambda^K_j = \Lambda_j^K \Lambda_K^i = \delta_j^i.$$

The derivative of the tetrad  $e^j_\mu$  in the action integral Equation (3) does not transform as a tensor in a general space–time geometry:

$$\frac{\partial E^J_\nu}{\partial X^\xi} = \left( \frac{\partial \Lambda^J_j}{\partial x^\alpha} e^j_\beta + \Lambda^J_j \frac{\partial e^j_\beta}{\partial x^\alpha} \right) \frac{\partial x^\alpha}{\partial X^\xi} \frac{\partial x^\beta}{\partial X^\nu} + \Lambda^J_j e^j_\beta \frac{\partial^2 x^\beta}{\partial X^\nu \partial X^\xi}. \quad (15)$$

The last term spoils the tensor transformation property in a curved space–time, as the second derivatives of  $x^\beta(X)$  do not identically vanish. As a consequence, the action Equation (3) is not diffeomorphism-invariant. In order to render actions invariant, one must proceed as follows:

- The second partial derivatives must be compensated away by means of formally introducing an appropriate gauge field. This provides the coupling of the given fields to the gauge field, and converts partial into covariant derivatives.
- The description of the gauge field dynamics must be part of the final action integral in order to end up with a closed dynamical system, hence a system which does not contain external fields. This is achieved by postulating the corresponding Hamiltonian of the free (uncoupled) gauge field dynamics.

For all systems whose dynamics are derived from an action principle, any transformation must be canonical in order to maintain the general form of the canonical field equations. Thus, in particular gauge theories are in the end most easily formulated within the canonical transformation framework as noncanonical and hence unphysical transformations are excluded at the outset.

## 3 | CANONICAL TRANSFORMATION FRAMEWORK

### 3.1 | Canonical transformation formalism for a scalar field in a curvilinear space–time

A scalar Hamiltonian that depends on a set of fields and dynamical tetrads and is invariant under a global Lorentz transformation will not in general be invariant under a combined arbitrary diffeomorphisms  $x \mapsto X(x)$  at a given point of the base manifold, and local Lorentz

transformations of the frames attached to that point. Considering for illustration the transformations of a scalar field,  $\varphi(x) \mapsto \Phi(X)$ , and of the tetrads,  $e^i_\alpha(x) \mapsto E^I_\beta(X)$ , the requested invariance of the equations of motion means explicitly:

$$\begin{aligned} \delta S_0 &= \delta \int_V \left( \tilde{\pi}^\alpha \frac{\partial \varphi}{\partial x^\alpha} + \tilde{k}_i^{\beta\alpha} \frac{\partial e^i_\beta}{\partial x^\alpha} - \tilde{H}(\varphi, \tilde{\pi}^\nu, e^i_\mu, \tilde{k}_i^{\mu\nu}, x) \right) d^4x \\ &\stackrel{!}{=} \delta \int_{V'} \left( \tilde{\Pi}^\alpha \frac{\partial \Phi}{\partial X^\alpha} + \tilde{K}_I^{\beta\alpha} \frac{\partial E^I_\beta}{\partial X^\alpha} - \tilde{H}'(\Phi, \tilde{\Pi}^\nu, E^I_\mu, \tilde{K}_I^{\mu\nu}, X) \right) \\ &\quad \times d^4X. \end{aligned} \quad (16)$$

As the actions are to be varied in order to derive the canonical field equations, the integrands of Equation (16) may differ by the divergence of an arbitrary vector function  $\tilde{F}_1^\mu$ . Such a term does not contribute to the variation of  $S_0$  by virtue of Gauss' law for  $\tilde{F}_1^\alpha = F_1^\alpha \sqrt{-g}$ , i.e. the product of the absolute vector field  $F_1^\alpha$  with the scalar field  $\sqrt{-g}$ ,

$$\delta \int_V \frac{\partial \tilde{F}_1^\alpha}{\partial x^\alpha} d^4x = \delta \oint_{\partial V} \tilde{F}_1^\alpha dS_\alpha \stackrel{!}{=} 0,$$

as the variation is supposed to vanish on the boundary  $\partial V$ . With the volume form  $d^4x$  transforming as a relative scalar of weight  $w = -1$ ,

$$d^4X = \frac{\partial(X^0, \dots, X^3)}{\partial(x^0, \dots, x^3)} d^4x = \left| \frac{\partial X}{\partial x} \right| d^4x = \left| \frac{\partial x}{\partial X} \right|^{-1} d^4x, \quad (17)$$

the integrands in Equation (16) must satisfy the equation:

$$\begin{aligned} &\tilde{\pi}^\alpha \frac{\partial \varphi}{\partial x^\alpha} + \tilde{k}_i^{\mu\nu} \frac{\partial e^i_\mu}{\partial x^\nu} - \tilde{H} - \left( \tilde{\Pi}^\nu \frac{\partial \Phi}{\partial X^\nu} + \tilde{K}_I^{\mu\nu} \frac{\partial E^I_\mu}{\partial X^\nu} - \tilde{H}' \right) \left| \frac{\partial X}{\partial x} \right| \\ &= \frac{\partial \tilde{F}_1^\nu}{\partial \varphi} \frac{\partial \varphi}{\partial x^\nu} + \frac{\partial \tilde{F}_1^\beta}{\partial \Phi} \frac{\partial X^\nu}{\partial x^\beta} \frac{\partial \Phi}{\partial X^\nu} + \frac{\partial \tilde{F}_1^\nu}{\partial e^i_\mu} \frac{\partial e^i_\mu}{\partial x^\nu} + \frac{\partial \tilde{F}_1^\beta}{\partial E^I_\mu} \frac{\partial X^\nu}{\partial x^\beta} \frac{\partial E^I_\mu}{\partial X^\nu} \\ &\quad + \frac{\partial \tilde{F}_1^\alpha}{\partial x^\alpha} \Big|_{\text{expl}}. \end{aligned} \quad (18)$$

For the particular choice  $\tilde{F}_1^\nu = \tilde{F}_1^\nu(\varphi, \Phi, e^i_\mu, E^I_\mu, x)$ , we can compare the coefficients of the partial derivatives of the fields and thereby identify the following transformation rules for the fields:

$$\tilde{\pi}^\nu = \frac{\partial \tilde{F}_1^\nu}{\partial \varphi}, \quad \tilde{\Pi}^\nu = - \frac{\partial \tilde{F}_1^\beta}{\partial \Phi} \frac{\partial X^\nu}{\partial x^\beta} \left| \frac{\partial x}{\partial X} \right| \quad (19a)$$

$$\tilde{k}_i^{\mu\nu} = \frac{\partial \tilde{F}_1^\nu}{\partial e^i_\mu}, \quad \tilde{K}_I^{\mu\nu} = - \frac{\partial \tilde{F}_1^\beta}{\partial E^I_\mu} \frac{\partial X^\nu}{\partial x^\beta} \left| \frac{\partial x}{\partial X} \right|. \quad (19b)$$

The transformation rule for the Hamiltonians involves the possible explicit dependence of  $\tilde{F}_1^\nu(x)$  on  $x$ :

$$\tilde{H}' \left| \frac{\partial X}{\partial x} \right| = \tilde{H} + \frac{\partial \tilde{F}_1^\alpha}{\partial x^\alpha} \Big|_{\text{expl}}. \quad (20)$$

A canonical transformation is also generated by a vector density  $\tilde{F}_3^\nu$  (Struckmeier & Redelbach 2008), defined as a function of the momenta  $\tilde{\pi}^\nu$  and  $\tilde{k}_i^{\mu\nu}$  in place of the fields  $\varphi$  and  $e^i_\mu$  in  $\tilde{F}_1^\nu$ . It is defined as the Legendre transformation of  $\tilde{F}_1^\nu$ :

$$\begin{aligned} \tilde{F}_3^\nu(\tilde{\pi}^\nu, \Phi, \tilde{k}_i^{\mu\nu}, E^I_\mu, x) &= \tilde{F}_1^\nu(\varphi, \Phi, e^i_\mu, E^I_\mu, x) \\ &\quad - \tilde{\pi}^\nu \varphi - \tilde{k}_i^{\mu\nu} e^i_\mu. \end{aligned}$$

One then obtains the field transformation rules:

$$\delta_\alpha^\nu \varphi = - \frac{\partial \tilde{F}_3^\nu}{\partial \tilde{\pi}^\alpha}, \quad \tilde{\Pi}^\nu = - \frac{\partial \tilde{F}_3^\alpha}{\partial \Phi} \frac{\partial X^\nu}{\partial x^\alpha} \left| \frac{\partial x}{\partial X} \right| \quad (21a)$$

$$\delta_\alpha^\nu e^i_\mu = - \frac{\partial \tilde{F}_3^\nu}{\partial \tilde{k}_i^{\mu\alpha}}, \quad \tilde{K}_I^{\mu\nu} = - \frac{\partial \tilde{F}_3^\alpha}{\partial E^I_\mu} \frac{\partial X^\nu}{\partial x^\alpha} \left| \frac{\partial x}{\partial X} \right| \quad (21b)$$

and the similar rule for the Hamiltonians:

$$\tilde{H}' \left| \frac{\partial X}{\partial x} \right| = \tilde{H} + \frac{\partial \tilde{F}_3^\nu}{\partial x^\nu} \Big|_{\text{expl}}. \quad (21c)$$

The untransformed fields are thus correlated to the negative derivatives of the generating function  $\tilde{F}_3^\nu$  with respect to the untransformed conjugate momentum fields. Furthermore, the transformed conjugate momentum fields are given by the negative derivatives of the generating function  $\tilde{F}_3^\nu$  with respect to the transformed fields times the transition factors for tensor densities

$$\tilde{\Pi}^\nu(X) = \tilde{\pi}^\alpha(x) \frac{\partial X^\nu}{\partial x^\alpha} \left| \frac{\partial x}{\partial X} \right|.$$

This scheme applies as well for all other types of tensor fields with their respective conjugate momentum fields which constitute tensor densities.

### 3.2 | Diffeomorphism-invariance of a scalar field action integral induced by a gauge field

In the next step, the particular generating function is defined for a canonical transformation that provides combined Lorentz and chart transformations, while leaving the scalar field  $\varphi$  unchanged:

$$\tilde{F}_3^\nu(\Phi, \tilde{\pi}^\nu, E^I_\mu, \tilde{k}_i^{\mu\nu}) = - \tilde{\pi}^\nu \Phi - \tilde{k}_i^{\beta\nu} \Lambda^i_I E^I_\alpha \frac{\partial X^\alpha}{\partial x^\beta}. \quad (22)$$

The particular transformation rules (21) follow as

$$\varphi = \Phi, \quad \tilde{\Pi}^\nu = \tilde{\pi}^\alpha \frac{\partial X^\nu}{\partial x^\alpha} \left| \frac{\partial x}{\partial X} \right| \quad (23a)$$

$$e^i{}_\mu = \Lambda^i{}_I E^I{}_\alpha \frac{\partial X^\alpha}{\partial x^\mu}, \quad \tilde{K}_I{}^{\mu\nu} = \tilde{k}_i{}^{\beta\alpha} \Lambda^i{}_I \frac{\partial X^\mu}{\partial x^\beta} \frac{\partial X^\nu}{\partial x^\alpha} \left| \frac{\partial x}{\partial X} \right|, \quad (23b)$$

which recover the proper transformation rules for the fields and their conjugates, the latter transforming as relative tensors of weight  $w = 1$ , i.e., as tensor densities.

The set of transformation rules is completed by the rule for the Hamiltonian density from Equation (21c), which follows from the explicitly space-time-dependent coefficients of the generating function (Equation (22))

$$\begin{aligned} \left. \frac{\partial \tilde{F}_3^\nu}{\partial x^\nu} \right|_{\text{expl}} &= -\tilde{k}_i{}^{\beta\nu} \frac{\partial}{\partial x^\nu} \left( \Lambda^i{}_I \frac{\partial X^\alpha}{\partial x^\beta} \right) E^I{}_\alpha \\ &= -\tilde{k}_i{}^{[\beta\nu]} \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\beta} E^I{}_\alpha - \tilde{k}_i{}^{(\beta\nu)} \frac{\partial}{\partial x^\nu} \left( \Lambda^i{}_I \frac{\partial X^\alpha}{\partial x^\beta} \right) E^I{}_\alpha. \end{aligned} \quad (24)$$

In the last line, the right-hand side of Equation (24) is split into the skew-symmetric and the symmetric contributions of  $\tilde{k}_i{}^{\beta\nu}$  in  $\beta$  and  $\nu$ , considering that the second derivative term of  $X^\alpha$  does not contribute to the skew-symmetric part of  $\tilde{k}_i{}^{\nu\beta}$ .

The  $x^\nu$ -derivative term in Equation (24) is equivalently expressed in terms of the derivative of the transformation rule (Equation (23b)) for the tetrad  $e^i{}_\beta$ :

$$\frac{\partial}{\partial x^\nu} \left( \Lambda^i{}_I \frac{\partial X^\alpha}{\partial x^\beta} \right) E^I{}_\alpha = \frac{\partial e^i{}_\beta}{\partial x^\nu} - \Lambda^i{}_I \frac{\partial E^I{}_\alpha}{\partial X^\xi} \frac{\partial X^\xi}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\beta}. \quad (25)$$

Inserting Equation (25) into the transformation rule (Equation (24)) yields:

$$\begin{aligned} \left. \frac{\partial \tilde{F}_3^\nu}{\partial x^\nu} \right|_{\text{expl}} &= -\tilde{k}_i{}^{[\beta\nu]} \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\beta} E^I{}_\alpha \\ &\quad - \tilde{k}_i{}^{(\beta\nu)} \left( \frac{\partial e^i{}_\beta}{\partial x^\nu} - \Lambda^i{}_I \frac{\partial E^I{}_\alpha}{\partial X^\xi} \frac{\partial X^\xi}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\beta} \right) \\ &= \tilde{k}_i{}^{[\mu\nu]} \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\nu} e^j{}_\mu - \tilde{k}_i{}^{(\mu\nu)} \frac{\partial e^i{}_\mu}{\partial x^\nu} + \tilde{K}_I{}^{(\mu\nu)} \frac{\partial E^I{}_\mu}{\partial X^\nu} \left| \frac{\partial X}{\partial x} \right|, \end{aligned}$$

where in the last equation the transformation rules Equation (23b) were inserted in the first and the third terms. Plugging this into the condition for the action functionals, the derivative terms of the tetrads can be merged with corresponding derivatives originating from the Legendre transformation in Equation (16) to give the modified

action functionals

$$\begin{aligned} \delta \int_{V'} \left[ \tilde{\Pi}^\nu \frac{\partial \Phi}{\partial X^\nu} + \frac{1}{2} \tilde{K}_I{}^{\mu\nu} \left( \frac{\partial E^I{}_\mu}{\partial X^\nu} - \frac{\partial E^I{}_\nu}{\partial X^\mu} \right) - \tilde{H}' \right] \left| \frac{\partial X}{\partial x} \right| d^4x \\ = \delta \int_V \left[ \tilde{\pi}^\nu \frac{\partial \varphi}{\partial x^\nu} + \frac{1}{2} \tilde{k}_i{}^{\mu\nu} \left( \frac{\partial e^i{}_\mu}{\partial x^\nu} - \frac{\partial e^i{}_\nu}{\partial x^\mu} \right) - \tilde{H} \right. \\ \left. + \tilde{k}_i{}^{[\mu\nu]} \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\nu} e^j{}_\mu \right] d^4x. \end{aligned} \quad (26)$$

Owing to the last term on the right-hand side of Equation (26), the actions are no longer form-invariant for space-time-dependent Lorentz transformation coefficients  $\Lambda^I{}_j(x)$ . The only way to re-establish the form invariance of the actions is to amend the integrands by gauge Hamiltonians whose transformation rule absorbs the symmetry-breaking term:

$$\begin{aligned} \delta \int_{V'} \left( \tilde{\Pi}^\nu \frac{\partial \Phi}{\partial X^\nu} + \tilde{K}_I{}^{[\mu\nu]} \frac{\partial E^I{}_\mu}{\partial X^\nu} - \tilde{H}' - \tilde{H}'_{\text{Gau}_1} \right) \left| \frac{\partial X}{\partial x} \right| d^4x \\ = \delta \int_V \left( \tilde{\pi}^\nu \frac{\partial \varphi}{\partial x^\nu} + \tilde{k}_i{}^{[\mu\nu]} \frac{\partial e^i{}_\mu}{\partial x^\nu} - \tilde{H} - \tilde{H}_{\text{Gau}_1} \right) d^4x. \end{aligned}$$

This entails the following transformation requirement for the gauge Hamiltonians:

$$\tilde{H}'_{\text{Gau}_1} \left| \frac{\partial X}{\partial x} \right| - \tilde{H}_{\text{Gau}_1} = \tilde{k}_i{}^{[\mu\nu]} \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\nu} e^j{}_\mu. \quad (27)$$

The gauge Hamiltonian  $\tilde{H}_{\text{Gau}_1}$  must be devised in the way that the external index structure of the coefficient expression  $\Lambda^i{}_I \partial \Lambda^I{}_j / \partial x^\nu$  is matched by a gauge field  $\omega^i{}_{j\nu}$ . Its obvious form is (with the negative sign chosen for later convenience)

$$\tilde{H}_{\text{Gau}_1} = -\tilde{k}_i{}^{[\mu\nu]} \omega^i{}_{j\nu} e^j{}_\mu, \quad (28)$$

and is required to satisfy both, form-invariance in terms of the transformed gauge field  $\Omega^I{}_{J\nu}$ , and Equation (27) under the transformation in question. Only then can the abovementioned form invariance of the action integrals be re-established. Hence:

$$\tilde{H}'_{\text{Gau}_1} = -\tilde{K}_I{}^{[\mu\nu]} \Omega^I{}_{J\nu} E^J{}_\mu. \quad (29)$$

As the gauge field  $\omega^i{}_{j\nu}$  now replaces the coefficient expression  $\Lambda^i{}_I \partial \Lambda^I{}_j / \partial x^\nu$ , the skew-symmetry of the coefficient matrix  $\Lambda_{jI} = -\Lambda_{Ij}$  of the local Lorentz transformation induces the gauge field  $\omega_{ij\nu}$  to be skew-symmetric

in  $i, j$ :

$$\begin{aligned}\omega_{ij\nu} &\leftrightarrow \Lambda_{iI} \frac{\partial \Lambda^I_j}{\partial x^\nu} = -\Lambda_{Ii} \frac{\partial \Lambda^I_j}{\partial x^\nu} = \frac{\partial \Lambda_{Ii}}{\partial x^\nu} \Lambda^I_j = \frac{\partial \Lambda^I_i}{\partial x^\nu} \Lambda_{Ij} \\ &= -\Lambda_{jI} \frac{\partial \Lambda^I_i}{\partial x^\nu} \leftrightarrow -\omega_{jiv}.\end{aligned}$$

Here the fact has been used that the metric of the inertial frames,  $\eta_{IJ}$ , is by definition globally constant, hence  $\partial\eta_{IJ}/\partial x^\nu \equiv 0$ .

Now the ensuing transformation rule for the gauge field  $\omega^i_{k\mu}$  is derived by inserting the gauge Hamiltonians (28) and (29) into Equation (27). Beforehand, the gauge Hamiltonian Equation (29) is expressed in terms of the “original” (i.e. untransformed, lower case) fields according to the canonical transformation rules Equation (23b):

$$\tilde{\mathcal{H}}'_{\text{Gau}_1} = -\tilde{k}_i^{[\mu\nu]} \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\nu} e^j_\mu \left| \frac{\partial x}{\partial X} \right|.$$

It follows that the gauge field  $\omega^i_{j\nu}$  transforms inhomogeneously as:

$$\omega^i_{j\nu} = \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\nu} + \Lambda^i_I \frac{\partial \Lambda^I_j}{\partial x^\nu}. \quad (30)$$

This transformation rule coincides with the transformation rule of spin connection coefficients—and the gauge field can thus be identified with the spin connection. With the gauge Hamiltonian Equation (28), the now form-invariant action integral writes:

$$S_0 = \int_V \left[ \tilde{\pi}^\nu \frac{\partial \varphi}{\partial x^\nu} + \tilde{k}_i^{[\mu\nu]} \left( \frac{\partial e^i_\mu}{\partial x^\nu} + \omega^i_{j\nu} e^j_\mu \right) - \tilde{\mathcal{H}} \right] d^4x. \quad (31)$$

The gauge field  $\omega^i_{j\nu}(x)$  herein enters as an external field whose dynamics is not described by the action (Equation (31)). This changes if we include its transformation rule Equation (30) into the gauge transformation formalism. Equation (31) fulfills the requirement of form-invariance under diffeomorphisms. It also shows that no direct coupling of the scalar field  $\varphi$  with the tetrad field  $e^j_\nu$  and the gauge field  $\omega^i_{j\nu}$  emerges. Rather, the respective coupling occurs merely via the common dependence of  $\tilde{\mathcal{H}}$  and  $\tilde{\mathcal{H}}_{\text{Gau}_1}$  on the tetrad field  $e^j_\nu$ . The reason is that  $\tilde{\pi}^\nu \partial\varphi/\partial x^\nu$  in the action functional Equation (31) inherently constitutes a world scalar density and is, therefore, already form invariant under diffeomorphisms. This changes as well if we include spinor fields into the canonical transformation formalism.

### 3.3 | Including spinors and the canonical transformation of the gauge field $\omega^i_{j\mu}$

In the second step, the newly introduced gauge field  $\omega^i_{j\mu}$ , defined in Equation (28), will be treated as an internal quantity. The action functional Equation (31) must then be extended to also include the pertaining momentum field, i.e. the tensor density  $\tilde{q}_I^{j\mu\nu}$  conjugate to the gauge field  $\omega^i_{j\mu}$ . Taking this into account, and substituting the scalar field by a complex spinor field  $\psi$  with the given Hamiltonian  $\tilde{\mathcal{H}}_D$ , extends the action integral to:

$$S_0 = \int_V \left( \tilde{\kappa}^\nu \frac{\partial \psi}{\partial x^\nu} + \frac{\partial \bar{\psi}}{\partial x^\nu} \tilde{\kappa}^\nu + \tilde{k}_i^{\mu\nu} \frac{\partial e^i_\mu}{\partial x^\nu} + \tilde{q}_I^{j\mu\nu} \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} - \tilde{\mathcal{H}}_{\text{Gau}_2} - \tilde{\mathcal{H}}_D \right) d^4x. \quad (32)$$

The task is now to determine the gauge Hamiltonian  $\tilde{\mathcal{H}}_{\text{Gau}_2}$  that renders the action Equation (32) diffeomorphism-invariant. In other words,  $\tilde{\mathcal{H}}_{\text{Gau}_2}$  is supposed to make the integrand of Equation (32) into a world scalar density. The generating function Equation (22) must then be extended to define in addition the spinor and the gauge field transformation from Equation (30):

$$\begin{aligned}\tilde{\mathcal{F}}_3^\nu(\Psi, \tilde{\kappa}, \bar{\Psi}, \tilde{\kappa}, E, \tilde{k}, \Omega, \tilde{q}, x) \\ = -\tilde{\kappa}^\nu S^{-1} \Psi - \bar{\Psi} S \tilde{\kappa}^\nu - \tilde{k}_i^{\mu\nu} \Lambda^i_I E^\alpha_I \frac{\partial X^\alpha}{\partial x^\mu} \\ - \tilde{q}_I^{j\mu\nu} \left( \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\mu} + \Lambda^i_I \frac{\partial \Lambda^I_j}{\partial x^\mu} \right).\end{aligned} \quad (33)$$

Here  $S$  and  $S^{-1}$  are the spin-1/2 representations of the Lorentz transformation matrix and its inverse to be specified below. The complete set of specific rules for the generating function (Equation (33)) are:

$$\delta_\beta^\nu \bar{\psi} \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\nu}{\partial \tilde{\kappa}^\beta} = \delta_\beta^\nu \bar{\Psi} S \quad (34a)$$

$$\tilde{\kappa}^\nu \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\lambda}{\partial \bar{\Psi}} \frac{\partial X^\nu}{\partial x^\lambda} \left| \frac{\partial x}{\partial X} \right| = S \tilde{\kappa}^\lambda \frac{\partial X^\nu}{\partial x^\lambda} \left| \frac{\partial x}{\partial X} \right| \quad (34b)$$

$$\delta_\beta^\nu \psi \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\nu}{\partial \tilde{\kappa}^\beta} = \delta_\beta^\nu S^{-1} \Psi \quad (34c)$$

$$\tilde{\kappa}^\nu \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\lambda}{\partial \Psi} \frac{\partial X^\nu}{\partial x^\lambda} \left| \frac{\partial x}{\partial X} \right| = \tilde{\kappa}^\lambda S^{-1} \frac{\partial X^\nu}{\partial x^\lambda} \left| \frac{\partial x}{\partial X} \right| \quad (34d)$$



$$\delta_\beta^\nu e^i{}_\mu \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\nu}{\partial \tilde{k}_i^{\mu\beta}} = \delta_\beta^\nu \Lambda^i{}_I E^I{}_\alpha \frac{\partial X^\alpha}{\partial x^\mu} \quad (34e)$$

$$\tilde{K}_I{}^{\mu\nu} \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\lambda}{\partial E^I{}_\mu} \frac{\partial X^\nu}{\partial x^\lambda} \bigg|_{\frac{\partial x}{\partial X}} = \Lambda_I^i \tilde{k}_i^{\varepsilon\lambda} \frac{\partial X^\mu}{\partial x^\varepsilon} \frac{\partial X^\nu}{\partial x^\lambda} \bigg|_{\frac{\partial x}{\partial X}} \quad (34f)$$

and

$$\delta_\beta^\nu \omega^i{}_{j\mu} \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\nu}{\partial \tilde{q}_i^{\mu\beta}} = \delta_\beta^\nu \left( \Lambda^i{}_I \Omega^I{}_{J\alpha} \Lambda^J{}_j \frac{\partial X^\alpha}{\partial x^\mu} + \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\mu} \right) \quad (34g)$$

$$\tilde{Q}_I{}^{J\mu\nu} \equiv -\frac{\partial \tilde{\mathcal{F}}_3^\lambda}{\partial \Omega^I{}_{J\mu}} \frac{\partial X^\nu}{\partial x^\lambda} \bigg|_{\frac{\partial x}{\partial X}} = \Lambda_I^i \tilde{q}_i^{\varepsilon\lambda} \Lambda_J^J \frac{\partial X^\mu}{\partial x^\varepsilon} \frac{\partial X^\nu}{\partial x^\lambda} \bigg|_{\frac{\partial x}{\partial X}}. \quad (34h)$$

Rule (Equation (34g)) indeed reproduces the inhomogeneous transformation property of the gauge field  $\omega^i{}_{j\mu}$  as required by Equation (30). The rule (Equation (34h)) determines the transformation property of the pertaining conjugate momentum field  $\tilde{q}_i^{\mu\nu}$ .

### 3.4 | Spinor representation of the Lorentz transformation

The parameters of the transformation given by the spinor transformation matrix  $S$  are not independent of those of the Lorentz transformation  $\Lambda^I{}_j$  with coefficients  $\varepsilon_{iJ} = -\varepsilon_{Ji}$ . Rather, with the Dirac matrices  $\Gamma_J$  and  $\gamma_i$  in the inertial frame, we set up the spinor representation of the Lorentz transformation as

$$\Gamma_J = \Lambda_J^i S \gamma_i S^{-1} \Rightarrow E_\alpha^J \Gamma_J = \frac{\partial x^\beta}{\partial X^\alpha} e_\beta^i S \gamma_i S^{-1}. \quad (35)$$

For the commutators of the fundamental spinors,

$$\sigma^{ij} \equiv \frac{i}{2} (\gamma^i \gamma^j - \gamma^j \gamma^i), \quad \Sigma^{IJ} \equiv \frac{i}{2} (\Gamma^I \Gamma^J - \Gamma^J \Gamma^I), \quad (36)$$

Equation (35) induces the transformation rule:

$$\Sigma_I^J = \Lambda_I^i S \sigma_i^j S^{-1} \Lambda_j^J. \quad (37)$$

The infinitesimal representations of the local Lorentz transformation matrix  $\Lambda^I{}_j(x)$  and the corresponding spinor transformation matrix  $S(x)$  are computed as (see, for instance, Peskin & Schroeder 1995):

$$\Lambda^I{}_j = \delta_j^I + \frac{1}{2} (\varepsilon^I{}_j - \varepsilon_j^I), \quad S = \mathbb{1} - \frac{i}{4} \varepsilon^I{}_j \sigma_I^j, \quad (38)$$

where  $\varepsilon^I{}_j(x)$  denotes the coefficients of the local Lorentz transformation. It follows to first order in  $\varepsilon^I{}_j$

$$\begin{aligned} \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\mu} &= \delta_i^I \frac{1}{2} \left( \frac{\partial \varepsilon^I{}_j}{\partial x^\mu} - \frac{\partial \varepsilon_j^I}{\partial x^\mu} \right) = \frac{1}{2} \left( \frac{\partial \varepsilon^i{}_j}{\partial x^\mu} - \frac{\partial \varepsilon_j^i}{\partial x^\mu} \right) \\ S^{-1} \frac{\partial S}{\partial x^\mu} &= -\frac{i}{4} \frac{\partial \varepsilon^I{}_j}{\partial x^\mu} \sigma_I^j = -\frac{i}{4} \frac{\partial \varepsilon_{ij}}{\partial x^\mu} \sigma^{ij} \\ &= -\frac{i}{8} \left( \frac{\partial \varepsilon^i{}_j}{\partial x^\mu} - \frac{\partial \varepsilon_j^i}{\partial x^\mu} \right) \sigma_i^j, \end{aligned}$$

from which we conclude that

$$\Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\mu} \sigma_i^j = -\frac{4}{i} S^{-1} \frac{\partial S}{\partial x^\mu}. \quad (39)$$

This yields the spinor representation of the transformation rule Equation (30) for the gauge field  $\omega^i{}_{j\mu}$ ,

$$\begin{aligned} S \omega_{ij\mu} \sigma^{ij} S^{-1} &= \Lambda^i{}_I S \Omega^I{}_{J\nu} \Lambda^J{}_j \sigma_i^j S^{-1} \frac{\partial X^\nu}{\partial x^\mu} + S \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\mu} \sigma_i^j S^{-1} \\ &= \Omega^I{}_{J\nu} \Lambda_{iI} S \sigma^{ij} S^{-1} \Lambda_J^j \frac{\partial X^\nu}{\partial x^\mu} - \frac{4}{i} \frac{\partial S}{\partial x^\mu} S^{-1} \\ &= \Omega^I{}_{J\nu} \Lambda_I^i S \sigma_i^j S^{-1} \Lambda_J^j \frac{\partial X^\nu}{\partial x^\mu} - \frac{4}{i} \frac{\partial S}{\partial x^\mu} S^{-1} \\ &= \Omega^I{}_{J\nu} \Sigma_I^J \frac{\partial X^\nu}{\partial x^\mu} - \frac{4}{i} \frac{\partial S}{\partial x^\mu} S^{-1}, \end{aligned}$$

hence, in analogy to Equation (34g):

$$\frac{i}{4} \Omega_{IJ\nu} \Sigma^{IJ} = \left( \frac{i}{4} S \omega_{ij\mu} \sigma^{ij} S^{-1} + \frac{\partial S}{\partial x^\mu} S^{-1} \right) \frac{\partial x^\mu}{\partial X^\nu}. \quad (40)$$

### 3.5 | Derivation of the gauge Hamiltonian

The key benefit of the canonical transformation framework is that it provides the prescription for gauging the initial Hamiltonian density  $\tilde{\mathcal{H}}_D$ , hence to derive the gauge Hamiltonian  $\tilde{\mathcal{H}}_{\text{Gau}}$  such that the combined system  $\tilde{\mathcal{H}}_D + \tilde{\mathcal{H}}_{\text{Gau}}$  becomes diffeomorphism-invariant. The gauge Hamiltonian is ultimately determined by the explicit  $x^\mu$ -dependence of the generating function according to the general rule (Equation (21c)). For the actual generating function (Equation (33)), the  $x^\nu$ -derivative of the space-time-dependent parameters in the generating function evaluates to:

$$\begin{aligned} \frac{\partial \tilde{\mathcal{F}}_3^\nu}{\partial x^\nu} \bigg|_{\text{expl}} &= -\tilde{\kappa}^\nu \frac{\partial S^{-1}}{\partial x^\nu} \Psi - \tilde{\Psi} \frac{\partial S}{\partial x^\nu} \tilde{\kappa}^\nu - \tilde{k}_i^{\mu\nu} \frac{\partial}{\partial x^\nu} \left( \Lambda^i{}_I \frac{\partial X^\alpha}{\partial x^\mu} \right) E^I{}_\alpha \\ &\quad - \tilde{q}^{[ij]\mu\nu} \left[ \Omega^I{}_{J\alpha} \frac{\partial}{\partial x^\nu} \left( \Lambda_{iI} \Lambda^J{}_j \frac{\partial X^\alpha}{\partial x^\mu} \right) + \frac{\partial}{\partial x^\nu} \left( \Lambda_{iI} \frac{\partial \Lambda^I{}_j}{\partial x^\mu} \right) \right]. \end{aligned} \quad (41)$$

The final gauge Hamiltonian is then obtained from Equation (41) by expressing all its parameters, namely,  $\Lambda^i_I$ ,  $S$ ,  $\partial X^\alpha / \partial x^\mu$ , and their respective derivatives, in terms of the physical fields of the system according to the set of canonical transformation rules (Equation (34)). This will be worked out in the following subsections.

### 3.5.1 | Contribution of the spinor fields $\Psi$ , $\bar{\Psi}$ to Equation (41)

By means of the transformation rule (Equation (40)) for  $S$ , the replacement of the derivative in the first (spinor) term of Equation (41) follows as:

$$\begin{aligned} -\tilde{\kappa}^\nu \frac{\partial S^{-1}}{\partial x^\nu} \Psi &= \tilde{\kappa}^\nu S^{-1} \frac{\partial S}{\partial x^\nu} \Psi \\ &= \frac{i}{4} \tilde{\kappa}^\nu \left( S^{-1} \Omega_{I\alpha} \Sigma^{IJ} S \frac{\partial X^\alpha}{\partial x^\nu} - \omega_{ij\nu} \sigma^{ij} \right) \Psi \\ &= \tilde{\mathcal{K}}^\nu \frac{i}{4} \Omega_{I\nu} \Sigma^{IJ} \Psi \left| \frac{\partial X}{\partial x} \right| - \tilde{\kappa}^\nu \frac{i}{4} \omega_{ij\nu} \sigma^{ij} \Psi. \end{aligned}$$

Similarly for the second term:

$$\begin{aligned} -\bar{\Psi} \frac{\partial S}{\partial x^\nu} \tilde{\kappa}^\nu &= -\bar{\Psi} S^{-1} \frac{\partial S}{\partial x^\nu} \tilde{\kappa}^\nu \\ &= \bar{\Psi} \frac{i}{4} \omega_{ij\nu} \sigma^{ij} \tilde{\kappa}^\nu - \bar{\Psi} \frac{i}{4} \Omega_{I\nu} \Sigma^{IJ} \tilde{\mathcal{K}}^\nu \left| \frac{\partial X}{\partial x} \right|. \end{aligned}$$

Hence, the free parameters of the spinor-related terms in Equation (41) are replaced by the connection fields according to

$$\begin{aligned} -\tilde{\kappa}^\nu \frac{\partial S^{-1}}{\partial x^\nu} \Psi - \bar{\Psi} \frac{\partial S}{\partial x^\nu} \tilde{\kappa}^\nu &= \frac{i}{4} (\bar{\Psi} \omega_{ij\nu} \sigma^{ij} \tilde{\kappa}^\nu - \tilde{\kappa}^\nu \omega_{ij\nu} \sigma^{ij} \Psi) \\ &\quad - \frac{i}{4} (\bar{\Psi} \Omega_{I\nu} \Sigma^{IJ} \tilde{\mathcal{K}}^\nu - \tilde{\mathcal{K}}^\nu \Omega_{I\nu} \Sigma^{IJ} \Psi) \left| \frac{\partial X}{\partial x} \right|. \end{aligned}$$

### 3.5.2 | Contribution of the tetrad field $E^I_\alpha$ to Equation (41)

The parameters in the (third) term proportional to  $\tilde{k}_i^{\mu\nu}$  can, with help of the transformation rules (Equation (34)), be similarly expressed in terms of the physical fields as follows:

$$\begin{aligned} \tilde{k}_i^{\mu\nu} \frac{\partial}{\partial x^\nu} \left( \Lambda^i_J \frac{\partial X^\alpha}{\partial x^\mu} \right) E^J_\alpha \\ &= \frac{1}{2} \tilde{k}_i^{\mu\nu} \left( \frac{\partial e^i_\mu}{\partial x^\nu} + \frac{\partial e^i_\nu}{\partial x^\mu} - \omega^i_{j\nu} e^j_\mu + \omega^i_{j\mu} e^j_\nu \right) \\ &\quad - \frac{1}{2} \tilde{\mathcal{K}}_I^{\mu\nu} \left( \frac{\partial E^I_\mu}{\partial x^\nu} + \frac{\partial E^I_\nu}{\partial x^\mu} - \Omega^I_{J\nu} E^J_\mu + \Omega^I_{J\mu} E^J_\nu \right) \left| \frac{\partial X}{\partial x} \right|. \end{aligned}$$

The detailed calculation of this result is worked out in Appendix A1.

### 3.5.3 | Contribution of the gauge field $\Omega^I_{J\alpha}$ to Equation (41)

As the last step, we express the coefficients in the term proportional to  $\tilde{q}_i^{j\mu\nu}$  of Equation (41) in terms of the physical fields according to the canonical transformation rules (34):

$$\begin{aligned} -\tilde{q}_i^{j\mu\nu} \left[ \Omega^I_{J\alpha} \frac{\partial}{\partial x^\nu} \left( \Lambda^i_I \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\mu} \right) + \frac{\partial}{\partial x^\nu} \left( \Lambda^i_I \frac{\partial \Lambda^I_j}{\partial x^\mu} \right) \right] \\ &= -\frac{1}{2} \tilde{q}_i^{j\mu\nu} \left( \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} + \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} + \omega^i_{n\mu} \omega^n_{j\nu} - \omega^i_{n\nu} \omega^n_{j\mu} \right) \\ &\quad + \frac{1}{2} \tilde{Q}_I^{J\mu\nu} \left( \frac{\partial \Omega^I_{J\mu}}{\partial x^\nu} + \frac{\partial \Omega^I_{J\nu}}{\partial x^\mu} + \Omega^I_{N\mu} \Omega^N_{J\nu} - \Omega^I_{N\nu} \Omega^N_{J\mu} \right) \left| \frac{\partial X}{\partial x} \right|. \end{aligned} \quad (42)$$

The detailed calculation is worked out in Appendix A3.

### 3.5.4 | Gauge Hamiltonian

The replacement of the explicitly  $x^\mu$ -dependent parameters in the divergence (Equation (41)) of the generating function by the constituent fields now sums up to

$$\begin{aligned} \frac{\partial \tilde{\mathcal{F}}_3^\nu}{\partial x^\nu} \Big|_{\text{expl}} &= -\frac{i}{4} (\tilde{\kappa}^\nu \omega_{ij\nu} \sigma^{ij} \Psi - \bar{\Psi} \omega_{ij\nu} \sigma^{ij} \tilde{\kappa}^\nu) \\ &\quad - \frac{1}{2} \tilde{k}_i^{\mu\nu} \left( \frac{\partial e^i_\mu}{\partial x^\nu} + \frac{\partial e^i_\nu}{\partial x^\mu} + \omega^i_{j\mu} e^j_\nu - \omega^i_{j\nu} e^j_\mu \right) \\ &\quad - \frac{1}{2} \tilde{q}_i^{j\mu\nu} \left( \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} + \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} + \omega^i_{n\mu} \omega^n_{j\nu} - \omega^i_{n\nu} \omega^n_{j\mu} \right) \\ &\quad + \frac{i}{4} (\tilde{\mathcal{K}}^\nu \Omega_{I\nu} \Sigma^{IJ} \Psi - \bar{\Psi} \Omega_{I\nu} \Sigma^{IJ} \tilde{\mathcal{K}}^\nu) \\ &\quad + \frac{1}{2} \tilde{\mathcal{K}}_I^{\mu\nu} \left( \frac{\partial E^I_\mu}{\partial x^\nu} + \frac{\partial E^I_\nu}{\partial x^\mu} + \Omega^I_{J\mu} E^J_\nu - \Omega^I_{J\nu} E^J_\mu \right) \\ &\quad + \frac{1}{2} \tilde{Q}_I^{J\mu\nu} \left( \frac{\partial \Omega^I_{J\mu}}{\partial x^\nu} + \frac{\partial \Omega^I_{J\nu}}{\partial x^\mu} + \Omega^I_{N\mu} \Omega^N_{J\nu} - \Omega^I_{N\nu} \Omega^N_{J\mu} \right). \end{aligned} \quad (43)$$

The partial derivatives associated with  $\tilde{k}_i^{\mu\nu}$  and  $\tilde{q}_i^{j\mu\nu}$  in Equation (43) are merged with the corresponding derivatives contained in the initial action functional (Equation (32)) to yield the following modified action

functional

$$S_0 = \int_V d^4x \left[ \tilde{\kappa}^\nu \frac{\partial \psi}{\partial x^\nu} + \frac{\partial \tilde{\psi}}{\partial x^\nu} \tilde{\kappa}^\nu + \frac{1}{2} \tilde{\kappa}_i^{\mu\nu} \left( \frac{\partial e^i{}_\mu}{\partial x^\nu} - \frac{\partial e^i{}_\nu}{\partial x^\mu} \right) + \frac{1}{2} \tilde{q}_i^{j\mu\nu} \left( \frac{\partial \omega^i{}_{j\mu}}{\partial x^\nu} - \frac{\partial \omega^i{}_{j\nu}}{\partial x^\mu} \right) - \tilde{\mathcal{H}}_{\text{Gau}_2} - \tilde{\mathcal{H}}_{\text{D}} \right]. \quad (44)$$

Then the total gauge Hamiltonian  $\tilde{\mathcal{H}}_{\text{Gau}_2}$ —which is form-invariant under the transformation rule (Equation (21c)) for the transformation of spinor fields in a curvilinear space-time defined by the generating function (Equation (43))—follows as

$$\begin{aligned} \tilde{\mathcal{H}}_{\text{Gau}_2} = & \frac{i}{4} \tilde{\kappa}^\beta \omega^i{}_{j\beta} \sigma_i^j \psi - \frac{i}{4} \tilde{\psi} \omega^i{}_{j\beta} \sigma_i^j \tilde{\kappa}^\beta \\ & + \frac{1}{2} \tilde{\kappa}_i^{\alpha\beta} (\omega^i{}_{j\alpha} e^j{}_\beta - \omega^i{}_{j\beta} e^j{}_\alpha) \\ & + \frac{1}{2} \tilde{q}_i^{j\alpha\beta} (\omega^i{}_{n\alpha} \omega^n{}_{j\beta} - \omega^i{}_{n\beta} \omega^n{}_{j\alpha}). \end{aligned} \quad (45)$$

### 3.5.5 | Generally covariant action in dynamical space-time

Inserting the gauge Hamiltonian (Equation (45)) into the action integral (Equation (44)) yields the final form-invariant action functional. It involves the Hamiltonian  $\tilde{\mathcal{H}}_{\text{D}}(\tilde{\kappa}, \tilde{\psi}, \tilde{\kappa}, \psi, e)$  from Equation (7) of the free (uncoupled) system of complex spinor fields, and the Hamiltonian  $\tilde{\mathcal{H}}_{\text{Gr}}(\tilde{\kappa}, e, \tilde{q})$  of the free gravitational field:

$$\begin{aligned} S_0 = & \int_V \left[ \tilde{\kappa}^\nu \left( \frac{\partial \psi}{\partial x^\nu} - \frac{i}{4} \omega_{ij\nu} \sigma^{ij} \psi \right) + \left( \frac{\partial \tilde{\psi}}{\partial x^\nu} + \frac{i}{4} \tilde{\psi} \omega_{ij\nu} \sigma^{ij} \right) \tilde{\kappa}^\nu \right. \\ & + \frac{1}{2} \tilde{\kappa}_i^{\mu\nu} \left( \frac{\partial e^i{}_\mu}{\partial x^\nu} - \frac{\partial e^i{}_\nu}{\partial x^\mu} + \omega^i{}_{j\nu} e^j{}_\mu - \omega^i{}_{j\mu} e^j{}_\nu \right) \\ & + \frac{1}{2} \tilde{q}_i^{j\mu\nu} \left( \frac{\partial \omega^i{}_{j\mu}}{\partial x^\nu} - \frac{\partial \omega^i{}_{j\nu}}{\partial x^\mu} + \omega^i{}_{n\nu} \omega^n{}_{j\mu} - \omega^i{}_{n\mu} \omega^n{}_{j\nu} \right) \\ & \left. - \tilde{\mathcal{H}}_{\text{D}} - \tilde{\mathcal{H}}_{\text{Gr}} \right] d^4x. \end{aligned} \quad (46)$$

Adding  $\tilde{\mathcal{H}}_{\text{Gr}}(\tilde{\kappa}, e, \tilde{q})$  promotes the curvilinear geometry to a dynamical medium. Then  $S_0$  does not contain any external functions anymore and thus represents a closed physical system of fermions in a dynamical space-time. The choice of  $\tilde{\mathcal{H}}_{\text{Gr}}(\tilde{\kappa}, e, \tilde{q})$  determines dynamically the version of the space-time, i.e. flat, Riemann, or Riemann-Cartan.

## 4 | CANONICAL FIELD EQUATIONS

### 4.1 | Canonical equations for the spinor field

The set of canonical field equations for the spinors  $\psi$  and  $\tilde{\psi}$  follows as

$$\frac{\partial \psi}{\partial x^\nu} = \frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \tilde{\kappa}^\nu} + \frac{i}{4} \sigma^{ij} \omega_{ij\nu} \psi \quad (47a)$$

$$\frac{\partial \tilde{\kappa}^\nu}{\partial x^\nu} = -\frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \psi} - \frac{i}{4} \tilde{\kappa}^\nu \sigma^{ij} \omega_{ij\nu} \quad (47b)$$

$$\frac{\partial \tilde{\psi}}{\partial x^\nu} = \frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \tilde{\kappa}^\nu} - \frac{i}{4} \tilde{\psi} \sigma^{ij} \omega_{ij\nu} \quad (47c)$$

$$\frac{\partial \tilde{\kappa}^\nu}{\partial x^\nu} = -\frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial \tilde{\psi}} + \frac{i}{4} \sigma^{ij} \omega_{ij\nu} \tilde{\kappa}^\nu. \quad (47d)$$

### 4.2 | Canonical equations for the tetrad field

The canonical equation for the derivative of the tetrad  $e^i{}_\mu$  follows as

$$\frac{\partial e^i{}_\mu}{\partial x^\nu} - \frac{\partial e^i{}_\nu}{\partial x^\mu} = 2 \frac{\partial \tilde{\mathcal{H}}_{\text{Gr}}}{\partial \tilde{\kappa}_i^{\mu\nu}} + \omega^i{}_{j\nu} e^j{}_\mu - \omega^i{}_{j\mu} e^j{}_\nu,$$

and thus

$$\frac{\partial \tilde{\mathcal{H}}_{\text{Gr}}}{\partial \tilde{\kappa}_i^{\mu\nu}} = \frac{1}{2} \left( \frac{\partial e^i{}_\mu}{\partial x^\nu} - \frac{\partial e^i{}_\nu}{\partial x^\mu} + \omega^i{}_{j\nu} e^j{}_\mu - \omega^i{}_{j\mu} e^j{}_\nu \right). \quad (48)$$

The conjugate canonical Equation (4d) for the divergence of  $\tilde{\kappa}_i^{\mu\nu}$  is then:

$$\begin{aligned} \frac{\partial \tilde{\kappa}_i^{[\mu\alpha]}}{\partial x^\alpha} &= \frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial e^i{}_\mu} - \frac{\partial \tilde{\mathcal{H}}_{\text{Gr}}}{\partial e^i{}_\mu} - \frac{\partial \tilde{\mathcal{H}}_{\text{Gau}_2}}{\partial e^i{}_\mu} \\ &= -\frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial e^i{}_\mu} - \frac{\partial \tilde{\mathcal{H}}_{\text{Gr}}}{\partial e^i{}_\mu} + \frac{1}{2} (\tilde{\kappa}_j^{\mu\nu} - \tilde{\kappa}_j^{\nu\mu}) \omega^j{}_{i\nu} \\ &= -\frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial e^i{}_\mu} - \frac{\partial \tilde{\mathcal{H}}_{\text{Gr}}}{\partial e^i{}_\mu} + \tilde{\kappa}_j^{[\mu\alpha]} \omega^j{}_{i\alpha}. \end{aligned}$$

Regrouping the terms yields:

$$\left( \frac{\partial \tilde{\kappa}_i^{[\mu\alpha]}}{\partial x^\alpha} - \tilde{\kappa}_j^{[\mu\alpha]} \omega^j{}_{i\alpha} \right) e^i{}_\nu = -\frac{\partial \tilde{\mathcal{H}}_{\text{D}}}{\partial e^i{}_\mu} e^i{}_\nu - \frac{\partial \tilde{\mathcal{H}}_{\text{Gr}}}{\partial e^i{}_\mu} e^i{}_\nu. \quad (49)$$

The right-hand side of Equation (49) is exactly the Hamiltonian representation of the metric energy-momentum tensors of the source system described by  $\tilde{\mathcal{H}}_D$ , and of the free gravitational field specified by a Hamiltonian  $\tilde{\mathcal{H}}_{Gr}$ .

### 4.3 | Canonical equations for the connection field

Taking into account the skew-symmetry  $\omega_{ij\mu} = -\omega_{ji\mu}$  of the connection, the canonical equation for the divergence of  $\tilde{q}_i^{j\mu\nu}$  follows as

$$\begin{aligned} \frac{\partial \tilde{q}_i^{j\mu\nu}}{\partial x^\nu} &= -\frac{\partial \tilde{\mathcal{H}}_{Gau_2}}{\partial \omega^i_{j\mu}} \\ &= -\frac{i}{4} \tilde{\kappa}^\mu \sigma_i^j \psi + \frac{i}{4} \tilde{\psi} \sigma_i^j \tilde{\kappa}^\mu - \frac{1}{2} (\tilde{k}_i^{\mu\nu} - \tilde{k}_i^{\nu\mu}) e^j{}_\nu \\ &\quad + \frac{1}{2} (\tilde{q}_i^{n\nu\mu} - \tilde{q}_i^{n\mu\nu}) \omega^j{}_{n\nu} - \frac{1}{2} (\tilde{q}_n^{j\nu\mu} - \tilde{q}_n^{j\mu\nu}) \omega^n{}_{i\nu}, \end{aligned}$$

hence, considering the skew-symmetries of  $\tilde{q}^{ij\mu\nu}$  and  $\omega_{ij\nu}$  in  $i$  and  $j$ :

$$\begin{aligned} \frac{\partial \tilde{q}^{ij\mu\nu}}{\partial x^\nu} &= \tilde{q}^{in\nu\mu} \omega^j{}_{n\nu} - \tilde{q}^{jn\nu\mu} \omega^i{}_{n\nu} + \frac{1}{2} \tilde{k}^{i\nu\mu} e^j{}_\nu - \frac{1}{2} \tilde{k}^{j\nu\mu} e^i{}_\nu \\ &\quad - \frac{i}{4} \tilde{\kappa}^\mu \sigma^{ij} \psi + \frac{i}{4} \tilde{\psi} \sigma^{ij} \tilde{\kappa}^\mu. \end{aligned} \quad (50)$$

The canonical equation for the derivative of the gauge field  $\omega^i_{j\mu}$  follows as

$$\frac{\partial \omega^i_{j\mu}}{\partial x^\nu} - \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} = 2 \frac{\partial \tilde{\mathcal{H}}_{Gr}}{\partial \tilde{q}_i^{j\mu\nu}} + \omega^i{}_{n\mu} \omega^n{}_{j\nu} - \omega^i{}_{n\nu} \omega^n{}_{j\mu}.$$

Combining the four spin connection terms gives the mixed representation of the Riemann-Cartan curvature tensor  $R^i{}_{j\nu\mu}$  (see Appendix A2):

$$\begin{aligned} \frac{\partial \tilde{\mathcal{H}}_{Gr}}{\partial \tilde{q}_i^{j\mu\nu}} &= \frac{1}{2} \left( \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} - \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} + \omega^i{}_{n\nu} \omega^n{}_{j\mu} - \omega^i{}_{n\mu} \omega^n{}_{j\nu} \right) \\ &= \frac{1}{2} R^i{}_{j\nu\mu}. \end{aligned} \quad (51)$$

### 4.4 | Summary of the coupled set of canonical field equations

Below we finally summarize the complete closed set of eight coupled field equations for a system of spinor fields in a dynamical space-time resulting from the variation of

the action functional (Equation (46)):

$$\frac{\partial \psi}{\partial x^\nu} = \frac{\partial \tilde{\mathcal{H}}_D}{\partial \tilde{\kappa}^\nu} + \frac{i}{4} \sigma^{ij} \omega_{ij\nu} \psi \quad (52a)$$

$$\frac{\partial \tilde{\kappa}^\alpha}{\partial x^\alpha} = -\frac{\partial \tilde{\mathcal{H}}_D}{\partial \psi} - \frac{i}{4} \tilde{\kappa}^\alpha \sigma^{ij} \omega_{ij\alpha} \quad (52b)$$

$$\frac{\partial \tilde{\psi}}{\partial x^\nu} = \frac{\partial \tilde{\mathcal{H}}_D}{\partial \tilde{\kappa}^\nu} - \frac{i}{4} \tilde{\psi} \sigma^{ij} \omega_{ij\nu} \quad (52c)$$

$$\frac{\partial \tilde{\kappa}^\alpha}{\partial x^\alpha} = -\frac{\partial \tilde{\mathcal{H}}_D}{\partial \tilde{\psi}} + \frac{i}{4} \sigma^{ij} \omega_{ij\alpha} \tilde{\kappa}^\alpha \quad (52d)$$

$$\frac{\partial \tilde{k}_i^{\mu\alpha}}{\partial x^\alpha} = -\frac{\partial \tilde{\mathcal{H}}_D}{\partial e^i{}_\mu} - \frac{\partial \tilde{\mathcal{H}}_{Gr}}{\partial e^i{}_\mu} + \tilde{k}_j^{[\mu\alpha]} \omega^j{}_{i\alpha} \quad (52e)$$

$$\begin{aligned} \frac{\partial \tilde{q}^{ij\mu\alpha}}{\partial x^\alpha} &= \tilde{q}^{in\alpha\mu} \omega^j{}_{n\alpha} - \tilde{q}^{jn\alpha\mu} \omega^i{}_{n\alpha} + \frac{1}{2} \tilde{k}^{i\alpha\mu} e^j{}_\alpha \\ &\quad - \frac{1}{2} \tilde{k}^{j\alpha\mu} e^i{}_\alpha - \frac{i}{4} \tilde{\kappa}^\mu \sigma^{ij} \psi + \frac{i}{4} \tilde{\psi} \sigma^{ij} \tilde{\kappa}^\mu \end{aligned} \quad (52f)$$

$$\frac{\partial e^i{}_\mu}{\partial x^\nu} - \frac{\partial e^i{}_\nu}{\partial x^\mu} = 2 \frac{\partial \tilde{\mathcal{H}}_{Gr}}{\partial \tilde{k}_i^{\mu\nu}} + \omega^i{}_{j\mu} e^j{}_\nu - \omega^i{}_{j\nu} e^j{}_\mu \quad (52g)$$

$$\frac{\partial \omega^i{}_{j\mu}}{\partial x^\nu} - \frac{\partial \omega^i{}_{j\nu}}{\partial x^\mu} = 2 \frac{\partial \tilde{\mathcal{H}}_{Gr}}{\partial \tilde{q}_i^{j\mu\nu}} + \omega^i{}_{n\mu} \omega^n{}_{j\nu} - \omega^i{}_{n\nu} \omega^n{}_{j\mu}. \quad (52h)$$

We observe that this set of canonical equations, that take a consistent description of the full dynamics of space-time into account, extends Equations (10a), (4c) and (4d) where merely the curvilinearity of the geometry was considered.

### 4.5 | Dirac equation with coupling to the connection field $\omega_{ij\nu}$

Inserting the partial differential equations for the spinor field Equations (10a) and (10b) into Equations (52a) and (52d), respectively, gives the corresponding covariant field equations due to their coupling to the gauge field  $\omega_{ij\nu}$

$$\frac{\partial \psi}{\partial x^\nu} = -\frac{i}{2} M \left( e_\nu{}^i \gamma_i \psi + e_\nu{}^i \frac{6\tau_{ij}}{\epsilon} e^j{}_\beta \tilde{\kappa}^\beta \right) + \frac{i}{4} \omega_{ij\nu} \sigma^{ij} \psi \quad (53a)$$

$$\frac{\partial \tilde{\kappa}^\alpha}{\partial x^\alpha} = -\left( \frac{i}{2} M \gamma_i e^i{}_\alpha - \frac{i}{4} \omega_{ij\alpha} \sigma^{ij} \right) \tilde{\kappa}^\alpha - (m - M) \psi \epsilon \quad (53b)$$

$$\frac{\partial \bar{\psi}}{\partial x^\nu} = \frac{i}{2} M \left( \bar{\psi} \gamma_j e^j{}_\nu - \bar{\kappa}^\beta e_\beta{}^i \frac{6\tau_{ij}}{\epsilon} e^j{}_\nu \right) - \frac{i}{4} \bar{\psi} \omega_{ij\nu} \sigma^{ij} \quad (53c)$$

$$\frac{\partial \bar{\kappa}^\alpha}{\partial x^\alpha} = \bar{\kappa}^\alpha \left( \frac{i}{2} M \gamma_i e^i{}_\alpha - \frac{i}{4} \omega_{ij\alpha} \sigma^{ij} \right) - (m - M) \bar{\psi} \epsilon. \quad (53d)$$

To express the coupled set of first-order equations as a second-order equation for the spinor  $\psi$ , we solve Equation (53a) for the spinor momentum field  $\tilde{\kappa}^\alpha$ ,

$$\tilde{\kappa}^\alpha = e^\alpha{}_j \left[ -\frac{i}{2} \gamma^j \psi + \frac{i}{3M} \sigma^{ji} e_i{}^\beta \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \epsilon \quad (54)$$

and insert it into Equation (53b). The explicit derivation of the generalized Dirac equation is worked out in Appendix A4. The final result is:

$$\begin{aligned} & \frac{i}{3M} \sigma^{ji} \left[ \left( \frac{\partial e^\alpha{}_j}{\partial x^\alpha} e_i{}^\beta - e^\alpha{}_k \omega^k{}_{j\alpha} e_i{}^\beta + e^\alpha{}_j \frac{\partial e_i{}^\beta}{\partial x^\alpha} \right. \right. \\ & \quad \left. \left. - e^\alpha{}_j e^\beta{}_k \omega^k{}_{i\alpha} - e^\alpha{}_j e_i{}^\beta e^k{}_\xi \frac{\partial e^\xi{}_k}{\partial x^\alpha} \right) \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right. \\ & \quad \left. - \frac{i}{4} e^\alpha{}_j e_i{}^\beta \sigma^{nm} \left( \frac{\partial \omega_{nm\beta}}{\partial x^\alpha} + \omega_{nk\alpha} \omega^k{}_{m\beta} \right) \psi \right] \\ & = i \gamma^j e_j{}^\beta \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) - m \psi \\ & \quad + \frac{i}{2} \gamma^j \left( \frac{\partial e^\alpha{}_j}{\partial x^\alpha} - e^\alpha{}_k \omega^k{}_{j\alpha} - e^\alpha{}_j e^k{}_\xi \frac{\partial e^\xi{}_k}{\partial x^\alpha} \right) \psi. \quad (55) \end{aligned}$$

Due to the skew-symmetry of  $\sigma^{ji}$ , term proportional to  $\sigma^{nm}$  is actually half the Riemann-Cartan curvature tensor in the mixed Lorentz-coordinate space representation from Equation (51). The generalized Dirac Equation (55) with metric compatibility will be discussed in the following section.

#### 4.6 | Generalized Dirac equation with metric compatibility

It is convenient to define a new set of coefficients  $\gamma^\xi{}_{\mu\nu}$  as functions of the spin connection and tetrad fields coefficients as follows:

$$\gamma^\mu{}_{\alpha\nu} \equiv - \left( \frac{\partial e^\mu{}_i}{\partial x^\nu} - e^\mu{}_k \omega^k{}_{i\nu} \right) e^i{}_\alpha = e^\mu{}_k \left( \frac{\partial e^k{}_\alpha}{\partial x^\nu} + \omega^k{}_{i\nu} e^i{}_\alpha \right). \quad (56)$$

The transformation relation for  $\gamma^\mu{}_{\alpha\nu}$  is uniquely determined by the transformation relation (30) of the

skew-symmetric spin connection under arbitrary diffeomorphisms. A straightforward calculation (c.f. Appendix A2) gives:

$$\gamma^\alpha{}_{\nu\beta} = \frac{\partial X^\eta}{\partial x^\nu} \frac{\partial X^\mu}{\partial x^\beta} \frac{\partial x^\alpha}{\partial X^\xi} \Gamma^\xi{}_{\eta\mu} - \frac{\partial X^\eta}{\partial x^\nu} \frac{\partial X^\mu}{\partial x^\beta} \frac{\partial^2 x^\alpha}{\partial X^\eta \partial X^\mu}. \quad (57)$$

The quantity  $\gamma^\alpha{}_{\nu\beta}$  defined by Equations (56) is thus the natural choice for an affine connection. Moreover, this definition implies “metric compatibility”:

$$g_{\mu\nu;\alpha} = e_\mu{}^i \eta_{ij;\alpha} e^j{}_\nu = 0,$$

and also ensures that the mixed representation  $R_{nma\beta}$  of the Riemann-Cartan tensor is equivalent to its full metric representation  $R_{\nu\mu\alpha\beta}$ .<sup>1</sup> The partial derivatives of the tetrads  $e^i{}_\mu$  and  $e^\mu{}_i$  can then be expressed by the spin connection  $\omega^j{}_{i\nu}$  and the affine connection  $\gamma^\mu{}_{\xi\nu}$  as:

$$\frac{\partial e^i{}_\mu}{\partial x^\nu} = -\omega^j{}_{i\nu} e^j{}_\mu + e^i{}_\xi \gamma^\xi{}_{\mu\nu}, \quad \frac{\partial e^\mu{}_i}{\partial x^\nu} = e^\mu{}_j \omega^j{}_{i\nu} - \gamma^\mu{}_{\xi\nu} e^\xi{}_i.$$

Consequently, the canonical field Equation (52g) acquires the form

$$\begin{aligned} \frac{\partial \tilde{H}_{\text{Gr}}}{\partial \tilde{k}_i{}^{\mu\nu}} &= \frac{1}{2} \left( \frac{\partial e^i{}_\mu}{\partial x^\nu} + \omega^j{}_{i\nu} e^j{}_\mu - \frac{\partial e^i{}_\nu}{\partial x^\mu} - \omega^j{}_{i\mu} e^j{}_\nu \right) \\ &= \frac{1}{2} e^i{}_\xi (\gamma^\xi{}_{\mu\nu} - \gamma^\xi{}_{\nu\mu}) = e^i{}_\xi s^\xi{}_{\mu\nu} \equiv s^i{}_{\mu\nu}. \end{aligned}$$

Hereby the skew-symmetric part of the affine connection,

$$s^\xi{}_{\mu\nu} = \frac{1}{2} (\gamma^\xi{}_{\mu\nu} - \gamma^\xi{}_{\nu\mu}) \quad (58)$$

is identified with the Cartan torsion tensor.

These relations allow now to re-write the components of the generalized Dirac equation with partial derivatives of the tetrads as:

$$\begin{aligned} & \frac{\partial e^\alpha{}_j}{\partial x^\alpha} - e^\alpha{}_n \omega^n{}_{j\alpha} - e^\alpha{}_j e^n{}_\xi \frac{\partial e^\xi{}_n}{\partial x^\alpha} \\ & = -\gamma^\alpha{}_{\xi\alpha} e^\xi{}_j - e^\alpha{}_j e^n{}_\xi (e^\xi{}_m \omega^m{}_{n\alpha} - \gamma^\xi{}_{\beta\alpha} e^\beta{}_n) \\ & = -\gamma^\alpha{}_{\xi\alpha} e^\xi{}_j - \frac{e^\alpha{}_j \omega^m{}_{m\alpha}}{\omega^m{}_{m\alpha}} + \gamma^\alpha{}_{\alpha\xi} e^\xi{}_j \\ & = 2s^\alpha{}_{\alpha\xi} e^\xi{}_j. \end{aligned}$$

Notice that here the spin connection term vanishes due to the skew-symmetry in its first index pair.

<sup>1</sup>At this point, it becomes evident that adding nonmetricity as a dynamical field is only reasonable with a nonvanishing symmetric (tensor) portion of the spin connection.

By the same token, one gets for the first factor in Equation (55)

$$\begin{aligned} & \sigma^{ji} \left( \frac{\partial e_j^\alpha}{\partial x^\alpha} e_i^\beta - e_k^\alpha \omega_{ja}^k e_i^\beta + e_j^\alpha \frac{\partial e_i^\beta}{\partial x^\alpha} \right. \\ & \quad \left. - e_j^\alpha e_k^\beta \omega_{ia}^k - e_j^\alpha e_i^\beta e_k^\xi \frac{\partial e_k^\xi}{\partial x^\alpha} \right) \\ & = \sigma^{ji} (-\gamma_{\xi\alpha}^\alpha e_j^\xi e_i^\beta - \gamma_{\xi\alpha}^\beta e_j^\xi e_i^\alpha + \gamma_{\xi\alpha}^\xi e_j^\alpha e_i^\beta e^\xi) \\ & = e_j^\alpha \sigma^{ji} (2e_i^\beta s_{\xi\alpha}^\xi - e_i^\xi s_{\xi\alpha}^\beta). \end{aligned}$$

The generalized Dirac equation thus naturally simplifies to:

$$\begin{aligned} & \frac{i}{3M} e_j^\alpha \sigma^{ji} \left[ (2e_i^\beta s_{\xi\alpha}^\xi - e_i^\xi s_{\xi\alpha}^\beta) \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right. \\ & \quad \left. - \frac{i}{4} e_i^\beta \sigma^{nm} \left( \frac{\partial \omega_{nm\beta}}{\partial x^\alpha} + \omega_{nka} \omega_{m\beta}^k \right) \psi \right] \\ & = i \gamma^j e_j^\beta \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi + s_{\xi\beta}^\xi \psi \right) - m \psi, \end{aligned}$$

or with the abbreviations  $\gamma^\beta \equiv \gamma^j e_j^\beta$  and  $\sigma^{\alpha\beta} \equiv e_j^\alpha \sigma^{ji} e_i^\beta$ :

$$\begin{aligned} & \left[ i \gamma^\beta \left( \frac{\partial}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} + s_{\xi\beta}^\xi \right) - m \right] \psi \\ & = \frac{1}{3M} \left[ \frac{1}{8} \sigma^{\alpha\beta} \sigma^{nm} R_{nm\alpha\beta} \right. \\ & \quad \left. - i (2s_{\xi\beta}^\xi \sigma^{\beta\nu} + s_{\eta\beta}^\nu \sigma^{\beta\eta}) \left( \frac{\partial}{\partial x^\nu} - \frac{i}{4} \omega_{nm\nu} \sigma^{nm} \right) \right] \psi. \end{aligned} \quad (59)$$

This shows that the “minimal coupling” prescriptions emerge naturally, and, moreover, that the Dirac particle couples directly to the Riemann-Cartan curvature tensor, with coupling constant proportional to  $M^{-1}$ .

#### 4.7 | Generalized Dirac equation with zero torsion

Neglecting torsion, Equation (59) further simplifies to:

$$i \gamma^\beta \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) - \left( m + \frac{\sigma^{\alpha\beta} \sigma^{nm}}{24M} R_{nm\alpha\beta} \right) \psi = 0. \quad (60)$$

The contraction of the Riemann-Cartan tensor with the  $\sigma$  matrices is shown in Appendix A5 to reduce for the case of zero torsion to twice the Ricci scalar  $R$  times the unit matrix in the spinor indices, which finally yields:

$$\boxed{i \gamma^\beta \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) - \left( m + \frac{R}{12M} \right) \psi = 0.} \quad (61)$$

One thus encounters in the Dirac equation a curvature-dependent mass correction term due to a direct interaction of  $\psi$  with the gravitational field. The strength of that interaction is determined by the parameter  $M$  that emerges due to the enforcement of the diffeomorphism invariance and simultaneously by the nondegeneracy of the Hamiltonian. The physical implications of this novel “spin-gravity coupling mechanism” will have measurable consequences in scenarios with  $R \gg 0$ , e.g. inflation or neutron star mergers.

#### 4.8 | The action integral of fermions in dynamical space-time

Inserting now as placeholders the definitions (Equation (56)) of the affine connection, Equation (51) of the curvature, and Equation (58) of the torsion of space-time, in the action integral Equation (46) gives the expression

$$\begin{aligned} S_0 = \int_V & \left[ \tilde{\kappa}^\nu \left( \frac{\partial \psi}{\partial x^\nu} - \frac{i}{4} \omega_{ij\nu} \sigma^{ij} \psi \right) + \left( \frac{\partial \bar{\psi}}{\partial x^\nu} + \frac{i}{4} \bar{\psi} \omega_{ij\nu} \sigma^{ij} \right) \tilde{\kappa}^\nu \right. \\ & \quad \left. + \tilde{\kappa}_i^{\mu\nu} s_{\mu\nu}^i + \frac{1}{2} \tilde{q}_i^{j\mu\nu} R_{j\mu\nu}^i - \tilde{\mathcal{H}}_D - \tilde{\mathcal{H}}_{Gr} \right] d^4x. \end{aligned}$$

This makes obvious that:

1. By the gauge process, the originally noncovariant partial derivatives of the spinor field are converted into the covariant derivatives via coupling to the connection  $\omega_{ij\nu}$ . For the dynamical geometry, the (noncovariant) derivatives of the connection and tetrad are promoted to the (covariant) Riemann-Cartan curvature and torsion tensors, respectively.
2. The functional dependence of the free gravity Hamiltonian  $\tilde{\mathcal{H}}_{Gr}$  on the momentum fields  $\tilde{\kappa}_i^{\mu\nu}$  and  $\tilde{q}_i^{j\mu\nu}$  must be postulated based on physical reasoning on the structure of space-time. The coupling to the source field  $\psi$ ,  $\bar{\psi}$  then determines the dynamics of the system of the fermion field and space-time.

Particularly, if any of the momenta is not within the argument list of  $\tilde{\mathcal{H}}_{Gr}$  then it becomes a Lagrange multiplier in the action integral, and its factor is by variation set to zero. For example, with  $\tilde{\mathcal{H}}_{Gr}$  independent of  $\tilde{q}_i^{j\mu\nu}$  gives a flat geometry as  $R_{j\mu\nu}^i = 0$  follows. Independence of  $\tilde{\kappa}_i^{\mu\nu}$ , on the other hand, leads to  $s_{\mu\nu}^\xi = 0$ , i.e. a torsion-free space-time.

## 5 | FREE GRAVITATIONAL HAMILTONIAN

Similar to the Hamiltonians  $\tilde{\mathcal{H}}_D$  of the free fermion system, the Lagrangian resp. Hamiltonian  $\tilde{\mathcal{H}}_{Gr}$  of the free (uncoupled) gauge field—the gravitational field—must be set up on the basis of physical reasoning in conjunction with appropriate physical measurements. The canonical gauge procedure merely determines their coupling by requiring the combined system to be diffeomorphism-invariant. Similar to all other field theories,  $\tilde{\mathcal{H}}_{Gr}$  should be quadratic in the momentum fields  $\tilde{q}_j^{k\beta\alpha}$  and  $\tilde{k}_j^{\beta\alpha}$  in order to encounter well-defined duality relations of momenta and corresponding “velocities.” With quadratic momentum dependence, the Riemann-Cartan curvature and the torsion become “propagating” field strengths, associated with respectively the connection and the tetrad fields. A reasonable choice is thus to postulate  $\tilde{\mathcal{H}}_{Gr,post}(\tilde{q}, \tilde{k}, e)$  as

$$\begin{aligned} \tilde{\mathcal{H}}_{Gr,post} = & \frac{1}{4g_1\epsilon} \tilde{q}_i^{j\alpha\beta} \tilde{q}_j^{i\xi\lambda} g_{\alpha\xi} g_{\beta\lambda} + g_2 \tilde{q}_i^{j\alpha\beta} e^i_\alpha e^n_\beta \eta_{nj} \\ & + \frac{g_3}{2\epsilon} \tilde{k}_i^{\alpha\beta} \tilde{k}_j^{\xi\lambda} \eta^{ij} g_{\alpha\xi} g_{\beta\lambda}, \quad g_{\alpha\beta} = \eta_{ij} e^i_\alpha e^j_\beta. \end{aligned} \quad (62)$$

$g_1$ ,  $g_2$ , and  $g_3$  are coupling constants, which must be adapted to measurements/experiments. For the particular choice  $g_3 = 0$ , the resulting field equation is satisfied by the Schwarzschild and the more general Kerr metric (Kehm et al. 2017; Stephenson 1958; Struckmeier et al. 2017a).

## 6 | SUMMARY AND OUTLOOK

Based on the obvious postulate that the description of physics should be the same in any coordinate frame, we have derived the closed set of canonical field equations describing the mutual interaction of spinors with a gravitational field. The first precondition for a complete description is the knowledge of the free (uncoupled) dynamics of the spinors, which was assumed to be described by a nondegenerate Dirac Lagrangian or its equivalent Hamiltonian counterpart. As S. Gasiorowicz (Gasiorowicz 1966) noted, the quadratic velocity term in the Dirac Lagrangian Equation (6)—which renders it nondegenerate without changing the resulting Dirac equation—does “not appear to be necessary,” but “cannot be logically excluded.” As a consequence, we recover the minimal coupling scheme of spinors to curved space-time, but in addition a new Fermi-like interaction term in the Dirac Equation (61) leading to an anomalous mass correction. Its strength is determined by a spontaneously emerging mass (or length) parameter that for dimensional reasons must arise in a nondegenerate Hamiltonian. While spurious for free

spinors, this parameter becomes a measurable quantity in curved geometries as it will fundamentally modify cosmological (Vasak et al. 2020) and astrophysical models.

The second precondition for a complete description is the knowledge of the Lagrangian resp. Hamiltonian of the free (uncoupled) dynamics of the gravitational field. Its functional dependence on the various momentum fields determines the space-time structure dynamically via the canonical Equations. A non-degenerate gravity Hamiltonian Equation (62) was previously discussed in (Struckmeier et al. 2017a). As the corresponding field equations are for vanishing torsion satisfied not only by the Schwarzschild metric (Stephenson 1958), but also by the more general Schwarzschild-De Sitter and the Kerr-De Sitter metrics, it is consistent with actual measurements. However, the dynamics emerging for cases where matter fields and/or torsion are present differ from those of the Einstein equation. The consequences must be clarified in future studies.

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## APPENDIX A. EXPLICIT CALCULATIONS

### A.1 Calculation of the tetrad field $E^I_\alpha$ contribution to Equation (41)

First of all, we expand the third term in Equation (41),

$$\tilde{k}_i^{\mu\nu} \frac{\partial}{\partial x^\nu} \left( \Lambda^i_J \frac{\partial X^\alpha}{\partial x^\mu} \right) E^J_\alpha = \tilde{k}_i^{\mu\nu} \left( \frac{\partial \Lambda^i_J}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} + \Lambda^i_J \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \right) E^J_\alpha, \quad (\text{A1})$$

and re-write the transformation rule (Equation (34g)) for the gauge field  $\omega^i_{j\nu}$  as

$$\frac{\partial \Lambda^i_J}{\partial x^\nu} = \Lambda^i_I \Omega^I_{J\xi} \frac{\partial X^\xi}{\partial x^\nu} - \omega^i_{j\nu} \Lambda^j_J.$$

With the canonical transformation rule Equations (34e) written in the equivalent form

$$\frac{\partial X^\alpha}{\partial x^\mu} = E^\alpha_I \Lambda^I_i e^i_\mu,$$

we find for the  $x^\nu$ -derivative

$$\begin{aligned} \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} &= \frac{\partial E^\alpha_I}{\partial x^\nu} \Lambda^I_i e^i_\mu + E^\alpha_I \Lambda^I_i \frac{\partial e^i_\mu}{\partial x^\nu} + E^\alpha_I \frac{\partial \Lambda^I_j}{\partial x^\nu} e^j_\mu \\ &= \frac{\partial E^\alpha_I}{\partial x^\nu} E^I_\eta \frac{\partial X^\eta}{\partial x^\mu} + \frac{\partial X^\alpha}{\partial x^\xi} e^\xi_i \frac{\partial e^i_\mu}{\partial x^\nu} \\ &\quad + E^\alpha_I \left( \Lambda^I_i \omega^i_{j\nu} - \Omega^I_{J\xi} \Lambda^J_j \frac{\partial X^\xi}{\partial x^\nu} \right) e^j_\mu \\ &= -E^\alpha_I \frac{\partial E^I_\eta}{\partial X^\xi} \frac{\partial X^\xi}{\partial x^\nu} \frac{\partial X^\eta}{\partial x^\mu} + e^\xi_i \frac{\partial e^i_\mu}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\xi} \\ &\quad + \frac{\partial X^\alpha}{\partial x^\xi} e^\xi_i \omega^i_{j\nu} e^j_\mu - E^\alpha_I \Omega^I_{J\xi} E^J_\eta \frac{\partial X^\eta}{\partial x^\mu} \frac{\partial X^\xi}{\partial x^\nu} \\ &= e^\xi_i \left( \frac{\partial e^i_\mu}{\partial x^\nu} + \omega^i_{j\nu} e^j_\mu \right) \frac{\partial X^\alpha}{\partial x^\xi} \\ &\quad - E^\alpha_I \left( \frac{\partial E^I_\eta}{\partial X^\xi} + \Omega^I_{J\xi} E^J_\eta \right) \frac{\partial X^\eta}{\partial x^\mu} \frac{\partial X^\xi}{\partial x^\nu}. \quad (\text{A2}) \end{aligned}$$

Inserting now Equation (A2) into Equation (A1), one finds

$$\begin{aligned} & - \tilde{k}_i^{\mu\nu} \frac{\partial}{\partial x^\nu} \left( \Lambda^i_J \frac{\partial X^\alpha}{\partial x^\mu} \right) E^J_\alpha \\ &= - \tilde{k}_i^{\mu\nu} \frac{\partial \Lambda^i_J}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} E^J_\alpha - \tilde{k}_i^{(\mu\nu)} \Lambda^i_J \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} E^J_\alpha \\ &= - \tilde{k}_i^{\mu\nu} \left( \Lambda^i_I \Omega^I_{J\xi} \frac{\partial X^\xi}{\partial x^\nu} - \omega^i_{j\nu} \Lambda^j_J \right) E^J_\alpha \frac{\partial X^\alpha}{\partial x^\mu} \\ &\quad - \tilde{k}_i^{(\mu\nu)} \Lambda^i_J E^J_\alpha \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \\ &= - \tilde{k}_i^{\mu\nu} \Lambda^i_I \Omega^I_{J\xi} E^J_\alpha \frac{\partial X^\alpha}{\partial x^\mu} \frac{\partial X^\xi}{\partial x^\nu} + \tilde{k}_i^{\mu\nu} \omega^i_{j\nu} \Lambda^j_J E^J_\alpha \frac{\partial X^\alpha}{\partial x^\mu} \\ &\quad + \frac{1}{2} \tilde{k}_i^{\mu\nu} \Lambda^i_I \frac{\partial X^\xi}{\partial x^\nu} \frac{\partial X^\eta}{\partial x^\mu} \left( \frac{\partial E^I_\eta}{\partial X^\xi} + \frac{\partial E^I_\xi}{\partial X^\eta} + \Omega^I_{J\xi} E^J_\eta + \Omega^I_{J\eta} E^J_\xi \right) \\ &\quad - \frac{1}{2} \tilde{k}_i^{\mu\nu} \Lambda^i_I E^J_\alpha \frac{\partial X^\alpha}{\partial x^\xi} e^\xi_i \left( \frac{\partial e^i_\mu}{\partial x^\nu} + \frac{\partial e^i_\nu}{\partial x^\mu} + \omega^i_{j\nu} e^j_\mu + \omega^i_{j\mu} e^j_\nu \right) \\ &= \tilde{k}_i^{\mu\nu} \omega^i_{j\nu} e^j_\mu - \tilde{K}_I^{\eta\xi} \Omega^I_{J\xi} E^J_\eta \left| \frac{\partial X}{\partial x} \right| \\ &\quad - \frac{1}{2} \tilde{k}_i^{\mu\nu} \left( \frac{\partial e^i_\mu}{\partial x^\nu} + \frac{\partial e^i_\nu}{\partial x^\mu} + \omega^i_{j\nu} e^j_\mu + \omega^i_{j\mu} e^j_\nu \right) \\ &\quad + \frac{1}{2} \tilde{K}_I^{\eta\xi} \left( \frac{\partial E^I_\eta}{\partial X^\xi} + \frac{\partial E^I_\xi}{\partial X^\eta} + \Omega^I_{J\xi} E^J_\eta + \Omega^I_{J\eta} E^J_\xi \right) \left| \frac{\partial X}{\partial x} \right|, \end{aligned}$$

hence finally

$$\begin{aligned} & \tilde{k}_i^{\mu\nu} \frac{\partial}{\partial x^\nu} \left( \Lambda^i_J \frac{\partial X^\alpha}{\partial x^\mu} \right) E^J_\alpha \\ &= \frac{1}{2} \tilde{k}_i^{\mu\nu} \left( \frac{\partial e^i_\mu}{\partial x^\nu} + \frac{\partial e^i_\nu}{\partial x^\mu} - \omega^i_{j\nu} e^j_\mu + \omega^i_{j\mu} e^j_\nu \right) \\ &\quad - \frac{1}{2} \tilde{K}_I^{\mu\nu} \left( \frac{\partial E^I_\mu}{\partial X^\nu} + \frac{\partial E^I_\nu}{\partial X^\mu} - \Omega^I_{J\nu} E^J_\mu + \Omega^I_{J\mu} E^J_\nu \right) \left| \frac{\partial X}{\partial x} \right|. \end{aligned}$$



## A.2 Proving the equivalence of the transformation rules of the affine and spin connections

In order to prove that  $\gamma^\eta{}_{\mu\nu}$ —as defined in Equation (56)—transforms according to Equation (57) and hence represents the affine connection, we recall the transformation laws for the tetrad (Equation (34e)) and for the spin connection from Equation (30):

$$e^i{}_\mu = \Lambda^i{}_I E^I{}_\alpha \frac{\partial X^\alpha}{\partial x^\mu}, \quad \omega^i{}_{j\nu} = \Lambda^i{}_I \Omega^I{}_{J\alpha} \Lambda^J{}_j \frac{\partial X^\alpha}{\partial x^\nu} + \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\nu}.$$

Then

$$\begin{aligned} \gamma^\eta{}_{\mu\nu} &= e^\eta{}_i \omega^i{}_{j\nu} e^j{}_\mu + e^\eta{}_i \frac{\partial e^i{}_\mu}{\partial x^\nu} \\ &= e^\eta{}_i \left[ \Lambda^i{}_I \left( \Omega^I{}_{J\alpha} \Lambda^J{}_j \frac{\partial X^\alpha}{\partial x^\nu} + \frac{\partial \Lambda^I{}_j}{\partial x^\nu} \right) e^j{}_\mu \right. \\ &\quad \left. + \frac{\partial}{\partial x^\nu} \left( \Lambda^i{}_I E^I{}_\alpha \frac{\partial X^\alpha}{\partial x^\mu} \right) \right]. \end{aligned}$$

By virtue of the identity

$$e^\eta{}_i \frac{\partial \Lambda^i{}_I}{\partial x^\nu} E^I{}_\alpha \frac{\partial X^\alpha}{\partial x^\mu} = e^\eta{}_i \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \Lambda^I{}_j e^j{}_\mu = -e^\eta{}_i \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\nu} e^j{}_\mu,$$

the corresponding two terms cancel, hence

$$\gamma^\eta{}_{\mu\nu} = e^\eta{}_i \Lambda^i{}_I \left( \frac{\partial E^I{}_\alpha}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} + E^I{}_\alpha \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} + \Omega^I{}_{J\alpha} \Lambda^J{}_j e^j{}_\mu \frac{\partial X^\alpha}{\partial x^\nu} \right),$$

with

$$e^\eta{}_i \Lambda^i{}_I = E^\xi{}_I \frac{\partial x^\eta}{\partial X^\xi},$$

this yields

$$\begin{aligned} \gamma^\eta{}_{\mu\nu} &= E^\xi{}_I \left( \frac{\partial E^I{}_\alpha}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} + E^I{}_\alpha \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \right. \\ &\quad \left. + \Omega^I{}_{J\alpha} E^J{}_\beta \frac{\partial X^\beta}{\partial x^\mu} \frac{\partial X^\alpha}{\partial x^\nu} \right) \frac{\partial x^\eta}{\partial X^\xi} \\ &= E^\xi{}_I \left( \frac{\partial E^I{}_\alpha}{\partial X^\beta} + \Omega^I{}_{J\beta} E^J{}_\alpha \right) \frac{\partial X^\beta}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} \frac{\partial x^\eta}{\partial X^\xi} + \frac{\partial^2 X^\xi}{\partial x^\mu \partial x^\nu} \frac{\partial x^\eta}{\partial X^\xi}. \end{aligned}$$

With the definition of  $\Gamma^\eta{}_{\mu\nu}$  corresponding to that of  $\gamma^\eta{}_{\mu\nu}$ ,

$$\Gamma^\eta{}_{\mu\nu} = E^\eta{}_I \Omega^I{}_{J\nu} E^J{}_\mu + E^\eta{}_I \frac{\partial E^I{}_\mu}{\partial x^\nu},$$

the transformation law for  $\gamma^\eta{}_{\mu\nu}$  finally emerges as

$$\gamma^\eta{}_{\mu\nu} = \Gamma^\xi{}_{\alpha\beta} \frac{\partial X^\beta}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} \frac{\partial x^\eta}{\partial X^\xi} + \frac{\partial^2 X^\xi}{\partial x^\mu \partial x^\nu} \frac{\partial x^\eta}{\partial X^\xi}.$$

The other direction of the proof, namely, the derivation of the transformation relation (57) from Equation (30), is obvious.

In a similar way, it is straightforward to prove that the tensor  $R^i{}_{j\nu\mu}$ , defined in Equation (51), is the mixed representation of the Riemann-Cartan tensor  $R^\alpha{}_{\beta\nu\mu}$  by inserting

$$\omega^i{}_{j\nu} = e^i{}_\beta \gamma^\beta{}_{\alpha\nu} e^\alpha{}_j - \frac{\partial e^i{}_\alpha}{\partial x^\nu} e^\alpha{}_j.$$

## A.3 Calculation of the gauge field $\Omega^I{}_{J\alpha}$ contribution to Equation (41)

In order to express all coefficients in the term proportional to  $\tilde{q}_i{}^{j\mu\nu}$  of Equation (41), we first write this term in expanded form:

$$\begin{aligned} & - \tilde{q}_i{}^{j\mu\nu} \left[ \Omega^I{}_{J\alpha} \frac{\partial}{\partial x^\nu} \left( \Lambda^i{}_I \Lambda^J{}_j \frac{\partial X^\alpha}{\partial x^\mu} \right) + \frac{\partial}{\partial x^\nu} \left( \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\mu} \right) \right] \\ & = - \tilde{q}_i{}^{j\mu\nu} \Omega^I{}_{J\alpha} \\ & \quad \times \left( \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \Lambda^J{}_j \frac{\partial X^\alpha}{\partial x^\mu} + \Lambda^i{}_I \frac{\partial \Lambda^J{}_j}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} + \Lambda^i{}_I \Lambda^J{}_j \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \right) \\ & \quad - \tilde{q}_i{}^{j\mu\nu} \left( \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \frac{\partial \Lambda^I{}_j}{\partial x^\mu} + \Lambda^i{}_I \frac{\partial^2 \Lambda^I{}_j}{\partial x^\mu \partial x^\nu} \right). \end{aligned}$$

With the transformation rule (Equation (30)) solved for  $\Omega^I{}_{J\alpha}$

$$\Omega^I{}_{J\alpha} = \left( \Lambda^I{}_n \omega^n{}_{m\xi} - \frac{\partial \Lambda^I{}_m}{\partial x^\xi} \right) \Lambda^m{}_J \frac{\partial x^\xi}{\partial X^\alpha},$$

Equation (42) is expressed equivalently in terms of the original fields as

$$\begin{aligned} & - \tilde{q}_i{}^{j\mu\nu} \left[ \left( \Lambda^I{}_n \omega^n{}_{m\xi} - \frac{\partial \Lambda^I{}_m}{\partial x^\xi} \right) \right. \\ & \quad \times \left( \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \delta_j^m \delta_\mu^\xi + \Lambda^i{}_I \frac{\partial \Lambda^J{}_j}{\partial x^\nu} \Lambda^m{}_J \delta_\mu^\xi + \Lambda^i{}_I \delta_j^m \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \frac{\partial x^\xi}{\partial X^\alpha} \right) \\ & \quad \left. + \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \frac{\partial \Lambda^I{}_j}{\partial x^\mu} + \Lambda^i{}_I \frac{\partial^2 \Lambda^I{}_j}{\partial x^\mu \partial x^\nu} \right] \\ & = - \tilde{q}_i{}^{j\mu\nu} \left( \omega^n{}_{j\mu} \Lambda^I{}_n \frac{\partial \Lambda^I{}_I}{\partial x^\nu} + \omega^i{}_{n\mu} \Lambda^n{}_J \frac{\partial \Lambda^I{}_j}{\partial x^\nu} \right. \\ & \quad + \omega^i{}_{j\xi} \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \frac{\partial x^\xi}{\partial X^\alpha} - \frac{\partial \Lambda^I{}_j}{\partial x^\mu} \frac{\partial \Lambda^I{}_I}{\partial x^\nu} \\ & \quad - \Lambda^i{}_I \frac{\partial \Lambda^I{}_n}{\partial x^\mu} \Lambda^n{}_J \frac{\partial \Lambda^I{}_j}{\partial x^\nu} - \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\xi} \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \frac{\partial x^\xi}{\partial X^\alpha} \\ & \quad \left. + \frac{\partial \Lambda^i{}_I}{\partial x^\nu} \frac{\partial \Lambda^I{}_j}{\partial x^\mu} + \Lambda^i{}_I \frac{\partial^2 \Lambda^I{}_j}{\partial x^\mu \partial x^\nu} \right) \\ & = - \tilde{q}_i{}^{j\mu\nu} \left[ \omega^i{}_{n\mu} \Lambda^n{}_J \frac{\partial \Lambda^I{}_j}{\partial x^\nu} - \omega^n{}_{j\mu} \Lambda^i{}_I \frac{\partial \Lambda^I{}_n}{\partial x^\nu} + \left( \omega^i{}_{j\xi} - \Lambda^i{}_I \frac{\partial \Lambda^I{}_j}{\partial x^\xi} \right) \right. \\ & \quad \left. \times \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \frac{\partial x^\xi}{\partial X^\alpha} - \Lambda^i{}_I \frac{\partial \Lambda^I{}_n}{\partial x^\mu} \Lambda^n{}_J \frac{\partial \Lambda^I{}_j}{\partial x^\nu} + \Lambda^i{}_I \frac{\partial^2 \Lambda^I{}_j}{\partial x^\mu \partial x^\nu} \right]. \quad (\text{A3}) \end{aligned}$$

Now, the transformation rule (Equation (30)) is inserted in the form

$$\Lambda^i_I \frac{\partial \Lambda^I_j}{\partial x^\nu} = \omega^i_{j\nu} - \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\nu}$$

and its derivative

$$\begin{aligned} \Lambda^i_I \frac{\partial^2 \Lambda^I_j}{\partial x^\mu \partial x^\nu} &= \frac{1}{2} \Lambda^i_I \left( \frac{\partial \Lambda^I_n}{\partial x^\nu} \omega^n_{j\mu} + \frac{\partial \Lambda^I_n}{\partial x^\mu} \omega^n_{j\nu} \right) \\ &+ \frac{1}{2} \left( \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} + \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} \right) - \frac{1}{2} \Lambda^i_I \left( \frac{\partial \Omega^I_{J\xi}}{\partial X^\alpha} + \frac{\partial \Omega^I_{J\alpha}}{\partial X^\xi} \right) \\ &\times \Lambda^J_j \frac{\partial X^\xi}{\partial x^\mu} \frac{\partial X^\alpha}{\partial x^\nu} \\ &- \frac{1}{2} \Lambda^i_I \Omega^I_{J\alpha} \left( \frac{\partial \Lambda^J_j}{\partial x^\nu} \frac{\partial X^\alpha}{\partial x^\mu} + \frac{\partial \Lambda^J_j}{\partial x^\mu} \frac{\partial X^\alpha}{\partial x^\nu} \right) \\ &- \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu}. \end{aligned}$$

Consolidating all terms yields

$$\begin{aligned} & - \tilde{q}_i^{\mu\nu} \left[ \frac{1}{2} \left( \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} + \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} \right) - \frac{1}{2} \left( \frac{\partial \Omega^I_{K\beta}}{\partial X^\alpha} + \frac{\partial \Omega^I_{K\alpha}}{\partial X^\beta} \right) \right. \\ & \times \Lambda^i_I \Lambda^K_j \frac{\partial X^\beta}{\partial x^\mu} \frac{\partial X^\alpha}{\partial x^\nu} \\ & + \omega^i_{n\mu} \left( \omega^n_{j\nu} - \Lambda^n_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\nu} \right) - \frac{1}{2} \omega^n_{j\mu} \\ & \times \left( \omega^i_{n\nu} - \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \frac{\partial X^\alpha}{\partial x^\nu} \right) \\ & + \frac{1}{2} \omega^n_{j\nu} \left( \omega^i_{n\mu} - \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \frac{\partial X^\alpha}{\partial x^\mu} \right) + \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \\ & - \left( \omega^i_{n\mu} - \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \frac{\partial X^\alpha}{\partial x^\mu} \right) \left( \omega^n_{j\nu} - \Lambda^n_I \Omega^I_{K\beta} \Lambda^K_j \frac{\partial X^\beta}{\partial x^\nu} \right) \\ & - \frac{1}{2} \Lambda^i_I \Omega^I_{J\alpha} \left( \Lambda^J_n \omega^n_{j\nu} - \Omega^J_{K\beta} \Lambda^K_j \frac{\partial X^\beta}{\partial x^\nu} \right) \frac{\partial X^\alpha}{\partial x^\mu} \\ & - \frac{1}{2} \Lambda^i_I \Omega^I_{J\alpha} \left( \Lambda^J_n \omega^n_{j\mu} - \Omega^J_{K\beta} \Lambda^K_j \frac{\partial X^\beta}{\partial x^\mu} \right) \frac{\partial X^\alpha}{\partial x^\nu} \\ & \left. - \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial^2 X^\alpha}{\partial x^\mu \partial x^\nu} \right], \end{aligned}$$

which simplifies, after expanding

$$\begin{aligned} & - \tilde{q}_i^{\mu\nu} \left[ \frac{1}{2} \left( \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} + \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} \right) - \frac{1}{2} \left( \frac{\partial \Omega^I_{K\beta}}{\partial X^\alpha} + \frac{\partial \Omega^I_{K\alpha}}{\partial X^\beta} \right) \right. \\ & \times \Lambda^i_I \Lambda^K_j \frac{\partial X^\alpha}{\partial x^\nu} \frac{\partial X^\beta}{\partial x^\mu} \\ & + \omega^i_{n\mu} \omega^n_{j\nu} - \omega^i_{n\mu} \Lambda^n_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\nu} \\ & - \frac{1}{2} \omega^n_{j\mu} \omega^i_{n\nu} + \frac{1}{2} \omega^n_{j\mu} \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \frac{\partial X^\alpha}{\partial x^\nu} \\ & \left. + \frac{1}{2} \omega^n_{j\nu} \omega^i_{n\mu} - \frac{1}{2} \omega^n_{j\nu} \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \frac{\partial X^\alpha}{\partial x^\mu} \right] \end{aligned}$$

$$\begin{aligned} & - \omega^i_{n\mu} \omega^n_{j\nu} + \omega^i_{n\mu} \Lambda^n_I \Omega^I_{J\alpha} \Lambda^J_j \frac{\partial X^\alpha}{\partial x^\nu} \\ & + \omega^n_{j\nu} \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \frac{\partial X^\alpha}{\partial x^\mu} - \Lambda^i_I \Omega^I_{J\alpha} \Omega^J_{K\beta} \Lambda^K_j \frac{\partial X^\alpha}{\partial x^\mu} \frac{\partial X^\beta}{\partial x^\nu} \\ & - \frac{1}{2} \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \omega^n_{j\nu} \frac{\partial X^\alpha}{\partial x^\mu} + \frac{1}{2} \Lambda^i_I \Omega^I_{J\alpha} \Omega^J_{K\beta} \Lambda^K_j \frac{\partial X^\alpha}{\partial x^\mu} \frac{\partial X^\beta}{\partial x^\nu} \\ & - \frac{1}{2} \Lambda^i_I \Omega^I_{J\alpha} \Lambda^J_n \omega^n_{j\mu} \frac{\partial X^\alpha}{\partial x^\nu} + \frac{1}{2} \Lambda^i_I \Omega^I_{J\alpha} \Omega^J_{K\beta} \Lambda^K_j \frac{\partial X^\alpha}{\partial x^\nu} \frac{\partial X^\beta}{\partial x^\mu} \Big] \\ & = -\frac{1}{2} \tilde{q}_i^{\mu\nu} \left[ \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} + \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} + \omega^i_{n\mu} \omega^n_{j\nu} - \omega^i_{n\nu} \omega^n_{j\mu} \right. \\ & \left. - \left( \frac{\partial \Omega^I_{K\alpha}}{\partial X^\beta} + \frac{\partial \Omega^I_{K\beta}}{\partial X^\alpha} + \Omega^I_{J\alpha} \Omega^J_{K\beta} - \Omega^I_{J\beta} \Omega^J_{K\alpha} \right) \right. \\ & \left. \times \Lambda^i_I \Lambda^K_j \frac{\partial X^\alpha}{\partial x^\mu} \frac{\partial X^\beta}{\partial x^\nu} \right] \\ & = -\frac{1}{2} \tilde{q}_i^{\mu\nu} \left( \frac{\partial \omega^i_{j\mu}}{\partial x^\nu} + \frac{\partial \omega^i_{j\nu}}{\partial x^\mu} + \omega^i_{n\mu} \omega^n_{j\nu} - \omega^i_{n\nu} \omega^n_{j\mu} \right) \\ & + \frac{1}{2} \tilde{Q}_I^{J\mu\nu} \left( \frac{\partial \Omega^I_{J\mu}}{\partial X^\nu} + \frac{\partial \Omega^I_{J\nu}}{\partial X^\mu} + \Omega^I_{K\mu} \Omega^K_{J\nu} - \Omega^I_{K\nu} \Omega^K_{J\mu} \right) \\ & \times \left| \frac{\partial X}{\partial x} \right|. \end{aligned}$$

#### A.4 Explicit derivation of the generalized Dirac equation

In order to derive the generalized Dirac equation for the spinor  $\psi$ , we eliminate the canonical momentum dependence. To this end, the first canonical Equation (53a) is solved for the momentum field  $\tilde{\kappa}^\alpha$ . The resulting canonical Equation (54) is then inserted into Equation (53b) to yield the following second-order equation for  $\psi$ :

$$\begin{aligned} & \frac{\partial}{\partial x^\alpha} \left\{ \left[ -\frac{i}{2} e^\alpha_j \gamma^j \psi + \frac{i}{3M} e^\alpha_j \sigma^{ji} e_i^\beta \right. \right. \\ & \left. \left. \times \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \varepsilon \right\} \\ & = \left( \frac{i}{2} M \gamma_k e^k_\alpha - \frac{i}{4} \omega_{kla} \sigma^{kl} \right) \\ & \times \left[ \frac{i}{2} e^\alpha_j \gamma^j \psi - \frac{i}{3M} e^\alpha_j \sigma^{ji} e_i^\beta \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \\ & \times \varepsilon - (m - M) \psi \varepsilon \end{aligned} \quad (\text{A4})$$

Equation (A4) writes in expanded form:

$$\begin{aligned} & e^k_\xi \frac{\partial e^\xi_k}{\partial x^\alpha} \left[ \frac{i}{2} e^\alpha_j \gamma^j \psi - \frac{i}{3M} e^\alpha_j \sigma^{ji} e_i^\beta \left( \frac{\partial \psi}{\partial x^\beta} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \varepsilon \\ & - \frac{i}{2} \frac{\partial e^\alpha_j}{\partial x^\alpha} \gamma^j \psi \varepsilon - \frac{i}{2} e^\alpha_j \gamma^j \frac{\partial \psi}{\partial x^\alpha} \varepsilon \\ & + \frac{i}{3M} e^\alpha_j \sigma^{ji} e_i^\beta \\ & \times \left( \frac{\partial^2 \psi}{\partial x^\beta \partial x^\alpha} - \frac{i}{4} \frac{\partial \omega_{nm\beta}}{\partial x^\alpha} \sigma^{nm} \psi - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \frac{\partial \psi}{\partial x^\alpha} \right) \varepsilon \end{aligned}$$

$$\begin{aligned}
& + \frac{i}{3M} \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} \sigma^{ji} e_i^{\beta} + e^{\alpha}_j \sigma^{ji} \frac{\partial e_i^{\beta}}{\partial x^{\alpha}} \right) \\
& \times \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \varepsilon \\
= & \frac{i}{2} M \gamma_k e^k_{\alpha} \left[ \frac{i}{2} e^{\alpha}_j \gamma^j \psi - \frac{i}{3M} e^{\alpha}_j \sigma^{ji} e_i^{\beta} \right. \\
& \times \left. \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \varepsilon \\
& - \frac{i}{4} \omega_{kla} \sigma^{kl} \left[ \frac{i}{2} e^{\alpha}_j \gamma^j \psi - \frac{i}{3M} e^{\alpha}_j \sigma^{ji} e_i^{\beta} \right] \\
& \times \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \varepsilon \\
& - (m - \mathbb{M}) \psi \varepsilon,
\end{aligned}$$

hence

$$\begin{aligned}
& \frac{i}{3M} \left[ -e^{\alpha}_j \sigma^{ji} e_i^{\beta} e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right. \\
& - \frac{i}{4} e^{\alpha}_j \sigma^{ji} e_i^{\beta} \left( \frac{\partial \omega_{nm\beta}}{\partial x^{\alpha}} \sigma^{nm} \psi + \omega_{nm\beta} \sigma^{nm} \frac{\partial \psi}{\partial x^{\alpha}} \right) \\
& + \left. \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} \sigma^{ji} e_i^{\beta} + e^{\alpha}_j \sigma^{ji} \frac{\partial e_i^{\beta}}{\partial x^{\alpha}} \right) \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right. \\
& + \left. \frac{i}{4} \omega_{kla} \sigma^{kl} e^{\alpha}_j \sigma^{ji} e_i^{\beta} \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \\
= & i e^{\alpha}_j \gamma^j \left( \frac{\partial \psi}{\partial x^{\alpha}} - \frac{i}{4} \omega_{nma} \sigma^{nm} \psi \right) \\
& - m \psi + \frac{i}{2} \gamma^j \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} - e^{\alpha}_j e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \psi \\
& - \frac{1}{8} \omega_{nma} e^{\alpha}_j (\gamma^j \sigma^{nm} - \sigma^{nm} \gamma^j) \psi.
\end{aligned}$$

The last line is converted according to:

$$\begin{aligned}
& \frac{1}{8} \omega_{nma} e^{\alpha}_j (\gamma^j \sigma^{nm} - \sigma^{nm} \gamma^j) \\
& = \frac{i}{4} \omega_{nma} e^{\alpha}_j (\eta^{jn} \gamma^m - \eta^{mj} \gamma^n) = \frac{i}{2} \gamma^j e^{\alpha}_k \omega^k_{ja}
\end{aligned}$$

which yields

$$\begin{aligned}
& \frac{i}{3M} \left[ -\frac{i}{4} e^{\alpha}_j e_i^{\beta} \left( \sigma^{ji} \frac{\partial \omega_{nm\beta}}{\partial x^{\alpha}} + \frac{i}{4} \omega_{kla} \sigma^{kl} \sigma^{ji} \omega_{nm\beta} \right) \sigma^{nm} \psi \right. \\
& + \frac{i}{4} e^{\alpha}_j e_i^{\beta} \omega_{nma} (\sigma^{nm} \sigma^{ji} - \sigma^{ji} \sigma^{nm}) \frac{\partial \psi}{\partial x^{\beta}} \\
& + \left. \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} \sigma^{ji} e_i^{\beta} + e^{\alpha}_j \sigma^{ji} \frac{\partial e_i^{\beta}}{\partial x^{\alpha}} - e^{\alpha}_j \sigma^{ji} e_i^{\beta} e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \right. \\
& \times \left. \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \\
= & i e^{\alpha}_j \gamma^j \left( \frac{\partial \psi}{\partial x^{\alpha}} - \frac{i}{4} \omega_{nma} \sigma^{nm} \psi \right) - m \psi \\
& + \frac{i}{2} \gamma^j \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} - e^{\alpha}_k \omega^k_{ja} - e^{\alpha}_j e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \psi.
\end{aligned}$$

The first two lines are equivalently expressed as:

$$\begin{aligned}
& \frac{i}{3M} \left[ -\frac{i}{4} e^{\alpha}_j e_i^{\beta} \left( \sigma^{ji} \frac{\partial \omega_{nm\beta}}{\partial x^{\alpha}} + \frac{i}{4} \omega_{kla} \sigma^{kl} \sigma^{ji} \omega_{nm\beta} \right) \sigma^{nm} \psi \right. \\
& + \frac{i}{4} e^{\alpha}_j e_i^{\beta} \omega_{kla} (\sigma^{kl} \sigma^{ji} - \sigma^{ji} \sigma^{kl}) \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \\
& + \left. \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} \sigma^{ji} e_i^{\beta} + e^{\alpha}_j \sigma^{ji} \frac{\partial e_i^{\beta}}{\partial x^{\alpha}} - e^{\alpha}_j \sigma^{ji} e_i^{\beta} e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \right. \\
& \times \left. \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \\
= & i e^{\alpha}_j \gamma^j \left( \frac{\partial \psi}{\partial x^{\alpha}} - \frac{i}{4} \omega_{nma} \sigma^{nm} \psi \right) - m \psi \\
& + \frac{i}{2} \gamma^j \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} - e^{\alpha}_k \omega^k_{ja} - e^{\alpha}_j e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \psi.
\end{aligned}$$

The product of two  $\sigma$  matrices in the first and second line is converted according to:

$$\frac{i}{4} \omega_{kla} (\sigma^{kl} \sigma^{ji} - \sigma^{ji} \sigma^{kl}) = \omega^i_{ka} \sigma^{kj} - \omega^j_{ka} \sigma^{ki}$$

which yields

$$\begin{aligned}
& \frac{i}{3M} \left[ -\frac{i}{4} e^{\alpha}_j \sigma^{ji} e_i^{\beta} \left( \frac{\partial \omega_{nm\beta}}{\partial x^{\alpha}} + \frac{i}{4} \sigma^{kl} \omega_{kla} \omega_{nm\beta} \right) \sigma^{nm} \psi \right. \\
& + e^{\alpha}_j e_i^{\beta} (\omega^i_{ka} \sigma^{kj} - \omega^j_{ka} \sigma^{ki}) \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \\
& + \left. \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} \sigma^{ji} e_i^{\beta} + e^{\alpha}_j \sigma^{ji} \frac{\partial e_i^{\beta}}{\partial x^{\alpha}} - e^{\alpha}_j \sigma^{ji} e_i^{\beta} e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \right. \\
& \times \left. \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right] \\
= & i e^{\alpha}_j \gamma^j \left( \frac{\partial \psi}{\partial x^{\alpha}} - \frac{i}{4} \omega_{nma} \sigma^{nm} \psi \right) - m \psi \\
& + \frac{i}{2} \gamma^j \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} - e^{\alpha}_k \omega^k_{ja} - e^{\alpha}_j e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \psi
\end{aligned}$$

By virtue of the identity for the product of three  $\sigma$ -matrices,

$$e^{\alpha}_j \sigma^{ji} e_i^{\beta} \frac{i}{4} \omega_{kla} \sigma^{kl} \sigma^{nm} \omega_{nm\beta} = e^{\alpha}_j \sigma^{ji} e_i^{\beta} \sigma^{nm} \omega_{nka} \omega^k_{m\beta},$$

the generalized Dirac equation acquires the final form:

$$\begin{aligned}
& \frac{i}{3M} \left[ -\frac{i}{4} e^{\alpha}_j e_i^{\beta} \sigma^{ji} \sigma^{nm} \left( \frac{\partial \omega_{nm\beta}}{\partial x^{\alpha}} + \omega_{nka} \omega^k_{m\beta} \right) \psi \right. \\
& + e^{\alpha}_j e_i^{\beta} (\omega^i_{ka} \sigma^{kj} - \omega^j_{ka} \sigma^{ki}) \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \\
& + \left. \left( \frac{\partial e^{\alpha}_j}{\partial x^{\alpha}} \sigma^{ji} e_i^{\beta} + e^{\alpha}_j \sigma^{ji} \frac{\partial e_i^{\beta}}{\partial x^{\alpha}} - e^{\alpha}_j \sigma^{ji} e_i^{\beta} e^k_{\xi} \frac{\partial e^{\xi}_k}{\partial x^{\alpha}} \right) \right. \\
& \times \left. \left( \frac{\partial \psi}{\partial x^{\beta}} - \frac{i}{4} \omega_{nm\beta} \sigma^{nm} \psi \right) \right]
\end{aligned}$$

$$= i e^{\alpha}{}_{j} \gamma^j \left( \frac{\partial \psi}{\partial x^{\alpha}} - \frac{i}{4} \omega_{nm\alpha} \sigma^{nm} \psi \right) - m \psi \\ + \frac{i}{2} \gamma^j \left( \frac{\partial e^{\alpha}{}_{j}}{\partial x^{\alpha}} - e^{\alpha}{}_{k} \omega^k{}_{j\alpha} - e^{\alpha}{}_{j} e^k{}_{\xi} \frac{\partial e^{\xi}{}_{k}}{\partial x^{\alpha}} \right) \psi.$$

Due to the skew-symmetry of  $\sigma^{ji}$ , term proportional to  $\sigma^{nm}$  is actually half the Riemann-Cartan curvature tensor in the mixed Lorentz-coordinate space representation. (see Appendix A2 for details).

$$R_{nm\alpha\beta} = \frac{\partial \omega_{nm\beta}}{\partial x^{\alpha}} - \frac{\partial \omega_{n\alpha\beta}}{\partial x^m} + \omega_{nk\alpha} \omega^k{}_{m\beta} - \omega_{nk\beta} \omega^k{}_{m\alpha}.$$

### A.5 Full contraction of the Riemann tensor with fundamental spinors (Dirac matrices)

From the definition of the Dirac algebra for a general contravariant metric  $g^{\eta\alpha}(x) = g^{\alpha\eta}(x)$ ,

$$\frac{1}{2}(\gamma^{\eta}\gamma^{\alpha} + \gamma^{\alpha}\gamma^{\eta}) = g^{\eta\alpha} \mathbb{1},$$

where  $\mathbb{1}$  denotes the unit matrix in spinor space, one concludes

$$\begin{aligned} \gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} &= (\gamma^{\eta}\gamma^{\alpha} + \gamma^{\alpha}\gamma^{\eta})\gamma^{\beta} - \gamma^{\alpha}\gamma^{\eta}\gamma^{\beta} \\ &= 2g^{\eta\alpha}\gamma^{\beta} - \gamma^{\alpha}\gamma^{\eta}\gamma^{\beta} \\ &= 2g^{\eta\alpha}\gamma^{\beta} - \gamma^{\alpha}(\gamma^{\eta}\gamma^{\beta} + \gamma^{\beta}\gamma^{\eta}) + \gamma^{\alpha}\gamma^{\beta}\gamma^{\eta} \\ &= 2g^{\eta\alpha}\gamma^{\beta} - 2g^{\beta\eta}\gamma^{\alpha} + (\gamma^{\alpha}\gamma^{\beta} + \gamma^{\beta}\gamma^{\alpha})\gamma^{\eta} - \gamma^{\beta}\gamma^{\alpha}\gamma^{\eta} \\ &= 2g^{\eta\alpha}\gamma^{\beta} - 2g^{\beta\eta}\gamma^{\alpha} + 2g^{\alpha\beta}\gamma^{\eta} - \gamma^{\beta}\gamma^{\alpha}\gamma^{\eta}, \end{aligned}$$

hence

$$\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + \gamma^{\beta}\gamma^{\alpha}\gamma^{\eta} = 2(g^{\eta\alpha}\gamma^{\beta} - g^{\beta\eta}\gamma^{\alpha} + g^{\alpha\beta}\gamma^{\eta}). \quad (\text{A5})$$

The corresponding algebra rule can be derived on the basis of Equation (A5) for the product of four  $\gamma$ -matrices:

$$\begin{aligned} \gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + \gamma^{\beta}\gamma^{\alpha}\gamma^{\eta}\gamma^{\xi} + \gamma^{\xi}\gamma^{\beta}\gamma^{\alpha}\gamma^{\eta} + \gamma^{\eta}\gamma^{\alpha}\gamma^{\beta}\gamma^{\xi} \\ = \gamma^{\xi}(\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + \gamma^{\beta}\gamma^{\alpha}\gamma^{\eta}) + (\gamma^{\beta}\gamma^{\alpha}\gamma^{\eta} + \gamma^{\eta}\gamma^{\alpha}\gamma^{\beta})\gamma^{\xi} \\ = 2\gamma^{\xi}(g^{\eta\alpha}\gamma^{\beta} - g^{\beta\eta}\gamma^{\alpha} + g^{\alpha\beta}\gamma^{\eta}) \\ + 2(g^{\beta\alpha}\gamma^{\eta} - g^{\eta\beta}\gamma^{\alpha} + g^{\alpha\eta}\gamma^{\beta})\gamma^{\xi} \\ = 2[g^{\eta\alpha}(\gamma^{\xi}\gamma^{\beta} + \gamma^{\beta}\gamma^{\xi}) - g^{\beta\eta}(\gamma^{\xi}\gamma^{\alpha} + \gamma^{\alpha}\gamma^{\xi}) \\ + g^{\alpha\beta}(\gamma^{\xi}\gamma^{\eta} + \gamma^{\eta}\gamma^{\xi})] \\ = 4(g^{\eta\alpha}g^{\xi\beta} - g^{\beta\eta}g^{\xi\alpha} + g^{\alpha\beta}g^{\xi\eta})\mathbb{1}. \end{aligned} \quad (\text{A6})$$

The Riemann tensor is skew-symmetric in its first and second index pair:

$$R_{\xi\eta\alpha\beta} = -R_{\eta\xi\alpha\beta}, \quad R_{\xi\eta\alpha\beta} = -R_{\xi\eta\beta\alpha}.$$

Thus

$$\begin{aligned} R_{\xi\eta\alpha\beta}(\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + \gamma^{\beta}\gamma^{\alpha}\gamma^{\eta}\gamma^{\xi} + \gamma^{\xi}\gamma^{\beta}\gamma^{\alpha}\gamma^{\eta} + \gamma^{\eta}\gamma^{\alpha}\gamma^{\beta}\gamma^{\xi}) \\ = 4R_{\xi\eta\alpha\beta}(g^{\eta\alpha}g^{\xi\beta} - g^{\beta\eta}g^{\xi\alpha} + g^{\alpha\beta}g^{\xi\eta})\mathbb{1} \\ = -4(R_{\xi\eta\beta\alpha}g^{\eta\alpha}g^{\xi\beta} + R_{\xi\eta\alpha\beta}g^{\beta\eta}g^{\xi\alpha})\mathbb{1} \\ = -4(R^{\beta}{}_{\eta\beta\alpha}g^{\eta\alpha} + R^{\alpha}{}_{\eta\alpha\beta}g^{\beta\eta})\mathbb{1} \\ = -4(R_{\eta\alpha}g^{\eta\alpha} + R_{\eta\beta}g^{\beta\eta})\mathbb{1} = -8R_{\eta}{}^{\eta}\mathbb{1} \\ = -8R\mathbb{1}. \end{aligned} \quad (\text{A7})$$

For zero torsion, the Riemann tensor has the additional symmetries:

$$R_{\xi\eta\alpha\beta} = R_{\alpha\beta\xi\eta} = R_{\beta\alpha\eta\xi}, \quad R^{\alpha}{}_{\eta\alpha\beta} = R_{\eta\beta} = R_{\beta\eta}, \\ R_{\xi\eta\alpha\beta} + R_{\xi\alpha\beta\eta} + R_{\xi\beta\eta\alpha} = 0.$$

By virtue of these symmetries, the left-hand side of Equation (A7) simplifies to:

$$\begin{aligned} R_{\xi\eta\alpha\beta}(\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + \gamma^{\beta}\gamma^{\alpha}\gamma^{\eta}\gamma^{\xi} + \gamma^{\xi}\gamma^{\beta}\gamma^{\alpha}\gamma^{\eta} + \gamma^{\eta}\gamma^{\alpha}\gamma^{\beta}\gamma^{\xi}) \\ = 2R_{\xi\eta\alpha\beta}(\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + \gamma^{\xi}\gamma^{\beta}\gamma^{\alpha}\gamma^{\eta}) \\ = 2R_{\xi\eta\alpha\beta}(\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} - \gamma^{\xi}\gamma^{\eta}\gamma^{\beta}\gamma^{\alpha} - \gamma^{\xi}\gamma^{\alpha}\gamma^{\eta}\gamma^{\beta}) \\ = 2R_{\xi\eta\alpha\beta}[2\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} - \gamma^{\xi}(2g^{\alpha\eta}\mathbb{1} - \gamma^{\eta}\gamma^{\alpha})\gamma^{\beta}] \\ = 2R_{\xi\eta\alpha\beta}(3\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} - 2g^{\alpha\eta}\gamma^{\xi}\gamma^{\beta}) \\ = 6R_{\xi\eta\alpha\beta}\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + 4R_{\eta\xi\alpha\beta}g^{\alpha\eta}\gamma^{\xi}\gamma^{\beta} \\ = 6R_{\xi\eta\alpha\beta}\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + 2R_{\xi\beta}(\gamma^{\xi}\gamma^{\beta} + \gamma^{\beta}\gamma^{\xi}) \\ = 6R_{\xi\eta\alpha\beta}\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + 4R_{\xi\beta}g^{\xi\beta}\mathbb{1} \\ = 6R_{\xi\eta\alpha\beta}\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} + 4R\mathbb{1} \\ \stackrel{(\text{A7})}{=} -8R\mathbb{1}. \end{aligned}$$

The full contraction of the Riemann tensor with Dirac matrices is thus obtained for zero torsion as:

$$\begin{aligned} -R_{\xi\eta\alpha\beta}\sigma^{\xi\eta}\sigma^{\alpha\beta} &= R_{\xi\eta\alpha\beta}\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} = -2R\mathbb{1} \\ \Leftrightarrow R_{\xi\eta\alpha\beta}\gamma^{\alpha}{}_{c}{}^{\xi}\gamma^c{}_{d}{}^{\eta}\gamma^d{}_{e}{}^{\alpha}\gamma^e{}_{b}{}^{\beta} &= -2R_{\xi\eta\alpha\beta}g^{\xi\alpha}g^{\eta\beta}\delta^{\alpha}_{\beta}, \end{aligned} \quad (\text{A8})$$

with spin indices denoted by Latin letters. Equation (A8) is consistent with the trace identity of four Dirac matrices:

$$\begin{aligned} R_{\xi\eta\alpha\beta}\text{Tr}\{\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta}\} &= 4R_{\xi\eta\alpha\beta}(g^{\xi\eta}g^{\alpha\beta} - g^{\xi\alpha}g^{\eta\beta} + g^{\xi\beta}g^{\eta\alpha}) \\ &= -8R^{\alpha}{}_{\eta\alpha\beta}g^{\eta\beta} \\ &= -8R \stackrel{(\text{A8})}{=} -2R\text{Tr}\{\mathbb{1}\} \text{ as } \text{Tr}\{\mathbb{1}\} = 4. \end{aligned}$$

Equation (A8) further simplifies Equations (59) resp. Equation (60) according to:

$$\frac{1}{24M}\sigma^{\alpha\beta}\sigma^{nm}R_{nm\alpha\beta} = -\frac{1}{24M}R_{\xi\eta\alpha\beta}\gamma^{\xi}\gamma^{\eta}\gamma^{\alpha}\gamma^{\beta} = \frac{1}{12M}R\mathbb{1},$$

and thus provides the additional scalar mass-like term in the generalized Dirac Equation (61).